

**DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING**

I, DEAN LOREN, being duly sworn, depose and say that:

1. I am the Executor of the Evelyn Goldberg Estate ("Evelyn").
2. I have personal knowledge of the matters herein and documentation to end uncompromised due process of law with a true, correct and complete thus unbiased finality with no obstruction.
3. On February 4, 2009, John Franks, Director of AlixPartners, employed by Trustee Irving Picard published a list of the Bernard L. Madoff Investment Securities LLC ("BLMIS") citing WOLF POPPER LLP, ATTN: MARIAN POPPER, 845 THIRD AVENUE 12TH FL NEW YORK, NY 10022. Exh 1. Affid of John Franks with Franks Exh A Page 162 of 162.
4. From June 6 1987, to March 3, 1995, Wolf Popper was in total control of monies and assets of the Simon Goldberg Estate, and John C. Fisher, Esq. thereafter to current. Exh. 2 Wolf Popper Billing from June 6, 1987 to March 3, 1995 and John C. Fisher thereafter to Current, filed on March 3, 1995 and December 21, 1995 with the Probate Court.
5. Pope Francis, with all due respect, Judge Stuart Bernstein is to be commended for permitting the depositions of Bernard Madoff exposing the "Light Switch" 1992 moment at which BLMIS exercised total investment control of Wolf Popper Sub-Accounts for the benefit of the Estate of Simon Goldberg and its interest beneficiary Evelyn Goldberg Estate.

**I. INTRODUCTION TO WOLF POPPER LLP SUB-ACCOUNT CREATION AND
MADOFF SECURITIES FRAUD USING TREASURIES AND COMMERCIAL PAPER**

6. On May 24, 1985, Simon A. Goldberg ("Simon"), a Canadian Citizen and retired United Nations UN Lead Actuary, died of a heart attack in the arms of his wife Evelyn, on the NYC streets as they journeyed to Attorney Michael Carlin's office to change Simon's Interim Will.
7. On June 1, 1985, Michael Carlin and David Mandelbaum ("Mandelbaum") and his wife, Rosemary Mandelbaum, caused a fraudulent Death Certificate to be filed with the City of New York that falsely asserted Simon was a US Citizen, and left information blank with concern to identification necessary to claim assets, including leaving Evelyn's Maiden name blank. Exh 3 Fraudulent Death Certificate of Simon A. Goldberg filed June 1, 1985 as a US Citizen.

8. On July 2, 1985, Mandelbaum filed a petition for Preliminary Letters designating Simon as a USA citizen and attaching the June 1, 1985 Fraudulent Death Certificate and allegedly opened a Manufacturers Hanover Trust Bank estate account ("Mystery Bank Account") without proper authorization (no information can be found with regard to this bank account). Exh 4 July 2, 1985 Probate Petition, 1985-3169, filed by David J. Mandelbaum, citing Simon as a USA citizen.

9. On or about July, August and September 1985, Mandelbaum and his wife Rosemary forged Simon and Evelyn's Joint Tenancy Checks deposited in the Mystery Bank Account. Exh.5 Forged Simon and Evelyn 1985 Joint Tenancy Checks by David J. and Rosemary Mandelbaum.

10. On June 8, 1987, Mandelbaum hires John Fisher C., Wolf Popper law partner. Wolf Popper generates a billing record on Exh 2. above - March 1, 1995 and December 21, 1995. Wolf Popper never serves them on Evelyn.

II. JUDGE STUART BERNSTEIN'S LIGHT SWITCH - MADOFF PONZI SCHEME EXPOSED 1992 TREASURIES AND SHORT LIABILITIES IN MADOFF APRIL 2017 DEPOSITION

11. On October 19, 1987, Black Monday crashes stock markets around the world causing Bernard Madoff ((Bernie Madoff)) and Madoff's Big Four clients to panic.

12. The Big Four Major Client Families are redacted by Judicial order but revealed by Bloomberg News on June 23, 2017 as Norman Levy, a New York real estate broker, Jeffry Picower, a Florida accountant, Stanley Chais, a Beverly Hills money manager, and Carl Shapiro, a Boston philanthropist. Please note, the Big Four securities transactions were carried out over Bloomberg Terminals on the 17th floor of BLMIS. See Exh 7 June 23, 2017 Bloomberg News Big Four Reveal in Bernie Madoff: I always wanted to please. Erik Larson, reporter.

13. In November 1987, from the Wolf Popper billing records, three issues arise for Wolf Popper in the Simon Estate: the collection of assets becomes a problem with (i) the USA death certificate/insufficient identification information, (ii) 1988 tax filings, and (iii) Manhattan Surrogate Court conference before the Chief Law Assistant Arlene Harris who has documented Evelyn's right to a fair day in court.

14. On February 5, 1988, Fisher and Mandelbaum and the Guardian Law Clerk for the Manhattan Surrogate Court Harvey Korn, plot to place a Guardian ad litem on Evelyn Goldberg to finalize the June 13, 1986 contested probate proceedings and stop the removal of Mandelbaum. See Exh 2 above, Wolf Popper bills Simon's Estate for the GAL Plot.

15. On May 18, 1988, Wolf Popper creates with Mystery Monies, a Nuveen Account with a broker dealer in Chicago for Simon A. Goldberg, who has been dead for three years. The Mystery Monies are revealed in the 12th hour in a November 2017 Hearing by Fisher before Surrogate Judge Rita Mella. See Exh 7 - Nuveen Account created May 18, 1988, on page 1 of transcript upper right hand corner, citing date of creation.

16. On August 9, 1988 and August 16, 1988, G. Oliver Koppell (soon to be NYS Attorney General as NYS AG Abrams is about to step down for an unknown corruption investigation) on behalf of Evelyn, deposes Mandelbaum concerning the Will, the Death Certificates, Mandelbaum's false CPA credentials (Mandelbaum never graduated), Check Forgeries, bank accounts and other bad acts. The Assistant Attorney General Howard Holt represents the beneficiary Charities Harvard and others. Exh. 8 Transcript Depositions of David J. Mandelbaum dated August 9, 1988, and August 16, 1988, respectively.

17. On August 9 and 16, 1988, Wolf Popper and Fisher as Mandelbaum's attorney opposes any and all production of accounting documents. See Exh. 8 above, pp 74, 159 respectively.

18. On September 7, 1988, Wolf Popper, Fisher and Mandelbaum secretly amend Simon's Citizenship status to Canada and his middle name addition of Abraham on the death certificate by falsifying Evelyn's name. Evelyn never knows about 09-07-1988 Amendment. Exh. 9 Simon A. Goldberg Death Certificates amended September 7, 1988 and October 19, 1988.

19. On October 19, 1988, Wolf Popper, Fisher and Mandelbaum secretly amend Simon's known as name Simon A. Goldberg and have Simon's United Nations information rewritten. Again, Evelyn never knows about the 10-19-88 Amendment. Evelyn has retained 15 original June 1, 1985 Death Certificates for her legal purposes. See Exh. 9 above.

20. 1992, Bernie Madoff's fraud is revealed in detail in 2016 (twenty four years later), when Bernie Madoff will admit in a bankruptcy proceeding deposition on December 20, 2016 to 1992 split-strike fraud and assuming short liabilities; and in a deposition on April 4, 2017, Bernie Madoff goes into further detail about the 1992 fraud with buying treasury securities and the fraud/short liabilities that Judge Stuart Bernstein refers to as the Light Switch moment. Exh. 10 Bernard Madoff Deposition dated April 26, 2017, P11 Judge Bernstein Light Switch 1992 trading moment, P19-20 Treasuries Purchased, P75 Canadian Accounts.

**III. MADOFF'S BLMIS LIGHT SWITCH STRATEGY FOR FRAUD DETAILED IN THE
WOLF POPPER ACCOUNTINGS FOR SIMON'S ESTATE FROM 1985 TO 2008
INDICATES THAT WOLF POPPER IS A SUB ACCOUNT OF A BIG FOUR CLIENT**

21. On Feb 13, 1993, Wolf Popper files a Petition and Interim Accounting. Please note Schedule A-2 of Income Collected - Amounts collected which are being included herein as income, for which a complete explanation cannot be found. See Exh 11. Wolf Popper's filed Informal Accounting 1985-92 on behalf of Simon's Estate on February 13, 1993.

22. I respectfully apologize to Judge Stuart Bernstein and would again, most respectfully to Pope Francis that the Wolf Popper Simon Interim Accounting 1985-92 establishes the Light Switch Moment began earlier than 1992 and can most likely be explained by being a sub-account of the Big Four, most probably Picower, and that Trustee Picard has the records or has knowledge of where to find the records, to which we thank Picard for producing in advance.

23. I would like to respectfully suggest that the Light Switch Moment actually occurred in 1998 which permits Irving Picard and attorneys representing clients to claw back a huge substantial sum of monies from Big Four Sub Accounts including those monies invested in real estate development projects currently earning significant incomes from government leases.

**IV. MANHATTAN SURROGATE COURT JUDGE ROTH EXPOSED AS A WOLF
POPPER/MADOFF BLMIS ENABLER AND OUTED IN APRIL 1993 BY EVELYN**

24. On April 16, 1993, Evelyn in Wolf Popper's Offices finds the January 23, 1993 Wolf Popper Memo from Fisher to Emily Madoff (Bernard Madoff's Boston Cousin and Estate Attorney handles all Wolf Popper's tax and accounting filings) explaining that he has spoken to Surrogate Judge Roth who is going to deny the Evelyn's motion to remove Mandelbaum as Surrogate Judge Roth has denied all of Evelyn's motions in the past. Exh.12 Wolf Popper Memo dated January 23, 1993 from John C. Fisher Partner to Emily Madoff (Bernie's cousin). See also Exh 2 above billing records of Wolf Popper meeting between Fisher, Madoff and Lester Levy.

25. On April 17, 1993, Evelyn begins notifying the IRS, Federal SDNY Prosecutors, NY State Attorney General, Manhattan District Attorney, and NY POST Editor Jack Newfield of the memo concerning Wolf Popper/Emily Madoff/Fisher's ex parte communications with the Surrogate Judge Roth.

V. MADOFF/WOLF POPPER - THE CANADIAN AND FOREIGN BANKS HIDDEN ASSETS GUARDIAN AD LITEM LAUNCHED AND MADOFF/WOLF POPPER COVER-UP

26. During the April 4, 2017 Madoff Deposition at the Butner NC Correctional Facility, Madoff admits to Foreign Bank Component, expressly mentioning Canadian Banks. See Exh 10 Page 75 to which Madoff identifies the Canadian Trading Account for BLMIS.

27. On April 14, 1993, Wolf Popper/Madoff have launched a Secret Canadian Ancillary Probate to take control of Simon's contested Canadian Assets without notice to Evelyn. Exh 13, 1993 Canadian Ancillary Probate Petition P5 Affidavit of Mandelbaum dated April 14, 1993.

28. On June 1, 1993, NY Post Editor Jack Newfield writes a front page expose exposing Manhattan Surrogate Judge Eve Preminger and other Surrogates for taking bribes. Exh 14 NY Post Jack Newfield, Court Patronage Favors an Elite Few published June 1, 1993.

29. On June 8, 1993 Fisher, Korn, Roth's Clerk and Judith Siegel-Baum execute the plot for a GAL on Evelyn by Telephone. See below Exh 15 Siegel-Baum Affid of Billing Telephone Record filed November 2, 1995. Fisher denies all knowledge of how GAL was created and deletes his billing record of the phone call. Evelyn never served a copy of 1995 Siegel Baum billing record at Evelyn's Apt. 19C. (Please note billing record if produced in 1993 for June 8, 1993 telephone call from GAL Judith Siegel Baum's November 2, 1995 billing records would have been proof of the Madoff Ponzi Scheme. Exh.15 GAL Judith Siegel Baum Billing Records from June 8, 1993 to October 16, 1995 (missing Evelyn's apartment number/mail never delivered by mailman).

30. On June 8 1993, Fisher writes to the (GAL) Clerk Joe Brown a letter to adjourn Evelyn's Petition to remove Mandelbaum for fraud. Evelyn does not know Brown is the GAL Clerk. Exh 16 - June 8, 1993 Fisher Letter to GAL Clerk Joseph Brown in Room 301 with notice to Assistant AG Howard Holt.

31. Wolf Popper's Canadian Attorney on June 11, 1993 files Canadian Ancillary Papers. Wolf Popper starts to panic with Madoff from Evelyn's filings to Judiciary See Exh 13 above.

32. On June 16, 1993, James V. Gallagher, from the Manhattan Surrogate Accounting Department issues an unsigned GAL order to Judith SiegelBaum from Preminger (who later refuses to sign). I personally spoke to Eve Preminger who denies issuing the order. Exh 17 - June 16, 1993 Accounting Department Clerk Order for Guardian Ad Litem over Evelyn Goldberg.

33. From June 23 to June 30, 1993, without a signed order or hearing, Judith Siegel Baum exercises GAL control over the Evelyn's claims in the Simon Estate, including service of all papers by Wolf Popper. Exh 18 - Judith Siegel Baum acceptance of GAL appointment over Evelyn filed July 1 1993. See also Exh. 15 Siegel Baum billing for secret sequence of events.

34. On June 30, 1993 Surrogate Eve Preminger refuses to sign any orders forcing Manhattan Surrogate Judge Roth to sign an GAL order on June 30, 1993. Evelyn never receives a hearing and GAL Judith Siegel Baum essentially takes over for Evelyn before Judge Roth. Exh. 19 - Surrogate Judge Renee Roth June 30, 1993 Guardian ad Litem over Evelyn without a hearing.

**VI. SURROGATE ROTH PANICS FOR RE-ELECTION AND MADOFF ESTATE FRAUD
1995 HEARINGS ON GAL FRAUD WITH TRANSCRIPT FRAUD ADMISSIONS
ACCOUNTING DECREE FOR ACCOUNTING MAY 1985-OCT 95
NY POST JACK NEWFIELD 1996 ARTICLE EXPOSING ROTH/MADOFF GAL FRAUD**

35. On Feb 24, 1995, Surrogate Roth panics and issues a February 24, 1995 order for a Conspiracy Hearing on the Guardian ad Litem over Evelyn as Evelyn is moving in NYS Supreme Court with Article 78 actions to expose the Accounting Fraud. Exh 20 Surrogate Roth Feb 24, 1995 Order to Conduct a conspiracy hearing on the Guardian ad Litem and Accounting.

36. On January 23, 1995, Special Referee Karin Barkhorn, John Fisher, and GAL Judith Siegel Baum admit on the record without Evelyn Goldberg present that they will not discuss the GAL appointment and that Surrogate Roth will handle that issue. Exh. 21 Conspiracy Hearing Transcript for January 23, 1995, P26 On Hearings on Fraud and Transcript Admissions, PP 25-26.

37. On June 1, 1995, Special Referee Barkhorn Report issued. Page 1, Footnote 1 evidences Surrogate's Roth March 2, 1995 GAL Fraud Motion Denial to cover-up of the January 23, 1995 Fisher and GAL Siegel Baum fraud to further cover-up Madoff investments. The Barkhorn report goes on to expressly cites accounting with no explanations. Exh 22 June 1, 1995 Special Referee Karin Barkhorn Report on GAL Conspiracy and Constitutional Rights violations.

38. On October 31, 1995, Wolf Popper files a Supplemental Accounting from January 1, 1993 to October 31, 1995 with neither a Supporting Affidavit nor docketing. Exh 23 Wolf Popper Supplemental Accounting for Simon from January 1, 1993 to October 31, 1995.

39. The October 31, 1995 Supplemental Accounting reads like a direct billing statement from Madoff's April 26, 2017 Deposition on Light Switch Trades and fraudulent Treasury purchases.

40. On December 28, 1995, Surrogate Judge Roth issues accounting decree that contains a major error with Canadian Treasury and other assets that were transferred to the US but were not counted in the US asset receipt column. Loren brought the Accounting Error to beneficiary Pope Francis' attorney in 2015. To my knowledge that major Canadian Treasury Asset Transfer has not been addressed. Exh 24 Surrogate Judge Roth's December 28, 1995 Accounting Decree.

41. On December 28, 1995, in the supra Exh 24 Supplemental Accounting 1993 to Oct 31, 1995 Schedule C, the 1993 Secret Canadian Ancillary Probate Court fees are misidentified as 1994. (See Exh 12 Canadian Ancillary Probate Filings). Evelyn never knew of the Canadian Ancillary Probate Proceeding

42. On September 1, 1996, Jack Newfield, Editor, NY Post makes good on his promise to Evelyn to print Surrogate Roth's GAL Fraud. See Exh 25 NY Post Editor Jack Newfield September 1, 1996, citing GAL Judith Siegel Baum and others involved in the Wolf Popper Madoff Fraud in the Manhattan Surrogate Probate Court Patronage Policy.

**VII. EVELYN GOLDBERG DIES ON NOVEMBER 11, 2008 NOT KNOWING EMILY MADOFF
IS THE MASTERMIND BEHIND WOLF POPPER FRAUD ON TRUSTS AND ESTATES
MADOFF ADMITS TO FRAUD ON DECEMBER 11, 2008
EXECUTOR LOREN GOES TO PICARD ON JULY 7, 2012
LOREN ACCUSED OF \$72,000 FRAUD IN LAS VEGAS-BANK OF AMERICA ACCOUNT
EXECUTOR LOREN GOES IRS AND CONGRESS IN 2015
SURROGATE MELLA CORRECTS THE DOCKET IN 2015 WITH 190 FRAUD FILINGS
EXECUTOR LOREN FILES SIPC AND DOJ MADOFF VICTIMS FUND**

43. On November 11, 2008, Evelyn Goldberg Dies.

44. On December 10, 2008, Bernard Madoff admits to the Ponzi Scheme.

45. On July 2011, Fisher bounces a check for \$326,504.75 to Evelyn's estate. Exh 26 - Simon Estate bounced check and make up check from Fisher and Wolf Popper.

46. On August 26, 2010, Loren as Executor of the Evelyn Goldberg Estate, relies on an attorney for a due diligence file search of tax records at Fisher's office and further receives the Docket for the Simon probate action. Exh 27 Docket from Manhattan Surrogate Court dated August 26, 2010 with twenty four entries.

47. On November 15, 2011, Loren goes to Court file with the 2010 Docket and starts to go over 190 documents not entered on the docket, including the Petition and finds the various admission document.

48. On July 7, 2012 Loren realizes Emily Madoff of Wolf Popper is Bernie Madoff's Cousin, and that Emily Madoff was involved in the 1993 GAL Fraud and accounting fraud.

49. Only July 7, 2012 Loren informs Picard of Madoff by fax concerning Emily Madoff and the Wolf Popper fraud and speaks with Picard personally. Exh 28 July 7, 2012 fax from Loren to Picard re Emily Madoff and Wolf Popper.

50. Within 48 hours, the NYC Law Dept contacts Loren to explain \$72,000 in a Las Vegas Bank Account at Bank of America. The funds are Evelyn's Merrill Lynch funds stolen by Bank of America to hide their asset fraud.

51. Within 72 hours, Loren begins receiving Illinois debt collector calls every half hour. Illinois Speaker Michael Madigan tells the debt collector to stop. The debt collector address was a deserted four way intersection.

52. Loren files for NYS Licensing Bureau for Mandelbaum's fraudulent CPA representations to the Goldbergs after Loren sees Wolf Popper defended Mandelbaum's CPA fraud and billed Simon's estate. Only Wolf Popper has the final report for the Fraud.

53. Loren files with IRS in 2015 for fraud and starts xeroxing every document in the file.

54. On October, 2015 Manhattan Surrogate Judge Mella places the Simon file in her office and refuses Loren access.

55. On November 2015 Surrogate Judge Mella has amended Simon Docket to include an additional 190 documents previously not on file. Exh 29 Simon Probate Docket May 11 2017

56. On February 9, 2016 Loren discovers death Certificate Fraud Amendments.

57. On October 5, 2016 Loren discovers secret Canada Ancillary Probate Fraud

58. On October 23, 2017 Loren pursues IRS claims.

59. On November 9, 2017 Fisher issues an IOLA check for interest payments to Evelyn.

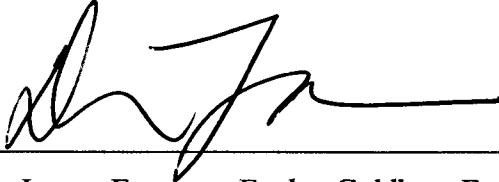
Continued on next page

VIII. LOREN FILES SIPC AND MADOFF VICTIMS FUND CLAIMS

60. On January 2018, Loren files SIPC and Madoff Victim Fund claims.
61. On April 22, 2018 Loren reads Bernard Madoff Deposition Admissions concerning the 17th floor, DiPascali, and the Canadian Securities and Treasury Trade Accounts.
62. On April 22, 2018, Trustee Picard has knowledge of 1992 treasuries fraud and has documentation concerning Wolf Popper and BLMIS
63. On April 22, 2018 Loren reviews the Simon counting 1985-to October 31, 1995 which reads like Madoff's deposition.
64. On April 23, 2018, Loren would like to apologize to Pope Francis for not understanding how Bernard Madoff and BLMIS in concert with Wolf Popper stole Simon's estate.

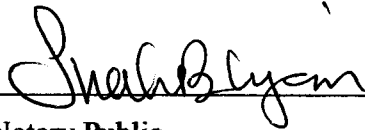
Loren respectfully requests Judge Stuart Bernstein grant an extension for Loren to fully cross index his files and Trustee Picard to produce the Wolf Popper documents so that Loren can firmly establish the Wolf Popper Bernard L. Madoff Investment Securities LLC accounts.

Signed under penalty of perjury this April 23, 2018



Dean Loren, Executor, Evelyn Goldberg Estate

Sworn to before me this April 23, 2018



Notary Public

SHAHIN S BHUIYAN

NOTARY PUBLIC, STATE OF NEW YORK
NO.01BH6114597
QUALIFIED IN QUEENS COUNTY
MY COMMISSION EXPIRES AUGUST 23, 2020

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EXHIBIT LIST

PAGE	EXHIBIT	DESCRIPTION
0001	Affidavit	Dean Loren's Affidavit dated April 23, 2018 in support of motion for SIPC Claims
0010	Exhibit List	List of Exhibits
0013	1	Affid of John Franks with Exh A Client List Page 162 of 162, citing BLMIS client Wolf Popper LLC.
0019	2	Wolf Popper Billing from June 6, 1987 to Jan 1, 1995 and Supplemented on December 21, 1995,
0045	3	Fraudulent Death Certificate of Simon A. Goldberg filed June 1, 1985 as a US Citizen
0048	4	July 2, 1985 Probate Petition 1985-3169 filed by Mandelbaum, citing Simon as a Canadian citizen
0055	5	Forged Simon and Evelyn 1985 Joint Tenancy Checks by David J. and Rosemary Mandelbaum
0059	6	June 23, 2017 Bloomberg News Big Four Reveal in Bernie Madoff by Erik Larson, reporter
0064	7	Nuveen Account created May 18, 1988, Page 1 of Transcript upper right hand corner heading
0122	8	Transcript Depositions of David J. Mandelbaum dated August 9, 1988, and August 16, 1988, citing to p 74 and p 159, respectively, Wolf Popper will not produce accounting records
0135	9	Simon A. Goldberg Death Certificates amended September 7, 1988 and October 19, 1988 for USA Citizenship and Simon A. Goldberg, respectively. Accounting record says 1995 but really April 13, 1993 filing in Canada by Wolf Popper.

Loren Affid dated April 23, 2018 - Exhibit List Continued

EXHIBIT LIST

PAGE	EXHIBIT	DESCRIPTION
0137	10	Bernard Madoff Deposition dated April 26, 2017, Judge Bernstein Light Switch 1992 trading moment, P19-20 Treasuries Purchased, P75 Canadian Accounts
0149	11	Wolf Popper's filed Informal Accounting 1985-92 on behalf of Simon's Estate on February 13, 1993
0275	12	Wolf Popper Ross Wolf & Jones Memo dated January 23, 1993 from John C. Fisher Partner to Emily Madoff concerning Surrogate Judge Roth
0277	13	1993 Canadian Ancillary Probate Petition P5 Affidavit of Mandelbaum dated April 14, 1993
0288	14	NY Post Jack Newfield, Court Patronage Favors an Elite Few published June 1, 1993
0290	15	GAL Judith Siegel Baum Billing Records from June 8, 1993 to October 16, 1995 (showing June 8, 1993 telephone call by Fisher to fix GAL
0300	16	June 8, 1993 Fisher Letter to GAL Clerk Joseph Brown, Rm 301, noticed to Asst AG Howard Holt
0303	17	June 16, 1993 Accounting Department Clerk Order for Guardian Ad Litem over Evelyn Goldberg typed by Clerk James Gallagher
0305	18	Judith Siegel Baum acceptance of GAL appointment over Evelyn filed July 1, 1993
0308	19	Surrogate Judge Renee Roth June 30, 1993 Guardian ad Litem over Evelyn without a hearing
0310	20	Surrogate Roth Feb 24, 1995 Interim Order denying motion to vacate and discussion on the conspiracy hearing re: the Guardian ad Litem and Accounting fraud

Loren Affid dated April 23, 2018 - Exhibit List Continued

EXHIBIT LIST

PAGE	EXHIBIT	DESCRIPTION
0315	21	Conspiracy Hearing Transcript for January 23, 1995, P26. Hearings on Fraud and Transcript Admissions, PP 25-26, Fisher and Judith Siegel Baum fixing GAL not to be discussed
0322	22	June 1, 1995 Special Referee Karin Barkhorn Report on GAL Conspiracy and Constitutional Rights violations
0334	23	Wolf Popper Supplemental Accounting for Simon from January 1, 1993 to October 31, 1995
0427	24	Surrogate Judge Roth's December 28, 1995 Accounting Decree
0435	25	NY Post Editor Jack Newfield September 1, 1996, re GAL Judith Siegel Baum involved in the Wolf Popper Madoff Fraud and others in the Manhattan Surrogate Court Patronage Policy
0437	26	Simon Estate June 27, 2011 Bounced check and Replacement check from Fisher/Wolf Popper
0441	27	Docket from Manhattan Surrogate Court dated August 26, 2010 with twenty-four entries do not evidence GAL orders nor Accounting fraud motions
0443	28	July 7, 2012 fax from Loren to Picard re Emily Madoff and Wolf Popper
0445	29	Simon Probate Docket May 11 2017 with additional 190 documents placed on the record sua sponte by Surrogate Judge Rita Mella after being contacted by the IRS and Loren files complaint of Simon Goldberg's fraudulent amended death certificates. Surrogate Mella rehabbed the docket to 223 documents.

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US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 1 of 29

John Franks, Director of AlixPartners, LLP, employed by Trustee Picard
Affidavit dated February 3, 2008

See Paragraph 5, P2

2. AlixPartners employees and Baker & Hostetler LLP attorneys reviewed BLMIS' books and records and provided AlixPartners with the names , addresses and account numbers of some of the customers, creditors and broker dealers of BLMIS.

Paragraph 8, P2

8. ... The mailing was accomplished on behalf of the Trustee by sending the Customer Documents to each of the persona and/or entities listed on the attached Exhibit A.

with

Exhibit A Client List Page 162 of 162, citing Wolf Popper LLC

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT
SECURITIES LLC,

Defendant.

Adv. Pro. No. 08-01789-BRL

SIPA Liquidation

AFFIDAVIT OF MAILING

I, JOHN FRANKS, being duly sworn, depose and say that:

1. I am a Director at the Dallas, Texas office of AlixPartners, LLP ("AlixPartners").
AlixPartners is employed by the Trustee (as defined) as claims agent, in part.

2. I have personal knowledge of the matters herein.

3. On December 15, 2008, the United States District Court for the Southern District of New York entered an order appointing Irving H. Picard, Esq. ("the Trustee") as trustee for the liquidation of Bernard L. Madoff Investment Securities LLC ("BLMIS") under the Securities Investor Protection Act of 1970, 15 U.S.C. § 78aaa *et seq.*, as amended ("SIPA").

4. On December 23, 2008, an order ("the Order") was entered in this proceeding authorizing and directing the Trustee to, amongst other things, mail a copy of (a) the notice of the commencement of this liquidation proceeding (the "Notice"), explanatory information and claim form to each person who, from the books and records of BLMIS, appeared to have been a customer of BLMIS with an open account during the twelve month period prior to December 11, 2008; (b) the

Notice, explanatory letter and claim form to creditors other than customers; and (c) the Notice, explanatory letter and Series 300 Rules to broker dealers to the addresses of such customers, creditors and broker dealers as they appeared on available books and records of BLMIS.

5. On or around December 23, 2008, the Trustee employed AlixPartners to assist the Trustee in carrying out his duties and responsibilities pursuant to this proceeding.

AlixPartners employees and Baker & Hostetler LLP attorneys reviewed BLMIS' books and records and provided AlixPartners with the names, addresses and account numbers of some of the customers, creditors and broker dealers of BLMIS.

6. AlixPartners also received the names and/or addresses of some of the customers, creditors and broker dealers of BLMIS via phone calls and voicemails left by customers on the Trustee's hotline and mail/e-mail from customers sent directly to the Securities Investor Protection Corporation ("SIPC") and BLMIS, which were forwarded to AlixPartners.

7. A customer list (including creditors and broker dealers of BLMIS) was prepared under the direction of AlixPartners. From this list, AlixPartners generated a complete set of mailing labels for the customers, creditors and broker dealers of BLMIS.

8. AlixPartners arranged for the Notice, a letter to all customers of BLMIS, a customer claim form, instructions for completing customer claim form, SIPC Investor's Guide to Brokerage Firm Liquidations Brochure ("SIPC Brochure") and a return envelope (the "Customer Documents") to be mailed to customers of BLMIS. The mailing was accomplished on behalf of the Trustee by sending the Customer Documents to each of the persons and/or entities listed on the attached Exhibit A.

9. AlixPartners arranged for the Notice, an explanatory letter and a general creditor claim form (the "Vendor Documents") to be mailed to vendors of BLMIS. The mailing was

accomplished on behalf of the Trustee by sending the Vendor Documents to each of the persons and/or entities listed on the attached Exhibit B.

10. AlixPartners arranged for the Notice, an explanatory letter and a general creditor claim form (the "Employee Documents") to be mailed to current and former employees of BLMIS. The mailing was accomplished on behalf of the Trustee by sending the Employee Documents to each of the persons and/or entities listed on the attached Exhibit C.

11. AlixPartners arranged for the Notice, an explanatory letter and SIPC Series 300 Rules Brochure for Broker Dealers (the "Broker Dealer Documents") to be mailed to broker dealers of BLMIS. The mailing was accomplished on behalf of the Trustee by sending the Broker Dealer Documents to each of the persons and/or entities listed on the attached Exhibit D.

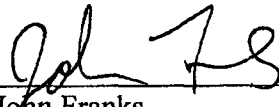
12. AlixPartners arranged for the Notice, applicable explanatory letter, claim form, instructions and SIPC Brochure (the "Other Party Documents") to be mailed to other parties of BLMIS. The mailing was accomplished on behalf of the Trustee by sending the Other Party Documents to each of the persons and/or entities listed on the attached Exhibit E.

13. The Customer Documents, Vendor Documents, Employee Documents, Broker Dealer Documents and Other Party Documents are collectively referred to herein as the "Documents."

14. The Documents were mailed on behalf of the Trustee to the majority of customers on January 2, 2009. Some of the Documents were mailed to customers after January 2, 2009, but on or before January 9, 2009.

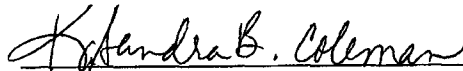
I declare under penalty of perjury that the foregoing is true and correct.

Executed in Dallas, Texas this 3rd day of February, 2009.

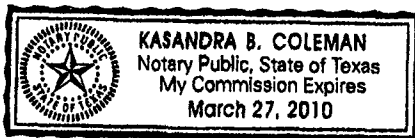


John Franks
Director
AlixPartners LLP

Subscribed and sworn to before me
this 3rd day of February, 2009.



Notary Public March 27, 2010



LINE1	LINE2	LINE3	LINE4	LINE5	LINE
WOLF HALDENSTEIN ADLER	FRIEMAN & HERZ LLP	ATTN: CHARLES BALLER ESC	270 MADISON AVENUE 9TH FL	NEW YORK, NY 10016	
WOLF MANAGEMENT SERVICES INC	PROFIT SHARING PLAN	24 LAKESHORE DRIVE	EASTCHESTER, NY 10709		
WOLF POPPER LLP	ATTN: MARIAN POPPER	845 THIRD AVENUE 12TH FL	NEW YORK, NY 10022		
WOLFSON COUSINS, LP	309 2ND STREET PMB #57	LAKEWOOD, NJ 08701			
WOLFSON EQUITIES	309 SECOND STREET PMB #57	LAKEWOOD, NJ 08701			
WOLFSON EQUITIES	ONE STATE STREET PLAZA	NEW YORK, NY 10004			
WOODLAND PARTNERS L.P	301 SOUTH HIGHLAND AVENUE	PITTSBURGH, PA 15206			
WOODROCK INVESTMENT	C/O GERBERO INC SUITE 1825	1245 SHERBROOKE STREET WEST	MONTREAL QUEBEC	CANADA H3G 1H4	
WRIGHT INVESTMENT FUND	C/O TERESA A WRIGHT-HOROWITZ	1301 BAY SHORE BLVD UNIT 0610	TAMPA, FL 33629		
WRIGHT INVESTMENT FUND	C/O TERESA A WRIGHT-HOROWITZ	1507 BAY SHORE BLVD UNIT 0803	TAMPA, FL 33629		
WSC INVESTMENT SERVICE	ATTN BILL TARANGELC	P O BOX 919	BOWLING GREEN STA, NY 10274		
WSD PARTNERS	225 BUFFALO AVENUE	PRELPORT, NY 11520			
WUNDERKINDER FOUNDATION	11400 W OLYMPIC BLVD #550	LOS ANGELES, CA 90064			
WYN M KNEILL	2502 WATERCREST LANE	JOHNS ISLAND, SC 29455			
WYOMISSING INDUSTRIAL PARK INC	1150 BERN ROAD	WYOMISSING, PA 19610			
YALE FISHERMAN CHARITABLE LEAF	ANNUTY TRUST	930 BROADWAY	WOODMERE, NY 11598		
YALE FISHERMAN CHARITABLE TRUST	C/O YALE FISHERMAN	445 CENTRAL AVE SUITE 201	CEDARHURST, NY 11316		
YALE FISHERMAN FAMILY FOUNDATION INC	930 BROADWAY	WOODMERE, NY 11598			
YESOD FUND	MARKS PANETH & SHIRON LLP	ATTN PHYLLIS JAFFE	622 THIRD AVE 7TH FLR	NEW YORK, NY 10017	
YETADEL FOUNDATION	C/O ADELE ENGL BEHAR, PRES	P O BOX 928	CORTARO, AZ 85652		
YETTA GOLDMAN	3070 GRAND BAY BLVD UNIT 643	LONGBOAT KEY, FL 34228			
YME PARTNERS II LLC	930 BROADWAY	WOODMERE, NY 11598			
YOLANDA GREER TRUST	U/A 93/93 YOLANDA GREER TTEE	9486 LANTERN BAY CIRCLE	WEST PALM BEACH, FL 33411		
YOUNG FAMILY PARTNERS LLC	SOL YOUNG CO-MANAGER	BUTTY YOUNG CO-MANAGER	79 OCEAN DRIVE EAST	STAMFORD, CT 06902	
ZAENTZ FAMILY PARTNERSHIP	C/O JEROME K PORTER	215 WESTERN AVENUE STE B	PETALUMA, CA 94952		
ZANE P WERNICK SPEC FAMILY TST	28 BRIARCLIFF ROAD	P O BOX 60933	LONGMEADOW, MA 01116		
ZANE WERNICK	881 OCEAN DRIVE APT 24D	KEY BISCAYNE, FL 33149			
ZANE WERNICK	28 BRIARCLIFF ROAD	LONGMEADOW, MA 01106 01325			
ZAYDE LENNY LTD	C/O LEONARD MILLER	16051 COLLINS AVENUE #1604	SUNNY ISLES BEACH, FL 33166		
ZAYDE LENNY LTD	C/O ROBERT MILLER	3350 BRIDLE PATH LANE	WESTON, FL 33331	KEY BISCAYNE, FL 33149	
ZELDA PEMSTEIN	TSTEE ZELDA PEMSTEIN REV TS	U/A/D 2/19/01	251 CRANDON BLVD #1231		
ZELDA PEMSTEIN	5 CHIPPER LANE	MASHPEE, MA 02649			
ZEMSKY FAMILY FOUNDATION	C/O HOWARD ZEMSKY	726 EXCHANGE ST SUITE 412	BUFFALO, NY 14210		
ZENO FRANCIS LEVY UGMA	FRANCIS LEVY CUSTODIAN	C/O PAUL KONIGSBERG	440 PARK AVENUE SOUTH	NEW YORK, NY 10016	
ZEST ASIA PACIFIC LIMITED	16/F SILVER FORTUNE PLAZA	1 WELINGTON STREET	CENTRAL HONG KONG	HONG KONG	
ZEST ASIA PACIFIC LIMITED	ALSTRASSE 49 PO BOX 1160	FL-9490 VALDUZ LIECHTENSTEIN			
ZIEST'S INVESTMENT ACCOUNT	C/O MARSHALL ZIESTES	22 DEEPWOODS LANE	OLD GREENWICH, CT 06870		
ZIN INVESTMENTS LTD	C/O SERVICO ENTERPRISES LTD	546 FIFTH AVE SUITE 18H	NEW YORK, NY 10036 05000		
ZIN INVESTMENTS LTD	TROPIC ISLE BUILDING	WICKHAMS CAY, RD TOWN TORTOLA	BRITISH VIRGIN ISLAND		
ZIPORA WAGREICH	TRUSTEE U/A DTD 11/6/90	25 SUTTON PLACE SOUTH APT 16C	NEW YORK, NY 10022		
ZNM INVESTMENTS LP	C/O NANCY SCHACHHTMAN	2121 AUSTRIAN PINE LANE	MINNETONKA, MN 55305		
ZOCH INVESTMENT PARTNERSHIP LTD	6600 LEOPARD STREET STE 160K	CORPUS CHRISTI, TX 78473			
ZWD INVESTMENTS LLC	309 SECOND STREET PMB 57	LAKEWOOD, NJ 08701			

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 2 of 29

Wolf Popper Billing from June 6, 1987 to January 1, 1995 submitted on March 1, 1995.

Billing submitted in March after the January 1995 Conspiracy Hearings on GAL and Accounting fraud.

Accounting supplemented on December 21, 1995.

Both billings not served on Special Master Karin J. Barkhorn or Evelyn.

REPORT DATE: 01/24/95 11:08 am WOLF POPPER ROSS WOLF & JONES, L.L.P. PAGE: 4
 REPORT ID : WIP25 03.12.27 Legal Accounting System RPT PAGE: 4
 PREBILL MEMO WORKSHEET # 229

OFFICE: 001 New York DEPT: 002 Corporate & Commercial

Billing Atty : JOHN C. FISHER Client#/Name : 483 David Mandelbaum
 Responsible Atty: JOHN C. FISHER Matter#/Title: 9325 Est. of Simon Goldberg
 Prebill Type : MATTER Billing Name: David Mandelbaum
 Area of Law: 555 Foreign Litigation

Prebill Date: Thru 01/24/95

F E E S

DATE	ACTIVITY	TIMEKEEPER	HOURS	RATE	AMOUNT	TRAN #	APP
06/08/87	Met with Goodkind Wechsler in ref. to new matter.	JOHN C. FISHER	1.50	200.00	300.00	45284	
06/10/87	Reviewed the file.	JOHN C. FISHER	.80	200.00	160.00	45286	
06/10/87	Discussed case with Stanley Singer.	JOHN C. FISHER	.60	200.00	120.00	45287	
06/10/87	Called the client in ref. to status of case.	JOHN C. FISHER	.60	200.00	120.00	45288	
06/12/87	Prepared consent to change attorney & notice; spoke to client; prepared motion to dismiss & demand for bill of particulars.	JOHN C. FISHER	2.00	200.00	400.00	45285	
06/15/87	Preparation of Notice of Motion, consent demand for bill.	JOHN C. FISHER	.60	200.00	120.00	45289	
06/16/87	Met with client at his office to sign papers and discuss estate.	JOHN C. FISHER	1.00	200.00	200.00	45325	
07/16/87	Received a telephone call from Haight Gardner, later called the client and discussed the motion and settlement.	JOHN C. FISHER	1.00	200.00	200.00	45326	
07/21/87	Received a call from the new attorney for Mrs. Goldberg, called the client.	JOHN C. FISHER	.80	200.00	160.00	45327	
07/21/87	Dictated a letter.	JOHN C. FISHER	.20	200.00	40.00	45328	
07/24/87	Dictated a letter to Hershberg.	JOHN C. FISHER	.20	200.00	40.00	45329	
07/24/87	Appeared in court ref. to motion.	JOHN C. FISHER	.80	200.00	160.00	45330	
07/24/87	Received a call from Hershberg, Esq. re adjournment.	JOHN C. FISHER	.10	200.00	20.00	45332	
07/31/87	Read cross motion.	JOHN C. FISHER	.50	200.00	100.00	45333	
08/10/87	Called client ref. to court appearance.	JOHN C. FISHER	.50	200.00	100.00	45335	
08/10/87	Researched and prepared Affidavit in Opposition to Cross Motion.	JOHN C. FISHER	1.00	200.00	200.00	45336	
08/11/87	In court on motion and cross motion; 1t/Hershberg and client.	JOHN C. FISHER	2.50	200.00	500.00	45337	
10/06/87	Called Chambers.	JOHN C. FISHER	.10	200.00	20.00	45338	
10/06/87	Wrote to Hershberg and Wrote to NYSS. CPA.	JOHN C. FISHER	.20	200.00	40.00	45340	
10/21/87	Had a telephone conference with Hershberg in reference to conference and settlement, called David Mandelbaum.	JOHN C. FISHER	.50	200.00	100.00	45341	
10/28/87	Reviewed the file to prepare before the court conference.	JOHN C. FISHER	.70	200.00	140.00	45342	
10/28/87	Called the client, also Hershbert & Michael Carlin.	JOHN C. FISHER	.30	200.00	60.00	45343	
10/28/87	Attended court conference.	JOHN C. FISHER	1.00	200.00	200.00	45344	

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Prebill Type : MATTER

Prebill Date: Thru 01/24/95

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11/03/87	Called Mark in reference to Preliminary Letters and death certificate and orders.	JOHN C. FISHER	.50	200.00	100.00	45345	
11/03/87	Prepared Note of Issue.	JOHN C. FISHER	.50	200.00	100.00	45346	
11/09/87	Spoke with Hershberg about possible settlement.	JOHN C. FISHER	.10	200.00	20.00	45347	
11/09/87	Proofread and filed Note of Issue, looked at 1985-86 tax returns and dictated a letter to David Mandelbaum.	JOHN C. FISHER	.40	200.00	80.00	45348	
11/10/87	Received a telephone call from David Mandelbaum.	JOHN C. FISHER	.20	200.00	40.00	45349	
11/17/87	Met with David Mandelbaum in reference to the collection of assets.	JOHN C. FISHER	2.00	200.00	400.00	45350	
11/23/87	Received a call from Mr. Hershberg.	JOHN C. FISHER	.20	200.00	40.00	45351	
12/28/87	Received a call from court, called Hershberg in reference to motion and called the court.	JOHN C. FISHER	.50	200.00	100.00	45353	
12/29/87	Spoke with the client.	JOHN C. FISHER	.20	200.00	40.00	45352	
01/27/88	Called Hershberg, Esq., also called David Mandelbaum.	JOHN C. FISHER	.10	200.00	20.00	45354	
01/27/88	Dictated a letter to the court.	JOHN C. FISHER	.40	200.00	80.00	45355	
02/05/88	Had a telephone conference with Harvey Korn and David Mandelbaum.	JOHN C. FISHER	.50	200.00	100.00	45356	
02/23/88	Received a call from Peter Mielke in reference to taxes, called David Mandelbaum and also called Arlene Harris to set up a court conference.	JOHN C. FISHER	1.00	200.00	200.00	45357	
02/29/88	Received a call from the court in reference to the conference.	JOHN C. FISHER	.10	200.00	20.00	45358	
03/01/88	Called Peter Mieke.	JOHN C. FISHER	.10	200.00	20.00	45359	
03/14/88	Calls to and from court in reference to the conference which was cancelled.	JOHN C. FISHER	.30	200.00	60.00	45360	
04/12/88	Made a call to Arlene Harris and later to David Mandelbaum.	JOHN C. FISHER	.50	200.00	100.00	45361	
05/06/88	Reviewed the file for Motion to Dismiss.	JOHN C. FISHER	.30	200.00	60.00	45365	
05/06/88	Called the court and David Mandelbaum.	JOHN C. FISHER	.20	200.00	40.00	45367	
05/09/88	Prepared Motion to Dismiss.	JOHN C. FISHER	2.00	200.00	400.00	45369	
05/09/88	Received a call from Eisenberg in reference to the settlement.	JOHN C. FISHER	.10	200.00	20.00	45370	

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05/10/88	Proofread and made changes on Motion to Dismiss.	JOHN C. FISHER	.50	200.00	100.00	45372	
05/23/88	Had a telephone conversation with Allan Hershberg.	JOHN C. FISHER	.10	200.00	20.00	45373	
06/09/88	Received a telephone call from Eisenberg in reference to trust.	JOHN C. FISHER	.10	200.00	20.00	45374	
06/15/88	Received a telephone call from Elizabeth Bannon re Counsel.	JOHN C. FISHER	.10	200.00	20.00	45375	
06/27/88	In court re Motion to Dismiss.	JOHN C. FISHER	1.10	200.00	220.00	45376	
06/27/88	Read material sent to me by David Mandelbaum and dictated a letter.	JOHN C. FISHER	.40	200.00	80.00	45377	
07/05/88	Received a call from David Mandelbaum and G. Oliver Koppel re sillment, called David Mandelbaum.	JOHN C. FISHER	.70	200.00	140.00	45378	
07/18/88	Spoke to David Mandelbaum re EBT.	JOHN C. FISHER	.20	200.00	40.00	45379	
08/02/88	Received a call from David Mandelbaum re EBT.	JOHN C. FISHER	.10	200.00	20.00	45382	
08/04/88	Preparation for deposition.	JOHN C. FISHER	1.50	200.00	300.00	45385	
08/05/88	Met with David Mandelbaum re preparation for EBT.	JOHN C. FISHER	1.50	200.00	300.00	45386	
08/09/88	Attended David Mandelbaum's Deposition.	JOHN C. FISHER	3.50	200.00	700.00	45387	
08/16/88	Deposition of David Mandelbaum, reviewed document.	JOHN C. FISHER	1.00	200.00	200.00	45388	
08/18/88	Preparation of documents.	JOHN C. FISHER	2.00	200.00	400.00	45389	
09/06/88	Dictated an Order Framing Issues, and letters to Mandelbaum and Duane.	JOHN C. FISHER	1.00	200.00	200.00	45390	
09/09/88	File order framing issues.	JOHN C. FISHER	.20	200.00	40.00	45523	
09/09/88	Met with David Mandelbaum.	JOHN C. FISHER	.80	200.00	160.00	45524	
09/14/88	Received calls from the probate department on various problems.	JOHN C. FISHER	1.00	200.00	200.00	45525	
09/26/88	Read motion papers - started work on reply.	JOHN C. FISHER	1.00	200.00	200.00	45527	
09/30/88	Worked on Motion reply. Prepared new petition and order for Notice of Objections filed.	JOHN C. FISHER	1.00	200.00	200.00	45528	
10/06/88	Worked on motion and petition for Notice of Objection and typed letters to Duane.	JOHN C. FISHER	1.00	200.00	200.00	45531	
10/06/88	Met with David Mandelbaum on case strategy.	JOHN C. FISHER	2.00	200.00	400.00	45532	
10/20/88	Worked on argument for motion.	JOHN C. FISHER	6.00	200.00	1,200.00	45535	
10/21/88	In court re motion.	JOHN C. FISHER	1.00	200.00	200.00	45540	

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Prebill Type : MATTER

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11/09/88	Read letter from client and accountant.	JOHN C. FISHER	.20	200.00	40.00	45541	
11/09/88	Called the court in reference to conference.	JOHN C. FISHER	.30	200.00	60.00	45542	
11/14/88	Called the court in ref to conference.	JOHN C. FISHER	.10	200.00	20.00	45543	
11/28/88	Pre-trial conference re settlement.	JOHN C. FISHER	3.00	200.00	600.00	45544	
12/05/88	Wrote a letter to Duane in reference to the settlement.	JOHN C. FISHER	1.00	200.00	200.00	45545	
01/05/89	Made a telephone call to client in reference to settlement.	JOHN C. FISHER	.50	200.00	100.00	45546	
01/06/89	Made a telephone call to actuary.	JOHN C. FISHER	.50	200.00	100.00	45547	
01/09/89	Made a telephone call to the client.	JOHN C. FISHER	.10	200.00	20.00	45548	
01/17/89	Spoke with David Mandelbaum in reference to settlement.	JOHN C. FISHER	.50	200.00	100.00	45549	
01/17/89	Dictated a letter to Koppell.	JOHN C. FISHER	.50	200.00	100.00	45550	
02/07/89	Received a call from David Mandelbaum and Duane.	JOHN C. FISHER	.40	200.00	80.00	45551	
02/07/89	Dictated a letter to WoodGundy.	JOHN C. FISHER	.10	200.00	20.00	45552	
02/10/89	Received a call from Koppell and made one to my client.	JOHN C. FISHER	.40	200.00	80.00	45553	
02/10/89	Dictated a letter to Wood-Gundy.	JOHN C. FISHER	.10	200.00	20.00	45554	
02/21/89	In court re conference, spoke with David Mandelbaum.	JOHN C. FISHER	3.00	200.00	600.00	45555	
03/20/89	Spoke to Mandelbaum and Duane.	JOHN C. FISHER	.20	200.00	40.00	61412	
04/03/89	Met with client and Diane Brooks.	JOHN C. FISHER	2.00	200.00	400.00	45556	
04/04/89	Ordered Surety Bond - spoke to client.	JOHN C. FISHER	.50	200.00	100.00	45557	
05/05/89	Called Duane, Called the court, spoke to Sunety re bond.	JOHN C. FISHER	.50	200.00	100.00	46152	
06/05/89	Called client, the court and attorney in reference to the adjournment of the pretrial conference.	JOHN C. FISHER	.50	200.00	100.00	20698	
06/05/89	Called the client, court and attorney ref to the adjournment of pretrial.	JOHN C. FISHER	.50	200.00	100.00	46153	
06/16/89	Court appearance for a pretrial conference.	JOHN C. FISHER	2.50	200.00	500.00	20722	
06/16/89	Had a pretrial conference.	JOHN C. FISHER	2.50	200.00	500.00	46154	
07/07/89	Prepared Motion.	JOHN C. FISHER	1.00	225.00	225.00	20728	
07/07/89	Prepared Motion.	JOHN C. FISHER	1.00	225.00	225.00	61409	
07/26/89	Received calls from D.J.M., P.D. Made a call to Diane Brooks of court.	JOHN C. FISHER	1.20	225.00	270.00	20749	
07/26/89	Calls from DJM - P.D. Diane Brooks to court.	JOHN C. FISHER	1.20	225.00	270.00	61411	

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08/08/89	Called to Court.	JOHN C. FISHER	.30	225.00	67.50	20760	
08/08/89	Dictated a letter to Judge Roth.	JOHN C. FISHER	.30	225.00	67.50	20761	
09/06/89	Conference with Diane Brook.	JOHN C. FISHER	2.00	225.00	450.00	20762	
09/06/89	Court appearance for settlement.	JOHN C. FISHER	4.00	225.00	900.00	20763	
09/06/89	Conf. with Diane Brooks and settlement conf. in Court.	JOHN C. FISHER	6.00	225.00	1,350.00	61408	
09/12/89	Called Koppel in reference to settlement.	JOHN C. FISHER	.20	225.00	45.00	20769	
09/12/89	Called Koppel re: settlement.	JOHN C. FISHER	.20	225.00	45.00	61407	
09/18/89	Call to court in reference to conference.	JOHN C. FISHER	.20	225.00	45.00	20779	
09/18/89	Call to court re: conf.	JOHN C. FISHER	.20	225.00	45.00	61406	
09/19/89	Calls to and From client.	JOHN C. FISHER	.20	225.00	45.00	61405	
09/21/89	Called Diane Brooks.	JOHN C. FISHER	.20	225.00	45.00	61404	
09/25/89	Called O. Koppel.	JOHN C. FISHER	.10	225.00	22.50	20784	
09/25/89	Called Koppel.	JOHN C. FISHER	.10	225.00	22.50	61403	
10/04/89	Received a call from O. Koppel on settlement and stipulation.	JOHN C. FISHER	.20	225.00	45.00	20789	
10/04/89	Telephone call from Koppel re: settlement and stip.	JOHN C. FISHER	.20	225.00	45.00	61400	
10/05/89	Drafted Stipulation of Settlement.	JOHN C. FISHER	1.00	225.00	225.00	20790	
10/06/89	Proofread and corrected stipulation of settlement.	JOHN C. FISHER	.50	225.00	112.50	20792	
10/06/89	Proofread/corrected stip.	JOHN C. FISHER	.50	225.00	112.50	61398	
10/06/89	Drafted stipulation of settlement.	JOHN C. FISHER	1.00	225.00	225.00	61399	
10/30/89	Called Oliver Koppel.	JOHN C. FISHER	.10	225.00	22.50	20796	
10/30/89	Read letter re bond.	JOHN C. FISHER	.10	225.00	22.50	20797	
11/01/89	Received a call from Diane Brooks, made one to Oliver Koppel and David Mandelbaum. Later received a call from David Mandelbaum.	JOHN C. FISHER	.20	225.00	45.00	20798	
11/03/89	In court re order lifting restrictions.	JOHN C. FISHER	1.00	225.00	225.00	20799	
11/07/89	Prepared administration bond and letter to send to client.	JOHN C. FISHER	.30	225.00	67.50	20800	
11/20/89	Received a call from Oliver Koppel and made one to D.J.M.	JOHN C. FISHER	.30	225.00	67.50	20803	
11/24/89	From DJM - to Koppel.	JOHN C. FISHER	.30	225.00	67.50	23408	
01/24/90	Preparation of Motion for Summary Judgement.	JOHN C. FISHER	.50	275.00	137.50	23434	
01/24/90	Called up the court.	JOHN C. FISHER	.10	275.00	27.50	23435	
01/24/90	Called David Mandelbaum.	JOHN C. FISHER	.10	275.00	27.50	23436	

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OFFICE: 001 New York DEPT: 002 Corporate & Commercial

Billing Atty : JOHN C. FISHER Client#/Name : 483 David Mandelbaum
Responsible Atty: JOHN C. FISHER Matter#/Title: 9325 Est. of Simon Goldberg
Prebill Type : MATTER Billing Name : David Mandelbaum
Area of Law: 555 Foreign Litigation

Prebill Date: Thru 01/24/95

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01/24/90	Called the Department of Education.	JOHN C. FISHER	.20	275.00	55.00	23437	
02/07/90	Proofread Motion for Summary Judgement. Received and read a Order of Service to show Cause.	JOHN C. FISHER	1.20	275.00	330.00	23431	
02/07/90	Called John Duane.	JOHN C. FISHER	.50	275.00	137.50	23432	
02/08/90	Prepared affidavit in opposition.	JOHN C. FISHER	1.00	275.00	275.00	23428	
02/08/90	Research.	JOHN C. FISHER	.40	275.00	110.00	23429	
02/08/90	Called David Mandelbaum.	JOHN C. FISHER	.10	275.00	27.50	23430	
02/19/90	Reviewed file with Laura Markson.	JOHN C. FISHER	.50	275.00	137.50	23485	
02/19/90	Called Oliver Koppell.	JOHN C. FISHER	.10	275.00	27.50	23486	
02/21/90	Made telephone calls to Koppel.	JOHN C. FISHER	.50	275.00	137.50	29414	
02/21/90	Reviewed file with Laura.	JOHN C. FISHER	.50	275.00	137.50	29417	
03/16/90	Appeared in Court for Koppell's Motion to be Relieved.	JOHN C. FISHER	2.00	275.00	550.00	23464	
03/16/90	Finished Summary Judgement Motion.	JOHN C. FISHER	2.50	275.00	687.50	23465	
03/19/90	Obtained signature of accountant; submitted order to show cause to Judge Roth's chambers in Surrogates Court.	KAREN CRUZ	2.00	85.00	170.00	19449	
03/19/90	Finished Motion for Summary Judgement.	JOHN C. FISHER	1.40	275.00	385.00	23462	
03/19/90	Called the client.	JOHN C. FISHER	.10	275.00	27.50	23463	
03/23/90	In court re Order of Service to Show Cause/arranged for service.	JOHN C. FISHER	1.00	275.00	275.00	23458	
03/29/90	Prepared, proofread Affidavit in Support of Supplemental Order of Service to show Cause.	JOHN C. FISHER	1.00	275.00	275.00	23478	
03/30/90	Called Judge Roth's chambers and Law Department at Surrogates Court to inquire about the status of order to show cause.	KAREN CRUZ	.30	85.00	25.50	19460	
04/02/90	Received Supplemental Order to Show Cause and gave to clerk.	JOHN C. FISHER	.50	275.00	137.50	23477	
04/03/90	Responded to Evelyn Goldberg's letter - gave instructions to serve an Order to Show Cause.	JOHN C. FISHER	1.00	275.00	275.00	23475	
04/03/90	Called State Education Department and client.	JOHN C. FISHER	.10	275.00	27.50	23476	
04/03/90	TC w/Traub re services.	CHRISTOPHER DUNLEAVY	.20	85.00	17.00	30959	
04/18/90	Preparation for Mandelbaum hearing at State Education Department.	JOHN C. FISHER	2.50	275.00	687.50	23481	
04/18/90	Attended hearing at State Education Department with David Mandelbaum.	JOHN C. FISHER	3.00	275.00	825.00	23482	
04/23/90	In court in reference to the Order to Show Cause.	JOHN C. FISHER	1.50	275.00	412.50	23479	

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04/23/90	Cause mailed to Evelyn Goldberg.	JOHN C. FISHER	.50	275.00	137.50	23480	
05/02/90	Arranged for Service of Order to Show Cause. Letters to asset holders and D.J.M. to collect property - prepared an Affidavit of Service by Mail.	JOHN C. FISHER	1.50	275.00	412.50	19343	
05/04/90	Order to Show Cause.	JOHN C. FISHER	1.00	275.00	275.00	19341	
05/09/90	Call from Evelyn's new lawyer.	JOHN C. FISHER	.50	275.00	137.50	23494	
05/17/90	Received a call from David Susman of Wood Gundy.	JOHN C. FISHER	.20	275.00	55.00	23508	
05/17/90	Drafted a letter to Mr. Susman in reference to the collection of assets.	JOHN C. FISHER	.50	275.00	137.50	23509	
05/17/90	Called the court and Mr. Glushak on extension of time.	JOHN C. FISHER	.10	275.00	27.50	23510	
06/20/90	Cf/with DJM. From court T/C/ with broker in Canada.	JOHN C. FISHER	.50	275.00	137.50	24719	
06/20/90	Case Strategy conference with D.J.M.	JOHN C. FISHER	.20	275.00	55.00	27914	
06/20/90	Received a call from the Court.	JOHN C. FISHER	.10	275.00	27.50	27915	
06/20/90	Made a call to the broker in Canada.	JOHN C. FISHER	.20	275.00	55.00	27916	
07/16/90	Called the State Education Department.	JOHN C. FISHER	.20	275.00	55.00	28938	
07/16/90	Wrote a letter to D.J.M.	JOHN C. FISHER	.20	275.00	55.00	28939	
09/06/90	Letter to Mandelbaum.	JOHN C. FISHER	.50	275.00	137.50	32538	
11/19/90	Received a call from David Mandelbaum.	JOHN C. FISHER	.10	275.00	27.50	38178	
02/26/91	Preparation of Decree admitting Will to Probate - review of court decision.	JOHN C. FISHER	1.50	275.00	412.50	45054	
03/14/91	Answered ltr. from Seaboard Surety.	JOHN C. FISHER	.20	275.00	55.00	47190	
03/14/91	In court trying to locate probate decree.	JOHN C. FISHER	1.00	275.00	275.00	47193	
04/02/91	Obtained Decree - served on all parties w/notice of entry - called DJM.	JOHN C. FISHER	1.00	275.00	275.00	49086	
05/03/91	Prepared letters to collect assets- read notice of appeal- spoke to David Mandelbaum	JOHN C. FISHER	2.50	275.00	687.50	51874	
05/08/91	re: appeal	JOHN C. FISHER	1.50	275.00	412.50	51877	
05/13/91	Went to executor and bank and open account - get signature guarantees. Sent out letters to banks.	JODY BUTTERMAN	2.00	85.00	170.00	51890	
05/13/91	re: letters to Banks - called DJM.	JOHN C. FISHER	1.00	275.00	275.00	52812	
05/14/91	From Merrill Lynch.	JOHN C. FISHER	.20	275.00	55.00	52815	
05/14/91	To David Mandelbaum.	JOHN C. FISHER	.20	275.00	55.00	52816	
05/20/91	Worked on NY State tax.	JOHN C. FISHER	2.00	275.00	550.00	52819	

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OFFICE: 001 New York DEPT: 002 Corporate & Commercial

Billing Atty : JOHN C. FISHER Client#/Name : 483 David Mandelbaum
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05/20/91	tax waivers & TT-102 forms.	JODY BUTTERMAN	1.00	85.00	85.00	53451	
05/21/91	Went to see the executor to get his signature. Sent papers to NYS.	JODY BUTTERMAN	1.50	85.00	127.50	53453	
05/23/91	Rec'd deposited check.	JOHN C. FISHER	.10	275.00	27.50	54734	
05/23/91	Gave instructions for tax waivers.	JOHN C. FISHER	.10	275.00	27.50	54735	
06/04/91	Got David Mandelbaum's signature - opened money market account.	JODY BUTTERMAN	.80	85.00	68.00	54017	
06/19/91	Called Merrill Lynch re: tax waivers and account requirements.	JOHN C. FISHER	.50	275.00	137.50	55926	
06/19/91	Dictated letters to Banks/Brokers - prepared affidavit of domicile.	JOHN C. FISHER	1.00	275.00	275.00	55927	
06/20/91	Went to Supreme Court Appellate Div. to file a N/M for JCF.	ANTHONY MELLINO	.80	85.00	68.00	55616	
06/21/91	Reviewed investments - prepared material to discuss with DJM.	JOHN C. FISHER	1.50	275.00	412.50	55930	
06/24/91	Reviewed appeal papers - assets.	JOHN C. FISHER	1.00	275.00	275.00	55934	
06/25/91	Went to Supreme Ct. Appellate Div. to file a N of M/D appeal for JCF.	ANTHONY MELLINO	.60	85.00	51.00	55843	
06/26/91	Went to David Mandelbaum to get his signature. Went to Chemical Bank for signature guarantee.	JODY BUTTERMAN	.80	85.00	68.00	60730	
06/28/91	From DJM re: assets.	JOHN C. FISHER	.50	275.00	137.50	56810	
07/08/91	Read opposing papers and motion - drafted response.	JOHN C. FISHER	4.00	275.00	1,100.00	56829	
07/09/91	File papers with NYS Tax Comm.	CHRISTOPHER DUNLEAVY	1.00	85.00	85.00	57709	
07/10/91	In court re: OSC.	JOHN C. FISHER	2.50	275.00	687.50	60760	
07/10/91	To David Mandelbaum.	JOHN C. FISHER	.20	275.00	55.00	60761	
07/18/91	To clerk and court.	JOHN C. FISHER	.20	275.00	55.00	60765	
07/18/91	Arranged for purchase of T-Bill.	JOHN C. FISHER	.20	275.00	55.00	60766	
08/06/91	Letter from seaboard surety - reviewed T-Bill info.	JOHN C. FISHER	.50	275.00	137.50	60771	
09/05/91	reviewed accounts - checks & corresp.	JOHN C. FISHER	.50	275.00	137.50	64927	
09/16/91	transfer money - review corresp.	JOHN C. FISHER	.20	275.00	55.00	64898	
11/19/91	worked on brief for 1st Dep't appeal.	JOHN C. FISHER	4.50	275.00	1,237.50	69985	
12/02/91	proofread brief.	JOHN C. FISHER	1.00	275.00	275.00	70007	
12/11/91	reviewed brief - call from Glushak re: argument.	JOHN C. FISHER	.50	275.00	137.50	70022	
02/28/92	Rec'd opinion - called client - dictated	JOHN C. FISHER	.50	285.00	142.50	78767	

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OFFICE: 001 New York DEPT: 002 Corporate & Commercial

Billing Atty : JOHN C. FISHER Client#/Name : 483 David Mandelbaum
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03/03/92	Letters - sent order out with entry.						
03/03/92	Filed w/Surr. Ct. NY	CHRISTOPHER DUNLEAVY	1.50	85.00	127.50	77083	
03/03/92	T/C w/Appellate Div.	CHRISTOPHER DUNLEAVY	.30	85.00	25.50	77085	
04/06/92	Reviewed assets file--prepared Declaration of transmission and letter of instructions--called Merrill Lynch--spoke to DJM re: income taxes.	JOHN C. FISHER	1.00	285.00	285.00	80454	
04/08/92	Conv. with DJM re: taxes.	JOHN C. FISHER	.50	285.00	142.50	80457	
04/13/92	Correspondence to Scotiabank---ordered copies of dox from CI---gave directions for tax checks---reviewed tax returns.	JOHN C. FISHER	.70	285.00	199.50	80456	
04/30/92	From David Mandelbaum.	JOHN C. FISHER	.50	285.00	142.50	83141	
05/01/92	Ltr. from/to Wood Gundy--client--called bank-reconciled accts-T-bills.	JOHN C. FISHER	1.00	285.00	285.00	83191	
05/04/92	Letter and bill from DJM.	JOHN C. FISHER	.50	285.00	142.50	83192	
05/28/92	Ran CSS for JCF.	CATHERINE MCCABE	.20	85.00	17.00	84287	
05/29/92	correct will rates for 87-88 entries for JCF	SHIRLEY JOSEPH	.20	95.00	19.00	85552	
06/03/92	From David Mandelbaum.	JOHN C. FISHER	.20	285.00	57.00	84721	
06/10/92	Extensive review of file--letters dictates regarding assets--started process of tracing funds in brokerage accounts.	JOHN C. FISHER	4.00	285.00	1,140.00	86021	
08/25/92	From Diane Brooks.	JOHN C. FISHER	.50	285.00	142.50	92764	
09/08/92	Read court papers rec'd from Mrs. Goldberg.	JOHN C. FISHER	.50	285.00	142.50	92775	
09/09/92	To David Mandelbaum re: accounting.	JOHN C. FISHER	.20	285.00	57.00	93713	
09/09/92	Tried to locate Ms. Goldberg's motion in court.	JOHN C. FISHER	.20	285.00	57.00	93714	
09/15/92	Called Robert Price in Toronto about handling Wood Gundy litigation.	JOHN C. FISHER	.20	285.00	57.00	93750	
09/17/92	From Bob Price in Toronto re Wood Gundy.	JOHN C. FISHER	.20	285.00	57.00	93760	
09/17/92	Ordered exemplified copies of Dox.	JOHN C. FISHER	.10	285.00	28.50	93761	
09/18/92	Surrogates Ct. - Exemplified copies of letters test., decree, last will & test. Appellate Div. 27 Madison Ave - Exemplified copy of documents	THOMAS RILEY	1.50	100.00	150.00	93948	
09/24/92	Met with accountants in preparation of accounting.	JOHN C. FISHER	2.00	285.00	570.00	96787	

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09/25/92	met with accountants re: accounting	JOHN C. FISHER	2.00	285.00	570.00	96791	
10/01/92	Called Bob Price in Canada.	JOHN C. FISHER	.10	285.00	28.50	96792	
10/02/92	tried to obtain all the bank statements we need (phone calls & letters).	JODY BUTTERMAN	3.00	85.00	255.00	96807	
10/02/92	Estate of Simon Goldberg: 27 Madison - Appellate Division - picked up exemplified copies of probate papers reviewed corsp. with banks.	THOMAS RILEY	.50	100.00	50.00	97436	
10/19/92	reviewed corsp. with banks.	JOHN C. FISHER	1.00	285.00	285.00	96801	
10/21/92	Called Apple Bank re: account---reviewed docs. to close account.	JOHN C. FISHER	.50	285.00	142.50	98889	
10/27/92	letter to Apple Bank to close account.	JOHN C. FISHER	.20	285.00	57.00	98893	
11/04/92	from David Mandelbaum	JOHN C. FISHER	.20	285.00	57.00	98912	
11/09/92	letters to Price, Apple Bank, reviewed account statements, call from accountant and DJM.	JOHN C. FISHER	1.50	285.00	427.50	98949	
11/11/92	Preparation of status report for Mrs. Goldberg.	JOHN C. FISHER	1.00	285.00	285.00	98881	
11/11/92	From David Mandelbaum.	JOHN C. FISHER	.10	285.00	28.50	98882	
11/11/92	At David Mandelbaum's office re: Mrs. Goldberg.	JOHN C. FISHER	1.00	285.00	285.00	98883	
11/16/92	letter and phone call to Apple Bank.	JOHN C. FISHER	.20	285.00	57.00	99003	
11/17/92	prepared petition for advance payment of commissions.	JOHN C. FISHER	.50	285.00	142.50	99005	
11/17/92	Calls from court and David Mandelbaum.	JOHN C. FISHER	.50	285.00	142.50	99008	
11/23/92	reviewed corsp. from Evelyn Goldberg, Scotia Bank---DJM---prepared papers for court---reviewed 1992 income taxes.	JOHN C. FISHER	1.00	285.00	285.00	99011	
11/24/92	in court re supplemental citation.	JOHN C. FISHER	.50	285.00	142.50	99016	
11/30/92	organized all the bank statements for the accountant and wrote to various banks requesting the remaining bank statements.	JODY BUTTERMAN	.80	85.00	68.00	99020	
12/01/92	Met with accountants re accounting---called court.	JOHN C. FISHER	1.00	285.00	285.00	103635	
12/01/92	Walked over to David Mandelbaum to get his signature.	JODY BUTTERMAN	.50	85.00	42.50	103636	
12/07/92	TT call from Court re petition and inventory---call to client re income distribution.	JOHN C. FISHER	.50	285.00	142.50	103637	

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12/08/92	Prepared 207 inventory and supplemental affidavit.	JOHN C. FISHER	.50	285.00	142.50	103638	
12/17/92	Prepared answering affidavit.	JOHN C. FISHER	1.00	285.00	285.00	103644	
12/18/92	Read material that came in from Evelyn.	JOHN C. FISHER	.50	285.00	142.50	103646	
12/22/92	re: Motions.	JOHN C. FISHER	1.00	285.00	285.00	103655	
12/29/92	re Mrs. Goldbergs motion.	JOHN C. FISHER	.20	285.00	57.00	103659	
01/08/93	worked on preparing the accounting.	JOHN C. FISHER	3.50	285.00	997.50	103665	
01/12/93	met with accountants--read Evelyn's papers--called DJM re engagement letter--sent engagement letter to DJM for signature.	JOHN C. FISHER	1.00	285.00	285.00	103672	
01/13/93	met with accountants re accounting.	JOHN C. FISHER	1.00	285.00	285.00	103676	
01/19/93	From EG - to Court	JOHN C. FISHER	.50	285.00	142.50	103746	
01/22/93	Read papers from Mrs. G. prepared affidavit in opposition.	JOHN C. FISHER	.50	285.00	142.50	103749	
01/25/93	Prepared reply affidavit.	JOHN C. FISHER	.50	285.00	142.50	103750	
01/27/93	to/from Peter Schram.	JOHN C. FISHER	.20	285.00	57.00	103755	
01/29/93	met with accountants.	JOHN C. FISHER	1.00	285.00	285.00	103757	
01/29/93	Conference w/JCF & LLJ re: hearing 2/2/93	EMILY MADOFF	.70	265.00	185.50	103121	
01/29/93	Meeting w/JCF & EM; preparation of case	LESTER L. LEVY, JR.	1.10	215.00	236.50	103205	
01/29/93	prepared accounting papers.	JOHN C. FISHER	.50	285.00	142.50	103758	
02/01/93	Review of pleadings for Motion in Ct.	LESTER L. LEVY, JR.	1.40	215.00	301.00	103875	
02/02/93	Surrogates Court for Motion to contest Executor	LESTER L. LEVY, JR.	2.50	215.00	537.50	103916	
02/10/93	met with accountant.	JOHN C. FISHER	2.00	285.00	570.00	110760	
02/16/93	Final assembly and filing of accounting in court.	JOHN C. FISHER	1.50	285.00	427.50	110759	
02/17/93	Preparation of schedule H--affidavit for DJM.	JOHN C. FISHER	1.00	285.00	285.00	110757	
02/18/93	Prepared affidavit--additional scheduled--had DJM sign--filed in court.	JOHN C. FISHER	1.00	285.00	285.00	110754	
02/23/93	Checked court for orders and citation.	JOHN C. FISHER	.20	285.00	57.00	110729	
02/23/93	with DJM.	JOHN C. FISHER	.10	285.00	28.50	110732	
02/24/93	issued Citation -- prepared for service.	JOHN C. FISHER	.50	285.00	142.50	110723	
03/02/93	with DJM, accountant and Diana Brooks.	JOHN C. FISHER	.50	285.00	142.50	110709	
03/04/93	From DJM--to Diane Brooks.	JOHN C. FISHER	.50	285.00	142.50	110699	
03/08/93	From Rose Goldberg.	JOHN C. FISHER	.50	285.00	142.50	110689	
03/10/93	Met with DJM and accountant to discuss income taxes.	JOHN C. FISHER	1.00	285.00	285.00	110681	

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03/12/93	From Attorney General.	JOHN C. FISHER	.20	285.00	57.00	110677	
03/15/93	to DJM.	JOHN C. FISHER	.10	285.00	28.50	110670	
03/15/93	From R. Vaccariello.	JOHN C. FISHER	.20	285.00	57.00	110671	
03/22/93	From Vaccariello re taxes and letter from Mrs. Goldberg.	JOHN C. FISHER	.20	285.00	57.00	110660	
03/24/93	Prepared affidavit in reply to Mrs. Goldberg's Motion--dictated affidavit re Supplemental Citation.	JOHN C. FISHER	2.50	285.00	712.50	110648	
03/25/93	From accountant re taxes.	JOHN C. FISHER	.20	285.00	57.00	110637	
03/25/93	Proofread affidavit in opp. file in Court.	JOHN C. FISHER	1.00	285.00	285.00	110638	
03/25/93	with accountant re taxes.	JOHN C. FISHER	.20	285.00	57.00	110643	
04/02/93	Review Probate documents	ANNETTE I. DORSKY	.50	100.00	50.00	108646	
04/02/93	re: Mrs. Goldberg's motion.	JOHN C. FISHER	1.50	285.00	427.50	110631	
04/05/93	Met with Mrs. Goldberg to go over file.	JOHN C. FISHER	1.50	285.00	427.50	110630	
04/06/93	Prepared CT papers re Sup. Cit.	JOHN C. FISHER	.50	285.00	142.50	110622	
04/06/93	Met with Mrs. Goldberg re: file.	JOHN C. FISHER	1.00	285.00	285.00	110623	
04/09/93	Prepare personal and estate tax payments for Executors' signature	ANNETTE I. DORSKY	.70	100.00	70.00	109605	
04/12/93	Call to Goodkind.	JOHN C. FISHER	.40	285.00	114.00	110614	
04/13/93	Taxes.	JOHN C. FISHER	.50	285.00	142.50	110602	
04/13/93	Prepare documents for Executor's signature re: Canadian probate and tax returns and payments	ANNETTE I. DORSKY	1.70	100.00	170.00	110893	
04/14/93	Travel to offices of executor re: signing tax returns, payments and Canadian probate documents; prepare tax returns (1989-1993) for mailing	ANNETTE I. DORSKY	2.60	100.00	260.00	110896	
04/15/93	taxes.	JOHN C. FISHER	.50	295.00	147.50	110597	
04/16/93	Re: accounting Citation.	JOHN C. FISHER	.50	295.00	147.50	110588	
04/27/93	Went over Mrs. Goldberg's papers.	JOHN C. FISHER	1.00	295.00	295.00	110766	
04/28/93	Letter to Robert Price-read ltrs. from EG to Elkind--reviewed file.	JOHN C. FISHER	.50	295.00	147.50	110768	
05/04/93	Deposit M. Lynch interest check into estate account	ANNETTE I. DORSKY	.20	110.00	22.00	111635	
05/06/93	read papers from Mrs. Goldberg.	JOHN C. FISHER	.50	295.00	147.50	111804	
05/10/93	Deposit M. Lynch dividends; post account statements	ANNETTE I. DORSKY	.20	110.00	22.00	112709	
05/11/93	Prepare ltr. to DJM re: check for	ANNETTE I. DORSKY	.50	110.00	55.00	112716	

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OFFICE: 001 New York DEPT: 002 Corporate & Commercial

Billing Atty : JOHN C. FISHER Client#/Name : 483 David Mandelbaum
 Responsible Atty: JOHN C. FISHER Matter#/Title: 9325 Est. of Simon Goldberg
 Prebill Type : MATTER Billing Name: David Mandelbaum
 Area of Law: 555 Foreign Litigation

Prebill Date: Thru 01/24/95

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DATE	ACTIVITY	TIMEKEEPER	HOURS	RATE	AMOUNT	TRAN #	APP
05/13/93	accountants' fees to A. Uzzo & Co. From Evelyn.	JOHN C. FISHER	.50	295.00	147.50	113294	
05/17/93	Pay accountant's fees-A. Uzzo & Co.	ANNETTE I. DORSKY	.20	110.00	22.00	114907	
05/24/93	Call from Mrs. Goldberg-corp. from Mrs. Goldberg-order cc of decree for Canada.	JOHN C. FISHER	.50	295.00	147.50	115403	
05/25/93	In court re: citation-calls to client & Goodkind Labaton-call from Evelyn Goldberg.	JOHN C. FISHER	1.00	295.00	295.00	115405	
05/26/93	From DJM.	JOHN C. FISHER	.20	295.00	59.00	115406	
05/28/93	Deposit M. Lynch check in estate account	ANNETTE I. DORSKY	.30	110.00	33.00	115012	
06/08/93	Letter re: adjournment -- letter to Bank of NY.	JOHN C. FISHER	.50	295.00	147.50	115411	
06/16/93	From GAL - Harvey Korn -GAL - Court re designation and hearing.	JOHN C. FISHER	1.00	295.00	295.00	120595	
06/22/93	Worked on response to Mrs. Goldberg's Complaint--reviewed file--letter from Williams & Price.	JOHN C. FISHER	1.00	295.00	295.00	120596	
06/24/93	Ran CSS time report.	KAREN CRUZ	.30	110.00	33.00	115944	
06/24/93	met with GAL.	JOHN C. FISHER	3.00	295.00	885.00	120600	
06/29/93	Prepare estimated tax payments on Estate for Executor's signature and ltr. to Executor re: same	ANNETTE I. DORSKY	1.40	110.00	154.00	116253	
06/29/93	Reviewed Canadian Ancillary proceeding--dictated letter to Wood Gundy.	JOHN C. FISHER	1.00	295.00	295.00	120608	
06/30/93	Prepare to redeem NY Insured MIT Series 9626	ANNETTE I. DORSKY	1.50	110.00	165.00	116254	
07/06/93	Prepare to redeem NY Insured Municipals Income Trust Funds	ANNETTE I. DORSKY	1.50	110.00	165.00	116697	
07/08/93	Prepare to sell NY Ins. Mun. Inc. Trust Fd., telephone conference w/Executor	ANNETTE I. DORSKY	.30	110.00	33.00	116771	
07/09/93	Prepare documents to replace certificates and to sell NY Insrd. Municipals Income Trust	ANNETTE I. DORSKY	2.00	110.00	220.00	116774	
07/12/93	Telephone conversation w/Ken Cruz-BONY re: redemption of NY Insrd. Munic. Inc. Trust	ANNETTE I. DORSKY	.60	110.00	66.00	117833	
07/13/93	File w/NYS for Tax Waiver for NYIMITS; complete documents re: NYIMITS for Executor's signature and ltr. re: lost Certificates: send check for Executor's signature re: late fee on 1041-Fiduciary Inc. Tax Return	ANNETTE I. DORSKY	3.50	110.00	385.00	117844	

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07/14/93	Post estate account statements	ANNETTE I. DORSKY	.20	110.00	22.00	117864	
07/19/93	Travel to Chemical Bank to deposit checks (4) for liquidated NY Insured Municipal Income Trust Fund into Estate MMA	ANNETTE I. DORSKY	.50	110.00	55.00	118357	
07/21/93	Prepared response to GAL letter.	JOHN C. FISHER	1.50	295.00	442.50	120783	
07/22/93	Met with DJM and Judy Siegel-Baum Esq. to discuss accounting.	JOHN C. FISHER	1.50	295.00	442.50	120787	
07/22/93	Prepared discovery proceeding--reviewed file and accounting. Tel. Call from IRS, DJM and Richard V. re: 1041s and Mrs. Goldberg's complaint dictated letter to IRS.	JOHN C. FISHER	3.50	295.00	1,032.50	120803	
07/23/93	Finished up OSC for discovery.	JOHN C. FISHER	1.00	295.00	295.00	120804	
07/26/93	Finished OSC--gave to clerk--called bank.	JOHN C. FISHER	.50	295.00	147.50	120812	
07/29/93	Contact Bank of NY re: lost certificate request	ANNETTE I. DORSKY	.30	110.00	33.00	119254	
07/29/93	re: OSC--service, etc.	JOHN C. FISHER	.50	295.00	147.50	120816	
08/02/93	Call from David - call from Judy Siegel-Baum.	JOHN C. FISHER	.20	295.00	59.00	120822	
08/03/93	Prepared affidavit in response to recusal motion.	JOHN C. FISHER	1.00	295.00	295.00	120863	
08/04/93	Revised affidavit responding to motion.	JOHN C. FISHER	1.00	295.00	295.00	120864	
08/09/93	Reviewed motion papers--spoke to GAL re: report.	JOHN C. FISHER	.50	295.00	147.50	120868	
08/10/93	Read papers from Evelyn Goldberg--reviewed file for motion.	JOHN C. FISHER	.50	295.00	147.50	120875	
08/10/93	Read GAL report--spoke to GAL.	JOHN C. FISHER	.50	295.00	147.50	120879	
08/11/93	In court re: motions.	JOHN C. FISHER	.70	295.00	206.50	120880	
08/11/93	Met with DJM.	JOHN C. FISHER	2.00	295.00	590.00	120883	
08/17/93	Telephone conversation w/Bank of NY re: lost certificates and related correspondence and fax ltr. of July 13, 1993 to Cathy Stevens re: same	JOHN C. FISHER	.30	295.00	88.50	120887	
08/30/93	made deposit - reviewed file	ANNETTE I. DORSKY	.70	110.00	77.00	120700	
09/14/93	From Judy Segal-Baum.	JOHN C. FISHER	.20	295.00	59.00	123617	
09/17/93	Rec'd Not. App. dictated letter to client.	JOHN C. FISHER	.50	295.00	147.50	123641	
09/28/93	From Steve Hand, Esq.	JOHN C. FISHER	.30	295.00	88.50	123642	
10/01/93	Deposited check and filed Estate matters	JOHN C. FISHER	.20	295.00	147.50	125781	
10/04/93	Read notice and demand--prepared stipulation.	EMILY MADOFF	.80	275.00	220.00	123765	
		JOHN C. FISHER	1.00	295.00	295.00	125786	

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10/04/93	Prepared notice to produce, notice for deposition, letter, review file-sent to Haskel & Hand.	JOHN C. FISHER	1.00	295.00	295.00	125789	—
10/06/93	Sent letter to Thomas Cook Currency Services Ltd. to purchase Canadian funds to pay Canadian attorneys; researched files	JANET KYLE-OLIN	.50	110.00	55.00	124169	—
10/06/93	Reviewed file for documents--spoke to Steve Hand re: discovery.	JOHN C. FISHER	.50	295.00	147.50	125791	—
10/06/93	Calls from Steve Hand--to Judy Seigelbaum and from Judy Seigelbaum.	JOHN C. FISHER	.50	295.00	147.50	125792	—
10/12/93	Prepared file for Steve Hand.	JOHN C. FISHER	.50	295.00	147.50	125798	—
10/13/93	Met with Steve Hand re: documents.	JOHN C. FISHER	1.00	295.00	295.00	125802	—
10/15/93	with DJM.	JOHN C. FISHER	.20	295.00	59.00	125807	—
10/20/93	Called Thomas Cook Currency Services Ltd. re: status of check for foreign funds	JANET KYLE-OLIN	.30	110.00	33.00	124622	—
10/22/93	Sent payment for services rendered to Williams & Price in Canada; deposited refund check from Thomas Cook Curren Esq.	JANET KYLE-OLIN	.80	110.00	88.00	124679	—
10/25/93	Deposited overpayment from Thomas Cook (changed American funds to Canadian); researched files	JANET KYLE-OLIN	.50	110.00	55.00	125457	—
11/05/93	Conf. with Roth.	JOHN C. FISHER	2.00	295.00	590.00	126599	—
11/16/93	Re Stip. to extend time to answer.	JOHN C. FISHER	.50	295.00	147.50	130310	—
11/30/93	Letter to Bank of NY re: Lost securities.	JOHN C. FISHER	.50	295.00	147.50	130370	—
12/06/93	Deposited Merrill Lynch MIT check	JANET KYLE-OLIN	.50	110.00	55.00	129276	—
12/06/93	From DJM to accountant.	JOHN C. FISHER	.50	295.00	147.50	130378	—
12/14/93	Called Bank of NY Lost Certificate Area re: Affidavits of Loss	JANET KYLE-OLIN	.50	110.00	55.00	129854	—
12/20/93	from G.A.L.	JOHN C. FISHER	.50	295.00	147.50	130384	—
12/22/93	Discussed w/JCF matter of checks and duties for 12/29/93 (Wed)-having checks signed & picking up checks	JANET KYLE-OLIN	.40	110.00	44.00	130407	—
12/22/93	Arranged for Income D/S to Evelyn.	JOHN C. FISHER	1.00	295.00	295.00	133649	—
12/28/93	Called David Mandelbaum re: check & letter he must sign	JANET KYLE-OLIN	.20	110.00	22.00	130425	—
12/29/93	Received message from David Mandelbaum (Executor) confirming appointment; went to Mandelbaum for signature on check & letter	JANET KYLE-OLIN	1.50	110.00	165.00	130427	—

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12/30/93	to Merrill Lynch; went to Merrill Lynch w/letter to withdraw funds Discussed Estate checks w/JCF; drafted 2 checks (to pay bills) to be signed by David Mandelbaum; entered bank transactions in checking account ledger	JANET KYLE-OLIN	.60	110.00	66.00	130439	
01/03/94	Talked w/JCF re: Merrill Lynch check; called Lily re: new checks	JANET KYLE-OLIN	.40	110.00	44.00	131239	
01/10/94	Discussed Bank of NY re: Certificate of Loss	JANET KYLE-OLIN	.20	110.00	22.00	131646	
01/11/94	Deposited Merrill Lynch check	JANET KYLE-OLIN	.20	110.00	22.00	131647	
01/14/94	checkbook/statement & spoke to DJM.	JOHN C. FISHER	.50	295.00	147.50	133676	
02/03/94	Reviewed year end tax info--checking account--investments etc. - phone call from Steve Hand & DJM.	JOHN C. FISHER	1.00	295.00	295.00	137262	
02/22/94	Filed Estate correspondence	JANET KYLE-OLIN	.20	110.00	22.00	136094	
02/25/94	Rec'd letter--spoke to DJM.	JOHN C. FISHER	.50	295.00	147.50	136449	
02/28/94	to/From Steve Hand re: Evelyn.	JOHN C. FISHER	.20	295.00	59.00	136456	
03/01/94	With Judy Siegel-Baum -- to DJM.	JOHN C. FISHER	.50	295.00	147.50	136457	
03/02/94	Deposited Merrill Lynch check into checking account	JANET KYLE-OLIN	.20	110.00	22.00	136365	
03/02/94	with Judy Siegel-Baum and Steve Barnes.	JOHN C. FISHER	.50	295.00	147.50	136460	
03/08/94	Met with accountants--reviewed EG correspondence--check accounting--started update of same.	JOHN C. FISHER	1.00	295.00	295.00	136466	
03/10/94	with Court and Judy Segal-Baum re: conf. & objections.	JOHN C. FISHER	.50	295.00	147.50	137108	
03/17/94	Read objections--tel. call from Atty. General.	JOHN C. FISHER	1.00	295.00	295.00	137618	
03/21/94	Run time report	SHIRLEY JOSEPH	.20	120.00	24.00	139479	
03/31/94	Deposited Merrill Lynch check & entered transaction in checking account ledger	JANET KYLE-OLIN	.30	110.00	33.00	138284	
04/11/94	Called Chemical to stop payment on check to Evelyn Goldberg	JANET KYLE-OLIN	.50	110.00	55.00	139891	
04/12/94	Cut 4 checks for taxes (IRS, IRS Estimated, NYS, NYS Estimated); discussed w/JCF; called David Mandelbaum re: tax returns	EMILY MADOFF	.50	275.00	137.50	139918	
04/13/94	Went to David Mandelbaum office for his signature on tax return and checks	JANET KYLE-OLIN	.50	110.00	55.00	139922	

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04/14/94	Went to see D. mandelbaum for signature on Fiduciary Tax Returns; made copies of returns; mailed tax returns to IRS & NYS; sent copies of tax returns to D. Mandelbaum	JANET KYLE-OLIN	1.50	110.00	165.00	139930	
04/15/94	Various--calls from Mrs. Goldberg--to Judy Seigel-Baum--reviewed correspondence stopped in court re: objections.	JOHN C. FISHER	1.00	295.00	295.00	140585	
04/18/94	Deposited check	JANET KYLE-OLIN	.20	110.00	22.00	140613	
04/18/94	Misc. phone calls from/to Mrs. Goldberg and Judy Segal-Baum re: App. Div.	JOHN C. FISHER	.50	295.00	147.50	148638	
04/19/94	Updated balance of checking account after taxes; transferred funds from checking to money market	JANET KYLE-OLIN	.50	110.00	55.00	140671	
04/21/94	Filed banking transaction correspondence	JANET KYLE-OLIN	.20	110.00	22.00	140684	
04/28/94	Worked on motion-phone calls w/Judy Seigel-Baum.	JOHN C. FISHER	1.00	295.00	295.00	148643	
04/29/94	Deposited check and update checking account; file bank correspondence	JOHN C. FISHER	.20	295.00	59.00	148644	
05/02/94	Worked on answer - called court - call from Judy Seigel-Baum.	JANET KYLE-OLIN	.30	110.00	33.00	142191	
05/02/94	Prepared motion to dismiss.	JOHN C. FISHER	.50	295.00	147.50	150109	
05/03/94	w/Judy S-B and DJM.	JOHN C. FISHER	1.00	295.00	295.00	150114	
05/04/94	Judy S-B - DJM and court.	JOHN C. FISHER	.50	295.00	147.50	150115	
05/04/94	Read Mrs. Goldberg's papers.	JOHN C. FISHER	.30	295.00	88.50	150116	
05/09/94	with Judy S-B.	JOHN C. FISHER	.50	295.00	147.50	150117	
05/10/94	Read motion papers received from Judy S-B.	JOHN C. FISHER	.20	295.00	59.00	150120	
05/13/94	Deposited checks, balanced checking account and filed bank correspondence	JOHN C. FISHER	.50	295.00	147.50	150121	
05/18/94	In court re: Mrs. Goldberg's motion.	JANET KYLE-OLIN	.30	110.00	33.00	142273	
05/23/94	Read papers in opposition.	JOHN C. FISHER	2.00	295.00	590.00	150126	
05/25/94	Prep. for court.	JOHN C. FISHER	.50	295.00	147.50	150130	
05/25/94	Supreme Court re: OSC Article 78.	JOHN C. FISHER	2.00	295.00	590.00	150133	
05/31/94	Deposited ML check into checking.	JOHN C. FISHER	2.00	295.00	590.00	150134	
06/03/94	Filed estate banking correspondence.	JANET KYLE-OLIN	.20	110.00	22.00	143810	
06/09/94	Called David Mandelbaum re estimated taxes. Wrote checks and cover letter to NYS Estimated Income Tax. Balanced check book.	JANET KYLE-OLIN	.20	110.00	22.00	143816	
		JANET KYLE-OLIN	1.00	110.00	110.00	144381	

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06/10/94	Went to David Mendlebaum to have Estimated Tax checks signed. Mailed checks	JANET KYLE-OLIN	1.00	110.00	110.00	144386	---
06/20/94	Reviewed and filed estate correspondence.	JANET KYLE-OLIN	.30	110.00	33.00	145390	---
07/07/94	Letter to Wood Gundy-Rev. ML Account statement.	JOHN C. FISHER	.50	295.00	147.50	150211	---
07/11/94	From court - to Judy S-B. Dictated letter to all counsel.	JOHN C. FISHER	.50	295.00	147.50	150212	---
07/11/94	Read decision - called Judy S-B - served order with Notice on all parties.	JOHN C. FISHER	1.50	295.00	442.50	150213	---
07/12/94	Went to room 141 in Supreme Court to copy an order	JASON E. SOKOLOWSKI	.50	110.00	55.00	146796	---
07/28/94	Met with accountant re: update.	JOHN C. FISHER	1.00	295.00	295.00	150251	---
08/02/94	Deposited Merrill Lynch check into checking account. Noted bank transaction in ledger. Searched for June/July bank statement.	JANET KYLE-OLIN	2.00	110.00	220.00	148439	---
08/02/94	Wrote check to A. Uzso & Co. and drafted letter to D. Mendelbaum enclosing check for signature. Filed correspondence.	JOHN C. FISHER	.50	295.00	147.50	150254	---
08/16/94	Read Notice of Appeal - called Judy Seigel-Baum and DJM.	JOHN C. FISHER	1.00	295.00	295.00	154573	---
08/19/94	Deposited Van Kampen Merritt distribution check into checking account and note transaction in banking file ledger. Reviewed and filed correspondence.	JANET KYLE-OLIN	1.00	110.00	110.00	149393	---
08/30/94	Discussed Wood Fundy account w/ JCF.	JANET KYLE-OLIN	.20	110.00	22.00	150417	---
09/07/94	Prepared motion - checked taxes - reviewed Ms. Goldberg's papers and responses.	JOHN C. FISHER	1.50	295.00	442.50	154576	---
09/08/94	Traced check (to A. Uzso - check was not recorded in file banking ledger). Made out estimated tax checks to IRS & NYS. Balanced checkbook.	JANET KYLE-OLIN	.80	110.00	88.00	150982	---
09/09/94	Went to David Mendelbaum's office for signature on estimated tax checks. Wrote IRS & NYS Income Tax to enclose income tax checks.	JANET KYLE-OLIN	1.50	110.00	165.00	150990	---
09/23/94	Reviewed correspondence.	JANET KYLE-OLIN	.30	110.00	33.00	151977	---
09/26/94	Deposited Merrill Lynch check into estate	JANET KYLE-OLIN	.30	110.00	33.00	153723	---

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09/27/94	account. Filed correspondence.	JOHN C. FISHER	1.00	295.00	295.00	154580	—
10/03/94	Prepared motion papers.	JANET KYLE-OLIN	.20	110.00	22.00	153956	—
10/03/94	Deposited Merrill Lynch check into Estate account.						
10/05/94	Re: Motion to Appoint Special Referee.	JOHN C. FISHER	1.50	295.00	442.50	154581	—
10/12/94	Spoke w/ D. Mandelbaum re: Motion JCF drafted and re" A. Uzzo accounting bill.	JANET KYLE-OLIN	.40	110.00	44.00	154518	—
10/13/94	Obtained D. Mandelbaum's signature on check to A. Uzzo enclosing check.	JANET KYLE-OLIN	1.00	110.00	110.00	154524	—
10/17/94	Worked on Motion papers- read Mrs. Goldberg's motion. Spoke to Judy Seigel-Baum.	JOHN C. FISHER	1.00	295.00	295.00	157276	—
10/24/94	Read court papers of EG and J-SB.	JOHN C. FISHER	1.00	295.00	295.00	157278	—
10/28/94	Reviewed and filed correspondence.	JANET KYLE-OLIN	.50	110.00	55.00	157349	—
10/31/94	Reviewed and filed Merrill Lynch correspondence. Deposited ML check into Estate bank acct. Recorded transaction in file bank ledger.	JANET KYLE-OLIN	.40	110.00	44.00	128943	—
11/03/94	Reviewed and filed correspondence.	JANET KYLE-OLIN	.20	110.00	22.00	129017	—
11/08/94	Telephone calls & review of file for Appellate Division argument.	JOHN C. FISHER	1.00	295.00	295.00	157282	—
11/10/94	In Appellate Division.	JOHN C. FISHER	2.00	295.00	590.00	157284	—
11/10/94	Prepared Affidavit in Opposition.	JOHN C. FISHER	1.00	295.00	295.00	157285	—
11/14/94	Affidavit in opposition - edited.	JOHN C. FISHER	.50	295.00	147.50	157287	—
11/15/94	Went to Appellate Div. First Dept. to file Affidavit in opposition to Motion for Stay in Surrogate's Court.	JASON E. SOKOLOWSKI	.50	110.00	55.00	156945	—
11/15/94	Deposited Merrill Lynch and Van Kampen Merritt dividend checks into estate account; noted transaction in file banking ledger.	JOHN C. FISHER	1.00	295.00	295.00	157288	—
11/15/94	Letter to D. Mandelbaum enclosing Wood Gundy Statement.	JANET KYLE-OLIN	.40	110.00	44.00	157350	—
11/18/94	Letter to D. Mandelbaum enclosing Wood Gundy Statement.	JANET KYLE-OLIN	.50	110.00	55.00	157351	—
11/23/94	Re: Ms. Goldberg's appeal.	JOHN C. FISHER	3.00	295.00	885.00	157376	—
11/28/94	Discussed billing matter w/JCF.	JANET KYLE-OLIN	.30	110.00	33.00	129373	—
11/29/94	Cut check for Wolf Popper's fees. Assembled correspondence to D. Mandelbaum.	JANET KYLE-OLIN	.40	110.00	44.00	129382	—
11/29/94	Run time report for JKO	SHIRLEY JOSEPH	.20	120.00	24.00	157962	—
12/01/94	Deposited Merrill Lynch check into checking	JANET KYLE-OLIN	.30	110.00	33.00	129414	—

REPORT DATE: 01/24/95 11:08 am WOLF POPPER ROSS WOLF & JONES, L.L.P. PAGE: 23
REPORT ID : WIP25 03.12.27 Legal Accounting System RPT PAGE: 23
PREBILL MEMO WORKSHEET # 229

OFFICE: 001 New York DEPT: 002 Corporate & Commercial

Billing Atty : JOHN C. FISHER Client#/Name : 483 David Mandelbaum
Responsible Atty: JOHN C. FISHER Matter#/Title: 9325 Est. of Simon Goldberg
Prebill Type : MATTER Billing Name : David Mandelbaum
Area of Law: 555 Foreign Litigation

Prebill Date: Thru 01/24/95

F E E S

DATE	ACTIVITY	TIMEKEEPER	HOURS	RATE	AMOUNT	TRAN #	APP
12/05/94	acct. Noted transaction in file ledger. Went to file Affidavit in Supreme Ct; not returnable to 12/7; Went to 11 Centre St. 17th Floor to give courtesy copy to Judge Lowe III	JASON E. SOKOLOWSKI	1.00	110.00	110.00	127395	
12/14/94	Call to A. Uzso re distribution to Evelyn Goldberg.	JANET KYLE-OLIN	.30	110.00	33.00	130691	
12/20/94	Call to A. Uzso (R. Vaccariello) re distribution to E. Goldberg.	JANET KYLE-OLIN	.30	110.00	33.00	131023	
12/21/94	Call to A. Uzso re distribution to Evelyn Goldberg also discussed w/JCF. Call to D. Mandelbaum re E. Goldberg distribution. Delivered ltr. to D. Mandum for signature.	JANET KYLE-OLIN	1.50	110.00	165.00	131024	
01/04/95	Deposited Merrill Lynch dividend checks, recorded transaction.	JANET KYLE-OLIN	.20	110.00	22.00	159547	
01/05/95	Filed out IRS form for tax refund. Verified tax ID#. Call to D. Mandelbaum re HRS. needing his sig.; took ltrs. to Mandelbaum for sig. Ltr. to IRS enclosing docs. for tax refund.	JANET KYLE-OLIN	3.50	110.00	385.00	159548	
01/05/95	Went to First Dept.- Appellate Div. to file Notice of Cross-motion and Affidavit in support thereof	JASON E. SOKOLOWSKI	1.00	110.00	110.00	160311	
				TOTALS	369.60	83,978.00	

P R E B I L L S U M M A R Y

CLIENT	MATTER	HOURS	FEES	DISBURSEMENTS	TOTAL	NONBILLABLE FEES	NONBILLABLE DISBS
483	9325	369.60	83,978.00	.00	83,978.00	365.00	.00
				TOTAL	83,978.00	IS THERE RETAINER TO BE APPLIED?	

REPORT DATE: 12/08/95 3:51 pm WOLF POPPER ROSS WOLF & JONES, L.L.P. PAGE: 23
REPORT ID : WIP25 03.12.27 Legal Accounting System RPT PAGE: 23
PREBILL MEMO WORKSHEET # 234

OFFICE: 001 New York DEPT: 002 Corporate & Commercial

Billing Atty : JOHN C. FISHER Client#/Name : 483 David Mandelbaum
Responsible Atty: JOHN C. FISHER Matter#/Title: 9325 Est. of Simon Goldberg
Prebill Type : MATTER Billing Name : David Mandelbaum
Area of Law: 555 Foreign Litigation

Prebill Date: Thru 12/08/95

F E E S

DATE	ACTIVITY	TIMEKEEPER	HOURS	RATE	AMOUNT	TRAN #	APP
12/05/94	acct. Noted transaction in file ledger. Went to file Affidavit in Supreme Ct; not returnable to 12/7; Went to 11 Centre St. 17th Floor to give courtesy copy to Judge Lowe III	JASON E. SOKOLOWSKI	1.00	110.00	110.00	127395	
12/14/94	Call to A. Uzzo re distribution to Evelyn Goldberg.	JANET KYLE-OLIN	.30	110.00	33.00	130691	
12/20/94	Call to A. Uzzo (R. Vaccariello) re distribution to E. Goldberg.	JANET KYLE-OLIN	.30	110.00	33.00	131023	
12/21/94	Call to A. Uzzo re distribution to Evelyn Goldberg also discussed w/JCF. Call to D. Mandelbaum re E. Goldberg distribution. Delivered ltr. to D. Mandum for signature.	JANET KYLE-OLIN	1.50	110.00	165.00	131024	
01/04/95	Deposited Merrill Lynch dividend checks, recorded transaction.	JANET KYLE-OLIN	.20	110.00	22.00	159547	
01/05/95	Filled out IRS form for tax refund. Verified tax ID#. Call to D. Mandelbaum re HRS. needing his sig.; took ltrs. to Mandelbaum for sig. Ltr. to IRS enclosing docs. for tax refund.	JANET KYLE-OLIN	3.50	110.00	385.00	159548	
01/05/95	Went to First Dept.- Appellate Div. to file Notice of Cross-motion and Affidavit in support thereof	JASON E. SOKOLOWSKI	1.00	110.00	110.00	160311	
01/10/95	Reviewed Fiduciary tax file. Called Lily at Chemical to transfer funds from Money Market to checking acct. Call to D. Mandelbaum's office re Estimated Fiduciary Taxes.	JANET KYLE-OLIN	.50	110.00	55.00	161064	
01/12/95	Call to D. Mandelbaum re Estimated Income Tax. Cut checks for estimated income taxes. Letter to D. Mandelbaum enclosing checks and envelopes for estimated income tax.	JANET KYLE-OLIN	.70	110.00	77.00	161065	
01/17/95	Call from D. Mandelbaum's office re Estimated Income Tax.	JANET KYLE-OLIN	.40	110.00	44.00	161170	
01/19/95	Reviewed bank statements. Ltr. to D. Mandelbaum enclosing wire transfer confirmation. Recorded various banking transactions.	JANET KYLE-OLIN	1.50	110.00	165.00	161171	
01/20/95	Spoke w/David Mandelbaum re investments,	JANET KYLE-OLIN	.30	110.00	33.00	161172	

REPORT DATE: 12/08/95 3:51 pm WOLF POPPER ROSS WOLF & JONES, L.L.P. PAGE: 24
REPORT ID : WIP25 03.12.27 Legal Accounting System RPT PAGE: 24
PREBILL MEMO WORKSHEET # 234

OFFICE: 001 New York DEPT: 002 Corporate & Commercial

Billing Atty : JOHN C. FISHER Client#/Name : 483 David Mandelbaum
Responsible Atty: JOHN C. FISHER Matter#/Title: 9325 Est. of Simon Goldberg
Prebill Type : MATTER Billing Name : David Mandelbaum
Area of Law: 555 Foreign Litigation

Prebill Date: Thru 12/08/95

F E E S

DATE	ACTIVITY	TIMEKEEPER	HOURS	RATE	AMOUNT	TRAN #	APP
01/23/95	discussed w/JCF.						
01/24/95	Reviewed bank statements and wire transfer; recorded transactions; discussed w/JCF.	JANET KYLE-OLIN	.50	110.00	55.00	161173	
01/30/95	Run time report for JCF	SHIRLEY JOSEPH	.20	120.00	24.00	161494	
01/30/95	Filed tax correspondence. Deposited Merrill Lynch dividend check and recorded transaction.	JANET KYLE-OLIN	.40	110.00	44.00	161773	
02/03/95	Called Bank of NY re: stop registration on and payment of certificates.	JANET KYLE-OLIN	1.40	110.00	154.00	161792	
02/09/95	Searched files for Affidavits of Loss. Call to Bank of NY re: lost certificates.	JANET KYLE-OLIN	1.30	110.00	143.00	162795	
02/14/95	reviewed file re NY insured Municipal interest trust held by Bank of NY. Reviewed docs needed to redeem trusts. Reviewed will re distribution of Estate, Executor, etc. Discussed lost certificates w/JCF as well as docs needed to redeem certificates Call to David Mandelbaum, Chemical & Bank of NY re: Lost certificates & Docs.	JANET KYLE-OLIN	3.00	110.00	330.00	163795	
02/15/95	Searched for Estate Checkbook. Went to David Mandelbaum's office for sig. on Affidavit of Loss. To Chemical Bank for mediation Sig. guarantee call to Lily about Chemical re: estate checks. Spoke w/D. Mandelbaum re: checks for premium payments re: replacements of lost certificates	JANET KYLE-OLIN	3.00	110.00	330.00	163782	
02/16/95	ltr to Bank of NY re: docs to replace lost certificates. Ltr to David Mandelbaum re: sig. on docs. to replace lost certs. Assembled pkg. to Bank of NY Took Pkg to mandelbaum's office.	JANET KYLE-OLIN	3.00	110.00	330.00	163786	
02/24/95	Reviewed and filed correspondence. Call to Lily at Chemical re: Wood Gundy transfer, debts and Deposits re: Chemical Bank accs. Filed Affidavit of JCF in Rm. 401 of Surrogate's Ct	JANET KYLE-OLIN	1.50	110.00	165.00	163805	
03/01/95		JASON E. SOKOLOWSKI	.50	110.00	55.00	164716	
03/03/95	Ltr to D. Mandelbaum encl. Check to Pat	JANET KYLE-OLIN	.70	110.00	77.00	164097	

REPORT DATE: 12/08/95 3:51 pm
 REPORT ID : WIP25 03.12.27
 WOLF POPPER ROSS WOLF & JONES, L.L.P.
 Legal Accounting System
 PREBILL MEMO WORKSHEET # 234

PAGE: 25
 RPT PAGE: 25

OFFICE: 001 New York DEPT: 002 Corporate & Commercial

Billing Atty : JOHN C. FISHER Client#/Name : 483 David Mandelbaum
 Responsible Atty: JOHN C. FISHER Matter#/Title: 9325 Est. of Simon Goldberg
 Prebill Type : MATTER Billing Name : David Mandelbaum
 Area of Law: 555 Foreign Litigation

Prebill Date: Thru 12/08/95

F E E S

DATE	ACTIVITY	TIMEKEEPER	HOURS	RATE	AMOUNT	TRAN #	APP
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Jackson for court transcript

TOTALS 388.50 86,059.00

P R E B I L L S U M M A R Y

CLIENT	MATTER	HOURS	FEE	DISBURSEMENTS	TOTAL	NONBILLABLE FEES	NONBILLABLE DISBS
483	9325	388.50	86,059.00	.00	86,059.00	365.00	.00
TOTAL				86,059.00	86,059.00	IS THERE RETAINER TO BE APPLIED?	

JOHN C. FISHER DAILY TIME SHEET ESTATE OF SIMON A. GOLDBERG		
1/4/95	Met with Karin Barkhorn to go over file	1.0
1/23/95	Hearing in court with Referee	2.5
2/1/95	Preparation of Order framing issues	1.0
2/3/95	In court re: Motion	1.0
2/6/95	Dictated Order	0.5
3/4/95	Prepared for Hearing	1.0
3/15/95	Hearing with Referee	1.5
3/21/95	Met with DJM re: hearing	1.0
3/22/95	Hearing with Referee	3.5
9/5/95	Court appearance re: Motion to confirm report	1.0
9/13/95	Notarized Affidavit of Domicile; letter to Nuveen enclosing Affidavit of Domicile	0.2
9/14/95	Read Ms. Goldberg's Brief - reviewed record	1.0
9/18/95	Research & writing Appellate Division brief	2.0
9/18/95	Conference with Judy Siegel-Baum re-wrote brief	2.0
9/19/95	Proofread brief - corrected - faxed to JSB	1.0
10/24/95	Letter to Counsel Press enclosing check for their services	0.2
11/8/95	Prepared documents for accounting	3.0
11/9/95	Worked on Accounting Decree, traced check on lost bond re: Key Trust/Franklin Co.	6.0
11/14/95	Letter to Asher Schechter, accountant	0.3
12/6/95	Faxed Merrill Lynch statement to Schechter, accountant	0.2

12/8/95	Letter to Merrill Lynch re: dividend/int. check, letter to Schechter attaching statements for Estate accounting	0.8
12/12/95	Called Merrill Lynch requesting Oct. Brokerage Statement, spoke with Schechter re: accounting	0.4
12/14/95	Faxed letter with copy of Merrill Lynch statement to A. Schechter, accountant	0.3
12/20/95	Preparation of Decree	3.0
12/21/95	Preparation of Decree	6.0
12/22/95	Service and filing of Decree	2.1
	TOTAL WORK HOURS	40.0

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 3 of 29

Fraudulent Death Certificate of Simon A. Goldberg filed June 1, 1985 as a US Citizen.

Evelyn was not the informant as cited (note no maiden name).

Date

JUN 4 1985

VITAL RECORDS
DEPARTMENT OF HEALTH
CITY OF NEW YORK

CERTIFICATE OF DEATH

Certificate No.

156-85-109189

DATE FILED

85 JUN 11 P 8: 57

1. NAME OF

DECEASED

Simon

Goldberg

(Type or Print)

(First Name)

(Middle Name)

(Last Name)

MEDICAL CERTIFICATE OF DEATH (To be filled in by the Physician)

2. PLACE OF DEATH	a. BOROUGH Manhattan	b. Name of hospital or institution, If not hospital, street address The New York Hospital	c. If in hospital (Check) 1 <input type="checkbox"/> DOA 3 <input type="checkbox"/> Outpatient 2 <input checked="" type="checkbox"/> Emerg. Rm. <input type="checkbox"/> Inpatient	d. If inpatient, date of current admission Month : Day : Year 5 : 24 : 85			
3a. DATE AND HOUR OF DEATH	(Month) May	(Day) 24,	(Year) 1985	3b. HOUR 12:45	<input type="checkbox"/> AM <input checked="" type="checkbox"/> PM	4. SEX Male	5. APPROXIMATE AGE 71

6. I HEREBY CERTIFY THAT: (Check One)

☐ I attended the deceased.☐ A staff physician of this institution attended the deceased.☐ Dr. _____

_____ attended the deceased.

from May 24, 19 85 to May 24, 19 85 and last saw him alive at 12:44 P

on May 24, 19 85 I further certify that traumatic injury or poisoning DID NOT play any part in causing death, and that death did not occur in any unusual manner and was due entirely to NATURAL CAUSES;

*See first instruction on reverse of certificates.

Witness my hand this 24th day of May 19 85 Signature M. R. Deaman D.O.
Name of Physician M. R. Deaman Address 525 East 68th Street, NYC 10021 M.D.

PERSONAL PARTICULARS (To be filled in by Funeral Director)

7. USUAL RESIDENCE	a. STATE NEW YORK	b. COUNTY NEW YORK	c. CITY TOWN OR LOCATION NEW YORK	d. STREET AND HOUSE NUMBER 400 E. 54TH STREET	e. INSIDE CITY LIMITS OF 7c <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
--------------------	----------------------	-----------------------	--------------------------------------	--	--

8. MARITAL STATUS (Check one) 1 <input type="checkbox"/> Never Married 2 <input checked="" type="checkbox"/> Married or Separated 3 <input type="checkbox"/> Widowed 4 <input type="checkbox"/> Divorced	9. CITIZEN OF WHAT COUNTRY U.S.A.	10. NAME OF SURVIVING SPOUSE (If wife, give maiden name) EVELYN
---	--------------------------------------	--

11. DATE OF BIRTH OF DECEDENT (Month) DECEMBER 4, (Day) 19 (Year) 1914	12. AGE AT LAST BIRTHDAY 70	If UNDER 1 Year Months : Days : Hours : Min.	If LESS than 1 DAY Months : Days : Hours : Min.
---	--------------------------------	---	--

13. USUAL OCCUPATION (Kind of work done during most of working lifetime; do not enter retired.) STATISTICIAN	b. KIND OF BUSINESS UNITED NATIONS	14. SOCIAL SECURITY NO. 095-52-9608
---	---------------------------------------	--

15. BIRTHPLACE (State or Foreign Country) POLAND	16. OTHER NAME(S) BY WHICH DECEDENT WAS KNOWN
---	---

17. NAME OF FATHER OF DECEDENT SHAIA GOLDBERG	18. MAIDEN NAME OF MOTHER OF DECEDENT HELEN PACTER
--	---

19a. NAME OF INFORMANT EVELYN GOLDBERG	b. RELATIONSHIP TO DECEASED WIFE	c. ADDRESS (City) (State) 400 E. 54TH STREET, N.Y., N.Y.
---	-------------------------------------	---

20a. NAME OF CEMETERY OR CREMATORY GARDEN STATE	b. LOCATION (City, Town, State and Country) N. BERGEN, N.J.	c. DATE OF BURIAL OR CREMATION 6.2.85
--	--	--

21a. FUNERAL DIRECTOR WALTER B. COOKE, INC.	b. ADDRESS 117 W. 72ND STREET, N.Y., N.Y.
--	--

BUREAU OF VITAL RECORDS

DEPARTMENT OF HEALTH

THE CITY OF NEW YORK

This is to certify that the foregoing is a true copy of a record in my custody.

June A. Scanlon
CITY REGISTRAR

The Department of Health does not certify to the truth of the statements made thereon, as no inquiry as to the facts has been provided by law.

DO NOT ACCEPT THIS TRANSCRIPT UNLESS THE RAISED SEAL OF THE DEPARTMENT OF HEALTH IS AFFIXED THEREON. REPRODUCTION OR ALTERATIONS ARE PROHIBITED BY LAW.

288

Year

ESTATE OF Simon A. Goldberg

Month Day Year

3169 DATE OF DEATH

May 24 1985

PETITION FILED PROBATE

JUL 2 1985

SUBSTITUTED SERVICE
ORDER GRANT PRELIM. LET. TEST

JAN 28 1986
JUL 3 1986

Notice of Obj. filed
MOTION TO REMOVE ANY RESTRICTION
IN THE PRELIMINARY LETTERS
CROSS MOTION TO DENY
PETR MOTION

JUL 3 1986
JUN 19 1987

Motion to file a jury
demand

AUG 11 1987

Motion to remove

DEC 1 1987

preliminary Executor Sept. 23 1988

ESTATE OF Catherine D.S.J.

DA

3172

PETITION FILED PRO

DECREE ADMIT WILL TO

LETTERS TEST. L444

WILL LIBER 3485 PG 447

to the order
order for notice of objections filed
Sept 14/86
Semi 12 days refs 9/15/86

Year

ESTATE OF Herman Gilmore

Month Day Year

3170 DATE OF DEATH

Jan 1 1984

AFF. FILED - SMALL ESTATE

JUL 2 1985

Obj. to probate June 13, 86 (EE. by Hight Gardner)

ESTATE OF John Flan

DATE

3173

PETITION FILED PROBATE

SUBSTITUTED SER

DECREE ADMIT WILL TO PROI

LETTERS TEST. L444 PG 5

WILL LIBER 3487 PG 405

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 4 of 29

July 2, 1985 Probate Petition 1985-3169 filed by Mandelbaum, citing Simon as a
Canadian citizen

State of New York
Surrogate's Court: County of New York

Probate Proceeding, Will of

SIMON A. GOLDBERG

Deceased.

Probate Petition

File No. 3169

To the Surrogate's Court, County of New York

It is respectfully alleged:

(1) The name(s), domicile(s) (or, in the case of a bank or trust company, its principal office) and interest(s) in this proceeding of the petitioner(s) are as follows:

Name: DAVID J. MANDELBAUM

Domicile or Principal Office: 22 Dartmouth Terrace
(Street and Number)

White Plains, N.Y. 10607
(City, Village or Town) (State)

Interest(s) of Petitioner(s):
(Check one)

☒ Executor(s) named in decedent's Last Will presented herewith
☐ Other (Specify)

(2) The name, domicile, date and place of death, and national citizenship of the above-named deceased are as follows:

(a) Name: SIMON A. GOLDBERG

(b) Date of death: May 24, 1985

(c) Place of death: The New York Hospital

(d) Domicile Street: 400 East 54th Street

City, Town, Village: New York

County: New York

State: New York

(e) Citizen: (Subject) of Canada, Resident of U.S.A.

(3) The Last Will, herewith presented, relates to both real and personal property and consists of an instrument or instruments dated as shown below and signed at the end thereof by the decedent and the following attesting witnesses:

March 21, 1985; Maria L. Matera, Agnes O'Melia, Michael L. Carlin
(Date of Will) (Witnesses to Will)

No Codicil
(Date of Codicil) (Witnesses to Codicil)

(4) There is no other will or codicil of the decedent on file in the office of the court, and upon information and belief, there exists no will, codicil or other testamentary instrument of the decedent later in date to any of the instruments mentioned in paragraph (3) hereof, except None

(5) The decedent left surviving:

- (a) [1] Spouse (husband/wife).
(b) [NO] Child or children; or descendants of predeceased child or children, natural or adopted.
(c) [1] Father/mother.
(d) [X] Brothers or sisters, either of the whole or half-blood; or descendants of such predeceased brothers or sisters.
(e) [X] Grandfather/grandmother.
(f) [X] Uncles or aunts.
(g) [X] Descendants of predeceased uncles or aunts.

(Information is required only as to those classes of surviving relatives who would take the property of decedent if there were no will. The term "child or children" includes adopted as well as natural children. State number of survivors in each such class. Insert "X" in all subsequent classes. Insert "NO" in all prior classes.)

PROBATE PETITION

ITEM 7(a) (Cont'd.)

<u>Name</u>	<u>Address</u>	<u>Description of Legacy, Devise or Other Interest or Nature of Fiduciary Status</u>
/ Diana Brooks	4905 Draper St. Montreal, Quebec Canada	25% Remainderman of Trust
/ Harry M. Goldberg	1345 Ridgewood Dr. Chomedey, Laval Quebec, Canada	16-2/3% Remainderman of Trust
/ Harvard University	75 Mount Auburn St. Cambridge, MA. 02138	12-1/2% Remainderman of Trust
/ Maimonides Hospital Foundation	5795 Caldwell Ave. Montreal, Que., Canada H4W1W3	8-1/3% Remainderman Trust
/ Jay Brooks	4903 Draper Street Montreal, Que., Canada H3X3P6	2-1/2% Remainderman of Trust
/ David Yablon	48-55 A. Carlton Ave. Montreal, Que., Canada 43W1G7	1-2/3% Remainderman of Trust

(6) The names, relationships and addresses of all distributees, of each person designated in the Last Will herewith presented as primary executor, of all persons adversely affected by the purported exercise by such Will of any power of appointment, of all persons adversely affected by any codicil and of all persons having an interest under any prior will of the decedent on file in the Surrogate's office, are hereinafter set forth in subdivisions (a) and (b): (If additional parties are to be added, attach schedule on sheet same length as petition).

(a) All persons and parties so interested who are of full age and sound mind, or which are corporations or associations, are as follows:

Name and Address	Relationship	Description of Legacy, Devise or Other Interest, or Nature of Fiduciary Status
David J. Mandelbaum 22 Dartmouth Terrace White Plains, N.Y. 10607	Accountant	Executor
Evelyn Goldberg 400 East 54th Street New York, New York	Spouse	Distributee, tangible personal property, beneficiary of trust for entire Residuary Estate
Helen Goldberg 5795 Caldwell Avenue Montreal, Que., Canada H4W1W3	Mother	Distributee

(b) All persons so interested who are persons under disability, are as follows:

(Please furnish all information specified in NOTE below.)

None

(Note: In the case of each infant, state (a) name, birth date, age, relationship to decedent, residence address, and the person with whom he resides; (b) whether or not he has a general or testamentary guardian, and whether or not his father, or if dead, his mother, is living; and (c) the name and residence address of any guardian and any living parent. In the case of each other person under disability state (a) name, relationship to decedent, and residence address; (b) facts regarding his disability, including whether or not a committee has been appointed and whether or not he has been committed to any institution; and (c) the names and addresses of any committee, any person or institution having care and custody of him, and any relative or friend having an interest in his welfare. In the case of person confined as a prisoner, state place of incarceration. In the case of unknowns, describe such persons in the same language as will be used in the process. In each case give a brief description of the party legacy, devise or other interest as in paragraph (6) (a) hereof.)

(7) The names and domiciliary addresses of all substitute or successor executors and of all trustees, guardians, legatees and devisees, and other beneficiaries named in the Last Will herewith presented, other than those named in Paragraph (6), are hereinafter set forth in subdivisions (a) and (b): (If additional parties are to be added, attach schedule on sheet same length as petition).

(a) All such other legatees and devisees who are of full age and sound mind, or which are corporations or associations, are as follows:

Name	Address	Description of Legacy, Devise or Other Interest, or Nature of Fiduciary Status
McGill University	Montreal Quebec, Canada	33-1/3% Remainderman of Trust

(See Attached Sheet)

(b) All such other legatees, devisees and other beneficiaries who are persons under disability, are as follows:

None

(Please furnish all information specified in Note to paragraph (6) (b) hereof.)

(8) There are no persons, corporations or associations, interested in this proceeding other than those hereinabove mentioned.

(9) To the best of the knowledge of the undersigned, the approximate total value of all property constituting the decedent's gross testamentary estate is more than \$500,000.00 and less than \$1,000,000.00

(10) Upon information and belief, no other petition for the probate of any will of the decedent or for the granting of letters of administration on the decedent's estate has heretofore been filed in any Court.

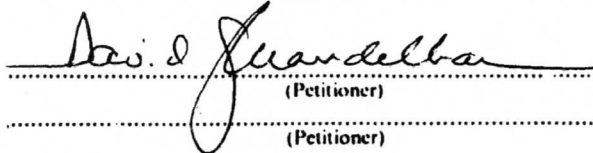
WHEREFORE your petitioner(s) pray(s) (a) that process be issued to all necessary parties to show cause why the Last Will herewith presented should not be admitted to probate; (b) that an order be granted directing the service of process pursuant to the provisions of article 3 or the SCPA, upon the persons named in paragraph (6) hereof who are non-domiciliaries, or whose names or whereabouts are unknown and cannot be ascertained; and (c) that such Last Will be admitted to probate as a will of real and personal property and that letters issue thereon as follows:

(Check and complete appropriate request.)

☒ Letters Testamentary to DAVID J. MANDELBAUM

☐ Letters of Trusteeship to

Dated: June 26, 1985


(Petitioner)
(Petitioner)

STATE OF NEW YORK
County of New York

ss.:

COMBINED VERIFICATION, OATH
AND DESIGNATION

(For use when a petitioner to be appointed
executor is not a bank or trust company)

I, the undersigned DAVID J. MANDELBAUM
being duly sworn, say:

(1) VERIFICATION: I have read the foregoing petition subscribed by me and know the contents thereof, and the same is true of my own knowledge, except as to the matters therein stated to be alleged upon information and belief, and as to those matters I believe it to be true.

(2) OATH OF EXECUTOR: I am over twenty-one (21) years of age and a citizen of the United States; I am not ineligible to receive letters, I am the executor(trix) named in the Last Will described in the foregoing petition and will well, faithfully and honestly discharge the duties of such executor(trix), and I shall duly account for all moneys or other property which may come into my hands.

(3) DESIGNATION OF CLERK FOR SERVICES OF PROCESS: I do hereby irrevocably designate the Clerk of the Surrogate's Court of New York County, and his or her successors in office, as a person on whom service of any process issuing from such Surrogate's Court may be made, in like manner and with like effect as if it were served personally upon me whenever I cannot be found and served within the State of New York after due diligence used.

My domicile is 22 Dartmouth Terrace, White Plains, New York 10607


(Signature of Petitioner)

On June 26, 1985, before me personally came DAVID J. MANDELBAUM
to me known to be the person described in and
who executed the foregoing instrument. Such person duly swore to such instrument before me and duly
acknowledge that he executed the same.


(Notary Public)

MARIA L. MATERA
NOTARY PUBLIC, State of New York
No. 41-4775398
Qualified in Queens County
Commission Expires March 30, 1986

STATE

Cons Affidavit of 04-23-2018 Pg 53 of 249

COMBINED VERIFICATION
AND DESIGNATION

(For use when a petitioner to be appointed
executor is a bank or trust company)

County of

SS.:

I, the undersigned, a

(Title)

being duly sworn, say:

(Name of Bank or Trust Company)

(1) VERIFICATION: I have read the foregoing petition subscribed by me and know the contents thereof and the same is true of my own knowledge, except as to the matters therein stated to be alleged upon information and belief, and as to those matters I believe it to be true.

(2) CONSENT: I, a corporation/national banking association under the laws of hereby accepts its appointment as executor of the Last Will described in the foregoing petition and consents to act as such executor.

(3) DESIGNATION: I, a corporation/national banking association under the laws of hereby having an office at designates the Clerk of the Surrogate's Court of County, and his or her successor in office, as a person on whom service of any process issuing from such Surrogate's Court may be made, in like manner and with like effect as if it were served personally upon such corporation/national banking association, whenever one of its proper officers cannot be found and served within the State of New York, after due diligence used.

(Signature and Title)

(Name of Bank or Trust Company)

ATTEST:

By:

(Signature and Title)

(Signature and Title)

On 19 before me personally came to me known, who duly swore to the foregoing instrument and who did say that he resides at and that he is a of the corporation/national banking association described in and which executed such instrument; that he knows the seal of such that the seal affixed to such instrument is such seal and was so affixed by order of the Board of Directors of such and that he signed his name thereto by like authority.

(Notary Public)

ATTORNEY

Name of Attorney Solomon & Rosenbaum, Drechsler & Leff Tel. No. (212) 867-5720
Address of Attorney 100 East 42nd Street, New York, N.Y. 10017

now atty Goodkind, Waschler, Labaton
Rudoff
122 E. 42nd ST NY 10168

File No. 19
Date of Death 19
Will filed 19
Pet. filed 19
Cit. returnable 19
Sup. cit. returnable 19
Amd. Pt. filed 19

Value of testamentary estate \$ 1,000,000

Amount of filing fee \$ 600.00

Petition accepted by Clerk

DECREE ADMITTED

1991/11/15/1991
LETTERS TEST.

142/4/50/5
Liber Page

LETTERS OF TRUSTEESHIP

Liber Page

file 8/1/1
for copy

288

Year

ESTATE OF Simon A. Goldberg

Month Day Year

3169 DATE OF DEATH

May 24 1985

PETITION FILED PROBATE

JUL 2 1985

SUBSTITUTED SERVICE
ORDER GRANT PRELIM. LET. TEST

JAN 28 1986
JUL 3 1986

Notice of Obj. filed
MOTION TO REMOVE ANY RESTRICTION
IN THE PRELIMINARY LETTERS
CROSS MOTION TO DENY
PETR MOTION

JUL 3 1986
JUN 19 1987

Motion to file a jury
demand

AUG 11 1987

Motion to remove
Preliminary Executor Sept. 23 1988

DEC 1 1987

ESTATE OF Catherine D.S.J.

3172

PETITION FILED PRO

DECREE ADMIT WILL TO

LETTERS TEST. L 444

WILL LIBER 3485 PG 447

to the order
Order for Notice of Objections filed
Sept 14/86
Semi 12 days refs 9/15/86

Year

ESTATE OF Herman Gilmore

Month Day Year

3170

DATE OF DEATH

Jan 1 1984
JUL 2 1985

AFF. FILED - SMALL ESTATE

Obj. to probate June 13, 86 (EE. by Hight Gardner)

ESTATE OF John Flan

3173

PETITION FILED PROBATE

SUBSTITUTED SER

DECREE ADMIT WILL TO PROI

LETTERS TEST. L 444 PG 5

WILL LIBER 3487 PG 405

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 5 of 29

Forged Simon and Evelyn 1985 Joint Tenancy Checks by David J. and Rosemary Mandelbaum.

The General Counsel of Hanover Manufacturers Trust Company returns the monies to Evelyn Goldberg.

See also Exhibit 8, Mandelbaum does not know what Joint Tenancy is. Mandelbaum also admits to never graduating with a Bachelor of Science . Mandelbaum's CPA license is withdrawn during a hearing represented by Wolf Popper.

DECLARATORY AFFIDAVIT

ON FORGERY LOSS and/or loss through legally unauthorized signatures

STATE OF New York

1 SS

COUNTY OF New York

Simon A. Goldberg, deceased May 24, 1985 and
Evelyn Goldberg JOINT TENANCY SURVIVOR

being first duly

sworn deposes and says that they are the

persons

"payee" but as "survivor"

named as the Evelyn Goldberg is the legal payee

00256287(6/15) (Maker, Payee or Endorser)

00267015(7/15)

of the attached (check numbered 00279756(8/15) dated June, July, August 15th

drawn on the (STUBS) Bradford Trust Company to the order

of Simon A. Goldberg (deceased May 24, 1985) (and Evelyn Goldberg

JOINT TENANCY SURVIVOR

in the sum of June: \$869; July: \$869; Aug: \$859 Dollars (\$2,597 plus interest

and J. Mandelbaum, CPA signed some checks as "Estate of Simon A. Goldberg" but without Court (Legal) Authority and without Letters Testamentary; on the August check he signed the name "Simon A. (Maker of Check) Goldberg" and "Evelyn Goldberg"

and that the signature of "Estate of Simon A. Goldberg" was not authorized by Surrogate's Court. The Signature of "Simon A. Goldberg" and Evelyn Goldberg was totally illegal on the said check was not written or

authorized by my deceased husband nor, by JT Ten Survivor is a forger.

And being still sworn said deponent does further say that

SHE has not received the proceeds of said check or any part thereof and that said amount is justly due \$2,597 PLUS INTEREST and that this

affidavit is made voluntarily for the purpose of obtaining the proceeds of

said check and establishing the fact that any signature is a Unauthorized by the Probate Department or Surrogate's Court.

Forgery. The endorsement on the August check is totally illegal and probably qualifies as forgery. Attached: Restricted Delivery Letter to Ernest D. Stein, General Counsel, Manufacturers Hanover Trust Co.

Subscribed and sworn to before me

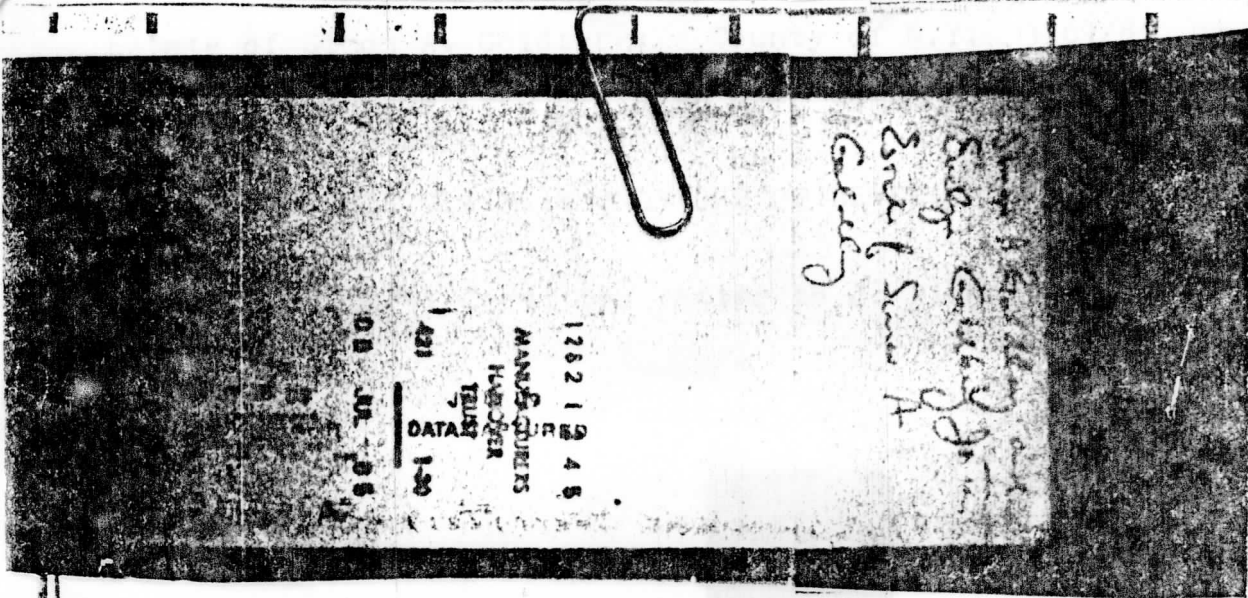
this Twenty-Sixth day of

November, 1985

Philip Goldberg

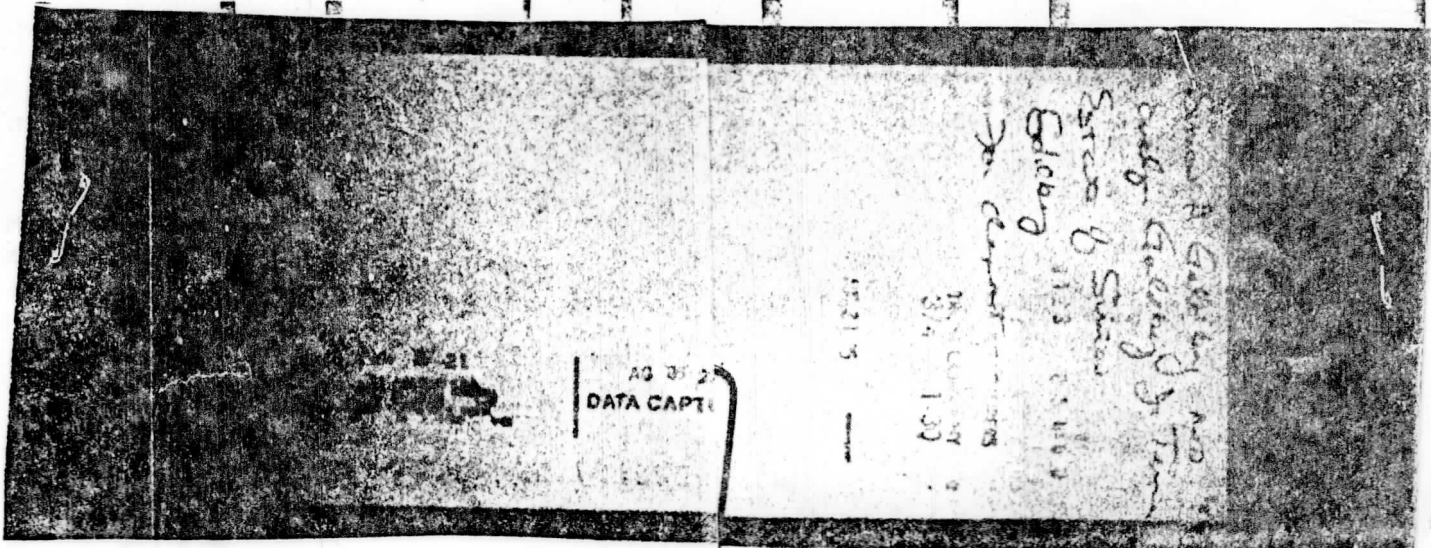
6 June
July

Ellen Spahn Baden
Notary Public



State of Union 2.
Sally Goldberg
for deposit

1619 762
MANUFACTURERS
HANOVER
AG 8
DATA CAPTURED
429
09 AUG 85
AG 8 09
PAY ANY BANK P.O.
MANUFACTURERS HANOVER
TRUST COMPANY
NEW YORK, NEW YORK
1-30 1-30 1-30



AG 8 2
DATA CAPTURED

06/15/85
0000000859.00
PAY TO THE ORDER OF
085-337357
SIMON A GOLDBERG &
EVELYN GOLDBERG JT TEN
400 EAST 54TH STREET APT 19C
NEW YORK NY 10022
000000085900

NEW YORK
MEMO
URED MUNICIPAL
INCOME TRUST
BRADFORD TRUST COMPANY

Y TO THE ORDER OF
085-337357

SIMON A GOLDBERG &
EVELYN GOLDBERG JT TEN
400 EAST 54TH STREET APT 19C
NEW YORK NY 10022

PAYABLE
DATE 07/15/85

0000267015
00267015

1-840
260

PAY

DOLLARS/CENTS
*****869.00

NOT NEGOTIABLE UNTIL PAYABLE DATE

Bradford Trust Company
AUTHORIZED SIGNATURE

BRADFORD TRUST COMPANY
NEW YORK, NEW YORK

00267015 026008400 700 2 000169

00000086900

06/15/85
0000000869.00
PAY TO THE ORDER OF
085-337357
SIMON A GOLDBERG &
EVELYN GOLDBERG JT TEN
400 EAST 54TH STREET APT 19C
NEW YORK NY 10022
000000086900
BRADFORD TRUST COMPANY
NEW YORK, NEW YORK
000000086900

000009692

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 6 of 29

June 23, 2017 Bloomberg News Big Four Reveal in Bernie Madoff by Erik Larson,
reporter, naming Madoff's four biggest clients - Norman Levy, Stanley Chais, Jeffrey
Picower and Carl Shapiro.

Bernie Madoff: 'I Always Wanted to Please Everybody'

By **Erik Larson**

June 23, 2017, 10:02 AM EDT *Updated on* June 23, 2017, 12:18 PM EDT

Bernard Madoff has had eight years in prison to think about what went wrong. He's concluded that a few of his investors were partially to blame for his fall from a legitimate securities trader to the biggest Ponzi schemer in U.S. history.

He blames the "Big Four," his earliest and richest clients. They were Norman Levy, a New York real estate broker, Jeffry Picower, a Florida accountant, Stanley Chais, a Beverly Hills money manager, and Carl Shapiro, a Boston philanthropist. Shapiro, 104, is the only one still alive.

[Madoff Clients Fighting for Fortunes Get Help - From the Con Man](https://www.bloomberg.com/news/articles/2017-06-23/madoff-clients-fighting-for-fortunes-get-help-from-the-con-man)
<<https://www.bloomberg.com/news/articles/2017-06-23/madoff-clients-fighting-for-fortunes-get-help-from-the-con-man>>

In April, Madoff was questioned for three days as part of a lawsuit that seeks to force a group of his investors to return their profit to his firm's receiver. The cases hinge on Madoff's claim that he was an honest trader

until 1992, when the alleged greed of the Big Four forced him into a Ponzi scheme. Madoff went into great detail about his rise and fall and his relationship with The Big Four. The deposition testimony, a transcript of which is in court records, shows how Madoff sees things now:

On his relationship with the Big Four:

"I had a...very special relationship with the Big Four clients, all right, particularly, you know, with Carl Shapiro and Norman Levy and Stan Chais. Picower was a little bit different...And all of these clients, I was like a son to them and they were like surrogate fathers to me."

"I was a little guy with nothing...Carl Shapiro always tells this story famously. When he gave me \$100,000, people thought he was crazy. I was this little kid from Brooklyn, didn't go to Harvard."

He risked \$1 billion covering the Big Four's shorts:

"I'm not proud that I did what I did, you know. I spent a lot of hours with a psychologist here trying to analyze why I did what I did."

"They didn't want to give up their returns and I made the mistake. What I should have said to them was look, this is the agreements you have."

"In hindsight now it looks like a stupid thing to do and it was ... I always wanted to please everybody."

On whether the Big Four knew his secretary backdated trades:

"They were aware of it. They instructed her to do it."

On whether it was fraud not to report short positions:

"Well, depends upon how you define fraud."

On whether he sought victims to cover redemptions:

"No. The firm was in a position that we were always turning away investors. We never -- never solicited, you know, new monies coming in. As a matter of fact, we tried to return monies at times but met resistance with clients."

On his lack of concern for European investors:

"...first of all, they really weren't my customers. They were customers of hedge funds."

On convertible bonds trade windfalls during '87 crash:

"So the guys that were doing convertible bonds, they thought I was a goddamned codified genius."

On the Big Four's offer to indemnify him:

"They didn't want to screw me either, generally, because number one, we had a close, family-type relationship. And also I made them a lot of money. They didn't want to kill the goose that laid the golden egg."

On losses in Big Four trading accounts from 1987 to 1992:

"I don't know how many billions of dollars it was at the time, but you have to take that into consideration, you know."

"So when the crash came in '87 and these guys panicked on me, which is not a surprise in hindsight because the one thing every one of them was, which is everybody in the industry is, they're greedy. All right. So they all panicked."

On honesty in the securities industry:

"I know it sounds strange coming from me, all right, but when I went into business the first thing I learned from both Cy Lewis (former Managing Partner of Bear, Stearns & Co.) and Gus Levy (former senior partner at Goldman Sachs) is they said to me, Bernie, whatever you do, you know, in this

business, never break your word because there was no such thing as written contracts in the securities industry."

"In 50 years that I was in business, I never, ever had anyone not honor their contract. You never thought about it. It was something that, you know, if you're in this industry, your word was your bond literally, and that was it. You trusted everybody. And that's the way the industry operated and for the most part still operates that way today, but not as much."

On why anyone should believe he was honest until 1992:

"I have nothing to lose now."

William Zabel, the Picower family's lawyer, said Madoff wasn't truthful, offering "up one more self-serving lie about someone who passed away eight years ago and cannot defend himself." Attorneys for the other three didn't return calls.

The Big Four, or their estates, paid settlements to a trustee for Madoff's firm ranging from Levy's \$220 million to Picower's \$7.2 billion. None of the four were accused of criminal wrongdoing.

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 7 of 29

Nuveen Account created May 18, 1988, Page 1 of Transcript upper right hand corner heading, three years after Simon Goldberg's death.

Simon Goldberg died on May 24, 1985.

In May, 2015, John C. Fisher announces outside in the hallway that John C. Fisher has just been notified of an additional Nuveen Account recently discovered.

NUVEEN
Investments

PO Box 8530
Boston, MA 02266-8530

June 17, 2015

Hamburger Weinschenk And Fisher
Attorneys At Law
The Bar Building
Attn John C Fisher Esq
36 W 44th St Ste 1215
New York NY 10036-8104

Reference: 07587125
Nuveen Funds
David J Mandelbaum Exec
Estate Of Simon A Goldberg

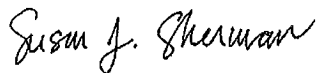
Dear Mr. Fisher:

We are contacting you regarding your inquiry concerning the Nuveen Funds account referenced above.

Please be advised we are unable to provide the requested information as the earliest record we have on file is 1990. We are enclosing transcripts for your use. A 2015 transcript has been sent under separate cover.

If you have any questions, please call us at 1-800-257-8787. Alternatively, you may contact us via our web address at www.nuveen.com. Our business hours are Monday through Friday 9:00 a.m. to 7:00 p.m., Eastern Time.

Sincerely,



Susan J. Sherman
Vice President

Enclosure(s): Transcripts
Address Sheet

Your Consolidated Account Statement

FOR THE PERIOD JANUARY 1 DECEMBER 31, 1998

CUSTOMER SERVICE:
 (800) 257-8787

PREPARED
 FOR:

01150342

SIMON A GOLDBERG
 400 E 54TH ST APT 19C88
 NEW YORK NY 10022-5164

For access to prices, yield
 and dividend information
 24 hours/day: (800) 682-2934

TAXPAYER ID: [REDACTED]

ACCOUNT SUMMARY					
	Product Code	Account Number	Shares/Units	Price	Market Value as of 12/31/98
MUTUAL FUNDS:					
Nuveen Flagship NY Municipal Bond Fund R		0000001012154	13,144.943	\$ 11.0800	\$ 145,645.97
TOTAL INVESTMENTS					\$ 145,645.97

DIVIDEND/DISTRIBUTION SUMMARY		
	This Period	Year-To-Date
MUTUAL FUNDS: Tax-Free Income Reinvested	\$ 7,399.30	\$ 7,399.30

NUVEEN NEWS
The start of the new year may be a good time to sit down with your adviser to review your portfolio. It may also be a good time to adjust your asset allocation to make sure your balance of stocks, bonds and cash is in line. An annual portfolio review can help make sure your portfolio is working properly. If you'd like more information on how Nuveen's range of investments can help you build a balanced portfolio, talk to your financial adviser or call Nuveen at (800) 257-8787.

MUTUAL FUNDS AND MONEY MARKETS		ACCOUNT ACTIVITY
YOUR FINANCIAL ADVISER: HOUSE JOHN NUVEEN & CO 333 W WACKER DR CHICAGO IL 60606	Nuveen is committed to providing you and your adviser with the tools needed to structure a tax-efficient, risk-moderating portfolio. To ensure your portfolio is positioned to meet your long-term objectives, talk to your financial adviser to discuss ways to build greater efficiencies in your portfolio. To learn more about Nuveen's family of products, contact your adviser or call Nuveen at (800) 257-8787 for a prospectus on any of our investment products. Please read it carefully before you invest.	

MUTUAL FUNDS ACCOUNT ACTIVITY					
Date	Description	Dollar Amount	Share Price	Shares	Shares Owned
	NUVEEN FLAGSHIP NY MUNICIPAL BOND FUND R				
	BALANCE AS OF 01/01/98				12,473.811
01/12/98	Div Rein	\$ 611.22	\$ 11.0100	55.515	12,529.326
02/10/98	Div Rein	613.94	10.9800	55.914	12,585.240
03/10/98	Div Rein \$0.0490 /share	616.68	10.9700	56.215	12,641.455
04/13/98	Div Rein \$0.0490 /share	619.43	10.8600	57.038	12,698.493
05/11/98	Div Rein \$0.0490 /share	622.23	10.9900	56.618	12,755.111
06/10/98	Div Rein \$0.0490 /share	625.00	10.9900	56.870	12,811.981
07/10/98	Div Rein \$0.0475 /share	608.57	10.9800	55.425	12,867.406
09/01/98	Div Rein \$0.0475 /share	611.20	11.0900	55.113	12,922.519
10/01/98	Div Rein \$0.0475 /share	613.82	11.2000	54.805	12,977.324

Please do not use these figures for tax purposes. We will be sending you required tax information by January 31, 1999.
 See reverse side for important information.
 000034484

Your Consolidated Account Statement

FOR THE PERIOD JANUARY 1 DECEMBER 31, 1998

PAGE 2 of 3

PREPARED FOR: SIMON A GOLDBERG

Date	Description	Dollar Amount	Share Price	Shares	Shares Owned
11/02/98	Div Rein \$0.0475 /share	616.42	11.0700	55.684	13,033.008
12/01/98	Div Rein \$0.0475 /share	619.07	11.1000	55.772	13,088.780
12/21/98	Div Rein \$0.0475 /share	621.72	11.0700	56.163	13,144.943

Please do not use these figures for tax purposes. We will be sending you required tax information by January 31, 1999.
See reverse side for important information.
000034484

Your Consolidated Account Statement

FOR THE PERIOD JANUARY 1 DECEMBER 31, 1999

CUSTOMER SERVICE:
(800) 257-8787

For access to prices, yield
and dividend information
24 hours/day: (800) 682-2934

PREPARED
FOR:

01140057

SIMON A GOLDBERG
400 E 54TH ST APT 19C88
NEW YORK NY 10022-5164

ACCOUNT SUMMARY					
	Product Code	Account Number	Shares/Units	Price	Market Value as of 12/31/99
MUTUAL FUNDS:					
Nuveen Flagship NY Municipal Bond Fund R	323	0000001012154	13,909.531	\$ 10.1800	\$ 141,599.03
TOTAL INVESTMENTS					\$ 141,599.03

DIVIDEND/DISTRIBUTION SUMMARY			
		This Period	Year-To-Date
MUTUAL FUNDS:	Tax-Free Income Reinvested	\$ 7,835.38	\$ 7,835.38
	Long Term Capital Gain Reinvested	300.89	300.89
	Short Term Capital Gain Reinvested	15.11	15.11

NUVEEN NEWS	
<p>Your Nuveen account can now be accessed via the web! With the Nuveen Account Access System, checking on your Nuveen holdings is as simple as going online and visiting www.nuveen.com. You can review the daily market value, distributions, and any account activity associated with your Nuveen investments. This system is available 24 hours a day, seven days a week* for investors who are registered directly with Nuveen. For more information about access to your account or Nuveen's family of funds, contact your financial adviser or call Nuveen at (800) 257-8787. (*The Account Access System is serviced on weekends and may not be available from 11:00 p.m. Saturday to 4:00 a.m. Sunday, EST.)</p>	
Important Tax Information	
<p>If your Nuveen investment falls into one of the two following categories, please use the Consolidated Account Statement for tax purposes since no other tax documents will be provided for that investment:</p>	
1. Nuveen Mutual Fund accounts which received only tax-free dividends and/or capital gains dividends of \$10.00 or less.	
2. Nuveen Exchange-Traded Fund accounts which received only tax-free dividends (i.e. no capital gains distributions).	
<p>If your Nuveen investment does not match one of the above categories, do not use the figures on this Consolidated Account Statement for tax purposes. We will mail you the required tax information by January 31st, 2000.</p>	

MUTUAL FUND AND MONEY MARKET NEWS	
YOUR FINANCIAL ADVISER: HOUSE JOHN NUVEEN & CO 333 W WACKER DR CHICAGO IL 60606	Happy 2000! Explore a world of new investment opportunities from Nuveen in the new millennium. Ask your financial adviser today how Nuveen Innovation Fund and Nuveen International Growth Fund might fit a need for growth potential in your overall investment plan.

See reverse side for important information.
0499095529608771
000034484

Your Consolidated Account Statement

FOR THE PERIOD JANUARY 1 DECEMBER 31, 1999

PAGE 2 of 3

PREPARED FOR: SIMON A GOLDBERG

MUTUAL FUNDS ACCOUNT ACTIVITY					
Date	Description	Dollar Amount	Share Price	Shares	Shares Owned
	NUVEEN FLAGSHIP NY MUNICIPAL BOND FUND R				
	BALANCE AS OF 01/01/99				13,144.943
02/01/99	Div Rein \$0.0455 /share	\$ 598.09	\$ 11.1200	53.785	13,198.728
03/01/99	Div Rein \$0.0455 /share	600.54	11.0400	54.397	13,253.125
04/01/99	Div Rein \$0.0455 /share	603.02	11.0300	54.671	13,307.796
05/03/99	Div Rein \$0.0455 /share	605.50	11.0200	54.946	13,362.742
06/01/99	Div Rein \$0.0455 /share	608.00	10.9000	55.780	13,418.522
07/01/99	Div Rein \$0.0470 /share	630.67	10.7600	58.612	13,477.134
08/02/99	Div Rein \$0.0470 /share	633.43	10.7200	59.089	13,536.223
09/01/99	Div Rein \$0.0520 /share	703.88	10.5900	66.466	13,602.689
10/01/99	Div Rein \$0.0520 /share	707.34	10.4800	67.494	13,670.183
11/01/99	Div Rein \$0.0520 /share	710.85	10.3100	68.948	13,739.131
12/01/99	Div Rein \$0.0520 /share	714.43	10.3200	69.228	13,808.359
12/01/99	Lt Gain Rein \$0.0219 /share	300.89	10.3200	29.156	13,837.515
12/01/99	St Gain Rein \$0.0011 /share	15.11	10.3200	1.464	13,838.979
12/20/99	Div Rein \$0.0520 /share	719.63	10.2000	70.552	13,909.531

See reverse side for important information.

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Your Consolidated Account Statement

FOR THE PERIOD JANUARY 1 DECEMBER 31, 2000

CUSTOMER SERVICE:
 (800) 257-8787

For access to prices, yield
 and dividend information
 24 hours/day: (800) 682-2934

PREPARED
 FOR:

01120297

SIMON A GOLDBERG
 400 E 54TH ST APT 19C88
 NEW YORK NY 10022-5164

ACCOUNT SUMMARY					
	Product Code	Account Number	Shares/Units	Price	Market Value as of 12/31/00
MUTUAL FUNDS:					
Nuveen New York Municipal Bond Fund R	323	0000001012154	14,768.112	\$ 10.6900	\$ 157,871.12
TOTAL INVESTMENTS					\$ 157,871.12

DIVIDEND/DISTRIBUTION SUMMARY		
	This Period	Year-To-Date
MUTUAL FUNDS: Tax-Free Income Reinvested	\$ 8,895.73	\$ 8,895.73

NUVEEN NEWS
<p>New Year, New Opportunities</p> <p>This is a great time to take a close look at your portfolio. As a new President and Congress take office, the markets are likely to react to changing priorities and may offer a number of new opportunities. Make sure you are as prepared as you can be for whatever the future brings. Talk with your financial advisor about how you can use Nuveen Investments to bring balance to your portfolio, helping you to Invest Well, Look Ahead and Leave Your Mark.</p>

MUTUAL FUND AND MONEY MARKET NEWS	
<p>YOUR FINANCIAL ADVISER: HOUSE JOHN NUVEEN & CO 333 W WACKER DR CHICAGO IL 60606</p>	<p>Your financial advisor - a trusted resource in times of change</p> <p>Changing market conditions can often push a portfolio's asset allocation out of balance. Now may be a good time to review your portfolio with your financial advisor to be sure your portfolio still matches your goals. Then ask about Nuveen's expanding menu of mutual funds - from tax-exempt municipal bond funds to balanced stock and bond funds to equity funds including our newest opportunities, Nuveen Innovation Fund and Nuveen International Growth Fund. Please read a prospectus carefully before you invest or send money.</p> <p>Due in part to reduced earnings, Nuveen New York Municipal Bond Fund reduced its monthly tax-free dividend by approximately \$0.0010 per share. This change was effective with the dividend declared November 9th, payable December 1st. Even with the reduction, we are confident that you'll find the fund provides competitive tax-free income in a high-quality investment. Should you have questions about the dividend decrease, please contact your financial adviser or Nuveen Customer Service at (800) 257-8787.</p>

MUTUAL FUNDS ACCOUNT ACTIVITY					
Date	Description	Dollar Amount	Share Price	Shares	Shares Owned
	NUVEEN NEW YORK MUNICIPAL BOND FUND R				
	BALANCE AS OF 01/01/00				13,909.531

See reverse side for important information.
 0400095529608771
 000034484

Your Consolidated Account Statement

FOR THE PERIOD JANUARY 1 DECEMBER 31, 2000

PAGE 2 of 3

PREPARED FOR: SIMON A GOLDBERG

Date	Description	Dollar Amount	Share Price	Shares	Shares Owned
02/01/00	Reinvested Dividend \$0.0520 /share	\$ 723.30	\$ 10.0800	71.756	13,981.287
03/01/00	Reinvested Dividend \$0.0520 /share	727.03	10.2000	71.277	14,052.564
04/03/00	Reinvested Dividend \$0.0520 /share	730.73	10.3800	70.398	14,122.962
05/01/00	Reinvested Dividend \$0.0520 /share	734.39	10.2700	71.508	14,194.470
06/01/00	Reinvested Dividend \$0.0520 /share	738.11	10.1800	72.506	14,266.976
07/03/00	Reinvested Dividend \$0.0520 /share	741.88	10.3500	71.679	14,338.655
08/01/00	Reinvested Dividend \$0.0520 /share	745.61	10.4500	71.350	14,410.005
09/01/00	Reinvested Dividend \$0.0520 /share	749.32	10.5200	71.228	14,481.233
10/02/00	Reinvested Dividend \$0.0520 /share	753.02	10.3800	72.545	14,553.778
11/01/00	Reinvested Dividend \$0.0520 /share	756.80	10.4100	72.699	14,626.477
12/01/00	Reinvested Dividend \$0.0510 /share	745.95	10.4500	71.383	14,697.860
12/26/00	Reinvested Dividend \$0.0510 /share	749.59	10.6700	70.252	14,768.112

See reverse side for important information.

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SIMON A GOLDBERG
400 E 54TH ST APT 19C88
NEW YORK NY 10022-5164

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Your Consolidated Account Statement

FOR THE PERIOD JANUARY 2 — DECEMBER 31, 2001

PREPARED
FOR:

0197.C2021533387.03.01.0051

SIMON A GOLDBERG
400 E 54TH ST APT 19C88
NEW YORK NY 10022-5164

10022-51649

CUSTOMER SERVICE:
(800) 257-8787

For access to prices, yield
and dividend information
24 hours/day: (800) 682-2934

ACCOUNT SUMMARY					
	Product Code	Account Number	Shares/Units	Price	Market Value as of 12/31/01
MUTUAL FUNDS:					
Nuveen New York Municipal Bond Fund R	323	0000001012154	15,601.643	\$ 10.5300	\$ 164,285.30
TOTAL INVESTMENTS					\$ 164,285.30

DIVIDEND/DISTRIBUTION SUMMARY		
	This Period	Year-To-Date
MUTUAL FUNDS: Tax-Free Income Reinvested	\$ 8,921.35	\$ 8,921.35

NUVEEN NEWS
To help you organize and facilitate your 2001 tax preparation involving Nuveen Investments; we are making your 1099-DIV, 1099-B, and other forms available via our web site: www.nuveen.com . If you receive your statements directly from Nuveen, you can access your 2001 tax forms by logging into the Investor Account Access portion of the Nuveen web site. The tax forms are for investments in Nuveen's mutual funds, exchange-traded (closed-end) funds and defined portfolios, and will be available in early February. In addition to this service, you will also receive your 2001 tax forms from Nuveen Investments in the mail.

MUTUAL FUND AND MONEY MARKET NEWS	
YOUR FINANCIAL ADVISOR: NUVEEN INVESTMENTS 333 W WACKER DR CHICAGO IL 60606	Nuveen's array of equity and municipal bonds mutual funds offers opportunities to build carefully balanced portfolios. Talk with your financial advisor about how Nuveen mutual funds can help you meet your investing goals. Due in part to the current market environment, the Nuveen New York Municipal Bond Fund reduced its monthly tax-free dividend by approximately \$0.0015 per share. This change was effective with the dividend declared November 9th, payable December 3rd. Even with the reduction, we are confident that you'll find the fund provides competitive tax-free income in a high-quality investment. Should you have questions about the dividend decrease, please contact your financial advisor or Nuveen Customer Service at (800) 257-8787.

MUTUAL FUNDS — ACCOUNT ACTIVITY					
Date	Description	Dollar Amount	Share Price	Shares	Shares Owned
	NUVEEN NEW YORK MUNICIPAL BOND FUND R				
	BALANCE AS OF 01/01/01				14,768.112
02/01/01	Reinvested Dividend \$0.0510 /share	\$ 753.17	\$ 10.7300	70.193	14,838.305
03/01/01	Reinvested Dividend \$0.0510 /share	756.75	10.7100	70.658	14,908.963
04/02/01	Reinvested Dividend \$0.0510 /share	760.36	10.7000	71.062	14,980.025
05/01/01	Reinvested Dividend \$0.0510 /share	763.98	10.5300	72.553	15,052.578

12/31/01 095529608 000000001012154
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Your Consolidated Account Statement

PREPARED FOR: SIMON A GOLDBERG

FOR THE PERIOD JANUARY 2 — DECEMBER 31, 2001

PAGE 2 of 2

Date	Description	Dollar Amount	Share Price	Shares	Shares Owned
06/01/01	Reinvested Dividend \$0.0485 /share	730.05	10.6100	68.808	15,121.386
07/02/01	Reinvested Dividend \$0.0485 /share	733.39	10.6500	68.863	15,190.249
08/01/01	Reinvested Dividend \$0.0485 /share	736.73	10.7800	68.342	15,258.591
09/04/01	Reinvested Dividend \$0.0485 /share	740.04	10.8800	68.018	15,326.609
10/01/01	Reinvested Dividend \$0.0485 /share	743.34	10.7700	69.019	15,395.628
11/01/01	Reinvested Dividend \$0.0485 /share	746.69	10.8600	68.756	15,464.384
12/03/01	Reinvested Dividend \$0.0470 /share	726.83	10.7100	67.865	15,532.249
12/26/01	Reinvested Dividend \$0.0470 /share	730.02	10.5200	69.394	15,601.643



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REPORT NUMBER: R01130
SOURCE PROGRAM: SSRYRO36
JOB NAME/#: DYYMU775/22B07
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R
ACCOUNT NUMBER: 1012154-2
SSN-TAX ID/TIN:
SHAREOWNER REGISTRATION
SIMON A GOLDBERG
400 E 54TH ST APT 19C88
NEW YORK NY 10022-5164
DEALER NUMBER/BRANCH
REP NUMBER/NAME
DEALER REGISTRATION
HOUSE /
PLEASE PROVIDE
YOUR FINANCIAL
ADVISOR INFORMATION
CHICAGO IL 60606-1220
2002 TRANSCRIPT
SHAREOWNER MASTER RECORD
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
DATE ESTABLISHED 05/18/1988
ALPHA CODE GOLDBS1MOA
FIDUCIARY ACCOUNT NO
PENALTY WITHHOLDING NO
CAPITAL GAIN OPTION REINVEST
DIVIDEND DISCOUNT
CUMULATIVE DISCOUNT
STATE/COUNTRY CODE 031
FRGN TAX RATE 00.0
SOCIAL CODE 005
B/C NOTICE NO / NO
LAST MAINT DATE 08/23/1998 STOP MAIL DATE 00/00/0000
2002 CUTOFF DATES: INCOME DIV 12/31/2002 LTCG 12/31/2002 STCG 12/31/2002
HIST CHG TRANS # CONFIRM DATE TRADE DATE
TC - TS
011 - 13 02/01/2002 02/01/2002
011 - 14 03/01/2002 03/01/2002
011 - 15 04/01/2002 04/01/2002
011 - 16 05/01/2002 05/01/2002
011 - 17 06/03/2002 06/03/2002
011 - 18 07/01/2002 07/01/2002
011 - 19 08/01/2002 08/01/2002
011 - 20 09/03/2002 09/03/2002
011 - 21 10/01/2002 10/01/2002
011 - 22 11/01/2002 11/01/2002
011 - 23 12/02/2002 12/02/2002
069 - 001 12/02/2002 12/02/2002
012 - 000 12/02/2002 12/02/2002
011 - 000 12/27/2002 12/27/2002
TRANSACTION DESCRIPTION SHARES GROSS AMOUNT PRICE
INCOME REINVEST 68.7880 (+) 733.28 10.6600
INCOME REINVEST 68.5760 (+) 736.51 10.7400
INCOME REINVEST 70.2500 (+) 739.73 10.5300
INCOME REINVEST 69.9000 (+) 743.04 10.6300
INCOME REINVEST 70.0110 (+) 746.32 10.6600
INCOME REINVEST 70.1880 (+) 749.61 10.6800
INCOME REINVEST 69.8490 (+) 752.91 10.8100
INCOME REINVEST 69.3740 (+) 756.18 10.9000
INCOME REINVEST 68.7280 (+) 759.44 11.0500
INCOME REINVEST 70.6180 (+) 762.67 10.8000
INCOME REINVEST 71.7220 (+) 765.99 10.6800
SHRTTERM CG REIN O.0013 1.9840 (+) 21.19 10.6800
CAP GAIN REIN O.0384 58.5980 (+) 625.83 10.6800
INCOME REINVEST O.047 71.2370 (+) 772.21 10.8400
BEG SHARES ISSUED: 15.601.0000
BEG SHARES UNISSUED: 15.601.6430
BEGINNING BALANCE: 15.601.6430
BATCH DC SHARE BALANCE
CERT ISS
ENDING BALANCE 16.501.2660
TOTAL SHARES: 16.501.2660

REPORT NUMBER: R01130
SOURCE PROGRAM: SSRYR036
JOB NAME/#: DYYMU775/22807
FUND CODE/NAME: 16B9/NUVEEN NEW YORK MUNI BOND R
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:
2002 TRANSCRIPT
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
PAGE: 2
DATE: 02/20/2003
DATE: 02/21/2003
TIME: 12:56:28
SUPER SHEET
CURRENT
TAX REPORTING TOTALS
FORM 1099 DIV TOTALS
ORDINARY INCOME (BOX 1)
TOTAL CAPITAL GAINS (BOX 2A)
2B PRECENT CAP GAIN (BOX 2B)
5-YR CAP GAINS (BOX 2C)
SCT 1250 CAP GAINS (BOX 2D)
NON-TAXABLE DIST (BOX 3)
FOREIGN TAX W/HELD (BOX 4)
FOREIGN TAX PAID (BOX 5)
LIQUID DIST CASH (BOX 6)
LIQUID DIST NONCASH (BOX 7)
1042S FOREIGN WITHOLDING (BOX 8)
1099B GROSS REDEMPTIONS (BOX 9)
1099B GROSS WITHOLDING
FORM 5498 TOTALS
IRA CONTRIB: 21.19
ROLLVR CONTRIB: 625.83
IRA RECHAR CNB: 625.83
ROTH CNV CONTRB: 625.83
ACCOUNT VALUE: 0.00
TYPE OF IRA: IRA NA
SEP: 0.00
SIMPLE CONTRIB: 0.00
ROTH IRA CONTRB: 0.00
EDUCATIONL CNB: 0.00
FORM 1099R TOTALS
PREMATURE DIS: 0.00
PRE EXEMPT DIS: 0.00
DISABILITY DIS: 0.00
DEATH DIS: 0.00
PROHIBITED DIS: 179.533.77
NORMAL DIS: 0.00
EXCESS DIS: 0.00
ROLL IRA: 0.00
ROLL PLAN/403B: 0.00
RLL PRA DEATH: 0.00
41E EXCESS DEF: 0.00
PRE DIST SIMPL: 0.00
EDUCATIONL DIS: 0.00
LOAN DEFIT DIS: 0.00
IRA RCH PRI: 0.00
IRA RCH CURR: 0.00
EX-CON CURRENT: 0.00
EX-CON PRIOR: 0.00
EX-CON PRI ERN: 0.00
EX-CON OTI ERN: 0.00
EX-CON OTI ERN: 0.00
REDEMP WH: 0.00
ROTH NORM/EX: 0.00
FGN FID REDEMP: 0.00

ENDING BALANCE	ISSUED:	UNISSUED:	TOTAL SHARES:
	.0000	17,360,4960	17,360,4960

REPORT NUMBER: R01130 SOURCE PROGRAM: SSRYR036 JOB NAME/ID: DYYMU775/30751		2004 TRANSCRIPT		PAGE: 1 SUPER SHEET: 03/22/2005 CURRENT DATE: 03/22/2005 TIME: 18:19:46	
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R ACCOUNT NUMBER: 1012154-2		SSN-TAX ID/TIN: 1012154-2		SHAREOWNER MASTER RECORD MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS	
SHAREOWNER REGISTRATION SIMON A GOLDBERG 400 E 54TH ST APT 19C88 NEW YORK NY 10022-5164		DEALER NUMBER/BRANCH REP NUMBER/NAME DEALER REGISTRATION HOUSE / PLEASE PROVIDE YOUR FINANCIAL ADVISOR INFORMATION CHICAGO IL 60606		DATE ESTABLISHED 05/18/1988 ALPHA CODE GOLDBS/IMOA FIDUCIARY ACCOUNT NO PENALTY WITHHOLDING NO CAPITAL GAIN OPTION REINVEST DIVIDEND DISCOUNT REINVEST CUMULATIVE DISCOUNT MATRIX LEVEL O STATE/COUNTRY CODE 031 FRGN TAX RATE 00.0 SOCIAL CODE 005 B/C NOTICE NO / NO	
LAST MAINT DATE 08/23/1998 STOP MAIL DATE 00/00/0000		2004 CUTOFF DATES: INCOME DIV 12/31/2004 LTCG 12/31/2004 STCG 12/31/2004		BEG SHARES ISSUED: .0000 BEG SHARES UNISSUED: 17,360.4960	

SHAREOWNER TRANSACTION HISTORY										
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	SHARES	GROSS AMOUNT	COMMISSION AMOUNT	BATCH	DC	SHARE BALANCE
B QLF TC - TS						PRICE	PERCENT	CERT	ISS	
011 - 000	1	02/02/2004	02/02/2004	INCOME REINVEST O.044	69.6320 (+)	763.86		NO	0	17,430.1280
011 - 000	2	03/01/2004	03/01/2004	INCOME REINVEST O.044	68.9070 (+)	766.93		NO	0	17,499.0350
011 - 000	3	04/01/2004	04/01/2004	INCOME REINVEST O.044	69.9960 (+)	769.96		NO	0	17,569.0310
011 - 000	4	05/03/2004	05/03/2004	INCOME REINVEST O.044	72.3140 (+)	773.04		NO	0	17,641.3450
011 - 000	5	06/01/2004	06/01/2004	INCOME REINVEST O.044	73.5060 (+)	776.22		NO	0	17,714.8510
011 - 000	6	07/01/2004	07/01/2004	INCOME REINVEST O.044	73.4640 (+)	779.45		NO	0	17,788.3150
011 - 000	7	08/02/2004	08/02/2004	INCOME REINVEST O.044	72.9440 (+)	782.69		NO	0	17,861.2590
011 - 000	8	09/01/2004	09/01/2004	INCOME REINVEST O.044	72.1010 (+)	785.90		NO	0	17,933.3600
011 - 000	9	10/01/2004	10/01/2004	INCOME REINVEST O.044	72.5250 (+)	789.07		NO	0	18,005.8850
011 - 000	10	11/01/2004	11/01/2004	INCOME REINVEST O.044	72.2860 (+)	792.26		NO	0	18,078.1710
011 - 000	11	12/01/2004	12/01/2004	INCOME REINVEST O.0425	71.0750 (+)	768.32		NO	0	18,149.2460
069 - 001	12	12/01/2004	12/01/2004	SHRTTERM CG REIN O.0013	2.1740 (+)	23.50		NO	0	18,151.4200
011 - 000	13	12/27/2004	12/27/2004	INCOME REINVEST O.0425	70.7090 (+)	771.44		NO	0	18,222.1290
ENDING BALANCE							18,222.1290	TOTAL SHARES:		18,222.1290

REPORT NUMBER: R01130
SOURCE PROGRAM: SSRYR036
JOB NAME/ #: DYYMU775/30751
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:
TAX REPORTING TOTALS

2004 TRANSCRIPT
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS

PAGE: 2
DATE: 03/22/2005
CURRENT TIME: 18:19:46

FORM 1099 DIV TOTALS	23.50	FORM 5498/5498-ESA TOTALS	0.00	FORM 1099R/1099Q-ESA TOTALS	0.00
ORDINARY INCOME (BOX 1A)	0.00	IRA CONTRIB	0.00	PREMATURE DIS	0.00
QUALIFIED DIVS (BOX 1B)	0.00	ROLLVR CONTRIB	0.00	PRE EXEMPT DIS	0.00
TOTAL CAPITAL GAINS (BOX 2A)	0.00	IRA RECHAR CNB	0.00	DISABILITY DIS	0.00
SCT 1250 CAP GAINS (BOX 2B)	0.00	ROTH GNV CNTRB	0.00	DEATH DIS	0.00
28 PERCENT CAP GAIN (BOX 2D)	0.00	ACCOUNT VALUE	199.714.53	PROHIBITED DIS	0.00
NON-TAXABLE DIST (BOX 3)	0.00	TYPE OF IRA	IRA NA	NORMAL DIS	0.00
FEDERAL TAX W/HELD (BOX 4)	0.00	SEP CONTRIB	0.00	EXCESS DIS	0.00
FOREIGN TAX PAID (BOX 6)	0.00	SIMPLE CONTRIB	0.00	ROLL OVERS	0.00
LIQUID DIST CASH (BOX 8)	0.00	ROTH IRA CONTRIB	0.00	401K EXCESS	0.00
FORM 1099B TOTALS	0.00	EDUCATIONL CNB	0.00	PRE DIS SMPLE	0.00
1099B GROSS WITHDRAWALS	0.00	RMD REQUIRED	N	EDUCATIONL DIS	0.00
1099B GROSS REDEMPTIONS	0.00			LOAN DEFLT DIS	0.00
				IRA RCH PRI	0.00
				EX-CON CURRENT	0.00
				ROTH NORM/EX	0.00
				EX-CON CUR ERN	0.00
				EX-CON PRI OR	0.00
				EX-CON PRI ERN	0.00
				EX-CON OTP ERN	0.00
				REDEMP WH	0.00
				IRA RCH CURR	0.00

FORM 10425 TOTALS
INCOME DIVIDEND
LONG TRM CAP GAIN
SHORT TRM CAP GAIN
CAPITAL SURPLUS
FOREIGN FID REDEMPTION
TAXABLE INCOME DIVIDEND
1099R/1099Q-ESA UNIQUE IDENTIFIER
STATE QRA

NO 1099R/1099Q-ESA RECORDS FOR ACCOUNT
1099B UNIQUE IDENTIFIERS ARE LISTED IN TRANSACTION HISTORY DETAIL
NOTE: THE TRANSACTION MAY HAVE BEEN CANCELLED AFTER IT WAS ORIGINALLY REPORTED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

REPORT NUMBER: R01130
SOURCE PROGRAM: SSRYR036
JOB NAME/ #: DYYMU775/18316
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R
ACCOUNT NUMBER: 1012154-2
SSN-TAX ID/TIN:
SHAREOWNER REGISTRATION
SIMON A GOLDBERG
400 E. 54TH ST. APT. 19C8B
NEW YORK NY 10022-5164
2005 TRANSCRIPT
SHAREOWNER MASTER RECORD
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:
DEALER NUMBER/BRANCH
448/000
HOUSE /
REP NUMBER/NAME
PLEASE PROVIDE
DEALER REGISTRATION
YOUR FINANCIAL
ADVISOR INFORMATION
CHICAGO IL 60606

DATE ESTABLISHED 05/18/1988
ALPHA CODE NO
FIDUCIARY ACCOUNT NO
PENALTY WITHHOLDING NO
CAPITAL GAIN OPTION NO
DIVIDEND OPTION NO
CUMULATIVE DISCOUNT O
STATE/COUNTRY LEVEL O
FRGN TAX RATE O
SOCIAL CODE 005
B/C NOTICE NO / NO
DATE 03/10/2006
PAGE: 1
SUPER SHEET DATE: 03/13/2006
CURRENT TIME: 10:20:08

LAST MAINT DATE 08/23/1998 STOP MAIL DATE 00/00/0000
2005 CUTOFF DATES: INCOME DIV 12/31/2005 LTCG 12/31/2005 STCG 12/31/2005
BEG SHARES ISSUED: 18,222.1290
BEG SHARES UNISSUED: 18,222.1290

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRANSACTION DESCRIPTION	SHARES	PRICE	GROSS AMOUNT	COMMISSION AMOUNT	BATCH	DC
B	QLF TC - TS	TRADE DATE					PERCENT	CERT	ISS
	011 - 000	10 02/01/2005	INCOME REINVEST O.0425	70.1490 (+)	774.44	11.0400		NO	0
	011 - 000	11 03/01/2005	INCOME REINVEST O.0425	71.0620 (+)	777.42	10.9400		NO	0
	011 - 000	12 04/01/2005	INCOME REINVEST O.0425	71.9300 (+)	780.44	10.8500		NO	0
	011 - 000	13 05/02/2005	INCOME REINVEST O.0425	71.3570 (+)	783.50	10.9800		NO	0
	011 - 000	14 06/01/2005	INCOME REINVEST O.0405	67.8300 (+)	749.52	11.0500		NO	0
	011 - 000	15 07/01/2005	INCOME REINVEST O.0405	68.0170 (+)	752.27	11.0600		NO	0
	011 - 000	16 08/01/2005	INCOME REINVEST O.0405	68.8890 (+)	755.02	10.9600		NO	0
	011 - 000	17 09/01/2005	INCOME REINVEST O.0405	68.4560 (+)	757.81	11.0700		NO	0
	011 - 000	18 10/03/2005	INCOME REINVEST O.0405	69.6500 (+)	760.58	10.9200		NO	0
	011 - 000	19 11/01/2005	INCOME REINVEST O.0405	70.5550 (+)	763.40	10.8200		NO	0
	011 - 000	20 12/01/2005	INCOME REINVEST O.0385	67.5710 (+)	728.42	10.7800		NO	0
	089 - 001	21 12/01/2005	SHRTTERM CG REIN O.0001	.1750 (+)	1.89	10.7800		NO	0
	012 - 000	22 12/01/2005	CAP GAIN REIN O.0475	83.3670 (+)	898.70	10.7800		NO	0
	011 - 000	23 12/27/2005	INCOME REINVEST O.0385	67.7970 (+)	734.24	10.8300		NO	0
ENDING BALANCE							19,138.9340	TOTAL SHARES:	
							19,138.9340		

REPORT NUMBER: R01130
SOURCE PROGRAM: SSRYRO36
JOB NAME/#: DYMU775/18316
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:
TAX REPORTING TOTALS
FORM 1099 DIV TOTALS (BOX 1A) :
ORDINARY INCOME (BOX 1B) :
QUALIFIED DIVS (BOX 2A) :
TOTAL CAPITAL GAINS (BOX 2B) :
SCT 1250 CAP GAIN (BOX 2D) :
28 PERCENT CAP GAIN (BOX 3) :
NON-TAXABLE DIST (BOX 4) :
FEDERAL TAX W/HELD (BOX 6) :
FOREIGN TAX CASH (BOX 8) :
LIQUID DIST NONCASH (BOX 9) :
FORM 1099B TOTALS
1099B GROSS REDEMPTIONS :
1099B GROSS WITHHOLDING :
FORM 1042S TOTALS
INCOME DIVIDEND :
LONG TRM CAP GAIN :
SHORT TRM CAP GAIN :
CAPITAL SURPLUS :
FOREIGN WITHHOLDING :
FOREIGN FID REDEMPTION :
TAXABLE INCOME DIVIDEND :
STATE :
1099R/1099Q-ESA UNIQUE IDENTIFIER IDENTIFIER
NO 1099R/1099Q-ESA RECORDS FOR ACCOUNT
1099B UNIQUE IDENTIFIERS ARE LISTED IN TRANSACTION HISTORY DETAIL
1099D1V AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

2005 TRANSCRIPT

MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:

SUPER SHEET DATE: 03/10/2006
CURRENT DATE: 03/13/2006
TIME: 10:20:08

FORM 5498/5498-ESA TOTALS
IRA CONTRIB : 1.89
Rollover CONTRIB : 0.00
Roth RCHAR CNB : 898.70
Roth RCHV CNB : 0.00
ACCOUNT VALUE : 0.00
TYPE OF IRA : IRA NA
SEP : 0.00
SIMPLE CONTRIB : 0.00
Roth IRA CONTRIB : 0.00
EDUCATIONL CNB : 0.00
RMD REQUIRED : N
FORM 1099R/1099Q-ESA TOTALS
PREMATURE DIS : 0.00
PRE EXEMPT DIS : 0.00
DISABILITY DIS : 0.00
DEATH DIS : 0.00
PROHIBITED DIS : 0.00
NORMAL DIS : 207,466.04
EXCESS DIS : 0.00
ROLLOVERS DEATH : 0.00
Roth ACCESS DEATH : 0.00
PRE EXCESS DEATH : 0.00
EDUCATIONL DIS : 0.00
LOAN DEFT DIS : 0.00
IRA RCH PRI : 0.00
EX-CON CURRENT : 0.00
Roth NORM/EX : 0.00
EX-CON CUR/ERN : 0.00
EX-CON PRI/ERN : 0.00
EX-CON OT/ERN : 0.00
REDEMP WH : 0.00
IRA RCH CURR : 0.00

REPORT NUMBER: R01130
SOURCE PROGRAM: SSRYRO36
JOB NAME/ #: DYM775/59267
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:
SHAREOWNER REGISTRATION
SIMON A GOLDBERG
400 E 54TH ST APT 19C88
NEW YORK NY 10022-5164
DEALER NUMBER/BRANCH
REP NUMBER/NAME
DEALER REGISTRATION
HOUSE
PLEASE PROVIDE
YOUR FINANCIAL
ADVISOR INFORMATION
CHICAGO IL 60606
2006 TRANSCRIPT
SHAREOWNER MASTER RECORD
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:
DATE ESTABLISHED 05/18/1988
ALPHA CODE NO
FIDUCIARY ACCOUNT NO
PENALTY WITHHOLDING NO
CAPITAL GAIN OPTION NO
DIVIDEND DISCOUNT O
CUMULATIVE DISCOUNT O
STATE/MATERIALITY LEVEL O
COUNTRY CODE O31
FRS TAX RATE O00
SOCIAL CODE O05
B/C NOTICE NO / NO

LAST MAINT DATE 08/23/1998 STOP MAIL DATE 00/00/0000
2006 CUTOFF DATES: INCOME DIV 12/31/2006 LTCG 12/31/2006 STCG 12/31/2006
PEND: 762-02/02/2007
BEG SHARES ISSUED: 0000
BEG SHARES UNISSUED: 19,138.9340

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRANSACTION DESCRIPTION	GROSS AMOUNT	COMMISSION AMOUNT	BATCH	DC	SHARE	BALANCE
B	QLF TC - TS	TRADE DATE	SHARES	PRICE	PERCENT	CERT	ISS		
	011 - 11	02/01/2006	INCOME REINVEST 0.0385	736.85		NO	0	19,207.0980	
	011 - 000	02/01/2006	68.1640 (+)	10.8100					
	011 - 12	03/01/2006	INCOME REINVEST 0.0385	739.47		NO	0	19,275.1890	
	011 - 000	03/01/2006	68.0910 (+)	10.8600					
	011 - 13	04/03/2006	INCOME REINVEST 0.0385	742.09		NO	0	19,344.2850	
	011 - 000	04/03/2006	69.0960 (+)	10.7400					
	011 - 14	05/01/2006	INCOME REINVEST 0.0385	744.75		NO	0	19,414.0840	
	011 - 000	05/01/2006	69.7990 (+)	10.6700					
	011 - 15	06/01/2006	INCOME REINVEST 0.0385	747.44		NO	0	19,483.8730	
	011 - 000	06/01/2006	69.7890 (+)	10.7100					
	011 - 16	07/03/2006	INCOME REINVEST 0.0385	750.13		NO	0	19,554.4400	
	011 - 000	07/03/2006	70.5670 (+)	10.6300					
	011 - 17	08/01/2006	INCOME REINVEST 0.0385	752.85		NO	0	19,624.6690	
	011 - 000	08/01/2006	70.2290 (+)	10.7200					
	011 - 18	09/01/2006	INCOME REINVEST 0.0385	755.55		NO	0	19,694.3050	
	011 - 000	09/01/2006	69.6360 (+)	10.8500					
	011 - 19	10/02/2006	INCOME REINVEST 0.0385	758.23		NO	0	19,763.9950	
	011 - 000	10/02/2006	69.6900 (+)	10.8800					
	011 - 20	11/01/2006	INCOME REINVEST 0.0385	760.91		NO	0	19,833.6750	
	011 - 000	11/01/2006	69.6800 (+)	10.9200					
	011 - 100	12/01/2006	SP TXBL DIV REIN .5430 (+)	5.95		9999889	88	19,834.2180	
	011 - 000	12/01/2006	INCOME REINVEST 0.0385	763.60		NO	0	19,903.9540	
	011 - 000	12/01/2006	69.7360 (+)	10.9500					
	012 - 23	12/01/2006	CAP GAIN REIN 0.0112	222.14		NO	0	19,924.2410	
	011 - 000	12/01/2006	20.2870 (+)	10.9500					
	011 - 24	12/27/2006	INCOME REINVEST 0.0385	767.08		NO	0	19,994.8750	
	011 - 000	12/27/2006	70.6340 (+)	10.8600					

ENDING BALANCE ISSUED: .0000 UNISSUED: 19,994.8750 TOTAL SHARES: 19,994.8750

REPORT NUMBER: R01130
SOURCE PROGRAM: SSRYR036
JOB NAME/#: DYYMU775/59267

FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:
TAX REPORTING TOTALS

2006 TRANSCRIPT
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:
PAGE: 2
DATE: 03/28/2007
CURRENT TIME: 04:38:42

FORM 1099 DIV TOTALS
ORDINARY INCOME (BOX 1A) : 0.00
QUALIFIED DIVS (BOX 1B) : 0.00
TOTAL CAPITAL GAINS (BOX 2A) : 0.00
SCT 1250 CAP GAINS (BOX 2B) : 0.00
28 PERCENT CAP GAIN (BOX 2D) : 0.00
NON-TAXABLE DIST (BOX 3) : 0.00
FEDERAL TAX W/HELD (BOX 4) : 0.00
FOREIGN TAX PAID (BOX 5) : 0.00
LIQUID DIST CASH (BOX 6) : 0.00
LIQUID DIST NONCASH (BOX 8) : 0.00
FORM 1099B TOTALS : 0.00
1099B GROSS REDEMPTIONS : 0.00
1099B GROSS WITHHOLDING : 0.00

FORM 5498/5498-ESA TOTALS
IRA CONTRIB : 0.00
ROLLVR CONTRIB : 0.00
IRA RECHAR CNB : 0.00
ROTH CNV CNTRB : 0.00
ACCOUNT VALUE : 216,944.39
TYPE OF IRA : IRA NA
SEP CONTRIB : 0.00
SIMPLE CONTRIB : 0.00
ROTH IRA CNTRB : 0.00
EDUCATIONL CNB : 0.00
RMD REQUIRED : N

FORM 1099R/1099Q-ESA TOTALS
PREMATURE DIS : 0.00
PRE EXEMPT DIS : 0.00
DISABILITY DIS : 0.00
DEATH DIS : 0.00
PROHIBITED DIS : 0.00
NORMAL DIS : 0.00
EXCESS DIS : 0.00
ROLLOVERS : 0.00
415 EXCESS DEF : 0.00
PRE DIS SIMPLE : 0.00
EDUCATIONL DIS : 0.00
LOAN DEFLT DIS : 0.00
IRA RCH PRI : 0.00
EX-CON CURRENT : 0.00
ROTH NORM/EX : 0.00
EX-CON CUR ERN : 0.00
EX-CON PRI ERN : 0.00
EX-CON OTT ERN : 0.00
EX-CON WH ERN : 0.00
REDEMP WH : 0.00
IRA RCH CURR : 0.00

FORM 10425 TOTALS
INCOME DIVIDEND : 0.00
LONG TERM CAP GAIN : 0.00
SHORT TERM CAP GAIN : 0.00
PRE EXEMPT DIVIDENDS : 0.00
FOREIGN WITHHOLDING : 0.00
FOREIGN FID REDEMPTION : 0.00
TAXABLE INCOME DIVIDEND : 0.00
1099R/1099Q-ESA UNIQUE IDENTIFIER :
STATE :
NO 1099R/1099Q-ESA RECORDS FOR ACCOUNT
1099B UNIQUE IDENTIFIERS ARE LISTED IN TRANSACTION HISTORY DETAIL
NOTE: THE TRANSACTION MAY HAVE BEEN CANCELLED AFTER IT WAS ORIGINALLY REPORTED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

2007 TRANSCRIPT									
REPORT NUMBER: R01130	SHAREOWNER MASTER RECORD	FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R							
SOURCE PROGRAM: SSRYR036	SSN-TAX ID/TIN: 1012154-2	DEALER NUMBER/BRANCH	REP NUMBER/NAME	HOUSE	448/000	DATE ESTABLISHED	ALPHA CODE	GOLDBS/IMO	PAGE: 1
JOB NAME/ #: DYM775/31801	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	FIDUCIARY ACCOUNT	NO	03/27/2008
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	PENALTY WITHHOLDING	NO	03/27/2008
ACCOUNT NUMBER: 1012154-2	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	CAPITAL GAIN OPTION	REINVEST	18:57:56
SHAREOWNER REGISTRATION	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	DIVIDEND DISCOUNT	REINVEST	
SIMON A GOLDBERG	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	CUMULATIVE DISCOUNT	REINVEST	
400 E 54TH ST APT 19C88	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	MATRIX LEVEL	0	
NEW YORK NY 10022-5164	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	STATE/COUNTRY CODE	031	
	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	FRGN TAX RATE	005	
	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	SOCIAL CODE	NO	
	DEALER REGISTRATION	TRUST	TPA	0000000	0000000	05/18/1988	B/C NOTICE	NO	
LAST MAINT DATE 08/23/1998	STOP MAIL DATE 00/00/0000								
2007 CUTOFF DATES: INCOME DIV 12/31/2007 LTCG 12/31/2007 STCG 12/31/2007									
PEND: 762-02/02/2007									
SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	GROSS AMOUNT	PRICE	COMMISSION AMOUNT	BATCH DC	SHARE BALANCE
8 QLF TC - TS				SHARES			PERCENT	CERT ISS	
011 - 000	11	02/01/2007	02/01/2007	INCOME REINVEST 0.0385	769.82	10.7900	0	0	20,066.2210
011 - 000	12	03/01/2007	03/01/2007	INCOME REINVEST 0.0385	772.55	10.8800	0	0	20,137.2270
011 - 000	13	04/02/2007	04/02/2007	INCOME REINVEST 0.0385	775.28	10.8200	0	0	20,208.8790
011 - 000	14	05/01/2007	05/01/2007	INCOME REINVEST 0.0385	778.04	10.8200	0	0	20,280.7870
011 - 000	15	06/01/2007	06/01/2007	INCOME REINVEST 0.0385	780.81	10.7000	0	0	20,353.7600
011 - 000	16	07/02/2007	07/02/2007	INCOME REINVEST 0.0385	783.62	10.6300	0	0	20,427.4780
011 - 000	17	08/01/2007	08/01/2007	INCOME REINVEST 0.0385	786.46	10.6400	0	0	20,501.3930
011 - 000	18	09/04/2007	09/04/2007	INCOME REINVEST 0.0385	789.30	10.5700	0	0	20,576.0670
011 - 000	19	10/01/2007	10/01/2007	INCOME REINVEST 0.0385	792.18	10.6900	0	0	20,650.1720
011 - 000	20	11/01/2007	11/01/2007	INCOME REINVEST 0.0385	795.03	10.6900	0	0	20,724.5430
012 - 000	21	11/13/2007	11/13/2007	CAP GAIN REIN 0.0056	116.06	10.6000	9999888	0	20,735.4920
011 - 100	22	11/13/2007	11/13/2007	SP TXBL DIV REIN 0.0029	60.10	10.6000	9999888	0	20,741.1620
011 - 000	23	12/03/2007	12/03/2007	INCOME REINVEST 0.0385	798.53	10.7100	9999888	0	20,815.7210
011 - 000	24	12/27/2007	12/27/2007	INCOME REINVEST 0.0385	801.41	10.6300	9999888	0	20,891.1120
ENDING BALANCE									
					20,891.1120				20,891.1120

REPORT NUMBER: R01130	2007 TRANSCRIPT	PAGE: 2
SOURCE PROGRAM: SSRYR036		DATE: 03/27/2008
JOB NAME/ID: DYYMU775/31801		TIME: 18:57:56
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND R	MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS	
ACCOUNT NUMBER: 1012154-2	BIN NUMBER:	
TAX REPORTING TOTALS		
FORM 1099 DIV TOTALS		
ORDINARY INCOME (BOX 1A)	60.10	0.00
QUALIFIED DIVS (BOX 1B)	0.00	0.00
TOTAL CAPITAL GAINS (BOX 2A)	116.06	0.00
28 PERCENT CAP GAIN (BOX 2B)	0.00	0.00
NON-TAXABLE DIST (BOX 3)	0.00	0.00
FEDERAL TAX W/HELD (BOX 4)	0.00	0.00
FOREIGN TAX PAID (BOX 5)	0.00	0.00
LIQUID DIST CASH (BOX 6)	0.00	0.00
LIQUID DIST NONCASH (BOX 8)	0.00	0.00
FORM 1099B TOTALS	0.00	0.00
1099B GROSS REDEMPTIONS	0.00	0.00
1099B GROSS WITHHOLDING	0.00	0.00
FORM 1042S TOTALS		
INCOME DIVIDEND	0.00	0.00
LONG TERM CAP GAIN	0.00	0.00
SHORT TERM CAP GAIN	0.00	0.00
Q11 EXEMPT DIVIDENDS	0.00	0.00
FOREIGN WITHHOLDING	0.00	0.00
FOREIGN FID REDEMPTION	0.00	0.00
CAP SURPLUS	0.00	0.00
TAXABLE INCOME DIVIDEND	0.00	0.00
1099R/1099Q-ESA UNIQUE IDENTIFIER	STATE	ORA
NO 1099R/1099Q-ESA RECORDS FOR ACCOUNT		
1099B UNIQUE IDENTIFIERS ARE LISTED IN TRANSACTION HISTORY DETAIL		
NOTE: THE TRANSACTION MAY HAVE BEEN CANCELLED AFTER IT WAS ORIGINALLY REPORTED		
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA		
FORM 5498/5498-ESA TOTALS		
IRA CONTRIB	0.00	0.00
ROLLVR CONTRIB	0.00	0.00
IRA RECHAR CNB	0.00	0.00
ROTH CNV CNTRB	0.00	0.00
ACCOUNT VALUE	222.908.17	0.00
TYPE OF IRA	IRA NA	0.00
SEP CONTRIB	0.00	0.00
SIMPLE CONTRIB	0.00	0.00
ROTH IRA CNTRB	0.00	0.00
EDUCATIONL CNB	0.00	0.00
RMD REQUIRED	N	0.00
FORM 1099R/1099Q-ESA TOTALS		
PREMATURE DIS	0.00	0.00
PRE EXEMPT DIS	0.00	0.00
DISABILITY DIS	0.00	0.00
DEATH DIS	0.00	0.00
PROHIBITED DIS	0.00	0.00
NORMAL DIS	0.00	0.00
EXCESS DIS	0.00	0.00
ROLLOVERS	0.00	0.00
415 EXCESS DEF	0.00	0.00
ROLL IRA DEATH	0.00	0.00
PRE DIS SIMPLE	0.00	0.00
EDUCATIONL DIS	0.00	0.00
LOAN DEFLT DIS	0.00	0.00
IRA RCH PRI	0.00	0.00
EX-CON CURRENT	0.00	0.00
ROTH NORM/EX	0.00	0.00
EX-CON CUR ERN	0.00	0.00
EX-CON PRI ERN	0.00	0.00
EX-CON OTT	9.423.03	0.00
EX-CON OTT ERN	544.63	0.00
REDEMP WH	0.00	0.00
IRA RCH CURR	0.00	0.00

2008 TRANSCRIPT											
REPORT NUMBER:	601130	SHAREOWNER MASTER RECORD	SHAREOWNER MASTER RECORD	SHAREOWNER MASTER RECORD	SHAREOWNER MASTER RECORD	SHAREOWNER MASTER RECORD	SHAREOWNER MASTER RECORD	SHAREOWNER MASTER RECORD	SHAREOWNER MASTER RECORD		
SOURCE PROGRAM:	SSRYR036	SSN-TAX ID/TIN:	SSN-TAX ID/TIN:	SSN-TAX ID/TIN:	SSN-TAX ID/TIN:	SSN-TAX ID/TIN:	SSN-TAX ID/TIN:	SSN-TAX ID/TIN:	SSN-TAX ID/TIN:		
JOB NAME/ID:	DTYMU775/50915	DEALER REGISTRATION	DEALER REGISTRATION	DEALER REGISTRATION	DEALER REGISTRATION	DEALER REGISTRATION	DEALER REGISTRATION	DEALER REGISTRATION	DEALER REGISTRATION		
FUND CODE/NAME:	1689/NUVEEN NEW YORK MUNI BOND	REP NUMBER/NAME	REP NUMBER/NAME	REP NUMBER/NAME	REP NUMBER/NAME	REP NUMBER/NAME	REP NUMBER/NAME	REP NUMBER/NAME	REP NUMBER/NAME		
ACCOUNT NUMBER:	1012154-2	TPA	TPA	TPA	TPA	TPA	TPA	TPA	TPA		
SHAREOWNER REGISTRATION	400 E 54TH ST APT 19C88	CHICAGO IL 60606	CHICAGO IL 60606	CHICAGO IL 60606	CHICAGO IL 60606	CHICAGO IL 60606	CHICAGO IL 60606	CHICAGO IL 60606	CHICAGO IL 60606		
LAST MAINT DATE	08/23/1998	STOP MAIL DATE	00/00/0000	STOP MAIL DATE	00/00/0000	STOP MAIL DATE	00/00/0000	STOP MAIL DATE	00/00/0000		
2008 CUTOFF DATES: INCOME DIV 12/31/2008 LTCG 12/31/2008 STCG 12/31/2008											
PEND: 998-05/21/2009 762-02/02/2007											
SHAREOWNER TRANSACTION HISTORY											
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	SHARES	PRICE	GROSS AMOUNT	COMMISSION AMOUNT	BATCH	DC	SHARE BALANCE
B	QLF TC - TS										
	011 - 000	02/01/2008	01/31/2008	INCOME REINVEST O.0385	74.8200 (+)	804.31	10.7500		9999888	0	20,965.9320
	011 - 000	03/03/2008	02/29/2008	INCOME REINVEST O.0385	79.3700 (+)	807.19	10.1700		9999888	0	21,045.3020
	011 - 000	04/01/2008	03/31/2008	INCOME REINVEST O.0385	77.7580 (+)	810.24	10.4200		9999888	0	21,123.0600
	011 - 000	05/01/2008	04/30/2008	INCOME REINVEST O.0385	77.1570 (+)	813.24	10.5400		9999888	0	21,200.2170
	011 - 000	06/02/2008	05/30/2008	INCOME REINVEST O.0385	77.1470 (+)	816.21	10.5800		9999888	0	21,277.3640
	011 - 000	07/01/2008	06/30/2008	INCOME REINVEST O.0385	78.5410 (+)	819.18	10.4300		9999888	0	21,355.9050
	011 - 000	08/01/2008	07/31/2008	INCOME REINVEST O.0385	79.0580 (+)	822.20	10.4000		9999888	0	21,434.9630
	011 - 000	09/02/2008	08/29/2008	INCOME REINVEST O.0385	78.8200 (+)	825.25	10.4700		9999888	0	21,513.7830
	011 - 000	10/01/2008	09/30/2008	INCOME REINVEST O.0385	84.0890 (+)	828.28	9.8500		9999888	0	21,597.8720
	011 - 000	11/03/2008	10/31/2008	INCOME REINVEST O.0385	87.2530 (+)	831.52	9.5300		9999888	0	21,685.1250
	012 - 000	11/12/2008	11/11/2008	CAP GAIN REIN O.0234	52.5290 (+)	507.43	9.6600		9999888	0	21,737.6540
	069 - 001	11/12/2008	11/11/2008	SHRTTERM CG REIN O.0126	28.2850 (+)	273.23	9.6600		9999888	0	21,765.9390
	011 - 000	12/01/2008	11/28/2008	INCOME REINVEST O.0385	88.7700 (+)	837.99	9.4400		9999888	0	21,854.7090
	011 - 000	12/26/2008	12/24/2008	INCOME REINVEST O.0385	90.9630 (+)	841.41	9.2500		9999888	0	21,945.6720
ENDING BALANCE											
ISSUED: .0000 UNISSUED: 21,945.6720 TOTAL SHARES: 21,945.6720											

REPORT NUMBER: R01130
SOURCE PROGRAM: SSRYR036
JOB NAME/#: DYYMU775/50915

2008 TRANSCRIPT
SUPER SHEET
CURRENT

FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2
SSN-TAX ID/TIN:

PAGE: 2
DATE: 06/05/2009
TIME: 15:34:22

TAX REPORTING TOTALS		MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS		BIN NUMBER:	
FORM 1099 DIV TOTALS		FORM 5498/5498-ESA TOTALS		FORM 1099R/1099Q-ESA TOTALS	
ORDINARY INCOME (BOX 1A)	273.23	IRA CONTRIB:	0.00	PREMATURE DIS:	0.00
QUALIFIED DIVIDENDS (BOX 1B)	0.00	ROLLVR CONTRIB:	0.00	PRE EXEMPT DIS:	0.00
TOTAL CAPITAL GAINS (BOX 2A)	507.43	IRA RECHAR CNB:	0.00	DISABILITY DIS:	0.00
SHORT TERM CAPITAL GAINS (BOX 2B)	0.00	ROTH CNV CNTRB:	0.00	DEATH DIS:	0.00
28 PERCENT CAPITAL GAINS (BOX 2D)	0.00	ACCOUNT VALUE:	205.630.95	PROHIBITED DIS:	0.00
NON-TAXABLE DIVIDENDS (BOX 3)	0.00	TYPE OF IRA:	IRA NA	NORMAL DIS:	0.00
FEDERAL TAX WITHHELD (BOX 4)	0.00	SIMPLE CONTRIB:	0.00	EXCESS DIS:	0.00
FOREIGN TAX WITHHELD (BOX 5)	0.00	ROTH IRA CONTRIB:	0.00	ROLL OVERS:	0.00
LIQUID DIST CASH (BOX 6)	0.00	EDUCATIONL CNB:	0.00	415 EXCESS DEF:	0.00
LIQUID DIST NONCASH (BOX 9)	0.00	RMD REQUIRED:	N	PRE DIS SIMPLE:	0.00
FORM 1099B TOTALS	0.00			EDUCATIONL DIS:	0.00
1099B GROSS REDEMPTIONS	0.00			LOAN DEFLT DIS:	0.00
1099B GROSS WITHHELDING	0.00			IRA RCH PRI:	0.00
FORM 1042S TOTALS		FORM 1099 INT TOTALS			
INCOME DIVIDEND	0.00	INC DIV (BOX 1)	0.00	EX-CON CURRENT:	0.00
LONG TERM CAP GAIN	0.00	TAX WTH (BOX 4)	0.00	EQUIT NORM:	0.00
SHORT TERM CAP GAIN	0.00	FGN TAX (BOX 6)	0.00	EX-CON PRI ORN:	0.00
Q11 EXEMPT DIVIDENDS	0.00	EXMT INT (BOX 8)	0.00	EX-CON PRI ORN:	0.00
FOREIGN WITHHELDING	0.00	AMT INT (BOX 9)	9.857.02	EX-CON OTP:	0.00
FOREIGN FID REDEMPTION	0.00		492.85	EX-CON WH:	0.00
CAP SURPLUS	0.00			REDEMP:	0.00
TAXABLE INCOME DIVIDEND	0.00			IRA RCH CURR:	0.00
1099R/1099Q-ESA UNIQUE IDENTIFIER					
STATE					

NO 1099R/1099Q-ESA RECORDS FOR ACCOUNT
1099B UNIQUE IDENTIFIERS ARE LISTED IN TRANSACTION HISTORY DETAIL
NOTE: THE TRANSACTION MAY HAVE BEEN CANCELLED AFTER IT WAS ORIGINALLY REPORTED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

FUND **NUVEEN NEW YORK TAX-FREE VALUE, R**

STATEMENT OF ACCOUNT AS OF **12/31/96**

TAX I.D. OR SOC. SEC. NO. [REDACTED]

ACCOUNT NUMBER **038 0095529608**

DEALER NUMBER **0045 00 9999**

REP. NAME **HOUSE**

A. E. NUMBER **HOUSE**

SIMON A GOLDBERG
400 EAST 54 ST APT 19 C 88
NEW YORK NY 10022-5164

**TO HELP US SERVE YOU BETTER
PLEASE PROVIDE THE NAME OF YOUR
FINANCIAL ADVISER. CALL
800-621-7227 OR RETURN THE
COMPLETED ADVISER INFORMATION
REQUEST PROVIDED.**

TRANSACTION DATE	TYPE OF TRANSACTION	DOLLAR AMOUNT OF TRANSACTION	SHARE PRICE	SHARES THIS TRANSACTION	TOTAL SHARES OWNED
02 01 96	BALANCE FORWARD				11 124 793
03 01 96	DIV REIN \$.0485 /SH	539 55	10 76	50 144	11 174 937
04 01 96	DIV REIN \$.0485 /SH	541 98	10 68	50 747	11 225 684
05 01 96	DIV REIN \$.0485 /SH	544 45	10 45	52 100	11 277 784
06 03 96	DIV REIN \$.0485 /SH	546 97	10 36	52 796	11 330 580
07 01 96	DIV REIN \$.0485 /SH	549 53	10 31	53 301	11 383 881
08 01 96	DIV REIN \$.0485 /SH	552 12	10 38	53 191	11 437 072
09 03 96	DIV REIN \$.0485 /SH	554 70	10 51	52 778	11 489 850
10 01 96	DIV REIN \$.0485 /SH	557 26	10 39	53 634	11 543 484
11 01 96	DIV REIN \$.0485 /SH	559 86	10 53	53 168	11 596 652
12 02 96	DIV REIN \$.0485 /SH	562 44	10 57	53 211	11 649 863
12 02 96	DIV REIN \$.0485 /SH	565 02	10 66	53 004	11 702 867
12 02 96	ST CAP GAIN/MKT DISC REINVEST \$.0041 /SH	47 76	10 66	4 480	11 707 347
12 02 96	CAP GAIN REINVEST \$.0391 /SHR	455 51	10 66	42 731	11 750 078
12 31 96	DIV REIN \$.0485 /SH	569 88	10 57	53 915	11 803 993
DISTRIBUTION OPTIONS	DIVIDENDS/SHORT-TERM CAPITAL GAIN REINVEST	LONG-TERM CAPITAL GAIN REINVEST	CERTIFICATED SHARES	BOOK SHARES	
			.000	11,803.993	

PLEASE RETAIN THIS STATEMENT AS A RECORD OF YOUR TRANSACTIONS.

DURING 1996, YOUR ACCOUNT EARNED A TOTAL OF \$7,147.03. OF THIS TOTAL, \$6,643.76 REPRESENTS TAX-FREE INCOME. THE REMAINING AMOUNT REPRESENTS TAXABLE ORDINARY INCOME AND/OR CAPITAL GAINS WHICH IS PRESENTED ON YOUR FORM 1099-DIV BELOW. PLEASE NOTE THAT ALTHOUGH THE TAX-FREE INCOME IS ENTIRELY EXEMPT FROM FEDERAL AND STATE INCOME TAXES, INCLUDING THE ALTERNATIVE MINIMUM TAX, IT MUST BE REPORTED ON YOUR FEDERAL INCOME TAX RETURN.

IMPORTANT TAX RETURN DOCUMENT ATTACHED. PLEASE RETAIN FOR TAX REPORTING PURPOSES.

PAYER'S NAME AND ADDRESS VALUE FUND R c/o SHAREHOLDER SERVICES, INC. P.O. BOX 5330 DENVER, CO 80217-5330		1a. GROSS DIVIDENDS AND OTHER DISTRIBUTIONS ON STOCK (TOTAL OF 1b, 1c, AND 1d) \$503.27	1996 FORM 1099-DIV Dividends and Distributions <small>OMB No. 1545-0110</small>
PAYER'S FEDERAL IDENTIFICATION NUMBER 36-3481090	RECIPIENT'S IDENTIFICATION NUMBER 095-52-9608	1b. ORDINARY DIVIDENDS \$47.76	
RECIPIENT'S NAME AND ADDRESS SIMON A GOLDBERG 400 EAST 54 ST APT 19 C 88 NEW YORK NY 10022-5164		1c. CAPITAL GAIN DISTRIBUTIONS \$455.51	Copy B For Recipient <small>THIS IS IMPORTANT TAX INFORMATION AND IS BEING FURNISHED TO THE INTERNAL REVENUE SERVICE. IF YOU ARE REQUIRED TO FILE A RETURN, A NEGLIGENCE PENALTY OR OTHER SANCTION MAY BE IMPOSED ON YOU IF THIS DIVIDEND INCOME IS TAXABLE. AND THE IRS DETERMINES THAT IT HAS NOT BEEN REPORTED.</small>
		1d. NONTAXABLE DISTRIBUTIONS \$0.00	
ACCOUNT NUMBER 038 0095529608		2. FEDERAL INCOME TAX WITHHELD \$0.00	

STATEMENT OF ACCOUNT AS OF **12/29/95**
 TAX I.D. OR SOC. SEC. NO. **[REDACTED]**
 ACCOUNT NUMBER **038 0095529608**

DEALER NUMBER **0045 00 9999**
 REP. NAME **HOUSE**
 A. E. NUMBER **HOUSE**

SIMON A GOLDBERG
400 EAST 54 ST APT 19 C 88
NEW YORK NY 10022-5164

TO HELP US SERVE YOU BETT
PLEASE PROVIDE THE NAME O
SECURITIES REPRESENTATIVE
800-621-7227 OR RETURN TH
COMPLETED DEALER INFORMAT
REQUEST PROVIDED

TRANSACTION DATE	TYPE OF TRANSACTION	DOLLAR AMOUNT OF TRANSACTION	SHARE PRICE	SHARES THIS TRANSACTION	TOTAL SHARES OWNED
02 01 95	BALANCE FORWARD				10 478 740
03 01 95	DIV REIN \$.0485 /SH	508 22	9 91	51 284	10 530 024
04 03 95	DIV REIN \$.0485 /SH	510 71	10 19	50 119	10 580 143
05 01 95	DIV REIN \$.0485 /SH	513 14	10 21	50 259	10 630 402
05 15 95	DIV REIN \$.0485 /SH	515 57	10 18	50 645	10 681 047
	UIT PURCHASE	423 30	10 33	40 978	10 722 025
	INTEREST #058				
06 01 95	DIV REIN \$.0485 /SH	518 03	10 49	49 383	10 771 408
07 03 95	DIV REIN \$.0485 /SH	522 41	10 30	50 719	10 822 127
08 01 95	DIV REIN \$.0485 /SH	524 87	10 29	51 008	10 873 135
09 01 95	DIV REIN \$.0485 /SH	527 35	10 42	50 609	10 923 744
10 02 95	DIV REIN \$.0485 /SH	529 80	10 42	50 845	10 974 589
11 01 95	DIV REIN \$.0485 /SH	532 27	10 55	50 452	11 025 041
12 01 95	DIV REIN \$.0485 /SH	534 71	10 73	49 833	11 074 874
12 29 95	DIV REIN \$.0485 /SH	537 13	10 76	49 919	11 124 793
DISTRIBUTION OPTIONS	DIVIDENS/SHORT-TERM CAPITAL GAIN REINVEST	LONG-TERM CAPITAL GAIN REINVEST	CERTIFICATED SHARES .000	BOOK SHARES 11,124.793	
YEAR-TO-DATE DIVIDENDS	\$6,274.21	YEAR-TO-DATE SHORT-TERM CAPITAL GAINS \$0.00	YEAR-TO-DATE LONG-TERM CAPITAL GAINS \$6,274.21	TOTAL YEAR-TO-DATE DISTRIBUTION \$0.00	

PLEASE RETAIN THIS STATEMENT AS A RECORD OF YOUR TRANSACTIONS. PLEASE DO NOT USE THE ABOVE FIGURE FOR TAX PURPOSES.

IMPORTANT TAX INFORMATION

PLEASE NOTE THAT THE AMOUNT SHOWN IN THE TAX-FREE INCOME BOX ABOVE SHOULD BE REPORTED ON YOUR TAX RETURN ALTHOUGH IT IS EXEMPT FROM FEDERAL INCOME TAX INCLUDING THE ALTERNATIVE MINIMUM TAX.

SEE REVERSE SIDE FOR ADDITIONAL INFORMATION. IF WITHHOLDING HAS OCCURRED, SEE "IMPORTANT INFORMATION ABOUT BACKUO WITHHOLDING" ON REVERSE SIDE.

FOR INFORMATION ABOUT HOW TO MAKE ADDITIONAL PURCHASES OF NUVEEN MUTUAL FUNDS CONTACT YOUR INVESTMENT ADVISER OR CALL NUVEEN AT 1-800-621-7227 FOR A PROSPECTUS. READ THE PROSPECTUS CAREFULLY BEFORE INVESTING OR SENDING MONEY.

SIMON A GOLDBERG
400 EAST 54 ST APT 19 C 8
NEW YORK NY 10022-5164

FUND NUVEEN NEW YORK TAX-FREE VALUE FUND R

DEALER NUMBER 0045 00 9999

REP. NAME HOUSE

A.C. NUMBER HOUSE

TO HELP US SERVE YOU BETTER
PLEASE PROVIDE THE NAME OF YOUR
SECURITIES REPRESENTATIVE BY
CALLING 800-621-7227.

PLEASE DETACH THIS STATEMENT AS A RECORD OF YOUR TRANSACTIONS. PLEASE DO NOT USE THIS ADVISE FURTHER FOR ANY PURPOSES.
DURING 1994, YOUR ACCOUNT EARNED A TOTAL OF \$6,033.67. OF
THIS TOTAL, \$5,528.81 IS EXEMPT FROM FEDERAL AND STATE
INCOME TAXES. PLEASE NOTE THAT THE TAX-FREE INCOME SHOULD BE RE-
PORTED ON YOUR FEDERAL INCOME TAX RETURN ALTHOUGH IT IS ENTIRELY EX-
EMPT FROM FEDERAL INCOME TAXES INCLUDING THE ALTERNATIVE MINIMUM TAX.
YOUR TAX-FREE INCOME IS NOT INCLUDED ON YOUR 1099-DIV BECAUSE WHICH
ONLY INCLUDES ANY TAXABLE ORDINARY INCOME AND CAPITAL GAINS.

MUTUAL Tax-Free Mutual Funds

FUND NUVEEN NY TAX-FREE VALUE FUND

STATEMENT OF ACCOUNT AS OF 12/31/92

DEALER NUMBER 0045 00 9999

TAX ID OR SOC SEC NO

REP NAME HOUSE

ACCOUNT NUMBER 038 0095529608

A E NUMBER HOUSE

SHAREHOLDER

DEALER

SIMON A GOLDBERG
400 EAST 54 ST APT 19 C 88
NEW YORK NY 10022-5164

PLEASE PROVIDE THE FOLLOWING:
MY REPRESENTATIVE/AGENT IS
DEALER FIRM AND ADDRESS IS
CHICAGO IL 60606-1218

TRANSACTION DATE	TYPE OF TRANSACTION	DOLLAR AMOUNT OF TRANSACTION	SHARE PRICE	SHARES THIS TRANSACTION	TOTAL SHARES OWNED
	BALANCE FORWARD				9058528
020392	DIU REIN \$.0520 /SH	26304	986	26677	9085205
030292	DIU REIN \$.0520 /SH	26443	988	26764	9111969
021892	UIT PURCHASE	122020	982	124257	9236226
	PRINCIPAL #058				
040192	DIU REIN \$.0520 /SH	27228	989	27531	9263757
050192	DIU REIN \$.0520 /SH	27372	994	27537	9291294
060192	DIU REIN \$.0520 /SH	27515	999	27543	9318837
051592	UIT PURCHASE	217855	997	218511	9537348
	INTEREST #058				
070192	DIU REIN \$.0510 /SH	28240	1016	27795	9565143
080392	DIU REIN \$.0510 /SH	28382	1041	27264	9592407
071592	UIT PURCHASE	2027715	1023	1982126	9745533
	PRINCIPAL #058				
090192	DIU REIN \$.0485 /SH	36736	1027	35770	9610303
100192	DIU REIN \$.0485 /SH	36910	1027	35940	9646243
110292	DIU REIN \$.0485 /SH	37084	998	37158	9683401
110292	SHORT TERM CAP GAIN REINVEST \$.0130 /SH	9940	998	9960	9693361
110292	CAP GAIN REINVEST \$.0679 /SHR	51918	998	52022	9745383
120192	DIU REIN \$.0485 /SH	37565	1016	36973	9782356
111692	UIT PURCHASE	86725	1010	85866	9868222
	PRINCIPAL #058				
111692	UIT PURCHASE	135870	1010	134525	9902747
	INTEREST #058				
123192	DIU REIN \$.0485 /SH	38813	1024	37903	9940650

DISTRIBUTION OPTIONS	DIVIDENDS REINVEST	CAPITAL GAINS REINVEST	CERTIFIED SHARES .000	BOOK SHARES 8,040.650
TOTAL DIVIDENDS/DISTRIBUTIONS	LONG TERM CAPITAL GAINS	TAX-FREE INCOME	ORDINARY TAXABLE DIVIDENDS	

PLEASE RETAIN THIS STATEMENT AS A RECORD OF YOUR TRANSACTIONS
DURING 1992, YOUR ACCOUNT EARNED A TOTAL OF \$4,404.50. OF
THIS TOTAL, \$3,789.92 IS EXEMPT FROM FEDERAL AND STATE
INCOME TAXES. PLEASE NOTE THAT THE TAX FREE INCOME SHOULD BE RE-
PORTED ON YOUR FEDERAL TAX RETURN EVEN IF YOU DO NOT OWE ANY TAX ON
IT. YOUR TAX FREE INCOME IS NOT INCLUDED ON YOUR 1099DIV BELOW WHICH
ONLY INCLUDES ANY TAXABLE ORDINARY INCOME, CAPITAL GAINS AND RETURN OF
CAPITAL.

REPORT NUMBER: 8013030		1991 TRANSCRIPT		SUPER SHEET DATE: 01/10/1991	
SOURCE PROGRAM: 55ATRO		SHAREHOLDER MASTER RECORD		CURRENT DATE: 01/10/1991	
JOB NAME/ID: 0000000000		JANUARY TAX-FREE BOND FUND - NEW YORK		10:18:33	
FUND CODE/NAME: 3800000000		SSN-TAX ID/TIME: 999990031		DATE ESTABLISHED: 05/18/1988	
ACCOUNT NUMBER: 9526000000		DEALER NUMBER/BRANCH: 999990031		ALLOCATION: GOLDENIMOA	
SHAREHOLDER REGISTRATION: 5100000000		DEALER REGISTRATION: 999990031		PAYOUT: 0000000000	
200 EAST 52 ST		PLEASE PROVIDE THE FOLLOWING:		PAYOUT: 0000000000	
NEW YORK NY 10022-5162		DEALER FIRM ADDRESS:		PAYOUT: 0000000000	
LAST MAINT DATE 07/17/1991 STOP MAIL DATE 00/00/0000					
1991 CUTOFF DATES: INCOME DIV 12/31/1991 LTCG 12/31/1991 STCG 12/31/1991					
PEND: 221-07/17/1991					
SHAREHOLDER TRANSACTION HISTORY					
TRANS N	CONSIDER DATE	TRANSACTION DESCRIPTION	SHARES	GROSS AMOUNT	PRICE
011 - 000	02/01/1991	INCOME REINVEST	9.7130	186.28	189.700
011 - 000	03/01/1991	INCOME REINVEST	9.9700	187.22	187.2200
011 - 000	04/01/1991	INCOME REINVEST	10.0600	188.78	188.7800
011 - 000	05/01/1991	INCOME REINVEST	9.8970	189.82	189.8200
008 - 000	05/13/1991	MUV TRUST	9.5300	2,251.10	2,251.10
011 - 000	06/03/1991	INCOME REINVEST	9.9040	190.88	190.8800
011 - 000	07/01/1991	INCOME REINVEST	21.4870	204.34	204.3400
008 - 000	07/13/1991	MUV TRUST	85.8900	620.50	620.5000
011 - 000	08/01/1991	INCOME REINVEST	21.3140	205.47	205.4700
011 - 000	09/03/1991	INCOME REINVEST	21.6050	218.99	218.9900
011 - 000	10/01/1991	INCOME REINVEST	21.5010	213.14	213.1400
011 - 000	11/01/1991	INCOME REINVEST	21.4850	218.27	218.2700
008 - 000	11/13/1991	MUV TRUST	222.8030	2,198.85	2,198.8500
036 - 000	11/22/1991	TRANSFER FROM	719.9296	0.0000	0.0000
011 - 000	12/02/1991	INCOME REINVEST	1.7080	213.83	213.8300
001 - 000	12/11/1991	SHARES PURCHASED	3.6880	77.26	77.2600
011 - 000	12/31/1991	INCOME REINVEST	20.3320	201.68	201.6800
ENDING BALANCE			ISSUED: .0000	UNISSUED: 5,058.5280	TOTAL SHARES: 5,058.5280

[illegible]

REPORT NUMBER: H01038 SOURCE PROGRAM: SSRYR036 JOB: DMYR0775		1990 TRANSCRIPT		PAGE: 1 SUPER SHEET DATE: 02/12/1991 CURRENT DATE: 02/13/1991 TIME: 08:57:29	
FUND CODE/NAME: 38/NUVEEN TAX-FREE BOND FUND - NEW YORK ACCOUNT NUMBER: 95529608-2		SHAREOWNER MASTER RECORD			
SHAREOWNER REGISTRATION SIMON A GOLDBERG 400 EAST 54 ST APT 19 C 88 NEW YORK NY 10022-5166		DEALER NUMBER/BRANCH 99999/001 REP NUMBER/NAME DEALER REGISTRATION PLEASE PROVIDE THE FOLLOWING INFORMATION: MY BROKER IS: DEALER FIRM AND ADDRESS IS:			
		DATE ESTABLISHED: 05/18/1988 SSN/TAX ID TIN CODE GOLDBERGA ALPHA CODE GOLDBERGA FIDUCIARY ACCOUNT NO PENALTY WITHHOLDING NO CAPITAL GAIN OPTION REINVEST DIVIDEND OPTION REINVEST			
		BEG. SHARES ISSUED: .0000 BEG. SHARES UNISSUED: 2,548.6550			

SHAREOWNER TRANSACTION HISTORY						
TRANS #	TC - TS	SHARES	TRANSACTION DESCRIPTION	PRICE	GROSS AMOUNT	BEGINNING BALANCE:
1	011 - 000	14.2480 (*)	INCOME REINVEST .0525	9.3900	133.79	2,548.6550
2	011 - 000	14.2820 (*)	INCOME REINVEST .0525	9.4200	134.54	2,562.7030
3	011 - 000	14.4850 (*)	INCOME REINVEST .0525	9.3400	135.29	2,576.9850
4	011 - 000	14.8850 (*)	INCOME REINVEST .0525	9.1400	136.05	2,591.4700
5	008 - 005	257.1190 (*)	NUV TRUST #058 INT REIN	9.3200	2,396.35	2,576.3550
6	011 - 000	14.5410 (*)	INCOME REINVEST .0525	9.4100	136.83	2,803.4740
7	011 - 000	15.9730 (*)	INCOME REINVEST .0525	9.4600	151.90	2,878.0750
8	008 - 003	57.8740 (*)	NUV TRUST #058 PRIN REIN	9.5000	549.80	2,893.9880
9	011 - 000	15.9090 (*)	INCOME REINVEST .0525	9.5500	151.93	2,951.8620
10	011 - 000	16.7540 (*)	INCOME REINVEST .0525	9.3000	155.81	2,967.7710
						2,984.5250

REPORT NUMBER: 401038
 SOURCE PROGRAM: SSRT036
 JOB: DAYMU775
 FUND CODE/NAME: 30/MUVEEN TAX-FREE BOND FUND - NEW YORK
 ACCOUNT NUMBER: 95529608-2

1990 TRANSCRIPT

PAGE: 2
 SUPER SHEET DATE: 02/12/1991
 CURRENT DATE: 02/11/1991
 TIME: 08:57:29

TRANS #	TS	SHARES	TRANSACTION DESCRIPTION	PRICE	GROSS AMOUNT	TRADE DATE	BATCH	CERT ISS	SHARE BALANCE
008	- 003	11	MUV TRUST #058 PRIN REIN	9.3100	890.60	09/17/1990	NO	0	3,080.1864
011	- 000	12	INCOME REINVEST .0525	9.2400	156.69	10/01/1990	NO	0	3,236.8764
011	- 000	13	INCOME REINVEST .0525	9.2800	162.60	11/01/1990	NO	0	3,400.0000
008	- 003	14	MUV TRUST #058 PRIN REIN	9.3500	1,428.60	11/15/1990	NO	0	3,267.4577
008	- 005	15	MUV TRUST #058 INT REIN	9.3500	2,347.25	11/15/1990	NO	0	3,518.5000
011	- 000	16	INCOME REINVEST .0525	9.4000	163.52	12/03/1990	NO	0	3,682.0200
011	- 000	17	INCOME REINVEST .0525	9.3100	185.63	12/31/1990	NO	0	3,867.6500
ENDING BALANCE				.0000	3,555.8350	TOTAL SHARES:			3,867.6500

TAX REPORTING TOTALS

INCOME DIVS: 1,803.78
 LONG TERM GAIN: .00
 SHORT TERM GAIN: .00
 CAP SURPLUS: .00

FIDUCIARY TOTALS

SEP EMPLOYER: .00
 SEP CONTRIBS: .00
 IRA CONTRIBS: .00
 ROLLOVER CONTRIBS: .00
 EMPLOYEE CURRENT: .00
 EMPLOYEE PRIOR: .00
 EMPLOYER CURRENT: .00
 EMPLOYER PRIOR: .00

*** J 02 ***

SOURCE PROGRAM: SSRYR036
JOB NAME/ #: DYMUT75/06079
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2
SSN-TAX ID/TIN: 1012154-2
SHAREOWNER REGISTRATION
SIMON A GOLDBERG
400 E 54TH ST APT 19C88
NEW YORK NY 10022-5164
DEALER REGISTRATION
10022-5164
DEALER INFORMATION
CHICAGO IL 60606
SHAREOWNER MASTER RECORD
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER: 448/000
DEALER NUMBER/BRANCH
REP NUMBER/NAME
TRUST
TPA
HOUSE
0000000
0000000
PLEASE PROVIDE
YOUR FINANCIAL
ADVISOR INFORMATION
CHICAGO IL 60606
DATE ESTABLISHED 05/18/1988
ALPHA CODE GOLDBS1MOA
FIDUCIARY ACCOUNT NO
PENALTY WITHHOLDING NO
CAPITAL GAIN OPTION REINVEST
DIVIDEND OPTION REINVEST
CUMULATIVE DISCOUNT
MATRIX LEVEL 0
STATE/COUNTRY CODE 031
FRTG TAX RATE 000
SOCIAL SECURITY NO / NO
8/C NOTICE NO / NO
TAX RESIDENCE CODE 031
PPA N

LAST MAINT DATE 06/05/2009 STOP MAIL DATE 05/30/2009
2009 CUTOFF DATES: INCOME DIV 12/31/2009 LTCG 12/31/2009 STCG 12/31/2009
PEND: 762-02/02/2007

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRANSACTION DESCRIPTION	SHARES	PRICE	GROSS AMOUNT	COMMISSION AMOUNT	BATCH	DC
B QLF TC - TS		TRADE DATE					PERCENT	CERT #	FROM/TO FUND/ACCOUNT
011 - 000	10	02/02/2009	INCOME REINVEST 0.0385	86.3030 (+)	844.91	9.7900		9999888	0 / 22,031.9750
011 - 000	11	03/02/2009	INCOME REINVEST 0.04	89.3790 (+)	881.28	9.8600		9999888	0 / 22,121.3540
011 - 000	12	04/01/2009	INCOME REINVEST 0.04	90.2910 (+)	884.85	9.8000		9999888	0 / 22,211.6450
011 - 000	13	05/01/2009	INCOME REINVEST 0.04	88.6700 (+)	888.47	10.0200		9999888	0 / 22,300.3150
011 - 000	14	06/01/2009	INCOME REINVEST 0.04	87.7100 (+)	892.01	10.1700		9999888	0 / 22,388.0250
011 - 000	15	07/01/2009	INCOME REINVEST 0.04	88.9290 (+)	895.52	10.0700		9999888	0 / 22,476.9540
011 - 000	16	08/03/2009	INCOME REINVEST 0.04	87.9730 (+)	899.08	10.2200		9999888	0 / 22,564.9270
011 - 000	17	09/01/2009	INCOME REINVEST 0.04	86.6220 (+)	902.60	10.4200		9999888	0 / 22,651.5490
011 - 000	18	10/01/2009	INCOME REINVEST 0.04	83.4310 (+)	906.06	10.8600		9999888	0 / 22,734.9800
011 - 000	19	11/02/2009	INCOME REINVEST 0.04	83.9550 (+)	909.40	10.5800		9999888	0 / 22,820.9350
012 - 000	20	11/12/2009	CAP GAIN REIN 7.3410 (+)		77.59	10.5700		9999888	0 / 22,828.2760
011 - 000	21	12/01/2009	INCOME REINVEST 0.04	86.1440 (+)	913.13	10.6000		9999888	0 / 22,914.4200
011 - 000	22	12/28/2009	INCOME REINVEST 0.04	86.1450 (+)	916.58	10.6400		9999888	0 / 23,000.5650
ENDING BALANCE		ISSUED:		.0000	UNISSUED:	23,000.5650	TOTAL SHARES:		23,000.5650

BEG SHARES ISSUED: .0000
BEG SHARES UNISSUED: 21,945.6720
BEGINNING BALANCE: 21,945.6720

SOURCE PROGRAM: SSRYR036
JOB NAME/#: DYMUM775/06079
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:
SUPER SHEET DATE: 06/01/2010
CURRENT DATE: 06/02/2010
TIME: 10:55:04

TAX REPORTING TOTALS

FORM 1099 DIV TOTALS (BOX 1A):	0.00	FORM 5498/5498-ESA TOTALS	0.00	FORM 1099R/1099Q-ESA TOTALS	0.00
ORDINARY INCOME (BOX 1B):	0.00	IRA CONTRIB:	0.00	PREMATURE DIS:	0.00
QUALIFIED DIVS (BOX 1B):	0.00	ROLLVR CONTRIB:	0.00	PRE-EXEMPT DIS:	0.00
TOTAL CAPITAL GAINS (BOX 2A):	77.59	IRA RECHAR CNB:	0.00	DISABILITY DIS:	0.00
SCT 1250 CAP GAINS (BOX 2B):	0.00	ROTH CNV CNTRB:	0.00	DEATH DIS:	0.00
28 PERCENT CAP GAIN (BOX 2D):	0.00	ACCOUNT VALUE:	244,726.01	PROHIBITED DIS:	0.00
NON-TAXABLE DIST (BOX 3):	0.00	TYPE OF IRA:	IRA NA	NORMAL DIS:	0.00
FEDERAL TAX W/HELD (BOX 4):	0.00	SEP CONTRIB:	0.00	EXCESS DIS:	0.00
FOREIGN TAX PAID (BOX 6):	0.00	SIMPLE CONTRIB:	0.00	ROLLOVERS:	0.00
LIQUID DIST CASH (BOX 8):	0.00	ROTH IRA CNTRB:	0.00	ROLL IRA DEATH:	0.00
LIQUID DIST NONCASH (BOX 9):	0.00	EDUCATIONL CNB:	0.00	PRE DIS SIMPLE:	0.00
FORM 1099B TOTALS	0.00	RMD REQUIRED:	0.00	415 EXCESS DEF:	0.00
1099B GROSS REDEMPTIONS	0.00			EDUCATIONL DIS:	0.00
1099B GROSS WITHHOLDING	0.00			LOAN DEFER DIS:	0.00
				EX-CON PRI DIS:	0.00
				EX-CON CURRENT:	0.00
				ROTH NORM/EX:	0.00
				EX-CON CUR ERN:	0.00
				EX-CON PRIOR:	0.00
				EX-CON PRI ERN:	0.00
				EX-CON OTP ERN:	0.00
				EX-CON QTP ERN:	0.00
				REDEMP WH:	0.00
				IRA RCH CURR:	0.00

FORM 1042S TOTALS

INCOME DIVIDEND	0.00	FORM 1099INT TOTALS	0.00
LONG TRM CAP GAIN	0.00	INC DIV (BOX 1):	0.00
SHORT TRM CAP GAIN	0.00	TAX WTH (BOX 4):	0.00
QII EXEMPT DIVIDENDS	0.00	FGN TAX (BOX 6):	0.00
FOREIGN WITHHOLDING	0.00	EXMT INT (BOX 8):	10,733.89
FOREIGN FID REDEMPTION	0.00	AMT INT (BOX 9):	489.46
CAP SURPLUS	0.00		
TAXABLE INCOME DIVIDEND	0.00		
FORM 1099Q TOTALS	0.00		
ACCOUNT OWNER TOTALS	0.00		
GROSS DISTR (BOX 1):	0.00		
EARNINGS (BOX 2):	0.00		
BASIS (BOX 3):	0.00		
TTEE-TO-TTEE R/O (BOX 4):	0.00		
PRIVATE/STATE (BOX 5):	NO		
NOT DESIGNATED BENE (BOX 6):	STATE		
BENEFICIARY TOTALS			
GROSS DISTR (BOX 1):	0.00		
EARNINGS (BOX 2):	0.00		
BASIS (BOX 3):	0.00		
TTEE-TO-TTEE R/O (BOX 4):	NO		
PRIVATE/STATE (BOX 5):	STATE		
NOT DESIGNATED BENE (BOX 6):	NO		
1099R/1099Q-ESA UNIQUE IDENTIFIER			
STATE	QRA		

NO 1099R/1099Q-ESA RECORDS FOR ACCOUNT
1099B UNIQUE IDENTIFIERS ARE LISTED IN TRANSACTION HISTORY DETAIL
1099D DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

SOURCE PROGRAM: SSRYR036
 JOB NAME/ID: DYYWU775/06079
 FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
 ACCOUNT NUMBER: 1012154-2
 SSN-TAX ID/TIN: 1012154-2
 SHAREOWNER REGISTRATION
 SIMON A GOLDBERG
 400 E 54TH ST APT 19C88
 NEW YORK NY 10022-5164

DEALER REGISTRATION
 DEALER NUMBER/BRANCH
 REP NUMBER/NAME
 TRUST
 TPA
 PLEASE PROVIDE
 YOUR FINANCIAL
 ADVISOR INFORMATION
 CHICAGO IL 60606

SHAREOWNER MASTER RECORD
 MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
 BIN NUMBER: 448/000
 HOUSE
 00000000
 00000000
 00000000

DATE ESTABLISHED 05/18/1988
 ALPHA CODE NO
 FIDUCIARY ACCOUNT NO
 PENALTY WITHHOLDING NO
 CAPITAL GAIN OPTION REINVEST
 DIVIDEND DISCOUNT REINVEST
 CUMULATIVE DISCOUNT REINVEST
 MATRIX LEVEL 0
 STATE/COUNTRY CODE 031
 FRGN TAX RATE 00.0
 SOCIAL CODE 005
 B/C NOTICE NO / NO
 TAX RESIDENCE CODE 031
 PPA N

SUPER SHEET DATE: 06/01/2010
 CURRENT DATE: 06/02/2010
 TIME: 10:55:04

LAST MAINT DATE 06/05/2009 STOP MAIL DATE 05/30/2009

2009 CUTOFF DATES: INCOME DIV 12/31/2009 LTG 12/31/2009 STG 12/31/2009
 PEND: 762-02/02/2007

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	SHARES	PRICE	GROSS AMOUNT	COMMISSION AMOUNT	BEGINNING BALANCE:
	B QLF TC - TS								
	011 - 000	10 02/02/2009	02/02/2009	INCOME REINVEST 0.0385	86.3030 (+)	844.91	9.7900		
	011 - 000	11 01/30/2009	01/30/2009	INCOME REINVEST 0.04	89.3790 (+)	881.28	9.8600		
	011 - 000	12 02/27/2009	02/27/2009	INCOME REINVEST 0.04	90.2910 (+)	884.85	9.8000		
	011 - 000	13 05/01/2009	05/01/2009	INCOME REINVEST 0.04	88.6700 (+)	888.47	10.0200		
	011 - 000	14 06/01/2009	06/01/2009	INCOME REINVEST 0.04	87.7100 (+)	892.01	10.1700		
	011 - 000	15 07/01/2009	07/01/2009	INCOME REINVEST 0.04	88.9290 (+)	895.52	10.0700		
	011 - 000	16 08/03/2009	08/03/2009	INCOME REINVEST 0.04	87.9730 (+)	899.08	10.2200		
	011 - 000	17 09/01/2009	09/01/2009	INCOME REINVEST 0.04	86.6220 (+)	902.60	10.4200		
	011 - 000	18 10/01/2009	10/01/2009	INCOME REINVEST 0.04	83.4310 (+)	906.06	10.8600		
	011 - 000	19 11/02/2009	11/02/2009	INCOME REINVEST 0.04	85.9550 (+)	909.40	10.5800		
	012 - 000	20 11/12/2009	11/12/2009	CAP GAIN REIN 0.0034	7.3410 (+)	77.59	10.5700		
	011 - 000	21 12/01/2009	12/01/2009	INCOME REINVEST 0.04	86.1440 (+)	913.13	10.6000		
	011 - 000	22 12/28/2009	12/28/2009	INCOME REINVEST 0.04	86.1450 (+)	916.58	10.6400		
ENDING BALANCE					.0000	UNISSUED:	23,000.5650	TOTAL SHARES:	23,000.5650

BEG SHARES ISSUED: 0.0000
 BEG SHARES UNISSUED: 21,945.6720

BEGINNING BALANCE: 21,945.6720

SOURCE PROGRAM: SSRYR036
JOB NAME/ID: DYMUT775/06079

FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:

MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:

SUPER SHEET DATE: 06/01/2010
CURRENT DATE: 06/02/2010
TIME: 10:55:04

TAX REPORTING TOTALS

FORM 1099 DIV TOTALS	FORM 5498/5498-ESA TOTALS	FORM 1099R/1099Q-ESA TOTALS
ORDINARY INCOME (BOX 1A):	IRA CONTRIB:	PREMATURE DIS:
QUALIFIED DIVS (BOX 1B):	ROLLVR CONTRIB:	PRE EXEMPT DIS:
TOTAL CAPITAL GAINS (BOX 2A):	IRA RECHAR CNB:	DISABILITY DIS:
SCD 1250 CAP GAINS (BOX 2B):	ROTH CNV CNTRB:	DEATH DIS:
28 PERCENT CAP GAIN (BOX 2D):	ACCOUNT VALUE:	244,726.01
NON-TAXABLE DIST (BOX 3):	TYPE OF IRA:	PROHIBITED DIS:
FEDERAL TAX W/HELD (BOX 4):	SEP CONTRIB:	NORMAL DIS:
STATE TAX W/HELD (BOX 5):	SIMPLE CONTRIB:	EXCESS DIS:
LIQUID DIST CASH (BOX 6):	ROTH IRA CONTRIB:	ROLLOVERS:
LIQUID DIST NONCASH (BOX 9):	EDUCATION CNB:	ROLL IRA DEATH:
FORM 1099B GROSS REDEMPTIONS	RMD REQUIRED:	415 EXCESS DEF:
FORM 1099B GROSS WITHHOLDING		EDUCATION DIS:
		LOAN DEF: DIS:
		IRA RCH PRI:
		EX-CON CURRENT:
		ROTH NORM/EX:
		EX-CON CUR ERN:
		EX-CON PRI ERN:
		EX-CON PRI ERN:
		EX-CON OTF ERN:
		EX-CON OTF ERN:
		REDEMP WH:
		IRA RCH CURR:

FORM 1042S TOTALS	FORM 1099INT TOTALS
INCOME DIVIDEND	INC DIV (BOX 1):
LONG TRM CAP GAIN	TAX WTH (BOX 4):
SHORT TRM CAP GAIN	FGN TAX (BOX 6):
QII EXEMPT DIVIDENDS	EXMT INT (BOX 8):
FOREIGN WITHHOLDING	AMT INT (BOX 9):
FOREIGN ALIEN REDEMPTION	
FOREIGN SLE REDEMPTION	
TAXABLE INCOME DIVIDEND	
FORM 1099Q TOTALS	
ACCOUNT OWNER TOTALS	
GROSS DISTR (BOX 1):	
EARNINGS (BOX 2):	
BASIS (BOX 3):	
TTEE-TO-TTEE R/O (BOX 4):	
PRIVATE/STATE (BOX 5):	
NOT DESIGNATED BENE (BOX 6):	
BENEFICIARY TOTALS (BOX 1):	
GROSS DISTR (BOX 2):	
EARNINGS (BOX 3):	
BASIS (BOX 4):	
TTEE-TO-TTEE R/O (BOX 5):	
PRIVATE/STATE (BOX 6):	
NOT DESIGNATED BENE (BOX 6):	
1099R/1099Q-ESA UNIQUE IDENTIFIER	
STATE	

NO 1099R/1099Q-ESA RECORDS FOR ACCOUNT
1099B UNIQUE IDENTIFIERS ARE LISTED IN TRANSACTION HISTORY DETAIL
NOTE: THE TRANSACTION MAY HAVE BEEN CANCELLED AFTER IT WAS ORIGINALLY REPORTED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

SOURCE PROGRAM: SSRY036
 JOB NAME/ID: DYMU775/00826
 FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
 ACCOUNT NUMBER: 1012154-2
 SSN-TAX ID/TTIN: 1012154-2
 SHAREOWNER REGISTRATION
 400 E 54TH ST APT 19C-88
 SIMON A GOLDBERG
 NEW YORK NY 10022-5164
 DEALER REGISTRATION
 400 E 54TH ST APT 19C-88
 TPA 00000000
 HOUSE 448/000
 REP NUMBER/BRANCH
 TRUST
 TPA 00000000
 HOUSE 448/000
 MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
 BIN NUMBER:
 SUPER SHEET DATE: 05/11/2011
 CURRENT DATE: 05/12/2011
 TIME: 11:26:36
 DATE ESTABLISHED: 05/18/1988
 ALPHA CODE: GOLDBS1MOA
 FIDUCIARY ACCOUNT: NO
 PENALTY WITHHOLDING: NO
 CAPITAL GAIN OPTION: REINVEST
 DIVIDEND OPTION: REINVEST
 CUMULATIVE DISCOUNT: 0
 MATRIX LEVEL: 0
 STATE/COUNTRY CODE: 031
 FRGN TAX RATE: 00.0
 SOCIAL CODE: 005
 B/C NOTICE: NO
 TAX RESIDENCE CODE: 031
 PPA N

LAST MAINT DATE 03/28/2011 STOP MAIL DATE 05/30/2009
 2010 CUTOFF DATES: INCOME DIV 12/31/2010 LTCG 12/31/2010 STCG 12/31/2010
 PEND: 762-02/02/2007

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	SHARES	GROSS AMOUNT	COMMISSION AMOUNT	PERCENT	CERT #
	011 - 000	02/01/2010	02/01/2010	INCOME REINVEST 0.04	86.2250 (+)	920.02			9999888
	011 - 000	01/29/2010	01/29/2010	INCOME REINVEST 0.04	86.2250 (+)	10.6700			NO CERT
	011 - 000	03/01/2010	02/26/2010	INCOME REINVEST 0.04	86.0640 (+)	923.47			9999888
	011 - 000	02/26/2010	02/26/2010	INCOME REINVEST 0.04	86.0640 (+)	10.7300			NO CERT
	011 - 000	04/01/2010	03/31/2010	INCOME REINVEST 0.04	86.6270 (+)	926.91			9999888
	011 - 000	03/31/2010	03/31/2010	INCOME REINVEST 0.04	86.6270 (+)	10.7000			NO CERT
	011 - 000	05/03/2010	04/30/2010	INCOME REINVEST 86.2220 (+)	86.2220 (+)	930.34			9999888
	011 - 000	04/30/2010	04/30/2010	INCOME REINVEST 86.2220 (+)	86.2220 (+)	10.7900			NO CERT
	011 - 000	06/01/2010	05/28/2010	INCOME REINVEST 85.9830 (+)	85.9830 (+)	933.77			9999888
	011 - 000	05/28/2010	05/28/2010	INCOME REINVEST 85.9830 (+)	85.9830 (+)	10.8600			NO CERT
	011 - 000	07/01/2010	06/30/2010	INCOME REINVEST 86.6210 (+)	86.6210 (+)	937.24			9999888
	011 - 000	06/30/2010	06/30/2010	INCOME REINVEST 86.6210 (+)	86.6210 (+)	10.8200			NO CERT
	011 - 000	08/02/2010	07/30/2010	INCOME REINVEST 86.2320 (+)	86.2320 (+)	940.79			9999888
	011 - 000	07/30/2010	07/30/2010	INCOME REINVEST 86.2320 (+)	86.2320 (+)	10.9100			NO CERT
	011 - 000	09/01/2010	08/31/2010	INCOME REINVEST 84.9880 (+)	84.9880 (+)	944.22			9999888
	011 - 000	08/31/2010	08/31/2010	INCOME REINVEST 84.9880 (+)	84.9880 (+)	11.1100			NO CERT
	011 - 000	10/01/2010	09/30/2010	INCOME REINVEST 85.6830 (+)	85.6830 (+)	947.65			9999888
	011 - 000	09/30/2010	09/30/2010	INCOME REINVEST 85.6830 (+)	85.6830 (+)	11.0600			NO CERT
	011 - 000	11/01/2010	10/29/2010	INCOME REINVEST 86.5360 (+)	86.5360 (+)	951.03			9999888
	011 - 000	10/29/2010	10/29/2010	INCOME REINVEST 86.5360 (+)	86.5360 (+)	10.9900			NO CERT
	011 - 000	12/01/2010	11/30/2010	INCOME REINVEST 89.2940 (+)	89.2940 (+)	954.55			9999888
	011 - 000	11/30/2010	11/30/2010	INCOME REINVEST 89.2940 (+)	89.2940 (+)	10.6900			NO CERT
	011 - 000	12/31/2010	12/31/2010	INCOME REINVEST 91.8460 (+)	91.8460 (+)	957.95			9999888
	011 - 000	12/31/2010	12/31/2010	INCOME REINVEST 91.8460 (+)	91.8460 (+)	10.4300			NO CERT
ENDING BALANCE						24,042.8860	24,042.8860	TOTAL SHARES:	
						.0000	UNISSUED:		
						24,042.8860	24,042.8860		
						23,000.5650	23,000.5650		
						23,000.5650	23,000.5650		

SOURCE PROGRAM: SSRY036
JOB NAME/ #: DYM0775/00826
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:
TAX REPORTING TOTALS
SUPER SHEET DATE: 05/11/2011
CURRENT DATE: 05/12/2011
TIME: 11:26:36

MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:

FORM 1099 DIV TOTALS (BOX 1A):
ORDINARY INCOME (BOX 1B):
QUALIFIED DIVS (BOX 1C):
TOTAL CAPITAL GAINS (BOX 2A):
28 PRESENT CAP GAINS (BOX 2B):
28 PRESENT CAP GAIN (BOX 2C):
NON-TAXABLE CAP GAIN (BOX 2D):
FEDERAL TAX W/HELD (BOX 3):
FOREIGN TAX PAID (BOX 4):
LIQUID DIST NONCASH (BOX 5):
FORM 1099B TOTALS (BOX 6):
1099B GROSS REDEMPTIONS (BOX 7):
1099B GROSS WITHHOLDING (BOX 8):

FORM 5498/5498-ESA TOTALS
IRA CONTRIB:
ROLLVR CONTRIB:
IRA RECHAR CNB:
ROTH CNV CNTRB:
ACCOUNT VALUE:
TYPE OF IRA: IRA NA
SEP CONTRIB:
SIMPLE CONTRIB:
ROTH IRA CNTRB:
EDUCATIONL CNB:
AMD REQUIRED: N

FORM 1099 INT TOTALS
INC DIV (BOX 1):
TAX WTH (BOX 4):
FGN TAX (BOX 6):
EXMT INT (BOX 8):
AMT INT (BOX 9):

FORM 1099Q-ESA RECORDS FOR ACCOUNT
1099B UNIQUE IDENTIFIERS ARE LISTED IN TRANSACTION HISTORY DETAIL
NOTE: THE TRANSACTION MAY HAVE BEEN CANCELLED AFTER IT WAS ORIGINALLY REPORTED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

FORM 1099Q-ESA TOTALS
PREMATURE DIS:
DISA EXEMPT DIS:
DEATH DIS:
PROHIBITED DIS:
NORMAL DIS:
EXCESS DIS:
ROLLOVERS:
ROLL IRA DEATH:
415 EXCESS DEF:
PRE DIS SIMPLE:
EDUCATIONL DIS:
LOAN DEFLT DIS:
IRA RCH PRI:
EX-CON CURRENT:
EX-CON NORMEX:
EX-CON PRI ORN:
EX-CON PRI ORN:
EX-CON OTF ORN:
EX-CON OTF ORN:
REDEMP WH:
IRA RCH CURR:

FORM 1099R/1099Q-ESA TOTALS
PREMATURE DIS:
DISA EXEMPT DIS:
DEATH DIS:
PROHIBITED DIS:
NORMAL DIS:
EXCESS DIS:
ROLLOVERS:
ROLL IRA DEATH:
415 EXCESS DEF:
PRE DIS SIMPLE:
EDUCATIONL DIS:
LOAN DEFLT DIS:
IRA RCH PRI:
EX-CON CURRENT:
EX-CON NORMEX:
EX-CON PRI ORN:
EX-CON PRI ORN:
EX-CON OTF ORN:
EX-CON OTF ORN:
REDEMP WH:
IRA RCH CURR:

FORM 1099R/1099Q-ESA TOTALS
PREMATURE DIS:
DISA EXEMPT DIS:
DEATH DIS:
PROHIBITED DIS:
NORMAL DIS:
EXCESS DIS:
ROLLOVERS:
ROLL IRA DEATH:
415 EXCESS DEF:
PRE DIS SIMPLE:
EDUCATIONL DIS:
LOAN DEFLT DIS:
IRA RCH PRI:
EX-CON CURRENT:
EX-CON NORMEX:
EX-CON PRI ORN:
EX-CON PRI ORN:
EX-CON OTF ORN:
EX-CON OTF ORN:
REDEMP WH:
IRA RCH CURR:

FORM 1099R/1099Q-ESA TOTALS
PREMATURE DIS:
DISA EXEMPT DIS:
DEATH DIS:
PROHIBITED DIS:
NORMAL DIS:
EXCESS DIS:
ROLLOVERS:
ROLL IRA DEATH:
415 EXCESS DEF:
PRE DIS SIMPLE:
EDUCATIONL DIS:
LOAN DEFLT DIS:
IRA RCH PRI:
EX-CON CURRENT:
EX-CON NORMEX:
EX-CON PRI ORN:
EX-CON PRI ORN:
EX-CON OTF ORN:
EX-CON OTF ORN:
REDEMP WH:
IRA RCH CURR:

FORM 1099R/1099Q-ESA TOTALS
PREMATURE DIS:
DISA EXEMPT DIS:
DEATH DIS:
PROHIBITED DIS:
NORMAL DIS:
EXCESS DIS:
ROLLOVERS:
ROLL IRA DEATH:
415 EXCESS DEF:
PRE DIS SIMPLE:
EDUCATIONL DIS:
LOAN DEFLT DIS:
IRA RCH PRI:
EX-CON CURRENT:
EX-CON NORMEX:
EX-CON PRI ORN:
EX-CON PRI ORN:
EX-CON OTF ORN:
EX-CON OTF ORN:
REDEMP WH:
IRA RCH CURR:

FORM 1099R/1099Q-ESA TOTALS
PREMATURE DIS:
DISA EXEMPT DIS:
DEATH DIS:
PROHIBITED DIS:
NORMAL DIS:
EXCESS DIS:
ROLLOVERS:
ROLL IRA DEATH:
415 EXCESS DEF:
PRE DIS SIMPLE:
EDUCATIONL DIS:
LOAN DEFLT DIS:
IRA RCH PRI:
EX-CON CURRENT:
EX-CON NORMEX:
EX-CON PRI ORN:
EX-CON PRI ORN:
EX-CON OTF ORN:
EX-CON OTF ORN:
REDEMP WH:
IRA RCH CURR:

SOURCE PROGRAM: SSRYR036
 JOB NAME/#: DYMUT775/00826
 FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
 ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN: 448/000
 SHAREOWNER REGISTRATION
 SIMON A GOLDBERG
 400 E 54TH ST APT 19C88
 NEW YORK NY 10022-5164
 DEALER REGISTRATION
 REP NUMBER/BRANCH
 HOUSE
 TRUST
 TPA
 PLEASE PROVIDE
 YOUR FINANCIAL
 ADVISOR INFORMATION
 CHICAGO IL 60606
 DATE ESTABLISHED 05/18/1988
 ALPHA CODE NO
 FIDUCIARY ACCOUNT NO
 PENALTY WITHHOLDING NO
 CAPITAL GAIN OPTION REINVEST
 DIVIDEND OPTION REINVEST
 CUMULATIVE DISCOUNT 0
 MATRIX LEVEL 0
 STATE/COUNTRY CODE 031
 FRGN TAX RATE 00.0
 SOCIAL CODE 005
 B/C NOTICE NO / NO
 TAX RESIDENCE CODE 031 PPA N
 SUPER SHEET DATE: 05/11/2011
 CURRENT TIME: 11:26:36

LAST MAINT DATE 03/28/2011 STOP MAIL DATE 05/30/2009

2010 CUTOFF DATES: INCOME DIV 12/31/2010 LTCG 12/31/2010 STCG 12/31/2010
 PEND: 762-02/02/2007

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	SHARES	PRICE	GROSS AMOUNT	COMMISSION AMOUNT	BATCH
B QLF TC - TS								PERCENT	CERT # FROM/TO FUND/ACCOUNT
011 - 000	9	02/01/2010	01/29/2010	INCOME REINVEST 0.04	86.2250 (+)	920.02	10.6700	9999888 0	23,086.7900
011 - 000	10	03/01/2010	02/26/2010	INCOME REINVEST 0.04	86.0640 (+)	923.47	10.7300	9999888 0	23,172.8540
011 - 000	11	04/01/2010	03/31/2010	INCOME REINVEST 0.04	86.6270 (+)	926.91	10.7000	9999888 0	23,259.4810
011 - 000	12	05/03/2010	04/30/2010	INCOME REINVEST 86.2220 (+)		930.34	10.7900	9999888 0	23,345.7030
011 - 000	13	06/01/2010	05/28/2010	INCOME REINVEST 85.9830 (+)		933.77	10.8600	9999888 0	23,431.6860
011 - 000	14	07/01/2010	06/30/2010	INCOME REINVEST 86.6210 (+)		937.24	10.8200	9999888 0	23,518.3070
011 - 000	15	08/02/2010	07/30/2010	INCOME REINVEST 86.2320 (+)		940.79	10.9100	9999888 0	23,604.5390
011 - 000	16	09/01/2010	08/31/2010	INCOME REINVEST 84.9880 (+)		944.22	11.1100	9999888 0	23,689.5270
011 - 000	17	10/01/2010	09/30/2010	INCOME REINVEST 85.6830 (+)		947.65	11.0600	9999888 0	23,775.2100
011 - 000	18	11/01/2010	10/29/2010	INCOME REINVEST 86.5360 (+)		951.03	10.9900	9999888 0	23,861.7460
011 - 000	19	12/01/2010	11/30/2010	INCOME REINVEST 89.2940 (+)		954.55	10.6900	9999888 0	23,951.0400
011 - 000	20	12/31/2010	12/31/2010	INCOME REINVEST 91.8460 (+)		957.95	10.4300	9999888 0	24,042.8860
ENDING BALANCE								24,042.8860	24,042.8860
ISSUED: .0000								UNISSUED:	
TOTAL SHARES:									

BEG SHARES ISSUED: .0000
 BEG SHARES UNISSUED: 23,000.5650

BEGINNING BALANCE: 23,000.5650

SOURCE PROGRAM: SSRYR036
 JOB NAME/ID: DYMU775/39506
 FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
 ACCOUNT NUMBER: 1012154-2
 SSN-TAX ID/TIN: 1012154-2
 SHAREOWNER REGISTRATION
 SIMON A GOLDBERG
 400 E 54TH ST APT 19C88
 NEW YORK NY 10022-5164
 SHAREOWNER MASTER RECORD
 MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
 BIN NUMBER: 448/000
 DEALER NUMBER/BRANCH
 REP NUMBER/NAME
 TRUST
 TPA
 PLEASE PROVIDE
 YOUR FINANCIAL
 ADVISOR INFORMATION
 CHICAGO IL 60606
 DATE ESTABLISHED 05/18/1988
 ALPHA CODE GOLDBS1MOA
 FIDUCIARY ACCOUNT NO
 PENALTY WITHHOLDING NO
 CAPITAL GAIN OPTION REINVEST
 DIVIDEND DISCOUNT REINVEST
 CUMULATIVE DISCOUNT REINVEST
 MATRIX LEVEL 0
 STATE/COUNTRY CODE 031
 FRGN TAX RATE 00.0
 SOCIAL CODE 005
 B/C NOTICE NO / NO
 TAX RESIDENCE CODE 031
 PPA N
 SUPER SHEET DATE: 04/18/2012
 CURRENT DATE: 04/19/2012
 TIME: 08:31:24

BEG SHARES ISSUED: .0000
 BEG SHARES UNISSUED: 24,042.8860

2011 CUTOFF DATES: INCOME DIV 12/31/2011 LTG 12/31/2011 STCG 12/31/2011
 PEND: 781-12/16/2011 781-09/16/2011 762-02/02/2007

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	SHARES	PRICE	GROSS AMOUNT	COMMISSION AMOUNT	PERCENT
B QLF TC - TS									
	011 - 000	9 02/01/2011	01/31/2011	INCOME REINVEST	93.6390 (+)	961.67	10.2700		
	011 - 000	10 03/01/2011	02/28/2011	INCOME REINVEST	91.5850 (+)	953.40	10.4100		
	011 - 000	11 04/01/2011	03/31/2011	INCOME REINVEST	92.6400 (+)	956.97	10.3300		
	011 - 000	12 05/02/2011	04/29/2011	INCOME REINVEST	91.6640 (+)	960.64	10.4800		
	011 - 000	13 06/01/2011	05/31/2011	INCOME REINVEST	90.6350 (+)	964.36	10.6400		
	011 - 000	14 07/01/2011	06/30/2011	INCOME REINVEST	90.7920 (+)	967.84	10.6600		
	011 - 000	15 08/01/2011	07/29/2011	INCOME REINVEST	90.4550 (+)	971.49	10.7400		
	011 - 000	16 09/01/2011	08/31/2011	INCOME REINVEST	91.1680 (+)	987.35	10.8300		
	011 - 000	17 10/03/2011	09/30/2011	INCOME REINVEST	90.6630 (+)	990.95	10.9300		
	011 - 000	18 11/01/2011	10/31/2011	INCOME REINVEST	91.5120 (+)	994.74	10.8700		
	012 - 000	19 11/14/2011	11/11/2011	CAP GAIN REIN 0.0291	66.8760 (+)	726.27	10.8600		
	011 - 100	20 11/14/2011	11/11/2011	SP TXBL DIV REIN 0.003	6.8940 (+)	74.87	10.8600		
	011 - 000	21 12/01/2011	11/30/2011	INCOME REINVEST	92.2560 (+)	1,000.05	10.8400		
	011 - 000	22 12/30/2011	12/30/2011	INCOME REINVEST	91.4450 (+)	1,004.98	10.9900		
ENDING BALANCE					.0000	UNISSUED:	25,215.1100	TOTAL SHARES:	25,215.1100

SOURCE PROGRAM: SSRY036
 JOB NAME/#: DYMUT75/39506
 FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
 ACCOUNT NUMBER: 1012154-2
 SSN-TAX ID/TIN: 448/0000
 SHAREOWNER REGISTRATION
 SIMON A GOLDBERG
 400 E 54TH ST APT 19C88
 NEW YORK NY 10022-5164

SHAREOWNER MASTER RECORD
 MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
 BIN NUMBER: 448/0000
 DEALER NUMBER/BRANCH
 REP NUMBER/NAME
 TPA
 DEALER REGISTRATION
 YOUR FINANCIAL
 ADVISOR INFORMATION
 CHICAGO IL 60606

DATE ESTABLISHED 05/18/1988
 ALPHA CODE NO
 FIDUCIARY ACCOUNT NO
 PENALTY WITHHOLDING NO
 CAPITAL GAIN OPTION REINVEST
 DIVIDEND OPTION REINVEST
 CUMULATIVE DISCOUNT 0
 MATRIX LEVEL 0
 STATE/COUNTRY CODE 031
 FRGN TAX RATE 00.0
 SOCIAL CODE 005
 B/C NOTICE NO / NO
 TAX RESIDENCE CODE 031 PPA N

SUPER SHEET DATE: 04/18/2012
 CURRENT DATE: 04/19/2012
 TIME: 08:31:24

LAST MAINT DATE 02/03/2012 STOP MAIL DATE 00/00/0000

2011 CUTOFF DATES: INCOME DIV 12/31/2011 LTCG 12/31/2011 STCG 12/31/2011
 PEND: 781-12/16/2011 781-09/16/2011 762-02/02/2007

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	SHARES	PRICE	GROSS AMOUNT	COMMISSION AMOUNT	PERCENT
B	QLF TC - TS								
	011 - 000	9	02/01/2011	INCOME REINVEST	93.6390 (+)	961.67	10.2700		
	011 - 000	10	03/01/2011	INCOME REINVEST	91.5850 (+)	953.40	10.4100		
	011 - 000	11	04/01/2011	INCOME REINVEST	92.6400 (+)	956.97	10.3300		
	011 - 000	12	05/02/2011	INCOME REINVEST	91.6640 (+)	960.64	10.4800		
	011 - 000	13	06/01/2011	INCOME REINVEST	90.6350 (+)	964.36	10.6400		
	011 - 000	14	07/01/2011	INCOME REINVEST	90.7920 (+)	967.84	10.6600		
	011 - 000	15	08/01/2011	INCOME REINVEST	90.4550 (+)	971.49	10.7400		
	011 - 000	16	09/01/2011	INCOME REINVEST	91.1680 (+)	987.35	10.8300		
	011 - 000	17	10/03/2011	INCOME REINVEST	90.6630 (+)	990.95	10.9300		
	011 - 000	18	11/01/2011	INCOME REINVEST	91.5120 (+)	994.74	10.8700		
	012 - 000	19	11/14/2011	CAP GAIN REIN 0.0291	66.8760 (+)	726.27	10.8600		
	011 - 100	20	11/14/2011	SP TXBL DIV REIN 0.003	6.8940 (+)	74.87	10.8600		
	011 - 000	21	12/01/2011	INCOME REINVEST	92.2560 (+)	1,000.05	10.8400		
	011 - 000	22	12/30/2011	INCOME REINVEST	91.4450 (+)	1,004.98	10.9900		
ENDING BALANCE					0000	UNISSUED:	25,215.1100	TOTAL SHARES:	25,215.1100

BEG SHARES ISSUED: 0000
 BEG SHARES UNISSUED: 24,042.8860

BEGINNING BALANCE: 24,042.8860

SOURCE PROGRAM: SSRYR036
JOB NAME/#: DYMUM775/39506
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN: 1012154-2
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:
SUPER SHEET DATE: 04/18/2012
CURRENT DATE: 04/19/2012
TIME: 08:31:24

TAX REPORTING TOTALS

FORM 1099 DIV TOTALS

ORDINARY INCOME (BOX 1A):
QUALIFIED DIVS (BOX 1B):
CAPITAL GAINS (BOX 2A):
SHORT TERM CAP GAIN (BOX 2B):
LONG TERM CAP GAIN (BOX 2C):
28 PERCENT CAP GAIN (BOX 2D):
NON-TAXABLE DIST (BOX 3):
FEDERAL TAX W/HELD (BOX 4):
FOREIGN TAX PAID (BOX 5):
LIQUID DIST CASH (BOX 6):
LIQUID DIST NONCASH (BOX 7):
FORM 1099B TOTALS
1099B GROSS REDEMPTIONS :
1099B GROSS WITHHOLDING :

FORM 5498/5498-ESA TOTALS

IRA CONTRIB: 74.87
ROLLVR CONTRIB: 0.00
IRA RECHAR CNB: 0.00
ROTH CNV CNTRB: 0.00
ACCOUNT VALUE : 0.00
TYPE OF IRA: IRA NA
SIMPLE CONTRIB: 0.00
ROTH IRA CONTRIB: 0.00
EDUCATION CNB: 0.00
RMD REQUIRED : N
0.00
0.00

FORM 1099 INT TOTALS

INC DIV (BOX 1): 0.00
TAX WTH (BOX 4): 0.00
FGN TAX (BOX 6): 0.00
EXMT INT (BOX 8): 0.00
AMT INT (BOX 9): 0.00
0.00
0.00

FORM 1099Q-ESA TOTALS

PREMATURE DIS: 0.00
PRE EXEMPT DIS: 0.00
DISABILITY DIS: 0.00
DEATH DIS: 0.00
PROHIBITED DIS: 0.00
NORMAL DIS: 0.00
EXCESS DIS: 0.00
ROLLOVERS: 0.00
ROLL IRA DEATH: 0.00
415 EXCESS DEF: 0.00
EDUCATION DIS: 0.00
LOAN DEF: 0.00
IRA RCH PRI: 0.00
EX-CON CURRENT: 0.00
ROTH NORM/EX: 0.00
EX-CON CUR ERN: 0.00
EX-CON PRIOR: 0.00
EX-CON PRI ERN: 0.00
EX-CON OTP: 0.00
EX-CON OTP ERN: 0.00
REDEMP WH: 0.00
IRA RCH CURR: 0.00

FORM 1042S TOTALS

INCOME DIVIDEND :
LONG TERM CAP GAIN :
SHORT TERM CAP GAIN :
QII EXEMPT DIVIDENDS :
FOREIGN WITHHOLDING :
FOREIGN FID REDEMPTION :
CAP SURPLUS :
TAXABLE INCOME DIVIDEND :
1099R/1099Q-ESA UNIQUE IDENTIFIER :
STATE :

NO 1099R/1099Q-ESA RECORDS FOR ACCOUNT
1099B UNIQUE IDENTIFIERS ARE LISTED IN TRANSACTION HISTORY DETAIL
1099B NOTE: THE TRANSACTION MAY HAVE BEEN CANCELLED AFTER IT WAS ORIGINALLY REPORTED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

SOURCE PROGRAM: 825740
 JOB NAME/ID: DYMUT75/J0064996
 FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
 ACCOUNT NUMBER: 1012154-2
 SSN-TAX ID/TIN:
 SHAREOWNER REGISTRATION
 SIMON A GOLDBERG
 400 E 54TH ST APT 19C88
 NEW YORK NY 10022-5164
 SHAREOWNER REGISTRATION
 DEALER REGISTRATION
 REP NUMBER/NAME
 TRUST
 TPA
 PLEASE PROVIDE
 YOUR FINANCIAL
 ADVISOR INFORMATION
 CHICAGO IL 60606
 DEALER NUMBER/BRANCH
 448/000
 HOUSE
 0000000
 0000000
 0000000
 SHAREOWNER MASTER RECORD
 MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
 BIN NUMBER:
 SUPER SHEET DATE: 05/13/2013
 CURRENT DATE: 05/14/2013
 TIME: 06:49:10
 DATE ESTABLISHED 05/18/1988
 ALPHA CODE NO
 FIDUCIARY ACCOUNT NO
 PENALTY WITHHOLDING NO
 CAPITAL GAIN OPTION REINVEST
 DIVIDEND OPTION REINVEST
 CUMULATIVE DISCOUNT 0
 MATRIX LEVEL 0
 STATE/COUNTRY CODE 031
 FRGN TAX RATE 00.0
 SOCIAL CODE 005
 B/C NOTICE NO / NO
 TAX RESIDENCE CODE 031
 PPA N

LAST MAINT DATE 04/10/2013 STOP MAIL DATE 06/03/2012

2012 CUTOFF DATES: INCOME DIV 12/31/2012 LTCG 12/31/2012 STCG 12/31/2012
 PEND: 781-12/16/2011 781-09/16/2011 762-02/02/2007

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	SHARES	PRICE	GROSS AMOUNT	COMMISSION AMOUNT	PERCENT
	TC - TS								
	011 - 000	11 02/01/2012	02/01/2012	INCOME REINVEST	89.9800 (+)	1,008.68	11.2100		
	011 - 000	12 03/01/2012	03/01/2012	INCOME REINVEST	85.9370 (+)	961.63	11.1900		
	011 - 000	13 04/02/2012	04/02/2012	INCOME REINVEST	86.9950 (+)	964.77	11.0900		
	011 - 000	14 05/01/2012	05/01/2012	INCOME REINVEST	86.6730 (+)	968.14	11.1700		
	011 - 000	15 06/01/2012	06/01/2012	INCOME REINVEST	80.8220 (+)	907.63	11.2300		
	011 - 000	16 07/02/2012	07/02/2012	INCOME REINVEST	81.2900 (+)	910.45	11.2000		
	011 - 000	17 08/01/2012	08/01/2012	INCOME REINVEST	80.6770 (+)	913.26	11.3200		
	011 - 000	18 09/04/2012	09/04/2012	INCOME REINVEST	78.7200 (+)	890.32	11.3100		
	011 - 000	19 10/01/2012	10/01/2012	INCOME REINVEST	78.7570 (+)	893.10	11.3400		
	011 - 000	20 11/01/2012	11/01/2012	INCOME REINVEST	79.0000 (+)	895.86	11.3400		
	012 - 000	21 11/16/2012	11/16/2012	CAP GAIN REIN 0.0122	27.7500 (+)	317.74	11.4500		
	011 - 000	22 12/03/2012	12/03/2012	INCOME REINVEST	75.9040 (+)	872.90	11.5000		
	011 - 000	23 12/31/2012	12/31/2012	INCOME REINVEST	77.6600 (+)	876.00	11.2800		
ENDING BALANCE					.0000	UNISSUED:	26,225.2750	TOTAL SHARES:	26,225.2750
TAX REPORTING INFORMATION									

BEG SHARES ISSUED: .0000
 BEG SHARES UNISSUED: 25,215.1100

BEGINNING BALANCE: 25,215.1100

DC SHARE BALANCE

SOURCE PROGRAM: B25740
JOB NAME/ID: DYMJ775/J0064996
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:
SUPER SHEET DATE: 05/13/2013
CURRENT DATE: 05/14/2013
TIME: 06:49:10

FORM 1099 DIV
FORM STATUS: PRODUCED
(1A) ORDINARY INCOME
(3) NONDIV DIST 0.00
(10) EXMPT-INT DIV 11,062.74
DATE PRODUCED: 01/16/2013
(1B) QUALIFIED DIVS 0.00
(4) FED INC TAX WTH 0.00
(11) SPEC PRVT ACT BND 804.26
TAPE STATUS: PRODUCED
(2A) TOT CAP GAIN 317.74
(6) FOREIGN TAX PAID 0.00
(12) STATE
DATE PRODUCED: 03/15/2013
(2D) 28% CAP GAIN 0.00
(8) LQD DIST 0.00
(9) LQD DIST NONCSH 0.00
(13) STATE ID NO.
(14) STATE TAX W/H 0.00

FORM 1099 INT
FORM 1099 B
DATA REPORTED REFLECTS ACCOUNT AND TAX INFORMATION AT THE TIME TRANSCRIPTS PRODUCED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

**** NO ACTIVITY TO REPORT ****
**** NO ACTIVITY TO REPORT ****

[illegible]

SOURCE PROGRAM: B25740
JOB NAME/ID: DYYMU775/J0064996
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN: 1012154-2
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:
SUPER SHEET DATE: 05/13/2013
CURRENT DATE: 05/14/2013
TIME: 06:49:10

FORM 1099 DIV
FORM STATUS: PRODUCED
(1A) ORDINARY INCOME 0.00
(3) NONDIV DIST 0.00
(10) EXMPT-INT DIV 11,082.74
(11) SPEC PRVT ACT BND 804.26
(12) STATE 0.00
(13) STATE ID NO. 0.00
(14) STATE TAX W/H 0.00
(2A) TOT CAP GAIN 317.74
(2B) SCT 1250 CAP GAIN 0.00
(6) FOREIGN TAX PAID 0.00
(8) LQD DIST 0.00
(9) LQD DIST NONCSH 0.00
(2D) 28% CAP GAIN 0.00

DATE PRODUCED: 01/16/2013
DATE PRODUCED: 03/15/2013
DATE PRODUCED: 03/15/2013

FORM 1099 INT
FORM 1099 B

DATA REPORTED REFLECTS ACCOUNT AND TAX INFORMATION AT THE TIME TRANSCRIPTS PRODUCED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

**** NO ACTIVITY TO REPORT ****
**** NO ACTIVITY TO REPORT ****

SOURCE PROGRAM: B25740
 JOB NAME/ID: DYYWU775/J0163025
 FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
 ACCOUNT NUMBER: 1012154-2
 SSN-TAX ID/TIN: 1012154-2
 SHAREOWNER REGISTRATION
 SIMON A GOLDBERG
 400 E 54TH ST APT 19C88
 NEW YORK NY 10022-5164
 SHAREOWNER MASTER RECORD
 MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
 BIN NUMBER: 448/000
 HOUSE /HOUSE
 00000000
 00000000
 00000000
 TPA
 DEALER REGISTRATION
 YOUR FINANCIAL
 ADVISOR INFORMATION
 CHICAGO IL 60606
 DATE ESTABLISHED 05/18/1988
 ALPHA CODE GOLDBS1MOA
 FIDUCIARY ACCOUNT NO
 PENALTY WITHHOLDING NO
 CAPITAL GAIN OPTION REINVEST
 DIVIDEND OPTION REINVEST
 CUMULATIVE DISCOUNT
 MATRIX LEVEL 0
 STATE/COUNTRY CODE 031
 FRGN TAX RATE 00.0
 SOCIAL CODE 005
 SEC NOTICE NO / NO
 TAX RESIDENCE CODE 031
 PPA N
 SUPER SHEET DATE: 04/04/2014
 CURRENT DATE: 04/07/2014
 TIME: 11:36:31

2013 CUTOFF DATES: INCOME DIV 12/31/2013 LTCG 12/31/2013 STCG 12/31/2013
 PEND: 781-12/16/2011 781-09/16/2011 762-02/02/2007
 BEG SHARES ISSUED: .0000
 BEG SHARES UNISSUED: 26,225.2750

SHAREOWNER TRANSACTION HISTORY									
HIST CHG	TRANS #	CONFIRM DATE	TRADE DATE	TRANSACTION DESCRIPTION	SHARES	PRICE	GROSS AMOUNT	COMMISSION AMOUNT	PERCENT
	TC - TS								
	011 - 10	02/01/2013	02/01/2013	INCOME REINVEST	77.6780 (+)	878.54	11.3100		
	011 - 11	03/01/2013	03/01/2013	INCOME REINVEST	77.8410 (+)	881.16	11.3200		
	011 - 12	04/01/2013	04/01/2013	INCOME REINVEST	78.7660 (+)	883.76	11.2200		
	011 - 13	05/01/2013	05/01/2013	INCOME REINVEST	78.4480 (+)	886.46	11.3000		
	011 - 14	06/03/2013	06/03/2013	INCOME REINVEST	79.8060 (+)	889.04	11.1400		
	011 - 15	07/01/2013	07/01/2013	INCOME REINVEST	83.2540 (+)	891.65	10.7100		
	011 - 16	08/01/2013	08/01/2013	INCOME REINVEST	84.8580 (+)	894.40	10.5400		
	011 - 17	09/03/2013	09/03/2013	INCOME REINVEST	85.7280 (+)	883.86	10.3100		
	011 - 18	10/01/2013	10/01/2013	INCOME REINVEST	84.3770 (+)	886.80	10.5100		
	011 - 19	11/01/2013	11/01/2013	INCOME REINVEST	84.2480 (+)	889.66	10.5600		
	012 - 20	11/18/2013	11/15/2013	CAP GAIN REIN 0.0082	21.1170 (+)	221.73	10.5000		
	011 - 21	11/18/2013	11/15/2013	SP TXBL DIV REIN 0.0006	1.5450 (+)	16.22	10.5000		
	069 - 001	11/18/2013	11/15/2013	SHRTTERM CG REIN 0.0001	.2570 (+)	2.70	10.5000		
	011 - 23	12/02/2013	11/29/2013	INCOME REINVEST	87.7600 (+)	919.73	10.4800		
	011 - 24	12/31/2013	12/31/2013	INCOME REINVEST	88.5970 (+)	923.18	10.4200		
ENDING BALANCE					.0000	27,239.5550	27,239.5550	TOTAL SHARES:	
								27,239.5550	

BEGINNING BALANCE: 26,225.2750
 SHARE BALANCE

SOURCE PROGRAM: B25740
JOB NAME/ID: DYYMU775/J0163025
DATE: 04/04/2014
CURRENT TIME: 11:36:31

FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2
SSN-TAX ID/TIN:
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:

TAX REPORTING INFORMATION

FORM 1099 DIV
FORM STATUS: PRODUCED
(1A) ORDINARY INCOME 18.92
(3) NONDIV DIST 0.00
(10) EXMPT-INT DIV 10,708.24

DATE PRODUCED: 01/16/2014
(1B) QUALIFIED DIVS 0.00
(4) FED INC TAX WTH 0.00
(11) SPEC PRVT ACT BND 1,042.98
TAPE STATUS: PRODUCED
(2A) TOT CAP GAIN 221.73
(6) FOREIGN TAX PAID 0.00
(12) STATE 0.00
DATE PRODUCED: 03/21/2014
(2D) 28% CAP GAIN 0.00
(9) LQD DIST NONCSH 0.00
(13) STATE ID NO. 0.00
(14) STATE TAX W/H 0.00

FORM 1099 INT

**** NO ACTIVITY TO REPORT ****

FORM 1099 B

**** NO ACTIVITY TO REPORT ****

DATA REPORTED REFLECTS ACCOUNT AND TAX INFORMATION AT THE TIME TRANSCRIPTS PRODUCED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

LAST MAINT DATE 04/10/2013 STOP MAIL DATE 06/03/2012

2014 CUTOFF DATES:	INCOME	DIV	12/31/2014	LTCG	12/31/2014	STCG	12/31/2014
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PEND: 781-12/16/2011 781-09/16/2011

SHAREOWNER TRANSACTION HISTORY

HIST CHG	TRANS #	CONFIRM DATE	TRANSACTION DESCRIPTION	GROSS AMOUNT		COMMISSION AMOUNT	BATCH	DC	SHARE BALANCE	
				TC - TS	TRADE DATE				SHARES	PRICE
	12	02/03/2014	INCOME REINVEST	87.2160	(+)	926.23 10.6200	999888 NO CERT	0	27,326.7710	
	13	03/03/2014	INCOME REINVEST	90.4160	(+)	970.16 10.7300	999888 NO CERT	0	27,417.1870	
	14	04/01/2014	INCOME REINVEST	90.5440	(+)	973.35 10.7500	999888 NO CERT	0	27,507.7310	
	15	05/01/2014	INCOME REINVEST	89.8340	(+)	976.50 10.8700	999888 NO CERT	0	27,597.5650	
	16	06/02/2014	INCOME REINVEST	90.2410	(+)	993.55 11.0100	999888 NO CERT	0	27,687.8060	
	17	07/01/2014	INCOME REINVEST	90.7890	(+)	996.86 10.9800	999888 NO CERT	0	27,778.5950	
	18	08/01/2014	INCOME REINVEST	91.4130	(+)	1,000.06 10.9400	999888 NO CERT	0	27,870.0080	
	19	09/02/2014	INCOME REINVEST	90.7250	(+)	1,003.42 11.0600	999888 NO CERT	0	27,960.7330	
	20	10/01/2014	INCOME REINVEST	91.0900	(+)	1,006.54 11.0500	999888 NO CERT	0	28,051.8230	
	21	11/03/2014	INCOME REINVEST	90.9860	(+)	1,009.94 11.1000	999888 NO CERT	0	28,142.8090	
	22	11/14/2014	SP TXBL DIV REIN	0.0017		47.84	999888	0	28,147.1340	
	23	12/01/2014	INCOME REINVEST	91.3640	(+)	1,013.23 11.0900	999888 NO CERT	0	28,238.4980	
	24	12/31/2014	INCOME REINVEST	91.0880	(+)	1,016.54 11.1600	999888 NO CERT	0	28,329.5860	

ENDING BALANCE	ISSUED:	.0000	UNISSUED:	28,329.5860	TOTAL SHARES:	28,329.5860

TAX REPORTING INFORMATION						

SOURCE PROGRAM: B25740
JOB NAME/ID: DYM775/J0098991
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN: 1012154-2

MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:

DATE PRODUCED: 01/16/2015
(1B) QUALIFIED DIVS 0.00
(4) FED INC TAX WTH 0.00
(11) SPEC PRVT ACT BND 1,441.81

DATE PRODUCED: 03/13/2015
(2B) SCT 1250 CAP GAIN 0.00
(8) LQD DIST 0.00
(13) STATE ID NO. 0.00

DATE PRODUCED: 04/14/2015
(2D) 28% CAP GAIN 0.00
(9) LQD DIST NONCSH 0.00
(14) STATE TAX W/H 0.00

FORM 1099 DIV
FORM STATUS: PRODUCED
(1A) ORDINARY INCOME 47.84
(3) NONDIV DIST 0.00
(10) EXMPT-INT DIV 11,886.38

FORM 1099 INT
FORM 1099 B

DATA REPORTED REFLECTS ACCOUNT AND TAX INFORMATION AT THE TIME TRANSCRIPTS PRODUCED
1099DIV AND 5498/5498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

SUPER SHEET DATE: 04/14/2015
CURRENT DATE: 04/15/2015
TIME: 08:07:06

SOURCE PROGRAM: B25740
JOB NAME/ID: DYYMU775/J0098991
FUND CODE/NAME: 1689/NUVEEN NEW YORK MUNI BOND I
ACCOUNT NUMBER: 1012154-2 SSN-TAX ID/TIN:
MGMT CODE/NAME: NV/NUVEEN MUTUAL FUNDS
BIN NUMBER:
SUPER SHEET DATE: 04/14/2015
CURRENT DATE: 04/15/2015
TIME: 08:07:06

FORM 1099 DIV
FORM STATUS: PRODUCED
(1A) ORDINARY INCOME 47.84
(3) NONDIV DIST 0.00
(10) EXMPT-INT DIV 11,886.38
DATE PRODUCED: 01/16/2015
(1B) QUALIFIED DIVS (2A) TOT CAP GAIN 0.00
(4) FED INC TAX WTH.00 (6) FOREIGN TAX PAID 0.00
(11) SPEC PRVT ACT BND 1,441.81 (12) STATE (13) STATE ID NO. (14) STATE TAX W/H
DATE PRODUCED: 03/13/2015
(2D) 28% CAP GAIN 0.00
(9) LQD DIST NONCSH 0.00

FORM 1099 INT
FORM 1099 B
DATA REPORTED REFLECTS ACCOUNT AND TAX INFORMATION AT THE TIME TRANSCRIPTS PRODUCED
1099DIV AND S498/S498-ESA UNIQUE IDENTIFIERS ARE ALWAYS AA

*** NO ACTIVITY TO REPORT ***
*** NO ACTIVITY TO REPORT ***

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 8 of 29

Simon Goldberg Estate Transcript Depositions of David J. Mandelbaum, Executor dated August 9, 1988, and August 16, 1988, citing to p 74 and p 159, respectively, Wolf Popper will not produce accounting records.

Wolf Popper has 100% control over accounting documents.

COPY

1
2
3 SURROGATE'S COURT : COUNTY OF NEW YORK

4 -----
5 PROBATE PROCEEDING,

6 WILL OF File No. 3169/1985
7 SIMON A. GOLDBERG, Deceased.
8 -----

9
10
11 Deposition of petitioner DAVID
12 MANDELBAUM taken by respondent pursuant to notice,
13 and held at the offices of G. Oliver Koppell,
14 Esq., 28 West 44th Street, New York, N. Y. 10036,
15 on Tuesday, August 9, 1988, at 10:10 a.m., before
16 Brian G. Berkowitz, a Registered Professional
17 Reporter and Notary Public within and for the
18 State of New York.
19
20
21
22
23
24
25

1
2 APPEARANCES:

3 WOLF POPPER ROSS WOLF & JONES
4 Attorneys for petitioner
845 Third Avenue
New York, N. Y. 10022
5 BY: JOHN C. FISHER, ESQ.

6
7 G. OLIVER KOPPELL, ESQ.
Attorney for respondent
28 West 44th Street
New York, N. Y. 10036
8 BY: G. OLIVER KOPPELL, ESQ.
9 JOHN F. DUANE, ESQ.

10
11 * * * *

12
13 IT IS HEREBY STIPULATED AND
14 AGREED, by and between the attorneys for the
15 respective parties herein, that all rights
16 provided by the CPLR, including the right to
17 object to any question, except as to form, or to
18 move to strike any testimony at this deposition,
19 are reserved and, in addition, the failure to
20 object to any question, or to move to strike
21 testimony at this deposition, shall not be a bar
22 or waiver to make such motion at, and is reserved
23 for, the trial of this action.

24 IT IS FURTHER STIPULATED AND
25 AGREED that this deposition may be sworn to by the

1
2 witness being examined before a Notary Public
3 other than the Notary Public before whom this
4 deposition was begun, but the failure to do so, or
5 to return the original of this deposition to
6 counsel, shall not be deemed waiver of the rights
7 provided by Rule 3116, CPLR, and shall be
8 controlled thereby.

9 IT IS FURTHER STIPULATED AND
10 AGREED that the filing of the original of this
11 deposition is waived.
12

13 D A V I D M A N D E L B A U M ,
14 having been duly sworn by the Notary Public (Brian
15 G. Berkowitz), and stating his address as 22
16 Dartmouth Terrace, White Plains, N. Y. 10607, was
17 examined and testified as follows:

18 EXAMINATION BY MR. DUANE:

19 Q. Good morning, Mr. Mandelbaum. My
20 name is John Duane and I'm with the law offices of
21 G. Oliver Koppell, and we represent respondent
22 Evelyn Goldberg in the matter relating to the
23 estate of Simon A. Goldberg.

24 I'm going to ask you a series of
25 questions today, and I would ask you to let me

1 Mandelbaum
2 were copied to other people, I believe I do have a
3 right.

4 MR. FISHER: Tell it to the
5 judge. We're not going to give you copies of
6 them.

7 MR. DUANE: Are you claiming a
8 privilege for any other documents?

9 MR. FISHER: At this point, any
10 communications we're claiming a privilege for.
11 Anything else, I believe, that Mr. Mandelbaum has
12 in his file, Mrs. Goldberg probably has in her
13 file or the court has in its file and of course
14 I'd have to go through that file.

15 MR. DUANE: What is the basis of
16 that belief?

17 MR. FISHER: Why don't you just
18 subpoena what you want, and we will answer your
19 subpoena, all right? If you think it's pertinent,
20 ask for it. Don't just ask for everything which
21 exists.

22 MR. DUANE: I think the files
23 pertaining to the estate matters for Simon A.
24 Goldberg are as pertinent as anything could
25 possibly be, and I think it's as specific as I

1 Mandelbaum

2 Q. Do you now feel that signing Mrs.
3 Goldberg's name was improper?

4 A. I feel I made a mistake in depositing
5 those checks in the estate bank account.

6 Q. Did you ever tell Mrs. Goldberg
7 that?

8 A. I told her that when I--when she
9 confronted me with my having deposited those
10 checks in error. I said, "I made a mistake, but I
11 can't take the money out of the account and return
12 it to you. I just don't have the power to do it.
13 I can't write any checks on this estate
14 account."

15 Q. What did Mrs. Goldberg say to you?

16 A. I think she said nothing. I think
17 she started writing letters.

18 MR. DUANE: Okay. I think--

19 MR. FISHER: Off the record.

20 (Discussion off the record.)

21 MR. DUANE: Back on the record,
22 briefly.

23 Mr. Fisher has indicated that he
24 has an appointment in Washington, this afternoon,
25 and we will be unable to continue the deposition.

C E R T I F I C A T E

STATE OF NEW YORK)
: ss
COUNTY OF NEW YORK)

I, BRIAN G. BERKOWITZ, a
Registered Professional Reporter and Notary Public
within and for the State of New York, do hereby
certify:

That DAVID MANDELBAUM, the
witness whose deposition is hereinbefore set
forth, was duly sworn by me and that the within
transcript is a true record of the testimony given
by such witness.

I further certify that I am not
related to any of the parties to this action by
blood or marriage and that I am in no way
interested in the outcome of this matter.

IN WITNESS WHEREOF, I have
hereunto set my hand this day of August, 1988.


BRIAN G. BERKOWITZ

August 9, 1988

I N D E X

PAGE

DAVID MANDELBAUM

Examination by Mr. Duane

3

EXHIBITS

RESPONDENT'S

FOR IDENT.

1 Original death certificate of
Simon Goldberg.

61

2 Page 2 of Form 1040-X.

67

3 Memo from Mr. Goldberg to
Mr. Mandelbaum.

72

4 Document dated July 10, 1984.

77

5 Copies of three checks,
consisting of two pages.

89

o o o

106

SURROGATE'S COURT
COUNTY OF NEW YORK

X-----X

PROBATE PROCEEDING, WILL OF :
SIMON A. GOLDBERG, : File No.
: 3169/85
Deceased. :

X-----X

Continued deposition of
DAVID J. MANDELBAUM, a witness, taken by
respondent, Evelyn Goldberg, pursuant to
order and adjournment, at the offices
of G. Oliver Koppell, 28 West 44th Street,
New York, New York, on Tuesday, August
16, 1988 at 10:25 A.M., before Samuel
Singer, a Shorthand Reporter and Notary
Public within and for the State of New York.

Commerce Reporting Company, Inc.
One Thirty Nine East Fifty Seven St.
New York, New York, 10022

CERTIFIED SHORTHAND REPORTERS (212) 750-9696 A COMPLETE REPORTING SERVICE SINCE 1935

1-800-356-4589 Outside N. Y. S.

1 APPEARANCES:

107

2 G. OLIVER KOPPELL, ESQ.
3 Attorney for respondent Evelyn Goldberg
4 28 West 44th Street
New York, New York

5 BY: JOHN F. DUANE, ESQ.

6 WOLF POPPER ROSS WOLF & JONES
7 Attorneys for the witness
8 845 Third Avenue
New York, New York 10022

9 BY: JOHN C. FISHER, ESQ.

10
11 oOo

12
13 D A V I D J. M A N D E L B A U M, was
14 recalled, and testified further as follows:

15 CONTINUED EXAMINATION

16 BY MR. DUANE:

17 Q Good morning, Mr. Mandelbaum.

18 A Good morning.

19 Q As I indicated last time you were here,
20 if you do not understand a question that I ask, please
21 let me know and I will try to rephrase it in a way that
22 you will find understandable.

23 Mr. Mandelbaum, do you know who pays taxes
24 on income generated by a joint account?

25 A Yes, I do.

1 Mandelbaum 159
2 charges either for professional services or disbursements
3 incurred by the estate of Simon A. Goldberg, and to
4 produce those at your earliest convenience.

5 MR. FISHER: That is so far
6 out of context of a will contest, that we will
7 not voluntarily produce them.

8 They will be produced in connection
9 with any accounting proceeding in the future,
10 either as executor or as preliminary executor.
11 They are totally irrelevant at this point.

12 MR. DUANE: As we discussed
13 on the record last time, when Mr. Fisher sees
14 fit to produce documents we will review what
15 we have been given and if we need make motion
16 after that to obtain further documents, we will
17 do so.

18 But prior to making any motions,
19 I would like an opportunity to review the
20 documents that will be produced.

21 BY MR. DUANE:

22 Q Mr. Mandelbaum, as a general rule,
23 what happens to pension money when an individual
24 dies?

25 MR. FISHER: That's so broad

224

INDEX

WITNESS

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EXHIBITS

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7 Document dated Nov. 16, 1972	133
8 Document dated Oct. 15, 1985	148
9 Form 706	193
10 Letter dated Dec. 9, 1985	205
11 Letter dated August 18, 1987	208
12 Letter dated Dec. 12, 1985	213

1
2
3 STATE OF NEW YORK)
4 COUNTY OF NEW YORK) SS.

5
6 I, SAMUEL SINGER,
7 a Shorthand Reporter and Notary Public within
8 and for the State of New York, do hereby certify:

9 That DAVID J. MANDELBAUM,
10 the witness whose deposition is hereinbefore
11 set forth, was duly sworn by me and that the
12 within transcript is a true record of the
13 testimony given by such witness.

14 I further certify that I am not
15 related to any of the parties to this action
16 by blood or marriage, and that I am in no way
17 interested in the outcome of this matter.

18 IN WITNESS WHEREOF, I have hereunto
19 set my hand this 22nd day of August 1988.

20
21 
22 SAMUEL SINGER
23
24
25

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 9 of 29

Simon A. Goldberg Death Certificates amended September 7, 1988 and October 19, 1988 for USA Citizenship and Simon A. Goldberg (Middle Initial), respectively.

Note Evelyn does not know about the amendments and the corrections reflect Wolf Popper's collection assets in Canada that require Simon's Middle initial and correct citizenship status.

Wolf Popper bills for Death Certificates in 1995 when the "Canadian" citizenship amended death certificate was in 1988 and filed with Canada in April 13 1993.

October 19, 1988

1. NAME OF DECEASED **Simon Goldberg**
 2. PLACE OF DEATH **Goldberg**
 3. MEDICAL CERTIFICATE OF DEATH (To be filled in by the Physician)
 4. CITY AND COUNTY OF DEATH **NEW YORK CITY** COUNTY OF **NEW YORK** CITY OF **NEW YORK**
 5. PLACE OF DEATH **Goldberg**
 6. DATE OF DEATH (Month) **May** (Day) **24** (Year) **1945**
 7. TIME OF DEATH (Hour) **12:45** (Minute) **45**
 8. SEX **Male**
 9. AGE (Years) **71**
 10. HEREDITY CERTIFICATE (To be filled in by the Physician)
 11. PLACE OF BIRTH **U.S.A.**
 12. DATE OF BIRTH (Month) **May** (Day) **18** (Year) **1874**
 13. PLACE OF BIRTH **U.S.A.**
 14. DATE OF DEATH (Month) **May** (Day) **24** (Year) **1945**
 15. PLACE OF DEATH **Goldberg**
 16. DATE OF DEATH (Month) **May** (Day) **24** (Year) **1945**
 17. PLACE OF DEATH **Goldberg**
 18. DATE OF DEATH (Month) **May** (Day) **24** (Year) **1945**
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 123. PLACE OF DEATH **Goldberg**
 124. DATE OF DEATH (Month) **May** (Day) **24** (Year) **1945**
 125. PLACE OF DEATH **Goldberg**
 126. DATE OF DEATH (Month) **May** (Day

Should be according to affidavits & Commissioners

Note: USA Crossed Out and Canada written in – old information always kept on the death certificate; Sept 7 Cert Cannot be located, but is on microfiche requested to be examined at Van Wye's office

[illegible]

Should be according to affidavits & Commissioners

Note: 13 a & b have no cross outs
This is September 7, 1988 Death
Certificate amended showing the
blank fields in the 10/11/88 affidavit

[illegible]

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 10 of 29

Bernard Madoff Deposition dated April 26, 2017, Judge Bernstein Light Switch 1992
trading moment for "703 accounting" fraud, Treasuries Purchased, P18-20, and Canadian
Accounts P75

CONFIDENTIAL
UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

In re:)

SECURITIES INVESTOR)

PROTECTION CORPORATION,)

Plaintiff-Applicant,)

vs.) 08-01789 (SMB)

BERNARD L. MADOFF)

INVESTMENT SECURITIES, LLC,)

Defendant.)

In re:)

BERNARD L. MADOFF,)

Debtor.)

Videotaped Deposition of BERNARD L.
MADOFF, VOLUME I, taken on behalf of the Customers,
before K. Denise Neal, Registered Professional
Reporter and Notary Public, at the Federal
Correctional Institution, 3000 Old Highway 75,
Butner, North Carolina, on the 26th day of April,
2017, commencing at 9:07 a.m.

* * * * *

1 APPEARANCES OF COUNSEL:

2 On Behalf of the Customers:

3 HELEN DAVIS CHAITMAN, Esq.
4 Chaitman, LLP
5 465 Park Avenue
6 New York, New York 10022
7 (908) 303-4568
8 hchaitman@chaitmanllp.com
9

10 On Behalf of the Trustee:

11 DAVID J. SHEEHAN, Esq.
12 AMANDA E. FEIN, Esq.
13 Baker Hostetler
14 45 Rockefeller Plaza
15 New York, New York 10111-0100
16 (212) 589-4621
17 afein@bakerlaw.com
18

19 On Behalf of the Deponent:

20 PETER A. GOLDMAN, Esq.
21 12 Fairlawn Parkway
22 Rye Brook, New York 10573
23 (914) 935-6857
24 pagoldman@gmail.com
25

1 APPEARANCES OF COUNSEL:

2 Videographer:

3 Ken Morrison, CLVS

5 * * * * *

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* * * * *

1 THE VIDEOGRAPHER: My name is Ken Morrison
2 representing Veritext Legal Solutions. The date
3 today is April 26th, 2017 and the time is 9:07 a.m.
4 This is the deposition being held at Butner Federal
5 Correctional Facility located at 3000 Old 75
6 Highway, Butner, North Carolina 27509 and is taken
7 by counsel for the Plaintiffs in the case of
8 Securities Investor Protection Corporation,
9 Plaintiff-Applicant, versus Bernard L. Madoff
10 Investment Securities, LLC, Defendant.

11 This case is being held in the United
12 States Bankruptcy Court, Southern District of New
13 York, Case Number Adversary Proceeding 08-01789
14 (SMB). The name of the witness is Bernard L.
15 Madoff. At this time would the attorneys present in
16 the room identify themselves and the parties you
17 represent and then our court reporter, Denise Neal,
18 representing Veritext Legal Solutions, will swear in
19 the witness and we can proceed.

20 MS. CHAITMAN: Helen Davis Chaitman on
21 behalf of a great number of claw-back Defendants.
22 And, in fact, I'm taking the deposition. It's not
23 the Trustee who's taking it.

24 MR. SHEEHAN: Right.

25 MR. GOLDMAN: Peter A. Goldman. I

1 represent Bernard Madoff.

2 MR. SHEEHAN: David J. Sheehan with Baker
3 Hostetler, counsel to the Trustee.

4 MS. FEIN: Amanda Fein, Baker Hostetler,
5 for the Trustee.

6 (The witness was duly sworn by the court
7 reporter.)

8 MR. GOLDMAN: Keep your voice up.

9 BERNARD L. MADOFF,
10 having been first duly sworn, was examined and
11 testified as follows:

12 EXAMINATION

13 BY MS. CHAITMAN:

14 Q. Good morning, Mr. Madoff.

15 A. Good morning.

16 Q. Irving Picard has argued to Judge Bernstein
17 that you're not a credible witness and I want to ask
18 you a few questions about that. At the time you
19 confessed on December 11th, 2008 had you been
20 indicted?

21 A. No.

22 Q. At the time you confessed was there a
23 criminal investigation against you?

24 A. No.

25 Q. At the time you confessed was there an SEC

1 this strategy?

2 A. Correct.

3 Q. And what happened at the end of '93 or
4 early '94 that you could no longer do that?

5 A. The markets had gone into a -- into a slump
6 because of a number of things, you know, starting
7 with the Mideast Crisis at that time. So there was
8 a lack of liquidity. This particular strategy that
9 we used, the split strike strategy, requires certain
10 market conditions to be able to execute it properly.
11 And we didn't have those conditions.

12 So because I had committed when I took in
13 the money from the clients, primarily they were
14 hedge funds, I had made a commitment to keep the
15 money working constantly. Because I couldn't do
16 that, I started to short the securities, which was
17 as I said, not a violation at the time I was
18 shorting the stock. It only became a violation when
19 I filed my financial reports.

20 I thought that would just be a temporary
21 situation for the market to, you know, recover and
22 improve and then I would have started doing the
23 strategy. In the interim the money that the
24 customers came in, I basically kept it located in
25 Treasury bills.

1 Q. Okay. So you took the money --

2 A. Which is part of the strategy as well.

3 Q. Right. So you basically took the money
4 that went into the 703 account?

5 A. Correct.

6 Q. Which was the investment advisory
7 customers' money?

8 A. Correct.

9 Q. And you purchased Treasury bills with that?

10 A. Correct.

11 Q. And in the early '90s the Treasury bills
12 were bearing an interest rate of about six percent;
13 isn't that true?

14 A. No. They probably were -- you know, they
15 were short-term T bills, so they were probably, you
16 know, closer to three to four percent.

17 Q. Okay. And that three to four percent was
18 money that was earned by the customers --

19 A. Correct.

20 Q. -- whose money you were using?

21 A. Correct.

22 Q. And, in fact, the statements reflected the
23 ownership of those Treasury securities?

24 A. Correct. And they -- well, they also, you
25 know, reflected the ownership of the securities that

1 I wasn't buying.

2 Q. Right. Now, in late 1993 or early 1994 was
3 your business, you were operating at that point as a
4 sole proprietorship; is that right?

5 A. Yes.

6 Q. Was your business insolvent?

7 A. No.

8 Q. At what point in time did you become
9 insolvent? And when I say you, I mean your sole
10 proprietorship or the limited liability company.

11 A. I would say probably in the early 2000s,
12 maybe somewhere between let's say '98 and 2002.

13 Q. Okay. And what --

14 MR. SHEEHAN: Can I just -- before you get
15 an answer, two things. One is I don't know what
16 insolvency means.

17 MS. CHAITMAN: Oh, okay.

18 MR. SHEEHAN: I'm going to ask to define
19 that.

20 MS. CHAITMAN: Okay.

21 MR. SHEEHAN: And I'm not going to
22 interrupt you again because I'm just going to have a
23 continuing objection to leading questions, which is
24 you're testifying more than he is, but I don't care
25 about that. Just objecting. All right.

1 Q. (By Ms. Chaitman) Okay, okay. When you
2 say that your business became insolvent at some
3 point between '98 and what did you say? 2000 --

4 A. 2002.

5 Q. Okay. What are the events that you're
6 thinking about that --

7 A. Well, because I was also returning -- there
8 were client -- part of the strategy was to return
9 profits that were earned to clients. So in order to
10 do that, I was returning money from the 703 account
11 to clients and, therefore, I wasn't able to buy
12 either the securities or the treasuries. So there
13 was a deficit.

14 So because that wasn't reflecting the
15 liabilities and I didn't have assets to back them up
16 at some point, I would say that would be -- the firm
17 would be insolvent.

18 Q. Okay. So would it be fair to say that the
19 insolvency arose from the differential between what
20 the investment advisory customers' money was earning
21 in Treasury bills versus the return you were paying
22 them?

23 A. Correct.

24 Q. Did your formation of the limited liability
25 company in -- it became effective January 2001, did

1 (Customers Exhibit Number 28 was marked
2 for identification.)

3 Q. (By Ms. Chaitman) I'm marking as
4 Exhibit 28 a document which is called Account
5 Canada. Can you tell me what this is?

6 A. Looks like it says Canadian dollars, so
7 this must have been part of our proprietary trading,
8 I think. I doubt whether this is -- I doubt whether
9 this is a customer.

10 Q. So this is a record of investments that the
11 firm made in Canadian dollars?

12 A. Right.

13 Q. Is this a report that was regularly
14 prepared by your firm?

15 A. I don't know what this is. I mean, you
16 know, I'm not familiar with this, so, you know --

17 Q. Okay. I don't want you to guess if --

18 A. No. I don't know.

19 MS. CHAITMAN: Okay.

20 MR. GOLDMAN: Do you need to eat?

21 THE WITNESS: Yeah. Whenever you're --

22 MR. GOLDMAN: They have your food outside.

23 THE WITNESS: Huh?

24 MR. GOLDMAN: I checked. They have your
25 food outside.

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 11 of 29

Wolf Popper's filed Informal Interim Accounting May 24, 1985-December 31, 1992 on behalf of Simon's Estate on February 13, 1993 evidencing Canadian and US Treasuries.

Schedule A-1, Increases from Sale or Distribution of Assets,

Schedule A-2 Income Collected with amounts collected which are being included herein, as income for which a complete explanation cannot be found.

Schedule A-2 PLEASE NOTE THE INCOME RECEIVED AND COLLECTED BY WOLF POPPR ROSS WOLF & JONES HAS NO EXPLANATION AND IS THE SUBJECT OF THE BLMIS WOLF POPPER CLIENT INQUIRY WITH TRUSTEE PICARD AND CHIEF COUNSEL DAVID SHEEHAN.

ESTATE OF SIMON A. GOLDBERG
INTERIM ACCOUNTING
FOR THE PERIOD
MAY 24, 1985 TO DECEMBER 31, 1992

Schedule A
 Principal Received

Description	Value
\$7,282 Consumer Gas 10.45% Due 4/15/99	\$6,772.26 *
Interest accrued from 1/15/85 to 5/24/85	268.95 *
\$82,286.60 Noranda Inc. 10% due 6/20/85	82,286.60 *
Interest accrued from 12/20/84 to 5/24/85	3,494.36 *
\$3,461 Nova Scotia Bank 10% due 4/01/01	4,259.97 *
Interest accrued from 4/1/85 to 5/24/85	53.87 *
\$19,661.40 Ontario Hydro 13.25% due 10/21/85	19,858.01 *
Interest accrued from 4/21/85 to 5/24/85	235.53 *
\$14,564 Ontario Hydro 10.25% due 4/4/09	13,471.70 *
Interest accrued from 4/4/85 to 5/24/85	208.58 *
\$10,000 Australia, 9.125% due 6/1/96	8,800.00
Interest accrued from 12/1/84 to 5/24/85	432.50
\$20,000 Canadian Railway 14.75% due 9/1/12	24,487.80
\$10,000 Canadian Railway 14.75% due 9/1/12	12,243.90
Interest accrued from 3/1/85 to 5/24/85	1,030.48
\$10,000 Electricite De France 8.5% due 6/1/87	9,375.00
Interest accrued from 12/1/84 to 5/24/85	407.53
\$10,000 European Investment Bank, 9% due 5/15/97	8,500.00
Interest accrued from 5/15/85 to 5/24/85	22.19
\$10,000 Ontario Province, 8.4% due 1/15/07	7,836.00
Interest accrued from 1/15/85 to 5/24/85	296.88
\$25,000 Ontario Province, 17% due 11/5/11	35,182.00
Interest accrued from 5/5/85 to 5/24/85	221.23
\$10,000 Kingdom of Sweden, 9.25% due 6/1/98	8,300.00
Interest accrued from 12/1/84 to 5/24/85	443.49
\$25,000 Puerto Rico Hsg. Fin., 7.25% due 7/1/20	25,000.00
Interest accrued from 1/1/85 to 5/24/85	715.07
\$25,000 Franklin County, 9% due 12/15/87	25,000.00
Interest accrued from 12/15/84 to 5/24/85	986.30
\$10,923 Bell Canada, 11% due 10/15/04	10,868.39 *
Interest accrued from 4/15/85 to 5/24/85	128.38 *
\$10,923 Canadian Utilities, 10.4% due 7/15/99	10,540.70 *
Interest accrued from 1/15/85 to 5/24/85	401.49 *
\$7,282 Government of Canada, 10.25% due 2/01/04	7,063.54 *
Interest accrued from 2/1/85 to 5/24/85	231.08 *
\$10,923 Government of Canada, 10% due 5/1/02	10,267.62 *
Interest accrued from 5/1/85 to 5/24/85	71.82 *
3 Units, Mun Inv Trust, Series 5, N.Y.	3,084.96
25 Units, Mun Inv Trust, Series 53, N.Y.	23,690.25
50 Units, Mun Inv Trust, 1st Municipal Bond Ins. Assoc.	46,656.50
30 Units, Insured Mun Income Trust, Series 26, N.Y.	30,000.00
25 Units, Mun Inv Trust, Series 9, N.Y.	25,000.00
Nuveen Series 58, N.Y.	54,000.00
100 Shs. Imperial Oil Ltd. common	3,854.89
5.78 Shs. Imperial Oil Ltd. common	222.81
100 Shs. Nova An Alta, Class A non-voting	419.00
150 Shs. Gulf Canada Ltd., common	2,129.99
150 Shs. Canadian Pacific Enterprises, common	3,194.99

Schedule A
Principal Received - continued

Description	Value
Chemical Bank, 015319113 - NOW Account	5,567.00
Chemical Bank, 015287548 - Money Market Account	69,726.00
Apple Bank for Savings, 5010142155	2,799.69
Bank of Nova Scotia, 1301925	5,424.35 *
Royal Trust Co., 756743	1,725.08 *
Royal Trust Co., 666254	6,378.21 *
\$21,117.80 Certificate RP 2791, 9% due 6/8/85	21,117.80
Interest accrued through 5/24/85	221.30
\$6,917.60 Certificate RP 1446, 5.50% due 5/6/85	6,917.90
Interest accrued through 5/24/85	25.37
Wood Gundy Inc., a/c 383683	317.83 *
Aetna Insurance Co. - Claim reimbursement	403.20
Government of Canada - May Pension	360.52
International Bank - fee	719.00
U.S.D.A. - fees	2,063.75
Total - Schedule A	\$655,783.61

Schedule A-1
 Increases from Sale or Distribution of Assets

Date	Asset	Sales Price	Basis	Gain
05/24/85	\$82,286.60 Noranda Inc. 10% due 6/20/85			
06/20/85	Sold- Noranda, Inc.	\$82,648.20	\$82,286.60	\$361.60 *
06/20/85	Bought- Noranda, Inc., 9.5%, due 8/22/85			
08/22/85	Sold- Noranda, Inc.	84,732.00	84,111.00	621.00 *
05/24/85	\$10,000 Electricitie De France 8.5% due 6/1/87			
08/06/86	Sold- 10K Elect De France	10,000.00	9,375.00	625.00
05/24/85	150 Shs. Gulf Canada Ltd., common			
06/29/87	Sold- 150 Shares Gulf Canada Corp	3,256.93	2,129.99	1,126.94 *
05/24/85	100 Shs. Imperial Oil Ltd. common			
12/11/87	Sold- 100 Shares Imperial Oil	4,124.06	3,854.89	269.17 *
10/18/85	Bought- 20K Gov't CDA, 9.75%, due 12/15/88			
09/30/87	Sold- 20000 Shs Gov't CDA, due 12/15/88	14,993.11	14,599.41	393.70 *
10/18/85	Bought- 14K Gov't CDA, 9.75%, due 12/15/88			
10/05/87	Sold- 14000 Gov't CDA, 9.75%, due 12/15/88	10,477.97	10,219.59	258.38 *
10/18/85	Bought- 10K Gov't CDA, 9.75%, due 12/15/88			
10/14/87	Sold- 10000 Gov't CDA, 9.75%, due 12/15/88	7,484.26	7,299.70	184.56 *
10/18/85	Bought- 101K Gov't CDA, 9.75%, due 12/15/88			
12/15/88	Sold- 101000 Gov't CDA, 9.75%	84,446.10	73,727.03	10,719.07 *
12/21/88	Bought- 83000 Shares Federal Business			
01/26/89	Sold- 830000 Shares Federal Business	69,661.90	68,699.56	962.34 *
06/28/88	Bought- 8000 Royal Bank, 10.4%, due 2/15/89			
02/15/89	Sold- 8000 Royal Bank, 10.4%	6,728.00	6,636.91	91.09 *

Schedule A-1 -
 Increases from Sale or Distribution of Assets - continued

Date	Asset	Sales Price	Basis	Gain
01/27/89	Bought- 60000 Shares Export Devel.			
03/02/89	Sold- 60000 Export Devel.	50,196.00	49,877.08	318.92 *
05/26/89	Bought- 15000 Canadian T-Bill, due 8/18/89			
08/17/89	Matured- 15000 Canadian T-Bill, due 8/18/89	12,433.00	12,251.79	181.20 *
03/03/89	Bought- 64000 Canadian T-Bill, due 9/1/89			
09/01/89	Matured- 64000 Canadian T-Bill, due 9/1/89	51,116.90	50,578.83	538.07 *
08/24/89	Bought- 400 Rights- Nova Corp			
09/19/89	Sold- 400 Rts Nova Corp	4.86	0.00	4.86 *
08/18/89	Bought- 21000 Canadian T-Bill, due 11/17/89			
11/16/89	Matured- 21000 Canadian T-Bill, due 11/17/89	17,456.75	17,371.00	85.75 *
09/01/89	Bought- 66000 Canadian T-Bill, due 12/1/89			
12/01/89	Matured- 66000 Canadian T-Bill, due 12/1/89	55,228.38	54,221.54	1,006.83 *
✓ 03/02/90	Bought- 100000 Canadian T-Bill, due 6/4/90			
04/05/90	Matured- 100000 Canadian T-Bill, due 4/6/90	84,942.23	83,795.43	1,146.80 *
02/11/88	Bought- 3000 Nova An Alta, 9.75%, due 6/15/90			
05/05/88	Bought- 2000 Nova An Alta, 9.75%, due 6/15/90			
06/13/90	Sold- 5000 Nova An Alta	4,263.50	4,008.78	254.72 *
05/11/90	Bought- 108000 CDN Wheat @ 98.787			
06/14/90	Sold- 108000 CDN Wheat	92,091.60	90,835.83	1,255.77 *
11/16/87	Bought- 5000 Lloyds Bk Mtge, 11%, due 6/21/90			
06/18/90	Sold- 5000 Lloyd Bk Mtge.	4,263.50	3,871.94	391.56 *
02/06/90	Bought- 25000 Canadian T-Bill, due 8/3/90			
08/03/90	Matured- 25000 Canadian T-Bill, due 8/3/90	20,651.61	19,753.51	898.10 *
06/22/90	Bought- 124000 Canadian T-Bill, due 8/24/90			
08/24/90	Matured- 124000 Canadian T-Bill, due 8/24/90	105,964.22	103,405.46	2,558.76 *

Schedule A-1 -

Increases from Sale or Distribution of Assets - continued

Date	Asset	Sales Price	Basis	Gain
11/02/90	Bought- 160000 CDN Wheat @ 98.891			
11/02/90	Sold- 160000 CDN Wheat	136,042.37	136,042.37	0.00 *
09/28/90	Bought- 156000 CDN Wheat @ 98.876			
11/02/90	Sold- 156000 CDN Wheat	134,128.80	131,634.01	2,494.79 *
11/02/90	Bought- 160000 CDN Wheat BD STN @98.886			
12/07/90	Sold- 160000 CDN Wheat	137,936.00	136,035.49	1,900.51 *
01/12/88	Bought- 5000 BC Prov., 9.75%, due 12/10/90			
12/10/90	Sold- 5000 BC Province, 9.75%	4,310.50	3,924.04	386.46 *
09/30/87	Bought- 5000 Gov't CDA, 10.25%, due 12/15/90			
12/17/90	Sold- 5000 Gov't CDA, 10.25%	4,310.50	3,763.49	547.01 *
06/22/87	Bought- Northern & Cent Gas- 2000 Shares			
01/15/91	Sold- 2000 ICG Utils, 9.625%	1,730.20	1,549.29	180.91 *
12/18/90	Bought- 173000 Canadian T-Bill, due 1/18/91			
01/18/91	Matured- 173000 Canadian T-Bill, due 1/18/91	148,339.29	147,824.87	514.41 *
02/02/91	Bought- 350 Bank of Nova Scotia			
02/08/91	Sold- 350 Bank of Nova Scotia	4,169.93	4,169.93	0.00 *
01/18/91	Bought- 178000 Export Devel @ 99.045			
02/22/91	Sold- 178000 Export Devel.	154,165.80	152,517.22	1,648.58 *
01/26/89	Bought- 25000 Ontario Hydro, 10.5%, due 3/4/91			
03/04/91	Sold- 25000 Ontario Hydro, 10.5%	21,610.00	21,068.53	541.47 *
02/22/91	Bought- 180000 Export Devel @ 99.201			
03/28/91	Sold- 180000 Export Devel.	155,592.00	154,652.37	939.63 *
03/28/91	Bought- 160000 Canadian T-Bill, due 5/3/91			
05/03/91	Matured- 160000 Canadian T-Bill, due 5/3/91	137,990.64	137,133.95	856.69 *

Schedule A-1 -
 Increases from Sale or Distribution of Assets - continued

Date	Asset	Sales Price	Basis	Gain
05/24/85	\$10,000 Kingdom of Sweden, 9.25% due 6/1/98		8,300.00	
06/01/87	Sold- 4000 Units- Kingdom Sweden	4,000.00		
06/01/88	Sold- 1000 Units- Kingdom Sweden	1,000.00		
06/09/88	Sold- 2000 Units- Kingdom Sweden	2,000.00		
06/01/90	Sold- 2000 Units- Kingdom Sweden	2,000.00		
06/03/91	Sold- 1000 Units- Kingdom Sweden	1,000.00		1,700.00
05/03/91	Bought- 100000 Export Devel.			
06/07/91	Sold- 100000 Export Devel.	87,440.00	86,301.56	1,138.44 *
11/25/88	Bought- 4000 Int'l Nickel, 8.625%, due 6/30/91			
07/02/91	Sold- 4000 Int'l Nickel, 8.625%	3,480.80	3,170.60	310.20 *
07/19/91	Bought- 120000 Canadian T-Bill, due 10/18/91			
10/18/91	Matured- 120000 Canadian T-Bill, due 10/18/91	104,310.97	102,381.47	1,929.51 *
05/24/85	\$10,000 Australia, 9.125% due 6/1/96		8,800.00	
06/01/87	Sold- 1000 Units- Australia	1,000.00		
06/03/91	Sold- 2000 Units- Australia- due 6-1-96	2,000.00		
11/15/91	Redeemed- 7000 Australia Commonwealth	7,063.00		1,263.00
05/24/85	\$10,000 European Investment Bank, 9% due 5/15/97			
11/15/91	Redeemed- 10000 European Invest. Bank	10,150.00	8,500.00	1,650.00
07/24/91	Bought- 200K Treasury Bill, due 1/16/92			
01/16/92	Matured- 200K Treas. Bill, due 1/16/92	194,479.50	194,479.50	0.00
08/08/91	Bought- 110K Treasury Bill, due 2/6/92			
02/06/92	Matured- 110K Treas. Bill, due 2/6/92	107,024.81	107,024.81	0.00

Schedule A-1 -
 Increases from Sale or Distribution of Assets - continued

Date	Asset	Sales Price	Basis	Gain
06/24/87	Bought- Simpsons Accept- 5000 shares			
03/30/92	Sold- 5000 Simpsons Accept., 8.375%	4,192.50	3,487.74	704.76 *
01/17/92	Bought- 200K Treasury Bill, due 7/16/92			
07/16/92	Matured- 200K Treas. Bill, due 7/16/92	196,380.00	196,380.00	0.00
02/07/92	Bought- 110K Treasury Bill, due 8/6/92			
08/06/92	Matured- 110K Treas. Bill, due 8/6/92	108,036.65	108,036.65	0.00
10/05/87	Bought- 10000 BC Muni, 11.25%, due 11/15/92			
11/16/92	Sold- 10000 BC Muni Fin, 11.25%	7,886.00	7,637.00	249.00 *
09/30/87	Bought-15000 Ontario Hy.,10.75%,due 12/15/92			
12/15/92	Sold- 15000 Ontario Hydro, 10.75%	11,808.00	11,347.48	460.52 *
Total - Schedule A-1				\$43,670.09

Schedule A-2
Income Collected

Date of Receipt	Description	Amount
05/31/85	Interest- 50 Units Muni- 1st Muni Bond	\$342.00
05/31/85	Interest- 3 Units Muni Invest Tr- Series 50	26.40
05/31/85	Interest- 25 Units Muni Invest Tr-Series 53	189.25
06/03/85	Interest-10K Kingdom Sweden, 9.25%, due 6/1/98	462.50
	\$10,000 Kingdom of Sweden, 9.25% due 6/1/98	
	Interest accrued from 12/1/84 to 5/24/85	(443.49)
06/03/85	Interest- 10K Australia, 9.125%, due 6/1/96	456.25
	\$10,000 Australia, 9.125% due 6/1/96	
	Interest accrued from 12/1/84 to 5/24/85	(432.50)
06/03/85	Interest-10K Elect De France, 8.5%, due 6/1/87	425.00
	\$10,000 Electricitie De France 8.5% due 6/1/87	
	Interest accrued from 12/1/84 to 5/24/85	(407.53)
06/20/85	Interest Income- Noranda, Inc.	1,426.53 *
07/01/85	Interest- 25 Units Muni Invest Tr-Series 53	189.25
07/01/85	Interest- 3 Units Muni Invest Tr- Series 50	26.40
07/01/85	Interest- 50 Units Muni- 1st Muni Bond	339.50
07/05/85	Interest- Manufacturers Hanover Trust	5.35
07/08/85	Interest Income- NY Ins Mun Inc Tr- Series 26	869.00
07/15/85	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
	\$10,000 Ontario Province, 8.4% due 1/15/07	
	Interest accrued from 1/15/85 to 5/24/85	(296.88)
07/31/85	Interest- 25 Units Muni Invest Tr-Series 53	189.25
07/31/85	Interest- 50 Units Muni- 1st Muni Bond	337.50
07/31/85	Interest- 3 Units Muni Invest Tr- Series 50	26.40
08/06/85	Interest- Manufacturers Hanover Trust	44.71
08/14/85	Interest Income- NY Ins Mun Inc Tr- Series 26	869.00
08/22/85	Interest Income- Noranda, Inc.	1,389.37 *
09/03/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
09/03/85	Interest- 3 Units Muni Invest Tr- Series 50	26.25
09/03/85	Interest- 50 Units Muni- 1st Muni Bond	336.50
09/03/85	Interest-30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
	\$20,000 Canadian Railway 14.75% due 9/1/12	
	Interest accrued from 3/1/85 to 5/24/85	(1,030.48)
09/06/85	Interest- Manufacturers Hanover Trust	63.42
09/23/85	Interest Income- NY Ins Mun Inc Tr- Series 26	859.00
09/26/85	Interest Income- Noranda, Inc.	741.00 *
09/30/85	Interest- 3 Units Muni Invest Tr- Series 50	26.25
09/30/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
09/30/85	Interest- 50 Units Muni- 1st Muni Bond	335.50
10/04/85	Interest- Manufacturers Hanover Trust	59.72
10/31/85	Interest- 50 Units Muni- 1st Muni Bond	335.00
10/31/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
10/31/85	Interest- 3 Units Muni Invest Tr- Series 50	26.25
11/05/85	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
	\$25,000 Ontario Province, 17% due 11/5/11	
	Interest accrued from 5/5/85 to 5/24/85	(221.23)

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
11/06/85	Interest- Manufacturers Hanover Trust	69.01
11/15/85	Interest Income- Noranda, Inc.	927.73 *
11/15/85	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
	\$10,000 European Investment Bank, 9% due 5/15/97	
	Interest accrued from 5/15/85 to 5/24/85	(22.19)
11/29/85	Interest Income- Wood Gundy	16.32 *
12/02/85	Interest- 50 Units Muni- 1st Muni Bond	334.00
12/02/85	Interest-10K Kingdom Sweden, 9.25%, due 6/1/98	462.50
12/02/85	Interest-10K Elect De France, 8.5%, due 6/1/87	425.00
12/02/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
12/02/85	Interest- 3 Units Muni Invest Tr- Series 50	26.19
12/02/85	Interest- 10K Australia, 9.125%, due 6/1/96	456.25
12/05/85	Interest- Manufacturers Hanover Trust	64.93
12/31/85	Interest Income- Wood Gundy	7.10 *
12/31/85	Interest- 3 Units Muni Invest Tr- Series 50	26.19
12/31/85	Interest- 50 Units Muni- 1st Muni Bond	333.00
12/31/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
01/07/86	Interest- Manufacturers Hanover Trust	74.51
01/15/86	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
01/31/86	Interest Income- Wood Gundy	7.51 *
01/31/86	Interest- 25 Units Muni Invest Tr-Series 53	214.25
01/31/86	Interest- 3 Units Muni Invest Tr- Series 50	28.80
01/31/86	Interest- 50 Units Muni- 1st Muni Bond	353.50
02/06/86	Interest- Manufacturers Hanover Trust	68.95
02/28/86	Interest- 3 Units Muni Invest Tr- Series 50	26.19
02/28/86	Interest Income- Wood Gundy	8.64 *
02/28/86	Interest- 50 Units Muni- 1st Muni Bond	329.50
02/28/86	Interest- 25 Units Muni Invest Tr-Series 53	187.25
03/03/86	Interest-30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
03/06/86	Interest- Manufacturers Hanover Trust	55.88
03/31/86	Interest- 50 Units Muni- 1st Muni Bond	328.00
03/31/86	Interest- 3 Units Muni Invest Tr- Series 50	26.19
03/31/86	Interest- 25 Units Muni Invest Tr-Series 53	187.25
04/04/86	Interest- Manufacturers Hanover Trust	54.04
04/30/86	Interest- 50 Units Muni- 1st Muni Bond	328.00
04/30/86	Interest- 25 Units Muni Invest Tr-Series 53	187.25
04/30/86	Interest- 3 Units Muni Invest Tr- Series 50	26.19
05/05/86	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
05/06/86	Interest- Manufacturers Hanover Trust	49.72
05/15/86	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
06/02/86	Interest-10K Elect De France, 8.5%, due 6/1/87	425.00
06/02/86	Interest- 25 Units Muni Invest Tr-Series 53	186.75
06/02/86	Interest- 50 Units Muni- 1st Muni Bond	327.50
06/02/86	Interest-10K Kingdom Sweden, 9.25%, due 6/1/98	462.50
06/02/86	Interest- 10K Australia, 9.125%, due 6/1/96	456.25
06/02/86	Interest- 3 Units Muni Invest Tr- Series 50	26.13
06/05/86	Interest- Manufacturers Hanover Trust	48.50

Schedule A-1 -

Increases from Sale or Distribution of Assets - continued

Date	Asset	Sales Price	Basis	Gain
11/02/90	Bought- 160000 CDN Wheat @ 98.891			
11/02/90	Sold- 160000 CDN Wheat	136,042.37	136,042.37	0.00 *
09/28/90	Bought- 156000 CDN Wheat @ 98.876			
11/02/90	Sold- 156000 CDN Wheat	134,128.80	131,634.01	2,494.79 *
11/02/90	Bought- 160000 CDN Wheat BD STN @98.886			
12/07/90	Sold- 160000 CDN Wheat	137,936.00	136,035.49	1,900.51 *
01/12/88	Bought- 5000 BC Prov., 9.75%, due 12/10/90			
12/10/90	Sold- 5000 BC Province, 9.75%	4,310.50	3,924.04	386.46 *
09/30/87	Bought- 5000 Gov't CDA, 10.25%, due 12/15/90			
12/17/90	Sold- 5000 Gov't CDA, 10.25%	4,310.50	3,763.49	547.01 *
06/22/87	Bought- Northern & Cent Gas- 2000 Shares			
01/15/91	Sold- 2000 ICG Utils, 9.625%	1,730.20	1,549.29	180.91 *
12/18/90	Bought- 173000 Canadian T-Bill, due 1/18/91			
01/18/91	Matured- 173000 Canadian T-Bill, due 1/18/91	148,339.29	147,824.87	514.41 *
02/02/91	Bought- 350 Bank of Nova Scotia			
02/08/91	Sold- 350 Bank of Nova Scotia	4,169.93	4,169.93	0.00 *
01/18/91	Bought- 178000 Export Devel @ 99.045			
02/22/91	Sold- 178000 Export Devel.	154,165.80	152,517.22	1,648.58 *
01/26/89	Bought- 25000 Ontario Hydro, 10.5%, due 3/4/91			
03/04/91	Sold- 25000 Ontario Hydro, 10.5%	21,610.00	21,068.53	541.47 *
02/22/91	Bought- 180000 Export Devel @ 99.201			
03/28/91	Sold- 180000 Export Devel.	155,592.00	154,652.37	939.63 *
03/28/91	Bought- 160000 Canadian T-Bill, due 5/3/91			
05/03/91	Matured- 160000 Canadian T-Bill, due 5/3/91	137,990.64	137,133.95	856.69 *

Schedule A-1 -

Increases from Sale or Distribution of Assets - continued

Date	Asset	Sales Price	Basis	Gain
05/24/85	\$10,000 Kingdom of Sweden, 9.25% due 6/1/98		8,300.00	
06/01/87	Sold- 4000 Units- Kingdom Sweden	4,000.00		
06/01/88	Sold- 1000 Units- Kingdom Sweden	1,000.00		
06/09/88	Sold- 2000 Units- Kingdom Sweden	2,000.00		
06/01/90	Sold- 2000 Units- Kingdom Sweden	2,000.00		
06/03/91	Sold- 1000 Units- Kingdom Sweden	1,000.00		1,700.00
05/03/91	Bought- 100000 Export Devel.			
06/07/91	Sold- 100000 Export Devel.	87,440.00	86,301.56	1,138.44 *
11/25/88	Bought- 4000 Int'l Nickel, 8.625%, due 6/30/91			
07/02/91	Sold- 4000 Int'l Nickel, 8.625%	3,480.80	3,170.60	310.20 *
07/19/91	Bought- 120000 Canadian T-Bill, due 10/18/91			
10/18/91	Matured- 120000 Canadian T-Bill, due 10/18/91	104,310.97	102,381.47	1,929.51 *
05/24/85	\$10,000 Australia, 9.125% due 6/1/96		8,800.00	
06/01/87	Sold- 1000 Units- Australia	1,000.00		
06/03/91	Sold- 2000 Units- Australia- due 6-1-96	2,000.00		
11/15/91	Redeemed- 7000 Australia Commonwealth	7,063.00		1,263.00
05/24/85	\$10,000 European Investment Bank, 9% due 5/15/97			
11/15/91	Redeemed- 10000 European Invest. Bank	10,150.00	8,500.00	1,650.00
07/24/91	Bought- 200K Treasury Bill, due 1/16/92			
01/16/92	Matured- 200K Treas. Bill, due 1/16/92	194,479.50	194,479.50	0.00
08/08/91	Bought- 110K Treasury Bill, due 2/6/92			
02/06/92	Matured- 110K Treas. Bill, due 2/6/92	107,024.81	107,024.81	0.00

Schedule A-1 -
 Increases from Sale or Distribution of Assets - continued

Date	Asset	Sales Price	Basis	Gain
06/24/87	Bought- Simpsons Accept- 5000 shares			
03/30/92	Sold- 5000 Simpsons Accept., 8.375%	4,192.50	3,487.74	704.76 *
01/17/92	Bought- 200K Treasury Bill, due 7/16/92			
07/16/92	Matured- 200K Treas. Bill, due 7/16/92	196,380.00	196,380.00	0.00
02/07/92	Bought- 110K Treasury Bill, due 8/6/92			
08/06/92	Matured- 110K Treas. Bill, due 8/6/92	108,036.65	108,036.65	0.00
10/05/87	Bought- 10000 BC Muni, 11.25%, due 11/15/92			
11/16/92	Sold- 10000 BC Muni Fin, 11.25%	7,886.00	7,637.00	249.00 *
09/30/87	Bought-15000 Ontario Hy., 10.75%, due 12/15/92			
12/15/92	Sold- 15000 Ontario Hydro, 10.75%	11,808.00	11,347.48	460.52 *
Total - Schedule A-1				\$43,670.09

Schedule A-2
 Income Collected

Date of Receipt	Description	Amount
05/31/85	Interest- 50 Units Muni- 1st Muni Bond	\$342.00
05/31/85	Interest- 3 Units Muni Invest Tr- Series 50	26.40
05/31/85	Interest- 25 Units Muni Invest Tr-Series 53	189.25
06/03/85	Interest-10K Kingdom Sweden, 9.25%, due 6/1/98	462.50
	\$10,000 Kingdom of Sweden, 9.25% due 6/1/98	
	Interest accrued from 12/1/84 to 5/24/85	(443.49)
06/03/85	Interest- 10K Australia, 9.125%, due 6/1/96	456.25
	\$10,000 Australia, 9.125% due 6/1/96	
	Interest accrued from 12/1/84 to 5/24/85	(432.50)
06/03/85	Interest-10K Elect De France, 8.5%, due 6/1/87	425.00
	\$10,000 Electricitie De France 8.5% due 6/1/87	
	Interest accrued from 12/1/84 to 5/24/85	(407.53)
06/20/85	Interest Income- Noranda, Inc.	1,426.53 *
07/01/85	Interest- 25 Units Muni Invest Tr-Series 53	189.25
07/01/85	Interest- 3 Units Muni Invest Tr- Series 50	26.40
07/01/85	Interest- 50 Units Muni- 1st Muni Bond	339.50
07/05/85	Interest- Manufacturers Hanover Trust	5.35
07/08/85	Interest Income- NY Ins Mun Inc Tr- Series 26	869.00
07/15/85	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
	\$10,000 Ontario Province, 8.4% due 1/15/07	
	Interest accrued from 1/15/85 to 5/24/85	(296.88)
07/31/85	Interest- 25 Units Muni Invest Tr-Series 53	189.25
07/31/85	Interest- 50 Units Muni- 1st Muni Bond	337.50
07/31/85	Interest- 3 Units Muni Invest Tr- Series 50	26.40
08/06/85	Interest- Manufacturers Hanover Trust	44.71
08/14/85	Interest Income- NY Ins Mun Inc Tr- Series 26	869.00
08/22/85	Interest Income- Noranda, Inc.	1,389.37 *
09/03/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
09/03/85	Interest- 3 Units Muni Invest Tr- Series 50	26.25
09/03/85	Interest- 50 Units Muni- 1st Muni Bond	336.50
09/03/85	Interest-30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
	\$20,000 Canadian Railway 14.75% due 9/1/12	
	Interest accrued from 3/1/85 to 5/24/85	(1,030.48)
09/06/85	Interest- Manufacturers Hanover Trust	63.42
09/23/85	Interest Income- NY Ins Mun Inc Tr- Series 26	859.00
09/26/85	Interest Income- Noranda, Inc.	741.00 *
09/30/85	Interest- 3 Units Muni Invest Tr- Series 50	26.25
09/30/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
09/30/85	Interest- 50 Units Muni- 1st Muni Bond	335.50
10/04/85	Interest- Manufacturers Hanover Trust	59.72
10/31/85	Interest- 50 Units Muni- 1st Muni Bond	335.00
10/31/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
10/31/85	Interest- 3 Units Muni Invest Tr- Series 50	26.25
11/05/85	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
	\$25,000 Ontario Province, 17% due 11/5/11	
	Interest accrued from 5/5/85 to 5/24/85	(221.23)

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
11/06/85	Interest- Manufacturers Hanover Trust	69.01
11/15/85	Interest Income- Noranda, Inc.	927.73 *
11/15/85	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
	\$10,000 European Investment Bank, 9% due 5/15/97	
	Interest accrued from 5/15/85 to 5/24/85	(22.19)
11/29/85	Interest Income- Wood Gundy	16.32 *
12/02/85	Interest- 50 Units Muni- 1st Muni Bond	334.00
12/02/85	Interest-10K Kingdom Sweden, 9.25%, due 6/1/98	462.50
12/02/85	Interest-10K Elect De France, 8.5%, due 6/1/87	425.00
12/02/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
12/02/85	Interest- 3 Units Muni Invest Tr- Series 50	26.19
12/02/85	Interest- 10K Australia, 9.125%, due 6/1/96	456.25
12/05/85	Interest- Manufacturers Hanover Trust	64.93
12/31/85	Interest Income- Wood Gundy	7.10 *
12/31/85	Interest- 3 Units Muni Invest Tr- Series 50	26.19
12/31/85	Interest- 50 Units Muni- 1st Muni Bond	333.00
12/31/85	Interest- 25 Units Muni Invest Tr-Series 53	187.50
01/07/86	Interest- Manufacturers Hanover Trust	74.51
01/15/86	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
01/31/86	Interest Income- Wood Gundy	7.51 *
01/31/86	Interest- 25 Units Muni Invest Tr-Series 53	214.25
01/31/86	Interest- 3 Units Muni Invest Tr- Series 50	28.80
01/31/86	Interest- 50 Units Muni- 1st Muni Bond	353.50
02/06/86	Interest- Manufacturers Hanover Trust	68.95
02/28/86	Interest- 3 Units Muni Invest Tr- Series 50	26.19
02/28/86	Interest Income- Wood Gundy	8.64 *
02/28/86	Interest- 50 Units Muni- 1st Muni Bond	329.50
02/28/86	Interest- 25 Units Muni Invest Tr-Series 53	187.25
03/03/86	Interest-30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
03/06/86	Interest- Manufacturers Hanover Trust	55.88
03/31/86	Interest- 50 Units Muni- 1st Muni Bond	328.00
03/31/86	Interest- 3 Units Muni Invest Tr- Series 50	26.19
03/31/86	Interest- 25 Units Muni Invest Tr-Series 53	187.25
04/04/86	Interest- Manufacturers Hanover Trust	54.04
04/30/86	Interest- 50 Units Muni- 1st Muni Bond	328.00
04/30/86	Interest- 25 Units Muni Invest Tr-Series 53	187.25
04/30/86	Interest- 3 Units Muni Invest Tr- Series 50	26.19
05/05/86	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
05/06/86	Interest- Manufacturers Hanover Trust	49.72
05/15/86	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
06/02/86	Interest-10K Elect De France, 8.5%, due 6/1/87	425.00
06/02/86	Interest- 25 Units Muni Invest Tr-Series 53	186.75
06/02/86	Interest- 50 Units Muni- 1st Muni Bond	327.50
06/02/86	Interest-10K Kingdom Sweden, 9.25%, due 6/1/98	462.50
06/02/86	Interest- 10K Australia, 9.125%, due 6/1/96	456.25
06/02/86	Interest- 3 Units Muni Invest Tr- Series 50	26.13
06/05/86	Interest- Manufacturers Hanover Trust	48.50

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
06/30/86	Interest- 50 Units Muni- 1st Muni Bond	327.50
06/30/86	Interest- 25 Units Muni Invest Tr-Series 53	186.75
06/30/86	Interest- 3 Units Muni Invest Tr- Series 50	21.66
07/07/86	Interest- Manufacturers Hanover Trust	50.90
07/15/86	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
07/25/86	Interest- Merrill Lynch Ready Asset Trust	6.66
07/31/86	Interest- 25 Units Muni Invest Tr-Series 53	186.75
07/31/86	Interest- 50 Units Muni- 1st Muni Bond	321.00
07/31/86	Interest- 3 Units Muni Invest Tr- Series 50	14.37
08/06/86	Interest- Manufacturers Hanover Trust	47.17
08/06/86	Interest- 10K Elect De France, 8.5%, due 6/1/87	153.47
08/21/86	Interest- Merrill Lynch Ready Asset Trust	26.88
08/29/86	Dividend- Merrill Lynch Ready Asset Trust	33.06
09/02/86	Interest- 50 Units Muni- 1st Muni Bond	320.00
09/02/86	Interest- 25 Units Muni Invest Tr-Series 53	184.75
09/02/86	Interest- 3 Units Muni Invest Tr- Series 50	21.15
09/02/86	Interest- 30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
09/05/86	Interest- Manufacturers Hanover Trust	47.59
09/12/86	Interest- Merrill Lynch Ready Asset Trust	2.49
09/26/86	Dividend- Merrill Lynch Ready Asset Trust	66.82
09/30/86	Interest- 25 Units Muni Invest Tr-Series 53	184.75
09/30/86	Interest- 3 Units Muni Invest Tr- Series 50	21.15
09/30/86	Interest- 50 Units Muni- 1st Muni Bond	319.50
10/06/86	Interest- Manufacturers Hanover Trust	41.45
10/08/86	Interest- Merrill Lynch Ready Asset Trust	3.15
10/31/86	Interest- 25 Units Muni Invest Tr-Series 53	184.50
10/31/86	Interest- 3 Units Muni Invest Tr- Series 50	21.15
10/31/86	Dividend- Merrill Lynch Ready Asset Trust	113.29
10/31/86	Interest- 50 Units Muni- 1st Muni Bond	318.50
11/05/86	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	2,125.00
11/06/86	Interest- Manufacturers Hanover Trust	42.54
11/17/86	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
11/20/86	Interest- Merrill Lynch Ready Asset Trust	1.26
11/20/86	Dividend- Merrill Lynch Ready Asset Trust	101.93
12/01/86	Interest- 10K Australia, 9.125%, due 6/1/96	456.25
12/01/86	Interest- 50 Units Muni- 1st Muni Bond	317.50
12/01/86	Interest- 25 Units Muni Invest Tr-Series 53	183.75
12/01/86	Interest- 3 Units Muni Invest Tr- Series 50	21.03
12/01/86	Interest- 10K Kingdom Sweden, 9.25%, due 6/1/98	462.50
12/03/86	Interest- Merrill Lynch Ready Asset Trust	1.74
12/04/86	Interest- Manufacturers Hanover Trust	37.89
12/09/86	Interest- Merrill Lynch Ready Asset Trust	1.03
12/31/86	Interest- 50 Units Muni- 1st Muni Bond	317.50
12/31/86	Interest- 25 Units Muni Invest Tr-Series 53	183.75
12/31/86	Dividend- Merrill Lynch Ready Asset Trust	142.94
12/31/86	Interest- 3 Units Muni Invest Tr- Series 50	21.03
01/05/87	Interest- Merrill Lynch Ready Asset Trust	2.46

25,000
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 17%
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 11/5/11

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
01/07/87	Interest- Manufacturers Hanover Trust	46.21
01/15/87	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
01/30/87	Dividend- Merrill Lynch Ready Asset Trust	164.18
02/02/87	Interest- 50 Units Muni- 1st Muni Bond	315.00
02/02/87	Interest- 3 Units Muni Invest Tr- Series 50	21.03
02/02/87	Interest- 25 Units Muni Invest Tr-Series 53	183.75
02/05/87	Interest- Manufacturers Hanover Trust	39.58
02/17/87	Interest- Merrill Lynch Ready Asset Trust	1.35
02/27/87	Dividend- Merrill Lynch Ready Asset Trust	143.49
02/27/87	Interest- Merrill Lynch Ready Asset Trust	0.87
03/02/87	Interest- 25 Units Muni Invest Tr-Series 53	183.50
03/02/87	Interest- 3 Units Muni Invest Tr- Series 50	21.03
03/02/87	Interest-30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
03/02/87	Interest- 50 Units Muni- 1st Muni Bond	313.00
03/05/87	Interest- Manufacturers Hanover Trust	38.37
03/19/87	Interest- Merrill Lynch Ready Asset Trust	6.97
03/27/87	Dividend- Merrill Lynch Ready Asset Trust	171.10
03/31/87	Interest- 3 Units Muni Invest Tr- Series 50	21.03
03/31/87	Interest- 25 Units Muni Invest Tr-Series 53	183.50
03/31/87	Interest- 50 Units Muni- 1st Muni Bond	312.00
04/04/87	Dividend- Merrill Lynch Ready Asset Trust	184.53
04/06/87	Interest- Manufacturers Hanover Trust	44.80
04/08/87	Interest Income- Bell Canada	625.52 *
04/30/87	Interest- 25 Units Muni Invest Tr-Series 53	183.50
04/30/87	Interest- 50 Units Muni- 1st Muni Bond	312.00
04/30/87	Interest- 3 Units Muni Invest Tr- Series 50	21.03
05/01/87	Interest- Merrill Lynch Ready Asset Trust	1.12
05/05/87	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
05/05/87	Interest Income- Ontario Hydro, due 4/4/09	764.24 *
05/06/87	Interest- Manufacturers Hanover Trust	42.37
05/13/87	Interest- Merrill Lynch Ready Asset Trust	3.60
05/15/87	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
05/29/87	Interest Income- Wood Gundy	9.33 *
05/29/87	Dividend- Merrill Lynch Ready Asset Trust	274.21
06/01/87	Interest- 10K Australia, 9.125%, due 6/1/96	456.25
06/01/87	Interest- 50 Units Muni- 1st Muni Bond	313.00
06/01/87	Interest- 25 Units Muni Invest Tr-Series 53	185.25
06/01/87	Interest- 3 Units Muni Invest Tr- Series 50	21.27
06/01/87	Interest-10K Kingdom Sweden, 9.25%, due 6/1/98	462.50
06/03/87	Interest- Merrill Lynch Ready Asset Trust	1.23
06/06/87	Interest- Manufacturers Hanover Trust	42.38
06/30/87	Interest- 50 Units Muni- 1st Muni Bond	308.50
06/30/87	Dividend- Merrill Lynch Ready Asset Trust	282.28
06/30/87	Interest- 25 Units Muni Invest Tr-Series 53	181.75
06/30/87	Interest Income- Wood Gundy	20.49 *
06/30/87	Interest- 3 Units Muni Invest Tr- Series 50	20.85
07/02/87	Interest- Merrill Lynch Ready Asset Trust	0.22

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
07/06/87	Interest- Manufacturers Hanover Trust	48.17
07/14/87	Interest Income- Simpsons Accept.	12.46 *
07/15/87	Interest Income- Northern & Cent Gas	61.72 *
07/15/87	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
07/28/87	Interest Income- Canadian Pac Ltd	7.69 *
07/29/87	Interest Income- Bank of Nova Scotia	49.05 *
07/31/87	Interest Income- Wood Gundy	(10.21) *
07/31/87	Interest- 25 Units Muni Invest Tr-Series 53	179.25
07/31/87	Dividend- Merrill Lynch Ready Asset Trust	354.13
07/31/87	Interest- 50 Units Muni- 1st Muni Bond	308.50
07/31/87	Interest- 3 Units Muni Invest Tr- Series 50	20.70
08/06/87	Interest Credit- July, 1987- Wood Gundy Acct	(118.46) *
08/06/87	Interest- Manufacturers Hanover Trust	46.89
08/13/87	Interest- Merrill Lynch Ready Asset Trust	(2.40)
08/17/87	Interest- Merrill Lynch Ready Asset Trust	5.90
08/17/87	Interest Income- Nova An Alta	25.65 *
08/31/87	Interest Income- Wood Gundy	14.26 *
08/31/87	Interest- 50 Units Muni- 1st Muni Bond	308.50
08/31/87	Dividend- Merrill Lynch Ready Asset Trust	274.59
08/31/87	Interest- 25 Units Muni Invest Tr-Series 53	179.25
08/31/87	Interest- 3 Units Muni Invest Tr- Series 50	20.70
09/01/87	Interest-30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
09/04/87	Interest- Merrill Lynch Ready Asset Trust	0.85
09/04/87	Interest- Manufacturers Hanover Trust	49.22
09/30/87	Interest Income- Wood Gundy	12.06 *
09/30/87	Interest Income- Gov't CDA	442.75 *
09/30/87	Interest- 50 Units Muni- 1st Muni Bond	307.00
09/30/87	Interest- 3 Units Muni Invest Tr- Series 50	20.70
09/30/87	Dividend- Merrill Lynch Ready Asset Trust	284.96
09/30/87	Interest- 25 Units Muni Invest Tr-Series 53	179.25
10/01/87	Interest Income- Imperial Oil Ltd	25.97 *
10/05/87	Interest Income- Gov't CDA	325.59 *
10/06/87	Interest- Manufacturers Hanover Trust	45.76
10/06/87	Interest Income- Ontario Hydro, due 4/4/09	782.79 *
10/13/87	Interest- Merrill Lynch Ready Asset Trust	3.45
10/14/87	Interest Income- Gov't CDA	250.92 *
10/19/87	Interest Income- Bell Canada	630.05 *
10/28/87	Interest Income- Bank of Nova Scotia	49.66 *
10/28/87	Interest Income- CON PAC LTD.	9.74 *
10/30/87	Interest Income- Wood Gundy	83.21 *
10/30/87	Dividend- Merrill Lynch Ready Asset Trust	449.49
11/02/87	Interest- 50 Units Muni- 1st Muni Bond	300.50
11/02/87	Interest- 25 Units Muni Invest Tr-Series 53	179.25
11/02/87	Interest- 3 Units Muni Invest Tr- Series 50	20.70
11/03/87	Interest Income- Consumer Gas	397.00 *
11/05/87	Interest- Manufacturers Hanover Trust	49.47
11/05/87	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
11/06/87	Interest- Merrill Lynch Ready Asset Trust	2.17
11/16/87	Interest Income- Nova Corp of Alberta	25.83 *
11/16/87	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
11/24/87	Interest- Merrill Lynch Ready Asset Trust	3.22
11/27/87	Dividend- Merrill Lynch Ready Asset Trust	355.20
11/30/87	Interest Income- Wood Gundy	67.14 *
11/30/87	Interest- 3 Units Muni Invest Tr- Series 50	20.58
11/30/87	Interest- 25 Units Muni Invest Tr-Series 53	156.25
11/30/87	Interest- 50 Units Muni- 1st Muni Bond	300.50
12/01/87	Interest- 10K Kingdom Sweden, 9.25%, due 6/1/98	277.50
12/01/87	Interest- 10K Australia, 9.125%, due 6/1/96	410.63
12/04/87	Interest- Manufacturers Hanover Trust	50.98
12/15/87	Interest Income- Gov't CDA	3,766.18 *
12/15/87	Interest Income- Ontario Hydro, due 12/15/92	229.78 *
12/15/87	Interest Income- Gov't CDA	196.01 *
09/30/87	Accrued Interest Paid on Gov't CDA	(130.24) *
12/15/87	Interest Income- Simpsons Accept.	136.13 *
06/23/87	Accrued Interest Paid on Simpsons Accept.	(20.39) *
12/15/87	Interest Income- Sears Canada	149.54 *
12/16/87	Interest- Merrill Lynch Ready Asset Trust	2.85
12/21/87	Interest Income- Lloyds Bk Mtge	210.35 *
11/16/87	Accrued Interest Paid on Lloyds Mtge Bk	(177.46) *
12/28/87	Interest- 25 Units Muni Invest Tr-Series 53	61.75
12/28/87	Interest- 3 Units Muni Invest Tr- Series 50	7.08
12/28/87	Interest- 50 Units Muni- 1st Muni Bond	63.50
12/31/87	Interest Income- Wood Gundy	18.75 *
12/31/87	Interest- 3 Units Muni Invest Tr- Series 50	20.67
12/31/87	Dividend- Merrill Lynch Ready Asset Trust	458.63
12/31/87	Interest- 50 Units Muni- 1st Muni Bond	298.50
12/31/87	Interest- 25 Units Muni Invest Tr-Series 53	156.75
01/04/88	Interest Income- Imperial Oil	29.76 *
01/07/88	Interest- Manufacturers Hanover Trust	52.74
01/14/88	Interest Income- Ontario Hydro, due 1/15/96	389.00 *
08/26/87	Accrued Interest Paid on Ontario Hydro	(103.33) *
01/15/88	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
01/15/88	Interest Income- Norhtern Cent & Gas	63.66 *
01/20/88	Interest- Merrill Lynch Ready Asset Trust	0.52
01/27/88	Interest Income- Bank of Nova Scotia	50.59 *
01/28/88	Interest Income- CDN PAC LTD	9.92 *
01/29/88	Interest Income- Wood Gundy	25.24 *
01/29/88	Dividend- Merrill Lynch Ready Asset Trust	419.67
02/01/88	Interest- 3 Units Muni Invest Tr- Series 50	20.67
02/01/88	Interest Income- BC Munic, due 7/30/97	394.35 *
08/26/87	Accrued Interest Paid on BC Munic Fin	(70.26) *
02/01/88	Interest- 50 Units Muni- 1st Muni Bond	298.50
02/01/88	Interest- 25 Units Muni Invest Tr-Series 53	156.75
02/04/88	Interest- Manufacturers Hanover Trust	46.27

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
06/15/88	Interest Income- Ontario Hydro, 10.75%	662.25 *
06/15/88	Interest Income- Sears Canada	160.58 *
06/21/88	Interest Income- Lloyds Bk Mtge	225.89 *
06/24/88	Dividend- Merrill Lynch Ready Asset Trust	432.26
06/30/88	Interest- 25 Units Muni Invest Tr-Series 53	155.50
06/30/88	Interest- 50 Units Muni- 1st Muni Bond	442.00
06/30/88	Interest Income- Wood Gundy	19.66 *
06/30/88	Interest- 3 Units Muni Invest Tr- Series 50	20.43
07/05/88	Interest- Merrill Lynch Ready Asset Trust	2.11
07/07/88	Interest- Manufacturers Hanover Trust	49.63
07/14/88	Interest Income- Ontario Hydro, 10%	414.15 *
07/14/88	Interest Income- Gulf Canada	3.38 *
07/15/88	Interest Income- Northern & Cent Gas, 9.625%	67.77 *
07/15/88	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
07/27/88	Interest Income- Nova Scotia	56.85 *
07/28/88	Interest Income- CDN PAC LTD	10.56 *
07/29/88	Dividend- Merrill Lynch Ready Asset Trust	587.12
07/29/88	Interest Income- Wood Gundy	7.25 *
08/01/88	Interest- 25 Units Muni Invest Tr-Series 53	156.50
08/01/88	Interest- 50 Units Muni- 1st Muni Bond	295.50
08/01/88	Interest- 3 Units Muni Invest Tr- Series 50	20.64
08/02/88	Interest Income- BC Muni Fin, 10%	409.40 *
08/04/88	Interest- Manufacturers Hanover Trust	46.32
08/08/88	Interest- Merrill Lynch Ready Asset Trust	1.36
08/15/88	Interest Income- Nova Corp of Alberta, 10.4%	30.62 *
08/15/88	Interest Income- Royal Bank	340.62 *
06/28/88	Accrued Interest Paid on Royal Bank	(264.00) *
08/26/88	Dividend- Merrill Lynch Ready Asset Trust	509.75
08/31/88	Interest- 50 Units Muni- 1st Muni Bond	288.50
08/31/88	Interest- 3 Units Muni Invest Tr- Series 50	20.28
08/31/88	Interest- 25 Units Muni Invest Tr-Series 53	152.75
09/01/88	Interest- 30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
09/07/88	Interest- Manufacturers Hanover Trust	57.80
09/08/88	Interest- Merrill Lynch Ready Asset Trust	3.73
09/15/88	Interest Income- Gulf Canada Res	3.53 *
09/16/88	Interest Income- 15/9 DE. 4581	15.25 *
09/30/88	Interest- 50 Units Muni- 1st Muni Bond	287.50
09/30/88	Interest- 3 Units Muni Invest Tr- Series 50	20.28
09/30/88	Interest- 25 Units Muni Invest Tr-Series 53	134.00
09/30/88	Interest Income- Wood Gundy	7.53 *
09/30/88	Dividend- Merrill Lynch Ready Asset Trust	700.36
10/04/88	Interest Income- Ontario Hydro, 10.25%	850.44 *
10/05/88	Interest- Merrill Lynch Ready Asset Trust	1.32
10/06/88	Interest- Manufacturers Hanover Trust	51.01
10/17/88	Interest Income- NB Province, 10.625%	220.38 *
10/17/88	Interest Income- Bell Canada, 11%	684.50 *
10/27/88	Interest Income- Bank of Nova Scotia	59.95 *

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
10/28/88	Dividend- Merrill Lynch Ready Asset Trust	583.93
10/28/88	Interest Income- CDN PAC LTD	13.40 *
10/31/88	Interest- 25 Units Muni Invest Tr-Series 53	133.25
10/31/88	Interest Income- Wood Gundy	14.87 *
10/31/88	Interest- 50 Units Muni- 1st Muni Bond	286.00
10/31/88	Interest- 3 Units Muni Invest Tr- Series 50	20.16
11/01/88	Interest Income- Consumer Gas	429.18 *
11/04/88	Interest- Manufacturers Hanover Trust	56.07
11/07/88	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
11/15/88	Interest- Merrill Lynch Ready Asset Trust	3.59
11/15/88	Interest Income- Nova Corp of Alberta	30.72 *
11/15/88	Interest Income- BC Munic Fin, 11.25%	462.04 *
11/15/88	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
11/25/88	Dividend- Merrill Lynch Ready Asset Trust	583.60
11/28/88	Interest Income- PEI Province, 11.375%	467.17 *
11/30/88	Interest- 25 Units Muni Invest Tr-Series 53	132.50
11/30/88	Interest- 50 Units Muni- 1st Muni Bond	284.50
11/30/88	Interest Income- Wood Gundy	22.69 *
11/30/88	Interest- 3 Units Muni Invest Tr- Series 50	20.01
12/01/88	Interest- 10K Australia, 9.125%, due 6/1/96	410.63
12/01/88	Interest- 10K Kingdom Sweden, 9.25%, due 6/1/98	138.75
12/06/88	Interest- Manufacturers Hanover Trust	57.19
12/12/88	Interest Income- BC Province, 9.75%	203.80 *
12/15/88	Interest Income- Gov't CDA, 9.75%	4,116.75 *
12/15/88	Interest Income- Simpsons Accept.	148.80 *
12/15/88	Interest Income- Gov't CDA, 10.25%	214.25 *
12/15/88	Interest Income- Sears Canada	163.46 *
12/15/88	Interest Income- Nova An Alta, 9.75%	203.80 *
12/15/88	Interest Income- Ontario Hydro, 10.75%	674.11 *
12/20/88	Interest- Merrill Lynch Ready Asset Trust	5.86
12/21/88	Interest Income- Lloyds Bk Mtge	229.93 *
12/21/88	Interest Income- Wood Gundy	167.57 *
12/30/88	Dividend- Merrill Lynch Ready Asset Trust	822.24
01/03/89	Interest- 25 Units Muni Invest Tr-Series 53	132.50
01/03/89	Interest- 3 Units Muni Invest Tr- Series 50	20.01
01/03/89	Interest Income- Intl Nickel	144.78 *
11/25/88	Accrued Interest Paid on Int'l Nickel	(120.34) *
01/03/89	Interest- 50 Units Muni- 1st Muni Bond	282.50
01/06/89	Interest- Manufacturers Hanover Trust	64.51
01/09/89	Interest- Merrill Lynch Ready Asset Trust	1.69
01/16/89	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
01/16/89	Interest Income- Ontario Hydro, 10%	419.65 *
01/16/89	Interest Income- Northern Cent & Gas, 9.62%	68.67 *
01/27/89	Interest Income- Bank of Nova Scotia	63.68 *
01/30/89	Interest Income- BC Munic Fin. 10%	419.65 *
01/30/89	Interest Income- CDN PAC LTD	13.55 *
01/31/89	Dividend- Merrill Lynch Ready Asset Trust	698.08

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
06/01/89	Interest- 10K Australia, 9.125%, due 6/1/96	410.63
3K 06/01/89	Interest- 10K Kingdom Sweden, 9.25%, due 6/1/98	138.75
06/06/89	Interest- Merrill Lynch Ready Asset Trust	1.84
06/06/89	Interest- Manufacturers Hanover Trust	77.02
06/12/89	Interest Income- BC Province, 9.75%	203.39 *
06/15/89	Interest Income- Simpsons Accept.	148.50 *
06/15/89	Interest Income- Ontario Hydro, 10.75%	672.74 *
06/15/89	Interest Income- Gov't CDA, 10.25%	213.82 *
06/15/89	Interest Income- Sears Canada	163.13 *
06/15/89	Interest Income- Nova An Alta, 9.75%	203.39 *
06/21/89	Interest Income- Lloyds Bk Mtge	229.46 *
06/30/89	Dividend- Merrill Lynch Ready Asset Trust	1,053.86
06/30/89	Interest Income- Intl Nickel	122.35 *
06/30/89	Interest- 25 Units Muni Invest Tr-Series 53	133.00
06/30/89	Interest- 3 Units Muni Invest Tr- Series 50	20.43
06/30/89	Interest- 50 Units Muni- 1st Muni Bond	280.00
06/30/89	Interest Income- Wood Gundy	9.72 *
07/07/89	Interest- Manufacturers Hanover Trust	76.24
07/14/89	Interest Income- Ontario Hydro, 10%	420.60 *
07/17/89	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
07/17/89	Interest Income- Northern Cent & Gas, 9.62%	68.83 *
07/20/89	Interest- Merrill Lynch Ready Asset Trust	0.68
07/27/89	Interest Income- Bank of Nova Scotia	69.90 *
07/28/89	Interest Income- CDN PAC LTD	13.59 *
07/28/89	Dividend- Merrill Lynch Ready Asset Trust	830.75
07/31/89	Interest- 3 Units Muni Invest Tr- Series 50	20.25
07/31/89	Interest Income- Wood Gundy	20.31 *
07/31/89	Interest Income- BC Munic Fin. 10%	420.60 *
07/31/89	Interest- 50 Units Muni- 1st Muni Bond	271.50
07/31/89	Dividend- Merrill Lynch Ready Asset Trust	763.89
07/31/89	Interest- 25 Units Muni Invest Tr-Series 53	131.75
08/01/89	Interest Income- Natl Bank CDA, 10.25%	1,090.09 *
08/04/89	Interest- Manufacturers Hanover Trust	62.65
08/15/89	Interest Income- Nova Corp	37.61 *
08/17/89	Interest on Matured 15000 Canadian T-Bill	329.00 *
08/31/89	Interest- 25 Units Muni Invest Tr-Series 53	129.75
08/31/89	Interest- 3 Units Muni Invest Tr- Series 50	20.16
08/31/89	Interest Income- Wood Gundy	19.84 *
08/31/89	Interest- 50 Units Muni- 1st Muni Bond	270.50
08/31/89	Interest- Merrill Lynch Ready Asset Trust	0.81
09/01/89	Interest- 30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
09/01/89	Interest on Matured 64000 Canadian T-Bill	2,995.10 *
09/05/89	Interest Income- Ontario Hydro, 10.5%	1,109.72 *
09/07/89	Interest- Manufacturers Hanover Trust	70.18
09/29/89	Dividend- Merrill Lynch Ready Asset Trust	984.62
10/02/89	Interest- 25 Units Muni Invest Tr-Series 53	129.75
10/02/89	Interest- 50 Units Muni- 1st Muni Bond	266.50

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
10/02/89	Interest- 3 Units Muni Invest Tr- Series 50	20.16
10/04/89	Interest Income- Ontario Hydro, 10.25%	872.48 *
10/05/89	Interest- Manufacturers Hanover Trust	60.45
10/11/89	Interest- Merrill Lynch Ready Asset Trust	4.70
10/16/89	Interest Income- NB Province, 10.625%	226.10 *
10/16/89	Interest Income- Bell Canada, 11%	702.24 *
10/25/89	Interest- 50 Units Muni- 1st Muni Bond	213.50
10/25/89	Interest- 25 Units Muni Invest Tr-Series 53	108.00
10/25/89	Interest- 3 Units Muni Invest Tr- Series 50	16.80
10/27/89	Interest Income- Bank of Nova Scotia	70.73 *
10/27/89	Dividend- Merrill Lynch Ready Asset Trust	874.26
10/30/89	Interest Income- CDN PAC LTD	16.64 *
10/31/89	Interest Income- Wood Gundy	12.91 *
11/01/89	Interest Income- Consumer Gas, 10.45%	446.74 *
11/06/89	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
11/06/89	Interest- Manufacturers Hanover Trust	67.97
11/10/89	Interest- Merrill Lynch Ready Asset Trust	1.92
11/14/89	Dividend- Gulf Res Ltd	4.87 *
11/15/89	Interest Income- Nova Corp of Alberta	45.35 *
11/15/89	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
11/15/89	Interest Income- BC Munic Fin, 11.25%	480.94 *
11/16/89	Interest on Matured 21000 Canadian T-Bill	498.25 *
11/24/89	Dividend- Merrill Lynch Ready Asset Trust	850.10
11/27/89	Interest Income- PEI Province, 11.375%	486.28 *
11/27/89	Interest- 50 Units Muni- 1st Muni Bond	255.00
11/27/89	Interest- 3 Units Muni Invest Tr- Series 50	20.13
11/27/89	Interest- 25 Units Muni Invest Tr-Series 53	129.50
11/30/89	Interest Income- Wood Gundy	78.46 *
12/01/89	Interest- 10K Australia, 9.125%, due 6/1/96	410.63
12/01/89	Interest on Matured 66000 Canadian T-Bill	1,610.82 *
12/01/89	Interest- 10K Kingdom Sweden, 9.25%, due 6/1/98	138.75
12/01/89	Interest- Merrill Lynch Ready Asset Trust	0.91
12/06/89	Interest- Manufacturers Hanover Trust	62.75
12/11/89	Interest Income- BC Province, 9.75%	209.92 *
12/15/89	Interest Income- Gov't CDA, 10.25%	220.68 *
12/15/89	Interest Income- Ontario Hydro, 10.75%	694.34 *
12/15/89	Interest Income- Nova An Alta, 9.75%	209.92 *
12/15/89	Interest Income- Sears Canada	168.36 *
12/15/89	Interest Income- Simpsons Accept.	153.27 *
12/21/89	Interest Income- Lloyds Bk Mtge	236.83 *
12/26/89	Dividend- Merrill Lynch Ready Asset Trust	1,025.66
12/26/89	Interest- 3 Units Muni Invest Tr- Series 50	20.13
12/26/89	Interest- 50 Units Muni- 1st Muni Bond	253.50
12/26/89	Interest- 25 Units Muni Invest Tr-Series 53	129.50
12/29/89	Interest Income- Wood Gundy	13.10 *
01/02/90	Interest Income- Intl Nickel	125.18 *
01/05/90	Interest- Manufacturers Hanover Trust	66.55

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
01/15/90	Interest Income- Northern Cent & Gas, 9.62%	69.85 *
01/15/90	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
01/16/90	Interest Income- Ontario Hydro, 10%	426.85 *
01/25/90	Interest- 50 Units Muni- 1st Muni Bond	252.00
01/25/90	Dividend- Merrill Lynch Ready Asset Trust	803.67
01/25/90	Interest- 3 Units Muni Invest Tr- Series 50	20.13
01/25/90	Interest- 25 Units Muni Invest Tr-Series 53	129.50
01/29/90	Interest Income- CDN PAC LTD	16.69 *
01/29/90	Interest Income- Bank of Nova Scotia	77.11 *
01/30/90	Interest Income- BC Munic Fin. 10%	426.85 *
01/31/90	Interest Income- Wood Gundy	19.65 *
02/02/90	Interest Income- Natl Bank Canada	1,070.87 *
02/06/90	Interest- Manufacturers Hanover Trust	61.23
02/13/90	Interest Income- Div Gulf CDA	4.80 *
02/16/90	Interest Income- Nova Corp	44.33 *
02/26/90	Interest- 25 Units Muni Invest Tr-Series 53	129.50
02/26/90	Interest- 50 Units Muni- 1st Muni Bond	251.00
02/26/90	Interest- 3 Units Muni Invest Tr- Series 50	20.13
02/28/90	Interest Income- Wood Gundy	60.55 *
03/01/90	Interest on 94000 Canadian T-Bill	2,220.53 *
03/01/90	Interest-30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
03/05/90	Interest Income- Ontario Hydro, 10.5%	1,112.48 *
03/06/90	Interest- Manufacturers Hanover Trust	56.13
03/26/90	Interest- 3 Units Muni Invest Tr- Series 50	20.13
03/26/90	Interest- 25 Units Muni Invest Tr-Series 53	129.50
03/26/90	Dividend- Merrill Lynch Ready Asset Trust	1,858.31
03/26/90	Interest- 50 Units Muni- 1st Muni Bond	250.00
03/30/90	Interest Income- Wood Gundy	21.03 *
04/04/90	Interest Income- Ontario Hydro, 10.25%	880.68 *
04/05/90	Interest- Manufacturers Hanover Trust	59.69
04/05/90	Interest on Matured 100000 Canadian T-Bill	977.77 *
04/16/90	Interest Income- Bell Canada, 11%	708.84 *
04/16/90	Interest Income- NB Province, 10.625%	228.22 *
04/25/90	Dividend- Merrill Lynch Ready Asset Trust	859.47
04/25/90	Interest- 25 Units Muni Invest Tr-Series 53	129.00
04/25/90	Interest- 3 Units Muni Invest Tr- Series 50	20.01
04/25/90	Interest- 50 Units Muni- 1st Muni Bond	249.50
04/26/90	Interest Income- Bank of Nova Scotia	77.60 *
04/30/90	Interest Income- CDN PAC LTD	16.80 *
04/30/90	Interest Income- Wood Gundy	16.36 *
05/01/90	Interest Income- Consumer Gas, 10.45%	444.86 *
05/04/90	Interest- Manufacturers Hanover Trust	61.81
05/07/90	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
05/10/90	Interest on Matured 104000 Canadian T-Bill	1,044.84 *
05/15/90	Interest Income- BC Munic Fin, 11.25%	478.91 *
05/15/90	Interest Income- Nova Corp of Alberta	45.16 *
05/15/90	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
05/25/90	Dividend- Merrill Lynch Ready Asset Trust	913.30
05/25/90	Interest- 25 Units Muni Invest Tr-Series 53	128.00
05/25/90	Interest- 50 Units Muni- 1st Muni Bond	249.00
05/25/90	Interest- 3 Units Muni Invest Tr- Series 50	19.80
05/28/90	Interest Income- PEI Province, 11.375%	484.23 *
05/31/90	Interest Income- Wood Gundy	12.62 *
9k 06/01/90	Interest- 10K Australia, 9.125%, due 6/1/96	410.63
06/06/90	Interest- Manufacturers Hanover Trust	62.08
2k 06/07/90	Interest- 10K Kingdom Sweden, 9.25%, due 6/1/98	92.50
06/07/90	Interest- 10K Kingdom Sweden, 9.25%, due 6/1/98	46.25
1k 06/11/90	Interest Income- BC Province, 9.75%	207.85 *
06/15/90	Interest Income- Ontario Hydro, 10.75%	687.49 *
06/15/90	Interest Income- Simpsons Accept.	151.76 *
06/15/90	Interest Income- Nova An Alta, 9.75%	207.85 *
06/15/90	Interest Income- Sears Canada	166.70 *
06/18/90	Interest Income- Gov't CDA, 10.25%	218.50 *
06/21/90	Interest Income- Lloyds Bk Mtge	234.49 *
06/25/90	Dividend- Merrill Lynch Ready Asset Trust	1,183.29
06/25/90	Interest- 3 Units Muni Invest Tr- Series 50	19.80
06/25/90	Interest- 50 Units Muni- 1st Muni Bond	247.00
06/25/90	Interest- 25 Units Muni Invest Tr-Series 53	128.00
06/29/90	Interest Income- Wood Gundy	194.46 *
06/30/90	Interest Income- Intl Nickel	125.03 *
07/06/90	Interest- Manufacturers Hanover Trust	63.47
07/13/90	Interest Income- Wood Gundy	3.80 *
07/16/90	Interest Income- Northern Cent & Gas, 9.62%	70.73 *
07/16/90	Interest Income- Ontario Hydro, 10%	432.25 *
07/16/90	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
07/25/90	Interest- 25 Units Muni Invest Tr-Series 53	126.00
07/25/90	Interest- 3 Units Muni Invest Tr- Series 50	19.62
07/25/90	Interest- 50 Units Muni- 1st Muni Bond	244.50
07/26/90	Dividend- Merrill Lynch Ready Asset Trust	937.37
07/27/90	Interest Income- Bank of Nova Scotia	78.08 *
07/30/90	Interest Income- BC Munic Fin. 10%	432.25 *
07/30/90	Interest Income- CDN PAC LTD	16.90 *
08/03/90	Interest on Matured 25000 Canadian T-Bill	1,193.39 *
08/06/90	Interest- Manufacturers Hanover Trust	57.59
08/15/90	Interest Income- Wood Gundy	88.18 *
08/15/90	Interest Income- Wood Gundy	6.86 *
08/15/90	Interest Income- Nova Corp of Alberta	46.35 *
08/24/90	Interest on Matured 124000 Canadian T-Bill	2,386.98 *
08/27/90	Interest- 3 Units Muni Invest Tr- Series 50	19.26
08/27/90	Interest- 50 Units Muni- 1st Muni Bond	243.50
08/27/90	Dividend- Merrill Lynch Ready Asset Trust	1,167.77
08/27/90	Interest- 25 Units Muni Invest Tr-Series 53	119.50
09/04/90	Interest- 30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
09/04/90	Interest Income- Ontario Hydro, 10.5%	1,120.09 *

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
09/07/90	Interest- Manufacturers Hanover Trust	67.60
09/14/90	Interest Income- Wood Gundy	6.67 *
09/14/90	Interest Income- Wood Gundy	44.78 *
09/14/90	Interest Income- Wood Gundy	9.31 *
09/25/90	Interest- 25 Units Muni Invest Tr-Series 53	117.00
09/25/90	Interest- 3 Units Muni Invest Tr- Series 50	19.41
09/25/90	Interest- 50 Units Muni- 1st Muni Bond	244.00
09/26/90	Interest on Matured 152000 Canadian T-Bill	1,535.85 *
09/28/90	Dividend- Merrill Lynch Ready Asset Trust	947.58
10/04/90	Interest- Manufacturers Hanover Trust	49.95
10/04/90	Interest Income- Ontario Hydro, 10.25%	883.76 *
10/15/90	Interest Income- NB Province, 10.625%	229.02 *
10/15/90	Interest Income- Bell Canada, 11%	711.31 *
10/15/90	Interest Income- Wood Gundy	7.34 *
10/15/90	Interest Income- Wood Gundy	2.12 *
10/15/90	Interest Income- Bank of Nova Scotia	77.87 *
10/25/90	Interest- 3 Units Muni Invest Tr- Series 50	19.26
10/25/90	Interest- 50 Units Muni- 1st Muni Bond	237.00
10/25/90	Interest- 25 Units Muni Invest Tr-Series 53	109.50
10/26/90	Dividend- Merrill Lynch Ready Asset Trust	986.81
10/29/90	Interest Income- CDN PAC LTD	16.86 *
11/01/90	Interest Income- Consumer Gas, 10.45%	449.25 *
11/05/90	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
11/06/90	Interest- Manufacturers Hanover Trust	66.13
11/15/90	Interest Income- Wood Gundy	8.37 *
11/15/90	Interest Income- BC Munic Fin, 11.25%	483.64 *
11/15/90	Interest Income- Nova Corp of Alberta	45.60 *
11/15/90	Interest Income- Wood Gundy	(765.14) *
11/15/90	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
11/26/90	Interest- 50 Units Muni- 1st Muni Bond	232.50
11/26/90	Interest Income- PEI Province, 11.375%	489.01 *
11/26/90	Interest- 25 Units Muni Invest Tr-Series 53	109.25
11/26/90	Interest- 3 Units Muni Invest Tr- Series 50	19.26
11/26/90	Dividend- Merrill Lynch Ready Asset Trust	1,252.47
12/03/90	Interest- 10K Kingdom Sweden, 9.25%, due 6/1/98	46.25
12/03/90	Interest- 10K Australia, 9.125%, due 6/1/96	410.63
12/06/90	Interest- Manufacturers Hanover Trust	60.06
12/10/90	Interest Income- BC Province, 9.75%	210.14 *
12/17/90	Interest Income- Wood Gundy	0.89 *
12/17/90	Interest Income- Simpsons Accept.	153.43 *
12/17/90	Interest Income- Wood Gundy	2.84 *
12/17/90	Interest Income- Sears Canada	168.54 *
12/17/90	Interest Income- Wood Gundy	355.87 *
12/17/90	Interest Income- Ontario Hydro, 10.75%	695.07 *
12/17/90	Interest Income- Gov't CDA, 10.25%	220.91 *
12/18/90	Interest Income- Intl Nickel	126.41 *
12/26/90	Interest- 50 Units Muni- 1st Muni Bond	230.00

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
12/26/90	Interest- 3 Units Muni Invest Tr- Series 50	19.26
12/26/90	Interest- 25 Units Muni Invest Tr-Series 53	109.25
12/28/90	Dividend- Merrill Lynch Ready Asset Trust	1,194.39
01/07/91	Interest- Manufacturers Hanover Trust	63.27
01/14/91	Interest Income- Ontario Hydro, 10%	432.55 *
01/15/91	Interest Income- ICG Utils, 9.625%	70.78 *
01/15/91	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
01/16/91	Interest Income- Wood Gundy	1.03 *
01/16/91	Interest Income- Wood Gundy	9.42 *
01/16/91	Interest Income- Wood Gundy	37.54 *
01/18/91	Interest on Matured 173000 Canadian T-Bill	1,323.01 *
01/25/91	Interest- 25 Units Muni Invest Tr-Series 53	109.25
01/25/91	Interest- 50 Units Muni- 1st Muni Bond	223.50
01/25/91	Interest- 3 Units Muni Invest Tr- Series 50	19.26
01/25/91	Dividend- Merrill Lynch Ready Asset Trust	886.73
01/29/91	Interest Income- CDN PAC LTD	16.91 *
01/30/91	Interest Income- BC Munic Fin. 10%	432.55 *
01/30/91	Interest Income- Bank of Nova Scotia	78.14 *
02/06/91	Interest- Manufacturers Hanover Trust	58.69
02/15/91	Interest Income- Nova Corp of Alberta	45.94 *
02/25/91	Interest- 25 Units Muni Invest Tr-Series 53	109.25
02/25/91	Interest- 3 Units Muni Invest Tr- Series 50	17.94
02/25/91	Interest- 50 Units Muni- 1st Muni Bond	213.50
03/01/91	Interest-30K Canadian Rail, 14.75%, due 9/1/92	2,212.50
03/04/91	Interest Income- Ontario Hydro, 10.5%	1,134.52 *
03/06/91	Interest- Manufacturers Hanover Trust	53.50
03/18/91	Interest Income- Wood Gundy	68.50 *
03/18/91	Interest Income- Wood Gundy	3.03 *
03/18/91	Interest Income- Wood Gundy	0.32 *
03/25/91	Dividend- Merrill Lynch Ready Asset Trust	1,975.00
03/25/91	Interest- 25 Units Muni Invest Tr-Series 53	109.25
03/25/91	Interest- 3 Units Muni Invest Tr- Series 50	17.70
03/25/91	Interest- 50 Units Muni- 1st Muni Bond	211.50
04/04/91	Interest- Manufacturers Hanover Trust	54.64
04/05/91	Interest Income- Ontario Hydro, 10.25%	888.78 *
04/15/91	Interest Income- Bell Canada, 11%	715.36 *
04/15/91	Interest Income- NB Province, 10.625%	230.32 *
04/16/91	Interest Income- Wood Gundy	7.14 *
04/16/91	Interest Income- Wood Gundy	14.77 *
04/16/91	Interest Income- Wood Gundy	22.82 *
04/16/91	Interest Income- Wood Gundy	1.52 *
04/16/91	Interest Income- Wood Gundy	4.36 *
04/25/91	Dividend- Merrill Lynch Ready Asset Trust	866.50
04/25/91	Interest- 50 Units Muni- 1st Muni Bond	211.00
04/25/91	Interest- 3 Units Muni Invest Tr- Series 50	17.70
04/25/91	Interest- 25 Units Muni Invest Tr-Series 53	109.25
04/26/91	Interest Income- Bank of Nova Scotia	78.32 *

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
04/29/91	Interest Income- CDN PAC LTD	16.95 *
05/01/91	Interest Income- Consumer Gas, 10.45%	454.47 *
05/03/91	Interest on Matured 160000 Canadian T-Bill	1,177.36 *
05/06/91	Interest- Manufacturers Hanover Trust	57.75
05/06/91	Interest- 25K Ontario Prov, 17%, due 11/5/11	2,125.00
05/15/91	Interest- 10K Euro Invest Bk, 9%, due 5/15/97	450.00
05/15/91	Interest Income- BC Munic Fin, 11.25%	489.26 *
05/15/91	Interest Income- Nova Corp of Alberta	46.13 *
05/16/91	Interest Income- Wood Gundy	6.23 *
05/16/91	Interest Income- Wood Gundy	4.87 *
05/16/91	Interest Income- Wood Gundy	71.84 *
05/16/91	Interest Income- Wood Gundy	4.24 *
05/22/91	Interest- Manufacturers Hanover Trust	26.30
05/27/91	Interest Income- PEI Province, 11.375%	494.70 *
05/28/91	Interest- 25 Units Muni Invest Tr-Series 53	109.25
05/28/91	Interest- 3 Units Muni Invest Tr- Series 50	17.70
05/28/91	Interest- 50 Units Muni- 1st Muni Bond	210.50
05/28/91	Dividend- Merrill Lynch Ready Asset Trust	1,031.10
06/03/91	Interest- 10K Kingdom Sweden, 9.25%, due 6/1/98	46.25
06/03/91	Interest- 10K Australia, 9.125%, due 6/1/96	410.63
06/04/91	Interest Income- Seagram, 10.875%	1,010.34 *
03/21/91	Accrued Int. Paid on 25000 Seagram, 10.875%	(708.25) *
06/07/91	Interest Income- Chemical Bank	4.66
06/17/91	Interest Income- Wood Gundy	4.35 *
06/17/91	Interest Income- Simpsons Accept.	183.07 *
06/17/91	Interest Income- Sears Canada	170.95 *
06/17/91	Interest Income- Wood Gundy	13.97 *
06/17/91	Interest Income- Ontario Hydro, 10.75%	704.99 *
06/17/91	Interest Income- Maritime Tel, 10.65%	931.24 *
05/10/91	Accr. Int. Paid on 20000 Maritime Tel & Tel	(741.07) *
06/17/91	Interest Income- Wood Gundy	0.62 *
06/17/91	Interest Income- Wood Gundy	6.74 *
06/25/91	Interest- 25 Units Muni Invest Tr-Series 53	201.25
06/25/91	Interest- 3 Units Muni Invest Tr- Series 50	28.17
06/25/91	Dividend- Merrill Lynch Ready Asset Trust	785.89
06/25/91	Interest- 50 Units Muni- 1st Muni Bond	334.00
07/02/91	Interest Income- Intl Nickel	127.60 *
07/04/91	Interest Income- Nova Corp, 11.125%	822.89 *
04/05/91	Accrued Int. Paid on 20000 Nova Corp, 11.125%	(481.01) *
07/08/91	Interest Income- Chemical Bank	36.18
07/12/91	Dividend- Merrill Lynch Ready Asset Trust	385.95
07/15/91	Interest- 10K Ontario Prov, 8.4%, due 1/15/07	420.00
07/15/91	Interest Income- Ontario Hydro, 10%	435.10 *
07/16/91	Interest Income- Wood Gundy	17.73 *
07/19/91	Interest on Matured 111000 Candian T-Bill	843.25 *
07/25/91	Interest- 3 Units Muni Invest Tr- Series 50	17.67
07/25/91	Interest- 50 Units Muni- 1st Muni Bond	205.00

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
07/25/91	Interest- 25 Units Muni Invest Tr-Series 53	109.75
07/29/91	Interest Income- Bank of Nova Scotia	78.60 *
07/30/91	Interest Income- CDN PAC LTD	11.83 *
07/30/91	Interest Income- BC Munic Fin. 10%	435.10 *
08/07/91	Interest Income- Chemical Bank	299.24
08/16/91	Interest Income- Nova Corp of Alberta	46.34 *
08/16/91	Interest Income- Wood Gundy	10.06 *
08/26/91	Interest- 50 Units Muni- 1st Muni Bond	201.00
08/26/91	Interest- 25 Units Muni Invest Tr-Series 53	107.25
08/26/91	Interest- 3 Units Muni Invest Tr- Series 50	17.22
09/03/91	Interest- 30K Canadian Railway, 14.34%	2,212.50
09/09/91	Interest Income- Chemical Bank	48.44
09/16/91	Interest Income- Wood Gundy	0.84 *
09/16/91	Interest Income- Wood Gundy	8.20 *
09/25/91	Interest- 25 Units Muni Invest Tr-Series 53	107.25
09/25/91	Interest- 3 Units Muni Invest Tr- Series 50	17.22
09/25/91	Interest- 50 Units Muni- 1st Muni Bond	191.50
09/30/91	Interest Income- Loblaw Co, 10%	747.75 *
05/10/91	Accrued Interest Paid on 20000 Loblaw, 10%	(190.64) *
10/04/91	Interest Income- Ontario Hydro, 10.25%	908.77 *
10/07/91	Interest Income- Chemical Bank	51.06
10/15/91	Interest Income- Bell Canada, 11%	731.45 *
10/15/91	Interest Income- NB Province, 10.625%	235.50 *
10/16/91	Interest Income- Wood Gundy	11.58 *
10/18/91	Interest on Matured 120000 Canadian T-Bill	2,081.03 *
10/25/91	Interest- 25 Units Muni Invest Tr-Series 53	165.75
10/25/91	Interest- 50 Units Muni- 1st Muni Bond	290.50
10/25/91	Interest- 3 Units Muni Invest Tr- Series 50	24.21
10/28/91	Interest Income- Imasco Ltd., 10.5%	698.20 *
05/10/91	Accrued Interest Paid on 15000 Imasco, 10.5%	(45.04) *
10/28/91	Interest Income- CDN PAC LTD	12.06 *
10/30/91	Interest Income- Bank of Nova Scotia	80.08 *
11/01/91	Interest Income- Consumer Gas, 10.45%	462.26 *
11/05/91	Interest- 25K Ontario, 17%	2,125.00
11/07/91	Interest Income- Chemical Bank	59.07
11/15/91	Interest Income- Nova Corp of Alberta	21.66 *
11/15/91	Interest Income- BC Munic Fin, 11.25%	497.64 *
11/15/91	Interest-7K Unts-Australia, 9.125%, due 6/1/96	292.76
11/15/91	Interest- 10K European Invest. Bank, 9%	450.00
11/25/91	Interest- 25 Units Muni Invest Tr-Series 53	107.25
11/25/91	Interest- 50 Units Muni- 1st Muni Bond	183.50
11/25/91	Interest- 3 Units Muni Invest Tr- Series 50	16.44
11/26/91	Interest Income- PEI Province, 11.375%	503.17 *
12/02/91	Interest Income- Seagram, 10.875%	1,008.49 *
12/06/91	Interest Income- Chemical Bank	53.81
12/16/91	Interest Income- Maritime Tel, 10.65%	929.53 *
12/16/91	Interest Income- Sears Canada	170.63 *

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
12/16/91	Interest Income- Simpsons Accept.	155.33 *
12/16/91	Interest Income- Ontario Hydro, 10.75%	703.70 *
12/20/91	Interest on Matured 127000 Canadian T-Bill	1,360.08 *
12/26/91	Interest- 50 Units Muni- 1st Muni Bond	178.00
12/26/91	Interest- 25 Units Muni Invest Tr-Series 53	107.25
12/26/91	Interest- 3 Units Muni Invest Tr- Series 50	14.58
12/31/91	Dividend- Merrill Lynch Ready Asset Trust	69.23
01/06/92	Interest Income- Nova Corp, 11.125%	817.76 *
01/08/92	Interest Income- Chemical Bank	58.30
01/14/92	Interest Income- Ontario Hydro, 10%	432.35 *
01/15/92	Interest- 10K Ontario, 8.4%, due 1/15/07	420.00
01/16/92	Interest on Matured Treas. Bill, due 1/16/92	5,520.50
01/27/92	Interest- 25 Units Muni Invest Tr-Series 53	107.25
01/27/92	Interest- 3 Units Muni Invest Tr- Series 50	13.68
01/27/92	Interest- 50 Units Muni- 1st Muni Bond	172.00
01/28/92	Interest Income- CDN PAC LTD	5.88 *
01/29/92	Interest Income- Bank of Nova Scotia	81.22 *
01/30/92	Interest Income- BC Munic Fin. 10%	432.35 *
01/31/92	Dividend- Merrill Lynch Ready Asset Trust	46.87
02/06/92	Interest on Matured Treas. Bill, due 2/6/92	2,975.19
02/07/92	Interest Income- Chemical Bank	66.61
02/17/92	Interest Income- Nova Corp of Alberta	20.70 *
02/25/92	Interest- 25 Units Muni Invest Tr-Series 53	107.25
02/25/92	Interest- 50 Units Muni- 1st Muni Bond	171.00
02/25/92	Interest- 3 Units Muni Invest Tr- Series 50	11.19
02/28/92	Dividend- Merrill Lynch Ready Asset Trust	39.32
03/02/92	Interest- 30K Canadian Railway, 14.34%	2,212.50
03/06/92	Interest Income- Chemical Bank	45.86
03/20/92	Interest on Matured 135000 Canadian T-Bill	1,847.38 *
03/25/92	Interest- 50 Units Muni- 1st Muni Bond	162.00
03/25/92	Interest- 3 Units Muni Invest Tr- Series 50	11.10
03/25/92	Interest- 25 Units Muni Invest Tr-Series 53	107.25
03/27/92	Dividend- Merrill Lynch Ready Asset Trust	40.30
03/30/92	Interest Income- Simpsons Accept.	101.97 *
03/31/92	Interest Income- Loblaw Co, 10%	712.73 *
04/06/92	Interest Income- Ontario Hydro, 10.25%	863.15 *
04/07/92	Interest Income- Chemical Bank	58.06
04/15/92	Interest Income- Bell Canada, 11%	694.73 *
04/15/92	Interest Income- NB Province, 10.625%	223.68 *
04/16/92	Interest Income- Wood Gundy	46.45 *
04/16/92	Interest Income- Wood Gundy	3.60 *
04/16/92	Interest Income- Wood Gundy	0.77 *
04/16/92	Interest Income- Wood Gundy	6.46 *
04/16/92	Interest Income- Imasco Ltd., 10.5%	663.15 *
04/24/92	Dividend- Merrill Lynch Ready Asset Trust	40.70
04/27/92	Interest- 3 Units Muni Invest Tr- Series 50	10.89
04/27/92	Interest- 25 Units Muni Invest Tr-Series 53	105.75

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
04/27/92	Interest- 50 Units Muni- 1st Muni Bond	158.50
04/28/92	Interest Income- CDN PAC LTD	5.73 *
04/28/92	Interest Income- Bank of Nova Scotia	79.10 *
05/01/92	Interest Income- Consumer Gas, 10.45%	435.77 *
05/05/92	Interest- 25K Ontario, 17%	2,125.00
05/07/92	Interest Income- Chemical Bank	42.72
05/15/92	Interest Income- Nova Corp of Alberta	20.42 *
05/15/92	Interest Income- BC Munic Fin, 11.25%	469.13 *
05/19/92	Interest Income- Wood Gundy	22.97 *
05/26/92	Interest Income- PEI Province, 11.375%	474.34 *
05/26/92	Interest- 3 Units Muni Invest Tr- Series 50	10.41
05/26/92	Interest- 25 Units Muni Invest Tr-Series 53	102.50
05/26/92	Interest- 50 Units Muni- 1st Muni Bond	158.00
05/29/92	Dividend- Merrill Lynch Ready Asset Trust	50.95
06/01/92	Interest Income- Seagram, 10.875%	966.12 *
06/05/92	Interest Income- Chemical Bank	30.53
06/15/92	Interest Income- Ontario Hydro, 10.75%	674.19 *
06/15/92	Interest Income- Sears Canada	163.48 *
06/15/92	Interest Income- Maritime Tel, 10.65%	890.55 *
06/16/92	Interest Income- Wood Gundy	10.88 *
06/16/92	Interest Income- Wood Gundy	2.34 *
06/16/92	Interest Income- Wood Gundy	8.76 *
06/19/92	Interest on Matured 139000 Canadian T-Bill	1,808.57 *
06/22/92	Interest Income- Seagram, 10.875%	130.87 *
06/25/92	Interest- 50 Units Muni- 1st Muni Bond	158.50
06/25/92	Interest- 25 Units Muni Invest Tr-Series 53	102.25
06/25/92	Interest- 3 Units Muni Invest Tr- Series 50	9.81
06/26/92	Dividend- Merrill Lynch Ready Asset Trust	39.89
07/06/92	Interest Income- Nova Corp, 11.125%	793.40 *
07/07/92	Interest Income- Chemical Bank	47.13
07/14/92	Interest Income- Ontario Hydro, 10%	419.55 *
07/15/92	Interest- 10K Ontario, 8.4%, due 1/15/07	420.00
07/16/92	Interest Income- Wood Gundy	2.76 *
07/16/92	Interest Income- Wood Gundy	0.60 *
07/16/92	Interest Income- Wood Gundy	(0.10) *
07/16/92	Interest Income- Wood Gundy	71.94 *
07/16/92	Interest on Matured Treas. Bill, due 7/16/92	3,620.00
07/27/92	Interest- 25 Units Muni Invest Tr-Series 53	84.75
07/27/92	Interest- 50 Units Muni- 1st Muni Bond	156.50
07/27/92	Interest- 3 Units Muni Invest Tr- Series 50	6.42
07/28/92	Interest Income- CDN PAC LTD	5.71 *
07/29/92	Interest Income- Bank of Nova Scotia	78.82 *
07/30/92	Interest Income- BC Munic Fin. 10%	419.55 *
07/31/92	Dividend- Merrill Lynch Ready Asset Trust	52.21
08/06/92	Interest on Matured Treas. Bill, due 8/6/92	1,963.35
08/07/92	Interest Income- Chemical Bank	55.88
08/17/92	Interest Income- Nova Corp of Alberta	20.56 *

Schedule A-2 -
 Schedule of Income Collected - continued

Date of Receipt	Description	Amount
08/25/92	Interest- 25 Units Muni Invest Tr-Series 53	211.00
08/25/92	Interest- 3 Units Muni Invest Tr- Series 50	42.81
08/25/92	Interest- 50 Units Muni- 1st Muni Bond	238.00
08/28/92	Dividend- Merrill Lynch Ready Asset Trust	36.91
09/01/92	Interest- 30K Canadian Railway, 14.34%	2,212.50
09/08/92	Interest Income- Chemical Bank	70.35
09/09/92	Dividend- Merrill Lynch Ready Asset Trust	14.84
09/14/92	Dividend- Merrill Lynch Ready Asset Trust	9.64
09/25/92	Interest on Matured 181000 Canadian T-Bill	1,772.47 *
09/25/92	Interest- 50 Units Muni- 1st Muni Bond	150.50
09/25/92	Interest- 25 Units Muni Invest Tr-Series 53	43.50
09/25/92	Interest- 3 Units Muni Invest Tr- Series 50	1.29
09/25/92	Dividend- Merrill Lynch Ready Asset Trust	22.90
09/30/92	Interest Income- Loblaw Co, 10%	695.39 *
10/05/92	Interest Income- Ontario Hydro, 10.25%	823.18 *
10/07/92	Interest Income- Chemical Bank	68.12
10/15/92	Interest Income- Bell Canada, 11%	662.56 *
10/15/92	Interest Income- NB Province, 10.625%	213.32 *
10/16/92	Interest Income- Wood Gundy	0.09 *
10/16/92	Interest Income- Wood Gundy	0.81 *
10/16/92	Interest Income- Wood Gundy	118.94 *
10/16/92	Interest Income- Wood Gundy	77.12 *
10/28/92	Interest Income- Bank of Nova Scotia	75.44 *
10/26/92	Interest- 25 Units Muni Invest Tr-Series 53	42.50
10/26/92	Interest- 50 Units Muni- 1st Muni Bond	149.00
10/26/92	Interest- 3 Units Muni Invest Tr- Series 50	1.26
10/28/92	Interest Income- CDN PAC LTD	5.46 *
10/28/92	Interest Income- Imasco Ltd., 10.5%	632.44 *
10/30/92	Dividend- Merrill Lynch Ready Asset Trust	68.66
11/02/92	Interest Income- Consumer Gas, 10.45%	412.04 *
11/05/92	Interest- 25K Ontario, 17%	2,125.00
11/06/92	Interest Income- Chemical Bank	69.40
11/16/92	Interest Income- Nova Corp of Alberta	19.30 *
11/16/92	Interest Income- BC Munic Fin, 11.25%	443.59 *
11/25/92	Interest- 3 Units Muni Invest Tr- Series 50	1.17
11/25/92	Interest- 25 Units Muni Invest Tr-Series 53	40.00
11/25/92	Interest- 50 Units Muni- 1st Muni Bond	144.50
11/26/92	Interest Income- PEI Province, 11.375%	448.52 *
11/27/92	Dividend- Merrill Lynch Ready Asset Trust	53.15
12/07/92	Interest Income- Chemical Bank	81.95
12/15/92	Interest Income- Ontario Hydro, 10.75%	634.68 *
12/15/92	Interest Income- Sears Canada	153.90 *
12/15/92	Interest Income- Maritime Tel, 10.65%	838.37 *
12/16/92	Interest Income- Wood Gundy	8.04 *
12/16/92	Interest Income- Wood Gundy	10.21 *
12/16/92	Interest Income- Wood Gundy	0.86 *
12/16/92	Interest Income- Wood Gundy	3.13 *

Schedule A-2 -
Schedule of Income Collected - continued

Date of Receipt	Description	Amount
12/16/92	Interest Income- Wood Gundy	8.49 *
12/16/92	Interest Income- Wood Gundy	6.12 *
12/16/92	Interest Income- Wood Gundy	3.12 *
12/28/92	Interest- 50 Units Muni- 1st Muni Bond	141.00
12/28/92	Interest- 25 Units Muni Invest Tr-Series 53	40.00
12/28/92	Interest- 3 Units Muni Invest Tr- Series 50	0.96
12/31/92	Dividend- Merrill Lynch Ready Asset Trust	67.14
	Interest Income - Bank of Nova Scotia Received from 5/25/85 to 5/15/92	7,268.84
	Interest Income - Apple Bank for Savings Received from 5/25/85 to 11/23/92	1,532.73
	Interest Income - Chemical Bank Received from 5/25/85 to 7/22/91	31,007.12
	Interest Income - Chemical Bank Received from 5/25/85 to 7/22/91	2,448.08
	Interest Income - Royal Trust Co. Received from 5/25/85 to 7/22/91	29,961.75
	Less: Interest Accrued at 5/24/8	246.67
		29,715.08

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Schedule A-2 -

Schedule of Income Collected - continued

AMOUNTS COLLECTED WHICH ARE BEING INCLUDED HEREIN
AS INCOME, FOR WHICH A COMPLETE EXPLANATION CANNOT BE FOUND:

Date of Receipt	Description	Amount
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04/28/86	Deposit to Account	8.65 *
07/25/86	Cash Received	61.02 *
09/10/86	CIL 925 shs CP LTD	3.18 *
11/04/86	Cash Received	63.84 *
04/28/87	Cash Received	844.26 *
06/03/87	Cash Received	317.48 *
06/08/87	Cash Received	412.72 *
06/09/87	Cash Received	780.62 *
06/23/87	Cash Received	7.14 *
06/23/87	Adjustment on 6/22/87 Dep.	49.04 *
06/29/87	Cash Received	12.38 *
07/03/87	Cash Received	14.42 *
07/03/87	Cash Received	12.37 *
08/14/89	Dividends	4.87 *
08/15/89	Dividend	18.80 *
08/18/87	Cash Received	141.43 *
08/18/87	Cash Received	118.46 *
08/25/87	Cash Received	12.82 *
09/08/87	Cash Received	7.27 *
11/16/87	Cash Received	12.92 *
12/07/87	Cash Received	7.31 *
01/20/88	Cash Received	122.54 *
02/12/88	Cash Received	13.41 *
02/22/88	Cash Received	7.54 *
02/23/88	Check Issued	(10.65) *
03/07/88	Cash Received	7.65 *
04/18/88	Cash Received	2.79 *
05/16/88	Cash Received	2.89 *
05/16/88	Cash Received	13.74 *
07/06/88	Cash Received	3.16 *
08/12/88	Check Received	3.45 *
09/27/88	Check Issued	(128.00) *
10/12/88	Cash Received	3.92 *
11/14/88	Cash Received	3.98 *
11/15/88	Cash Received	15.36 *
12/12/88	Cash Received	4.16 *
01/16/89	Cash Received	4.28 *
02/10/89	Cash Received	4.40 *
02/15/89	Cash Received	15.73 *
03/13/89	Cash Received	4.48 *
04/12/89	Deposit to Account	4.62 *
05/15/89	Deposit	18.53 *
06/02/89	Deposit	4.81 *
06/22/89	Deposit	4.73 *

Schedule A-2 -
Schedule of Income Collected - continued

AMOUNTS COLLECTED WHICH ARE BEING INCLUDED HEREIN
AS INCOME, FOR WHICH A COMPLETE EXPLANATION CANNOT BE FOUND:

Date of Receipt	Description	Amount
-----	-----	-----
07/13/89	Deposit	4.83 *
10/12/89	Deposit	4.87 *
11/16/89	Deposit	18.90 *
12/12/89	Deposit	4.91 *
01/12/90	Deposit	4.92 *
02/16/90	Deposit	18.47 *
03/12/90	Dividend	4.98 *
04/12/90	Deposit	5.22 *
05/14/90	Deposit	5.21 *
05/15/90	Credit Account	18.82 *
06/12/90	Deposit	5.33 *
07/12/90	Deposit	5.40 *
08/10/90	Deposit	5.46 *
08/15/90	Deposit	19.31 *
09/12/90	Deposit	5.22 *
10/15/90	Deposit	5.17 *
11/13/90	Deposit	5.05 *
11/15/90	Deposit	19.00 *
12/12/90	Deposit	4.95 *
01/14/91	Deposit	4.85 *
02/12/91	Withhold from Acct/Deposit	4.64 *
02/15/91	Deposit	19.14 *
03/12/91	Deposit	4.30 *
04/12/91	Deposit	4.21 *
05/13/91	Deposit	3.99 *
05/15/91	Deposit	19.22 *
06/12/91	Deposit	3.79 *
07/12/91	Deposit	1.84 *
08/12/91	Deposit	1.84 *
08/15/91	Deposit	19.31 *
09/12/91	Deposit	1.86 *
10/15/91	Deposit	1.81 *
11/12/91	Deposit	1.76 *
11/15/91	Deposit	9.02 *
12/12/91	Deposit	1.62 *
01/13/92	Deposit	1.50 *
02/12/92	Deposit	1.40 *
02/17/92	Deposit	8.63 *
03/12/92	Deposit	1.34 *
04/13/92	Deposit	1.46 *
05/12/92	Deposit	1.44 *
05/15/92	Deposit	8.51 *
06/12/92	Deposit	1.39 *

Schedule A-2 -
Schedule of Income Collected - continued

AMOUNTS COLLECTED WHICH ARE BEING INCLUDED HEREIN
AS INCOME, FOR WHICH A COMPLETE EXPLANATION CANNOT BE FOUND:

Date of Receipt	Description	Amount
-----	-----	-----
07/13/92	Deposit	1.28 *
08/12/92	Deposit	1.24 *
09/14/92	Deposit	1.15 *
09/15/92	Deposit	8.34 *
10/13/92	Deposit	1.08 *
11/12/92	Deposit	1.36 *
11/16/92	Deposit	8.04 *
12/14/92	Deposit	1.36 *

Total - Schedule A-2

\$389,097.06
=====

Schedule B
 Decreases from Sale or Distributions of Assets

Date	Asset	Sales Price	Basis	Loss
08/22/85	Bought- Noranda, Inc., 9.05%, due 9/26/85			
09/26/85	Sold- Noranda, Inc.	\$85,386.60	\$86,205.60	\$819.00 *
05/24/85	\$19,661.40 Ontario Hydro 13.25% due 10/21/85			
10/18/85	Sold- 27000 Ontario Hydro	19,758.60	19,858.01	99.41 *
09/26/85	Bought- Noranda, Inc., 8.9%, due 12/20/85			
11/15/85	Sold- Noranda, Inc.	85,727.00	86,116.40	389.40 *
06/24/87	Bought- Simpsons Accept- 2000 shares			
07/14/87	Sold- 2000 Shares- Simpsons Accept.	1,372.83	1,395.10	22.27 *
02/20/89	Bought- 10000 Canadian T-Bill, due 5/26/89			
05/25/89	Matured- 10000 Canadian T-Bill, due 5/25/89	8,154.78	8,180.07	25.29 *
12/30/88	Bought- 25000 Nat Bank CDA, 10.25%, due 2/1/90			
02/01/90	Sold- 25000 National Bank Canada, 10.25%	20,895.00	21,788.85	893.85 *
12/01/89	Bought- 94000 Canadian T-Bill, due 2/3/90			
03/01/90	Matured- 94000 Canadian T-Bill, due 3/2/90	77,453.87	78,696.65	1,242.77 *
04/06/90	Bought- 104000 Canadian T-Bill, due 5/11/90			
05/10/90	Matured-104000 Canadian T-Bill, due 5/11/90	87,500.76	88,302.39	801.63 *
08/24/90	Bought- 152000 Canadian T-Bill, due 9/28/90			
09/26/90	Matured- 152000 Canadian T-Bill, due 9/28/90	128,180.95	131,245.04	3,064.09 *
06/07/91	Bought- 111000 Canadian T-Bill, due 7/19/91			
07/19/91	Matured- 111000 Canadian T-Bill, due 7/19/91	95,748.95	96,211.08	462.13 *
10/18/91	Bought- 127000 Canadian T-Bill, due 12/20/91			
12/20/91	Matured- 127000 Canadian T-Bill, due 12/20/91	109,485.52	111,216.62	1,731.10 *

Schedule B -

Decreases from Sale or Distribution of Assets - continued

Date	Asset	Sales Price	Basis	Loss
12/20/91	Bought- 135000 Canadian T-Bill, due 3/20/92			
03/20/92	Matured- 135000 Canadian T-Bill, due 3/20/92	111,350.12	115,905.05	4,554.93 *
03/23/92	Bought- 139000 Canadian T-Bill, due 6/19/92			
06/19/92	Matured- 139000 Canadian T-Bill, due 6/19/92	114,423.23	114,737.96	314.73 *
03/21/91	Bought- 25000 Seagram, 10.875%			
06/22/92	Sold- 25000 Seagram, 10.875%	21,030.43	22,096.23	1,065.79 *
08/06/92	Bought- 110K Treasury Bill, due 2/4/93			
08/10/92	Redeemed- 110K Treasury Bill, due 2/6/93	108,145.34	108,215.20	69.86
06/24/92	Bought- 181000 Canadian T-Bill, due 9/25/92			
09/25/92	Matured- 181000 Canadian T-Bill, due 9/25/92	146,303.63	149,540.51	3,236.89 *
05/24/85	\$10,000 Canadian Railway 14.75% due 9/1/12		12,243.90	
09/04/92	Redeemed- 9000 Canadian Railway National	9,000.00		
09/10/92	Redeemed- 1000 Canadian Railway National	1,000.00		2,243.90

On December 31, 1992, the estate had Canadian dollars of 31,035.21 which is valued on that date at \$24,430.92 using an exchange rate of \$0.7872 . The estate carried this asset at \$26,332.76
 There is therefore an exchange rate loss of 1,901.84

Total - Schedule B

\$22,938.87

Schedule C
 Funeral and Administrative Expenses

Funeral Expenses

Date of Payment -----	Description -----	Amount -----
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Sub-Total Funeral Expenses		----- 0.00 -----
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Administrative Expenses

Date of Payment -----	Description -----	Amount -----
07/05/85	Federal Backup Withholding	\$1.07
08/06/85	Federal Backup Withholding	8.94
10/30/85	Safekeeping Charge	36.59 *
02/19/86	Alleged Forged Checks	2,597.00
04/30/86	Safekeeping Charge	36.04 *
10/31/86	Safekeeping Charge	36.02 *
01/05/87	Custodial Service Fee	24.00
02/16/88	Bank Charge	20.98
03/01/88	DJ Mandlebaum & Co.- Court Reporter	548.90
03/13/89	Custodial Service Fee	30.00
06/28/91	Elmer S.Hyde, Inc.- Surety Bond	852.00
07/29/91	Bank Charge	35.00
08/26/91	Federal Withholding Tax- Muni Invest Tr	261.60
09/06/91	Bank Error	(0.10)
10/07/91	Bank Charge	2.50
10/25/91	Federal Withholding Tax- Muni Invest Tr	217.30
11/15/91	Federal Withholding Tax- Australia Comm.	1,412.60
11/15/91	Federal Withholding Tax- Euro. Invest. Bank	90.00
11/15/91	Federal Withholding Tax- Australia Comm.	58.55
11/15/91	Federal Withholding Tax- Euro. Invest. Bank	2,030.00
01/20/92	Custodial Service Fee	40.00
04/21/92	Federal Income Taxes	1,375.00
04/24/92	New York State Income Taxes	500.00
04/27/92	Federal Income Taxes	1,396.00
05/01/92	New York State Income Taxes	1,926.00
05/05/92	WPRWJ- Reimbursed Expenses	3,528.21
05/26/92	David Mandelbaum- Reimbursed Expenses	283.57
06/04/92	Bank Charge	7.00
06/10/92	Solomon Rosenbaum et al.- Reimbursed Expenses	619.60
06/18/92	New York State Income Taxes	500.00
06/22/92	Bank Charge	12.00
06/22/92	Federal Income Taxes	1,375.00
07/07/92	Bank Charge	8.00

Schedule C
Administrative Expenses - continued

Date of Payment	Description	Amount
08/07/92	Bank Charge	8.00
09/21/92	Federal Income Taxes	1,375.00
09/22/92	New York State Income Taxes	500.00
10/06/92	Bank Charge	72.00
10/09/92	Bank Charge	18.00
12/15/92	NYS Income Tax- 1992 IT-2105- Estate Tax	500.00
12/22/92	David Mandelbaum- Adv. Payment on Commissions	10,468.93
Sub-Total Administrative Expenses		32,811.29
Total - Schedule C		\$32,811.29

Schedule C-1
Unpaid Administrative Expenses

Description -----	Amount -----
Wolf Popper Ross Wolf & Jones - Attorneys' fees and disbursements	\$45,089.35
Goodkind, Wechsler, Labaton & Rudoff - Attorneys' fees and disbursements	37,397.53
A. Uzzo & Company, C.P.A.'s, P.C. - Accountants Paid - January, 1993	5,516.85
Estimated Balance to Completion	10,000.00
Executor's Commission	25,744.84
Total - Schedule C-1	----- \$123,748.57 =====

Schedule D
Creditors Claims

Paid Creditor's Claims

Date of Payment -----	Description -----	Amount -----
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Total - Schedule D

\$0.00
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Schedule E
Statement of Distributions Made

Date of Payment -----	Payee -----	Amount -----
12/15/92	Evelyn Goldberg- Distribution	\$40,000.00
Total - Schedule E		----- \$40,000.00 =====

Schedule F
 Assets on Hand

Asset -----	Amount -----
Chemical Bank Account, A/C#006-099474, Opened May, 1991	\$24,216.47
Chemical Bank Account, A/C#006-099474, Opened June, 1991	56,172.24
Merrill Lynch Brokers Account, A/C# 852-17G-39	0.26
Merrill Lynch Ready Asset Trust, A/C# 852-17G-39	24,902.71
Wood Gundy Limited, A/C# 383683-0 (US \$)	24,430.92
Merrill Lynch Brokers Account, A/C# 852-55023	541.13
 \$7,282 Consumer Gas 10.45% Due 4/15/99	 6,772.26
Interest accrued from 1/15/85 to 5/24/85	268.95
Delivered on 6/8/87	
\$82,286.60 Noranda Inc. 10% due 6/20/85	
Interest accrued from 12/20/84 to 5/24/85	3,494.36
\$3,461 Nova Scotia Bank 10% due 4/01/01	4,259.97
Interest accrued from 4/1/85 to 5/24/85	53.87
Delivered on 6/24/86	
\$19,661.40 Ontario Hydro 13.25% due 10/21/85	
Interest accrued from 4/21/85 to 5/24/85	235.53
\$14,564 Ontario Hydro 10.25% due 4/4/09	13,471.70
Interest accrued from 4/4/85 to 5/24/85	208.58
Delivered on 6/8/87	
\$20,000 Canadian Railway 14.75% due 9/1/12	24,487.80
\$10,000 Ontario Province, 8.4% due 1/15/07	7,836.00
\$25,000 Ontario Province, 17% due 11/5/11	35,182.00
\$25,000 Puerto Rico Hsg. Fin., 7.25% due 7/1/20	25,000.00
Interest accrued from 1/1/85 to 5/24/85	715.07
\$25,000 Franklin County, 9% due 12/15/87	25,000.00
Interest accrued from 12/15/84 to 5/24/85	986.30
\$10,923 Bell Canada, 11% due 10/15/04	10,868.39
Interest accrued from 4/15/85 to 5/24/85	128.38
Delivered on 6/8/87	
\$10,923 Canadian Utilities, 10.4% due 7/15/99	10,540.70
Interest accrued from 1/15/85 to 5/24/85	401.49
Delivered on 3/26/87	
\$7,282 Government of Canada, 10.25% due 2/01/04	7,063.54
Interest accrued from 2/1/85 to 5/24/85	231.08
Delivered on 3/26/87	
\$10,923 Government of Canada, 10% due 5/1/02	10,267.62
Interest accrued from 5/1/85 to 5/24/85	71.82
Delivered on 3/26/87	
3 Units, Mun Inv Trust, Series 5, N.Y.	528.66
25 Units, Mun Inv Trust, Series 53, N.Y.	6,179.75
50 Units, Mun Inv Trust, 1st Municipal Bond Ins. Assoc.	15,557.50
30 Units, Insured Mun Income Trust, Series 26, N.Y.	30,000.00
25 Units, Mun Inv Trust, Series 9, N.Y.	25,000.00
Nuveen Series 58, N.Y.	54,000.00
5.78 Shs. Imperial Oil Ltd. common	222.81
100 Shs. Nova An Alta, Class A non-voting	419.00
150 Shs. Canadian Pacific Enterprises, common	3,194.99

Schedule F
Assets on Hand - continued

Asset	Amount
Bought- 100 CDN PAC LTD	1,343.73
Bought- Nova An Alta- 300 Shares	2,017.60
Bought- 15000 BC Munic Fin,10%, due 7/30/97	7,335.57
10,000 Ontario Hydro,10%, due 1/14/96	7,452.48
4,000 Sears Canada,11.5%, due 6/15/95	3,185.57
10,000 PEI Prov.,11.375%,due 11/26/92	7,617.91
5,000 NB Prov.,10.625%, due 4/15/93	3,872.31
20,000 Nova Corp, 11.125%, due 1/4/93	17,775.55
20,000 Loblaw Cos, 10%, due 1/31/06	17,743.92
15,000 Imasco Ltd, 10.50%, due 4/28/98	13,275.32
20,000 Maritime Tel & Tel,10.65%, due 12/15/99	17,830.90
Bought- 200K Treasury Bill, due 1/14/93	196,976.78
188,000 Canadian T-Bill, due 1/7/93	148,582.17
Bought- 110K Treasury Bill, due 2/4/93	108,337.23
Bought- 80 Shares Nova Corp @ 8.75	591.85
SCHEDULE J - UNRESOLVED	(14,050.15)
Total - Schedule F	\$992,800.60

SCHEDULE G

Statement of Interested Parties

<u>NAME</u>	<u>NATURE OF INTEREST OR RELATIONSHIP</u>	<u>P.O. ADDRESS</u>
Evelyn Goldberg	Life Interest in trust of entire estate	400 E. 54th St. New York, NY 10022
McGill University	33 1/3 % of remainder after trust	845 Sherbrooke St.W. Montreal, PQ, Canada H3A 2T5
Diana Brooks	25 % of remainder after trust	4905 Draper St. Montreal, PQ, Canada H3X 3P6
Harry M. Goldberg	16 2/3rd remainder after trust	1345 Ridgewood Dr., Chomedey, Laval, PQ, Canada H7W 1L4
Harvard University	12 1/2 % of remainder after trust	1350 Massachusetts Avenue Room 775 Cambridge, MA 02138
Maimonides Hospital Foundation	8 1/3 % of remainder after trust	5795 Caldwell Avenue Montreal, PQ, Canada H4W 1W3
Jay Brooks	2 1/2 % of remainder after trust	4903 Draper Street Montreal, PQ, Canada H3X 3P6
David Yablon	1 2/3rds % of remainder after trust	4855A Carleton Avenue Montreal, PQ, Canada H3W 1G7
Attorney General of the State of NY	on behalf of charities	120 Broadway New York, NY 10271

Schedule I
Executor's Commissions

Executor's Commissions Calculated on \$1,088,550.76 Gross Estate

	Amount
\$100,000.00 @ 5.0% =	\$5,000.00
\$200,000.00 @ 4.0% =	8,000.00
\$700,000.00 @ 3.0% =	21,000.00
\$ 88,550.76 @ 2.5% =	2,213.77
Total Commissions	36,213.77
LESS: Amount Paid per Court Order	10,468.93
Balance of Commissions Due	\$25,744.84

Schedule J
Other Pertinent Facts and of Cash Reconciliation

Asset	Amount
-----	-----
25 Units, Mun Inv Trust, Series 53, N.Y.	\$23,690.25
Principal Received	
07/31/85 25 Units Muni Invest Tr-Series 53	(190.00)
02/28/86 25 Units Muni Invest Tr-Series 53	(27.00)
04/30/86 25 Units Muni Invest Tr-Series 53	(36.00)
07/31/86 25 Units Muni Invest Tr-Series 53	(191.25)
04/30/87 25 Units Muni Invest Tr-Series 53	(265.25)
07/31/87 25 Units Muni Invest Tr-Series 53	(252.00)
11/02/87 25 Units Muni Invest Tr-Series 53	(2,737.50)
05/02/88 25 Units Muni Invest Tr-Series 53	(159.00)
08/01/88 25 Units Muni Invest Tr-Series 53	(264.25)
09/30/88 25 Units Muni Invest Tr-Series 53	(2,751.00)
10/31/88 25 Units Muni Invest Tr-Series 53	(154.50)
07/31/89 25 Units Muni Invest Tr-Series 53	(130.50)
10/25/89 25 Units Muni Invest Tr-Series 53	(138.25)
04/25/90 25 Units Muni Invest Tr-Series 53	(133.50)
07/25/90 25 Units Muni Invest Tr-Series 53	(1,137.75)
08/27/90 25 Units Muni Invest Tr-Series 53	(183.75)
09/25/90 25 Units Muni Invest Tr-Series 53	(1,449.50)
12/26/90 25 Units Muni Invest Tr-Series 53	(40.25)
07/25/91 25 Units Muni Invest Tr-Series 53	(200.50)
12/26/91 25 Units Muni Invest Tr-Series 53	(20.25)
04/27/92 25 Units Muni Invest Tr-Series 53	(444.00)
07/27/92 25 Units Muni Invest Tr-Series 53	(6,195.25)
10/26/92 25 Units Muni Invest Tr-Series 53	(409.25)
Total Principal Received	(17,510.50)
Balance - 25 Units, Mun Inv Trust, Series 53, N.Y.	\$6,179.75
	=====

Schedule J -

Other Pertinent Facts and of Cash Reconciliation - continued

Asset		Amount
-----		-----
3 Units, Mun Inv Trust, Series 5, N.Y.		\$3,084.96
Principal Received		
07/31/85	3 Units Muni Invest Tr- Series 50	(13.71)
10/31/85	3 Units Muni Invest Tr- Series 50	(5.40)
04/30/86	3 Units Muni Invest Tr- Series 50	(5.49)
06/02/86	3 Units Muni Invest Tr- Series 50	(420.87)
07/31/86	3 Units Muni Invest Tr- Series 50	(21.30)
04/30/87	3 Units Muni Invest Tr- Series 50	(26.85)
07/31/87	3 Units Muni Invest Tr- Series 50	(15.42)
05/02/88	3 Units Muni Invest Tr- Series 50	(29.49)
10/31/88	3 Units Muni Invest Tr- Series 50	(39.00)
07/31/89	3 Units Muni Invest Tr- Series 50	(19.23)
12/26/89	3 Units Muni Invest Tr- Series 50	(4.35)
04/25/90	3 Units Muni Invest Tr- Series 50	(30.33)
07/25/90	3 Units Muni Invest Tr- Series 50	(63.90)
12/26/90	3 Units Muni Invest Tr- Series 50	(5.61)
02/25/91	3 Units Muni Invest Tr- Series 50	(174.60)
07/25/91	3 Units Muni Invest Tr- Series 50	(48.21)
11/25/91	3 Units Muni Invest Tr- Series 50	(244.68)
12/26/91	3 Units Muni Invest Tr- Series 50	(0.81)
01/27/92	3 Units Muni Invest Tr- Series 50	(308.34)
02/25/92	3 Units Muni Invest Tr- Series 50	(45.12)
04/27/92	3 Units Muni Invest Tr- Series 50	(70.02)
06/25/92	3 Units Muni Invest Tr- Series 50	(180.99)
07/27/92	3 Units Muni Invest Tr- Series 50	(746.88)
11/30/92	3 Units Muni Invest Tr- Series 50	(35.43)
12/28/92	3 Units Muni Invest Tr- Series 50	(0.27)
Total Principal Received		(2,556.30)
Balance - 3 Units, Mun Inv Trust, Series 5, N.Y.		\$528.66
		=====

Schedule J -
 Other Pertinent Facts and of Cash Reconciliation - continued

Asset	Amount
-----	-----
50 Units, Mun Inv Trust, 1st Municipal Bond Ins. Assoc.	\$46,656.50
Principal Received	
05/31/85 50 Units Muni- 1st Muni Bond	(398.00)
07/01/85 50 Units Muni- 1st Muni Bond	(316.50)
07/31/85 50 Units Muni- 1st Muni Bond	(171.50)
09/03/85 50 Units Muni- 1st Muni Bond	(125.50)
10/31/85 50 Units Muni- 1st Muni Bond	(92.00)
12/02/85 50 Units Muni- 1st Muni Bond	(91.00)
12/31/85 50 Units Muni- 1st Muni Bond	(147.50)
01/31/86 50 Units Muni- 1st Muni Bond	(431.00)
02/28/86 50 Units Muni- 1st Muni Bond	(142.50)
06/02/86 50 Units Muni- 1st Muni Bond	(163.50)
06/30/86 50 Units Muni- 1st Muni Bond	(907.50)
07/31/86 50 Units Muni- 1st Muni Bond	(154.50)
10/31/86 50 Units Muni- 1st Muni Bond	(316.50)
12/31/86 50 Units Muni- 1st Muni Bond	(386.50)
03/02/87 50 Units Muni- 1st Muni Bond	(365.00)
06/30/87 50 Units Muni- 1st Muni Bond	(501.00)
09/30/87 50 Units Muni- 1st Muni Bond	(1,246.50)
12/31/87 50 Units Muni- 1st Muni Bond	(357.50)
02/29/88 50 Units Muni- 1st Muni Bond	(296.50)
05/31/88 50 Units Muni- 1st Muni Bond	(560.50)
06/30/88 50 Units Muni- 1st Muni Bond	(292.50)
08/31/88 50 Units Muni- 1st Muni Bond	(323.00)
10/31/88 50 Units Muni- 1st Muni Bond	(396.50)
01/03/89 50 Units Muni- 1st Muni Bond	(752.50)
02/28/89 50 Units Muni- 1st Muni Bond	(368.00)
05/31/89 50 Units Muni- 1st Muni Bond	(365.00)
06/30/89 50 Units Muni- 1st Muni Bond	(306.50)
08/31/89 50 Units Muni- 1st Muni Bond	(421.00)
10/02/89 50 Units Muni- 1st Muni Bond	(1,966.50)
11/27/89 50 Units Muni- 1st Muni Bond	(354.50)
12/26/89 50 Units Muni- 1st Muni Bond	(250.50)
02/26/90 50 Units Muni- 1st Muni Bond	(291.50)
05/25/90 50 Units Muni- 1st Muni Bond	(374.00)
06/25/90 50 Units Muni- 1st Muni Bond	(399.00)
08/27/90 50 Units Muni- 1st Muni Bond	(365.50)
09/25/90 50 Units Muni- 1st Muni Bond	(547.50)
10/25/90 50 Units Muni- 1st Muni Bond	(621.50)
12/26/90 50 Units Muni- 1st Muni Bond	(258.00)
12/26/90 50 Units Muni- 1st Muni Bond	(966.50)
02/25/91 50 Units Muni- 1st Muni Bond	(1,551.50)
05/28/91 50 Units Muni- 1st Muni Bond	(329.00)
06/25/91 50 Units Muni- 1st Muni Bond	(876.50)
08/26/91 50 Units Muni- 1st Muni Bond	(1,308.00)
10/25/91 50 Units Muni- 1st Muni Bond	(1,086.50)

Schedule J -

Other Pertinent Facts and of Cash Reconciliation - continued

Asset	Amount
-----	-----
11/25/91 50 Units Muni- 1st Muni Bond	(596.50)
12/26/91 50 Units Muni- 1st Muni Bond	(1,188.00)
12/31/91 50 Units Muni- 1st Muni Bond	(72.50)
02/25/92 50 Units Muni- 1st Muni Bond	(505.00)
03/25/92 50 Units Muni- 1st Muni Bond	(1,589.50)
07/27/92 50 Units Muni- 1st Muni Bond	(887.00)
08/25/92 50 Units Muni- 1st Muni Bond	(303.50)
09/30/85 50 Units Muni- 1st Muni Bond	(121.00)
10/26/92 50 Units Muni- 1st Muni Bond	(502.50)
11/25/92 50 Units Muni- 1st Muni Bond	(685.50)
12/28/92 50 Units Muni- 1st Muni Bond	(910.50)
12/31/92 50 Units Muni- 1st Muni Bond	(1,795.00)

Total Principal Received	(31,099.00)

Balance - 50 Units, Mun Inv Trust, 1st Municipal Bond	\$15,557.50
	=====

Schedule J -

Other Pertinent Facts and of Cash Reconciliation - continued

Asset	Amount
Aetna Insurance Co. - Claim reimbursement	\$403.20
07/01/85 Aetna Life- Medical Reimbursement	(403.20)
Balance	0.00
Government of Canada - May Pension	\$360.52
07/01/85 Government of Canada- Pension	(360.52)
Balance	0.00
International Bank - fee	\$719.00
07/08/85 Transfer- International Bank	(719.00)
Balance	0.00
U.S.D.A. - fees	\$2,063.75
07/01/85 USDA April- Fee	(1,086.03)
07/12/85 USDA May- Fee	(977.72)
Balance	0.00

Securities Bought and Not Sold

03/07/86 Bought- 100 CDN PAC LTD	\$1,343.73 *
06/08/87 Bought- Nova An Alta- 300 Shares	2,017.60 *
08/26/87 Bought- 15000 BC Munic Fin, 10%, due 7/30/97	7,335.57 *
08/26/87 10,000 Ontario Hydro, 10%, due 1/14/96	7,452.48 *
10/05/87 4,000 Sears Canada, 11.5%, due 6/15/95	3,185.57 *
10/14/87 10,000 PEI Prov., 11.375%, due 11/26/92	7,617.91 *
12/15/87 5,000 NB Prov., 10.625%, due 4/15/93	3,872.31 *
04/05/91 20,000 Nova Corp, 11.125%, due 1/4/93	17,775.55 *
05/10/91 20,000 Loblaw Cos, 10%, due 1/31/06	17,743.92 *
05/10/91 15,000 Imasco Ltd, 10.50%, due 4/28/98	13,275.32 *
05/10/91 20,000 Maritime Tel & Tel, 10.65%, due 12/15/99	17,830.90 *
07/16/92 Bought- 200K Treasury Bill, due 1/14/93	196,976.78
10/07/92 188,000 Canadian T-Bill, due 1/7/93	148,582.17 *
08/06/92 Bought- 110K Treasury Bill, due 2/4/93	108,337.23
09/14/89 Bought- 80 Shares Nova Corp @ 8.75	591.85 *

Schedule J -

Other Pertinent Facts and of Cash Reconciliation - continued

Transactions which must be Classified:

07/08/85 Transfer- County of Franklin	(916.66)
10/31/85 Transfer	5.40
06/03/86 Bank of Nova Scotia Conversion	(5.74)*
08/26/87 Cash Received (\$21,206.25 Canadian \$ Converted to US \$)	(15,995.87)*
12/28/92 Check Issued- Transfer	1,092.73
12/31/92 Check Issued- Transfer	1,795.00
 05/13/91 Wolf, Popper, Ross, Wolf & Jones- Advance	 (25.00)

	(\$14,050.15)
	=====

Although certain transactions converted from Canadian \$ to U.S. \$ may report a loss, the loss may be attributed to the fluxuation in the exchange rate from \$.7282 at the date of death, to the exchange rate in effect at the date of the transaction.

SUMMARY STATEMENT OF ACCOUNT

Schedule A	- Principal Received	\$655,783.61
Schedule A-1	- Increases from Sale or Distribution of Assets	43,670.09
Schedule A-2	- Income Collected	389,097.06
Total Charges		1,088,550.76
Schedule B	- Decreases from Sale or Distributions of Assets	22,938.87
Schedule C	- Funeral and Administrative Expenses	32,811.29
Schedule C-1	- Unpaid Administrative Expense	123,748.57
Schedule D	- Creditors Claims	0.00
Schedule E	- Statement of Distributions Made	40,000.00
Total Credits		95,750.16
Schedule F	- Assets on Hand	\$992,800.60

The foregoing balance of \$992,800.60 consists of \$130,263.73 in cash and \$862,536.87 in other property on hand as of the 31st day of December, 1992. It is subject to deduction of estimated principal commissions amounting to \$25,528.84 shown in Schedule I and to the proper charge to principal of expenses of this accounting.

The attached schedules are part of this account.

Exhibit D

FINAL ACCOUNT OF THE
ESTATE OF SIMON A. GOLDBERG
JANUARY 1, 1993 - NOVEMBER 11, 2008

Software Developed by
COMP-ACCT FIDUCIARY SOFTWARE, INC.
70-17 173rd Street
Flushing, NY 11365
(718) 591-8111

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ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Summary

CHARGES:

Amount shown by Schedule A		
(Principal Received).....	\$	403,000.81
Amount shown by Schedule A-1		
(Realized Increases on Principal).....		27,967.20
Amount shown by Schedule A-2		
(Income Collected).....		48,546.03

Total Charges.....	\$	479,514.04

CREDITS:

Amount shown by Schedule B		
(Realized Decreases on Principal).....	\$	1,880.75
Amount shown by Schedule C		
(Funeral and Administration Expenses).....		15.00
Amount shown by Schedule D		
(Creditors' Claims Actually Paid).....		0.00
Amount shown by Schedule E		
(Distributions to Beneficiaries, etc.)....		477,618.29

Total Credits.....		479,514.04

Balance on hand shown by Schedule G.....	\$	0.00
		=====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Summary

CHARGES:

Amount shown by Schedule A (Principal Received).....	\$ 980,412.13
Amount shown by Schedule A-1 (Realized Increases on Principal).....	5,558.43
Amount shown by Schedule A-2 (Income Collected).....	472,660.69
Total Charges.....	\$ 1,458,631.25

CREDITS:

Amount shown by Schedule B (Realized Decreases on Principal).....	\$ 65,092.92
Amount shown by Schedule C (Funeral and Administration Expenses).....	556,299.18
Amount shown by Schedule D (Creditors' Claims Actually Paid).....	0.00
Amount shown by Schedule E (Distributions to Beneficiaries, etc.)....	-40,000.00
Total Credits.....	581,392.10
Balance on hand shown by Schedule G.....	\$ 877,239.15

COMBINED ACCOUNTS

The foregoing balance of \$877,239.15 consists of \$5,019.63 in cash and \$872,219.52 in other property on hand as of the 11th day of November, 2008.

The attached schedules are part of this account.

 Executor/Administrator

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule A
 Statement of Principal Received

The following assets were on hand on 01/01/93 (unless otherwise indicated).

Description		Inventory Value	
CASH:			
Wood Gundy, Brokerage Account 475-03096	\$ 31,035.21	\$	31,035.21
STOCKS & FUNDS:			
no. of shares			
425 Bank of Nova Scotia, common	\$ 10,040.63		
100 CDN Pac Ltd, common	1,600.00		
480 Nova Corp of Alberta, common	4,140.00		15,780.63

DEBT INSTRUMENTS:

face value

\$ 15,000	Bell Canada, 11%, due 10/15/04	\$ 16,350.00	
188,000	Canada T-Bill, due 1/7/93	187,753.72	
10,000	Consumer Gas SFD, 10.45%, due 5/1/99	10,362.50	
15,000	Imasco Ltd, 10.5%, due 4/28/98	16,181.25	
20,000	Loblaws Cos, 10%, due 3/31/06	21,025.00	
20,000	Maritime Tel & Tel, 10.65%, due 12/15/99	21,950.00	
10,000	Municipal Finance Authority of British Columbia, 10%, due 7/30/97	10,690.00	
5,000	N B Province, 10.625%, due 4/15/93	5,042.50	
20,000	Nova Corp Alta, 11.125%, due 1/4/93	20,000.00	
10,000	Ontario Hydro, 10%, due 1/14/96	10,530.00	
20,000	Ontario Hydro, 10.25%, due 4/4/09	21,160.00	
10,000	Province of Prince Edward Island, 11.375%, due 11/26/97	11,140.00	
4,000	Sears Canada, 11.5%, due 6/15/95	4,000.00	356,184.97

Total Schedule A \$ 403,000.81

Summary:

Securities	\$ 371,965.60
Other	31,035.21
Total	\$ 403,000.81

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A
 Statement of Principal Received

The following assets were on hand on 01/01/93 (unless otherwise indicated).

Description	Inventory Value
CASH:	
Chemical Bank, Checking Account #006-058191	\$ 24,216.47
Chemical Bank, NOW Account 006-099475	56,172.24
December 1992 Transfers From Merrill Lynch Representing Municipal Income Trust Dividends Deposited into Chemical Checking in January 1993	2,887.73
Merrill Lynch, Brokerage Account 852-17G39	0.26
Merrill Lynch, Brokerage Account 852-55023	541.13
01/10/95	
Wood Gundy Brokerage Account Transfer, Canadian Account	\$ 231,765.28
03/27/96	
Wood Gundy Brokerage Account Transfer, Canadian Account	110,031.60
12/09/96	
Wood Gundy Brokerage Account Transfer, Canadian Account	195.86 \$ 425,810.57

STOCKS & FUNDS:

no. of
 shares

	11/14/98		
75	Gulf Canada Resources Limited, (From Wood Gundy)	\$	403.13
30	Insured Municipals - Income Trust Series 26		30,000.00
25	Insured Municipals - Income Trust Series 9		25,000.00
24,902.71	Merrill Lynch Ready Assets Trust		24,902.71
50	Municipal Invest Trust Series 1 MBIA Insured		15,557.50
3	Municipal Invest Trust Series 50 New York		528.66
25	Municipal Invest Trust Series 53 New York		6,179.75
	11/14/98		
20	NOVA Corp., (From Wood Gundy)		210.00
54	Nuveen Series 58, N.Y.		54,000.00
			156,781.75

DEBT INSTRUMENTS:

face value

\$ 20,000	Canadian National Railways Co. Debentures, 14.75% Due 9/1/12	\$	24,487.80
25,000	Franklin County, 9% Due 12/15/87		25,000.00
25,000	Ontario Province Bonds, 17% Due 11/5/11		35,182.00
10,000	Ontario Province Canada Debenture, 8.4% Due 1/15/07		7,836.00
200,000	U.S. Treasury Bills, Due 1/14/93		196,976.78
110,000	U.S. Treasury Bills, Due 2/4/93		108,337.23
			397,819.81

Total Schedule A

\$ 980,412.13

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A
Statement of Principal Received

Description -----	Inventory Value -----
Summary: -----	
Securities	\$ 554,601.56
Cash	425,810.57

Total	\$ 980,412.13
	=====
Assets on Hand at Opening Date	637,806.26
Assets Received During Period (on dates mentioned)	342,605.87

Total	\$ 980,412.13
	=====

It has been determined that the following two bonds have never been part of the estate.

1. Puerto Rico Hsg. Fin. 7.25%, due 7/2/10
2. Onondaga County, 5.7%, due 2001

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule A-1
 Statement of Increases on Sales, Liquidation or Distribution

Description	Proceeds	Inventory Value	Increase
-----	-----	-----	-----
Part 1 - Sales:			

01/10/95 100 shares of CDN Pac Ltd, common, (Sold for \$1,430.25 US Dollars)	\$ 2,018.80	\$ 1,600.00	\$ 418.80
01/10/95 425 shares of Bank of Nova Scotia, common, (Sold for \$7,836.78 US Dollars)	11,061.61	10,040.63	1,020.98
01/10/95 480 shares of Nova Corp of Alberta, common, (Sold for \$4,305.67 US Dollars)	6,077.45	4,140.00	1,937.45
02/19/96 \$20,000 face value of Loblaw Cos, 10%, due 3/31/06	22,339.50	21,025.00	1,314.50
02/19/96 \$20,000 face value of Maritime Tel & Tel, 10.65%, due 12/15/99	22,449.50	21,950.00	499.50
02/19/96 \$20,000 face value of Ontario Hydro, 10.25%, due 4/4/09	22,125.00	21,160.00	965.00
Total Schedule A-1, Part 1	\$ 86,071.86	\$ 79,915.63	\$ 6,156.23
	=====	=====	=====

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule A-1
 Statement of Increases on Sales, Liquidation or Distribution

Description	Proceeds	Inventory Value	Increase
-----	-----	-----	-----
Part 2 - Redemptions:			

01/07/93 \$188,000 face value of Canada T-Bill, due 1/7/93	\$ 188,000.00	\$ 187,753.72	\$ 246.28
02/11/93 \$241,000 face value of Canada T-Bill, due 2/11/93	241,000.00	239,678.60	1,321.40
04/15/93 \$244,000 face value of Canada T-Bill, due 4/15/93	244,000.00	241,904.28	2,095.72
06/24/93 \$254,000 face value of Canada T-Bill, due 6/24/93	254,000.00	252,225.81	1,774.19
09/23/93 \$263,000 face value of Canada T-Bill, due 9/23/93	263,000.00	260,700.85	2,299.15
10/28/93 \$264,000 face value of Federal Bus Stn, due 10/28/93	264,000.00	263,116.92	883.08
01/06/94 \$270,000 face value of Canada T-Bill, due 1/6/94	270,000.00	268,350.30	1,649.70
02/17/94 \$273,000 face value of Canada T-Bill, due 2/17/94	273,000.00	272,172.26	827.74
05/19/94 \$277,000 face value of Canada T-Bill, due 5/19/94	277,000.00	275,011.69	1,988.31
08/18/94 \$293,000 face value of Canada T-Bill, due 8/18/94	293,000.00	289,285.64	3,714.36
10/17/94 \$300,000 face value of Canada T-Bill, due 10/20/94	300,000.00	297,598.80	2,401.20
12/01/94 \$305,000 face value of Canada T-Bill, due 12/1/94	305,000.00	303,669.59	1,330.41
01/05/95 \$308,000 face value of Canada T-Bill, due 1/5/95	308,000.00	306,720.57	1,279.43
	-----	-----	-----
Total Schedule A-1, Part 2	\$ 3,480,000.00	\$ 3,458,189.03	\$ 21,810.97
	=====	=====	=====
Recapitulation:			

Schedule A-1, Part 1	\$ 86,071.86	\$ 79,915.63	\$ 6,156.23
Schedule A-1, Part 2	3,480,000.00	3,458,189.03	21,810.97
	-----	-----	-----
Total Schedule A-1	\$ 3,566,071.86	\$ 3,538,104.66	\$ 27,967.20
	=====	=====	=====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-1
Statement of Increases on Sales, Liquidation or Distribution

Description	Proceeds	Inventory Value	Increase
-----	-----	-----	-----
Part 1 - Sales:			

04/22/97 50 shares of			
Municipal Invest Trust Series 1 MBIA Insured	\$ 2,764.00	\$ 0.00	\$ 2,764.00
04/30/99 75 shares of			
Gulf Canada Resources Limited Sr Pref Ser 1	66.24	0.00	66.24
	-----	-----	-----
Total Schedule A-1, Part 1	\$ 2,830.24	\$ 0.00	\$ 2,830.24
	=====	=====	=====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-1
 Statement of Increases on Sales, Liquidation or Distribution

Description	Proceeds	Inventory Value	Increase
-----	-----	-----	-----
Part 2 - Redemptions:			

05/04/93 \$10,000 face value of Ontario Province Canada Debenture, 8.4% Due 1/15/07	\$ 10,302.00	\$ 7,836.00	\$ 2,466.00
01/31/97 \$230,000 face value of U.S. Treasury Notes, 7.5% Due 1/31/97	230,000.00	229,755.60	244.40
12/11/97 \$772,000 face value of U.S. Treasury Bills, Due 12/11/97	758,480.77	758,462.98	17.79
Total Schedule A-1, Part 2	\$ 998,782.77	\$ 996,054.58	\$ 2,728.19
	=====	=====	=====
Recapitulation:			

Schedule A-1, Part 1	\$ 2,830.24	\$ 0.00	\$ 2,830.24
Schedule A-1, Part 2	998,782.77	996,054.58	2,728.19
Total Schedule A-1	\$ 1,001,613.01	\$ 996,054.58	\$ 5,558.43
	=====	=====	=====

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description	Amount
-----	-----
Part 1 - Dividends Earned:	

Bank of Nova Scotia, common:	

01/27/93 Dividend on 425 shares	\$ 101.15
04/28/93 Dividend on 425 shares	101.15
07/28/93 Dividend on 425 shares	101.15
10/28/93 Dividend on 425 shares	101.15
01/27/94 Dividend on 425 shares	104.77
04/27/94 Dividend on 425 shares	104.77
07/27/94 Dividend on 425 shares	123.25
10/27/94 Dividend on 425 shares	123.25
01/27/95 Dividend	131.75 \$ 992.39

CDN Pac Ltd, common:	

01/28/93 Dividend on 100 shares	\$ 6.80
04/28/93 Dividend on 100 shares	6.80
07/28/93 Dividend on 100 shares	6.80
10/28/93 Dividend on 100 shares	6.80
01/28/94 Dividend on 100 shares	6.80
04/28/94 Dividend on 100 shares	6.80
07/28/94 Dividend on 100 shares	8.00
10/28/94 Dividend on 100 shares	8.00
01/29/95 Dividend	8.00 64.80

Consumer Gas SFD, 10.45%, due 5/1/99:	

11/01/93 Dividend	\$ 418.00 418.00

Gulf Canada Resources Limited:	

11/14/95 Dividend	\$ 1.73
12/13/95 Dividend	1.66
01/09/96 Dividend	1.66
02/12/96 Dividend	1.60
03/12/96 Dividend	2.11
04/15/96 Dividend	2.11
05/13/96 Dividend	2.04
06/12/96 Dividend	2.04
07/12/96 Dividend	2.04
08/12/96 Dividend	1.99
09/12/96 Dividend	1.92
10/15/96 Dividend	1.86
11/12/96 Dividend	1.79 24.55

Maritime Tel & Tel, 10.65%, due 12/15/99:	

06/15/93 Dividend	\$ 1,065.00 1,065.00

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description -----	Amount -----
NOVA Corp.:	

11/15/95 Dividend	\$ 13.60
02/15/96 Dividend	13.60
05/15/96 Dividend	15.30
08/13/96 Dividend	15.30
11/15/96 Dividend	18.00 \$ 75.80

Nova Corp of Alberta, common:	

02/15/93 Dividend on 480 shares	\$ 24.48
05/17/93 Dividend on 480 shares	24.48
08/16/93 Dividend on 480 shares	24.48
11/15/93 Dividend on 480 shares	24.48
02/15/94 Dividend on 480 shares	24.48
02/15/94 Dividend on 480 shares	24.48
08/15/94 Dividend on 480 shares	28.80
11/15/94 Dividend on 480 shares	28.80
11/15/94 Withheld Taxes	-4.32 200.16

Total Schedule A-2, Part 1	\$ 2,840.70 =====

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description	Amount
-----	-----
Part 2 - Interest Earned:	

Bell Canada, 11%, due 10/15/04:	

04/15/93 Interest on \$15,000	\$ 825.00
10/15/93 Interest on \$15,000	825.00
04/15/94 Interest on \$15,000	825.00
10/17/94 Interest on \$15,000	825.00
04/17/95 Interest on \$15,000	825.00
10/16/95 Interest on \$15,000	825.00
02/19/96 Interest on \$15,000	574.11 \$ 5,524.11

Consumer Gas SFD, 10.45%, due 5/1/99:	

05/03/93 Interest on \$2,000	\$ 522.50
05/02/94 Interest on \$8,000	418.00
05/02/94	2.29 942.79

Imasco Ltd, 10.5%, due 4/28/98:	

04/28/93 Interest on \$15,000	\$ 787.50
10/28/93 Interest on \$15,000	787.50
04/28/94 Interest on \$15,000	787.50
10/28/94 Interest on \$15,000	787.50
04/28/95 Interest on \$15,000	787.50
10/30/95 Interest on \$15,000	787.50
02/19/96 Interest on \$15,000	491.92 5,216.92

Loblaw Cos, 10%, due 3/31/06:	

03/31/93 Interest on \$20,000	\$ 1,000.00
09/30/93 Interest on \$20,000	1,000.00
03/31/94 Interest on \$20,000	1,000.00
09/30/94 Interest on \$20,000	1,000.00
03/31/95 Interest on \$20,000	1,000.00
10/02/95 Interest on \$20,000	1,000.00
02/19/96 Interest on \$20,000	778.08 6,778.08

Maritime Tel & Tel, 10.65%, due 12/15/99:	

12/15/93 Interest on \$20,000	\$ 1,065.00
06/15/94 Interest on \$20,000	1,065.00
12/15/94 Interest on \$20,000	1,065.00
06/15/95 Interest on \$20,000	1,065.00
12/15/95 Interest on \$20,000	1,065.00
02/19/96 Interest on \$20,000	385.15 5,710.15

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description		Amount

Municipal Finance Authority of British Columbia, 10%, due 7/30/97:		

02/01/93 Interest on \$10,000	\$ 500.00	
07/30/93 Interest on \$10,000	500.00	
01/28/94 Interest on \$10,000	500.00	
08/02/94 Interest on \$10,000	500.00	
01/30/95 Interest on \$10,000	500.00	
07/31/95 Interest on \$10,000	500.00	
01/30/96 Interest on \$10,000	500.00	
02/19/96 Interest on \$10,000	54.79	\$ 3,554.79

N B Province, 10.625%, due 4/15/93:		

04/15/93	\$ 265.62	265.62

Nova Corp Alta, 11.125%, due 1/4/93:		

01/04/93	\$ 945.63	945.63

Ontario Hydro, 10%, due 1/14/96:		

01/15/93 Interest on \$10,000	\$ 500.00	
07/16/93 Interest on \$10,000	500.00	
01/14/94 Interest on \$10,000	500.00	
07/14/94 Interest on \$10,000	500.00	
01/16/95 Interest on \$10,000	500.00	
07/14/95 Interest on \$10,000	500.00	
01/15/96 Interest on \$10,000	500.00	3,500.00

Ontario Hydro, 10.25%, due 4/4/09:		

04/05/93 Interest on \$20,000	\$ 1,025.00	
10/04/93 Interest on \$20,000	1,025.00	
04/04/94 Interest on \$20,000	1,025.00	
10/04/94 Interest on \$20,000	1,025.00	
04/04/95 Interest on \$20,000	1,025.00	
10/04/95 Interest on \$20,000	1,025.00	
02/19/96 Interest on \$20,000	775.07	6,925.07

Province of Prince Edward Island, 11.375%, due 11/26/97:		

05/26/93 Interest on \$10,000	\$ 568.75	
11/26/93 Interest on \$10,000	568.75	
05/26/94 Interest on \$10,000	568.75	
11/28/94 Interest on \$10,000	568.75	
05/26/95 Interest on \$10,000	568.75	
11/27/95 Interest on \$10,000	568.75	
02/19/96 Interest on \$10,000	264.90	3,677.40

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description -----	Amount -----
Sears Canada, 11.5%, due 6/15/95: -----	
06/15/93 Interest on \$1,000	\$ 195.50
12/17/93 Interest on \$3,000	172.50
06/15/94	172.50 \$ 540.50

Wood Gundy, Brokerage Account 475-03096: -----	
01/12/93	\$ 1.73
01/18/93	36.86
01/18/93	17.25
01/18/93	0.11
01/18/93	0.07
01/18/93	3.98
01/18/93	3.79
01/18/93	0.15
01/18/93	10.84
01/18/93	3.43
01/18/93	3.26
02/12/93	1.48
02/16/93	10.20
02/16/93	0.15
02/16/93	1.78
02/16/93	24.00
03/12/93	1.41
04/16/93	0.20
04/16/93	1.90
04/16/93	20.60
05/17/93	3.14
05/17/93	82.42
05/17/93	10.20
07/16/93	0.08
07/16/93	0.15
07/16/93	2.58
07/16/93	85.07
08/16/93	10.20
11/16/93	81.46
11/16/93	0.28
11/16/93	0.37
11/16/93	1.72
12/06/93	10.20
01/17/94	0.25
01/17/94	2.25
01/17/94	95.40
02/15/94	10.20
05/16/94	14.05
05/16/94	10.20
06/30/94	2.26
06/30/94	3.71
07/18/94	7.11
07/18/94	1.64
08/15/94	10.20
08/16/94	1.69
08/16/94	8.04
08/16/94	7.10
09/16/94	31.42
09/16/94	0.26

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

Description	Amount

Wood Gundy, Brokerage Account 475-03096: (Continued From Previous Page)	

11/05/94	\$ 10.20
11/05/94	29.58
01/16/95	239.21
01/16/95	0.84
02/16/95	0.60
02/16/95	11.78
02/28/95	10.20
03/10/95	1.99
03/10/95	2.66
03/10/95	3.26
03/10/95	3.12
03/10/95	3.91
04/10/95	2.04
04/17/95	19.66
05/12/95	2.11
05/15/95	13.60
05/16/95	21.85
05/16/95	7.80
06/12/95	1.99
06/16/95	20.43
06/16/95	9.11
06/16/95	2.06
07/12/95	1.92
07/17/95	23.19
07/17/95	5.23
07/17/95	4.07
08/15/95	1.79
08/15/95	13.60
08/15/95	33.49
09/12/95	1.73
09/18/95	14.48
09/18/95	18.87
10/12/95	1.73
10/16/95	34.45
11/16/95	22.07
11/16/95	20.46
12/18/95	42.32
01/16/96	6.16
01/16/96	39.88
02/16/96	40.97
02/16/96	9.05
02/16/96	42.84
03/18/96	489.60
04/16/96	166.55
04/16/96	34.98
	\$ 2,124.27

Total Schedule A-2, Part 2	\$ 45,705.33
	=====

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

Description -----	Amount -----
Recapitulation: -----	
Schedule A-2, Part 1	\$ 2,840.70
Schedule A-2, Part 2	45,705.33

Total Schedule A-2	\$ 48,546.03 =====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description	Amount
-----	-----
Part 1 - Dividends Earned:	

Chase Vista Cash Management Money Market, Class A:	

07/01/98 Dividend on 754,655.98 shares	\$ 1,655.98
08/03/98 Dividend on 757,891.19 shares	3,235.21
09/01/98 Dividend on 3,698.55 shares	2,725.15
10/01/98 Dividend on 3,713.84 shares	15.29
11/14/98 Dividend on 3,729.23 shares	15.39
12/01/98 Dividend on 3,743.88 shares	14.65
01/04/99 Dividend on 51,077.66 shares	648.40
02/01/99 Dividend on 36,240.11 shares	162.45
03/01/99 Dividend on 36,364.52 shares	124.41
04/01/99 Dividend on 36,502.09 shares	137.57
05/03/99 Dividend on 36,700.83 shares	132.50
06/01/99 Dividend on 36,837.49 shares	136.66
07/01/99 Dividend on 54,254.69 shares	189.48
08/02/99 Dividend on 54,465.79 shares	211.10
09/01/99 Dividend on 54,684.82 shares	219.03
10/01/99 Dividend on 54,901.75 shares	216.93
11/01/99 Dividend on 55,132.78 shares	231.03
12/01/99 Dividend on 55,363.90 shares	231.12
01/03/00 Dividend on 64,560.08 shares	694.15
02/01/00 Dividend on 64,848.98 shares	288.90
03/01/00 Dividend on 65,122.64 shares	273.66
04/03/00 Dividend on 86,231.32 shares	916.52
05/01/00 Dividend on 66,554.62 shares	323.30
06/01/00 Dividend on 66,904.68 shares	350.06
07/03/00 Dividend on 67,238.90 shares	334.22
08/01/00 Dividend on 47,585.05 shares	346.15
08/31/00 Dividend on 783,833.33 shares	248.28
10/02/00 Dividend on 70,719.18 shares	831.20
10/31/00 Dividend	260.87
	\$ 15,169.66
-----	-----
Gulf Canada Resources Limited Sr Pref Ser 1:	

03/29/99 Dividend on 75 shares	\$ 0.97
03/29/99 Dividend on 75 shares	0.97
07/16/99 Dividend on 75 shares	0.97
	2.91
-----	-----
JPMorgan (Formerly Chase Vista) Prime Money Market:	

10/31/00 Dividend on 70,825.78 shares	\$ 106.60
11/30/00 Dividend on 71,443.80 shares	357.15
12/29/00 Dividend on 71,791.74 shares	347.94
01/31/01 Dividend on 54,151.05 shares	359.31
02/28/01 Dividend on 54,372.37 shares	221.32
04/02/01 Dividend on 18,498.23 shares	832.32
04/30/01 Dividend on 18,567.59 shares	69.36
05/31/01 Dividend on 18,632.11 shares	64.52
07/02/01 Dividend on 18,689.84 shares	57.73
07/31/01 Dividend on 18,746.22 shares	56.38
08/31/01 Dividend on 38,839.29 shares	93.07
10/01/01 Dividend on 39,274.45 shares	97.01

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description	Amount
JPMorgan (Formerly Chase Vista) Prime Money Market: (Continued From Previous Page)	
10/31/01 Dividend on 39,355.30 shares	\$ 80.85
11/30/01 Dividend on 39,420.85 shares	65.55
12/31/01 Dividend on 42,682.65 shares	62.54
01/31/02 Dividend on 42,739.71 shares	57.06
02/28/02 Dividend on 42,786.44 shares	46.73
04/01/02 Dividend on 23,071.58 shares	121.04
05/08/02 Dividend on 23,098.42 shares	26.84
05/31/02 Dividend on 23,125.92 shares	27.50
07/01/02 Dividend on 72,019.53 shares	68.82
07/31/02 Dividend on 62,095.27 shares	75.74
09/03/02 Dividend on 57,162.83 shares	67.56
09/30/02 Dividend on 59,910.63 shares	141.55
10/31/02 Dividend on 59,976 shares	65.37
11/29/02 Dividend on 60,028.49 shares	52.49
12/31/02 Dividend on 62,089.02 shares	50.95
01/31/03 Dividend on 58,134.82 shares	45.80
02/28/03 Dividend on 58,173.30 shares	38.48
03/31/03 Dividend on 34,099.07 shares	29.94
04/30/03 Dividend on 34,119.85 shares	20.78
05/30/03 Dividend on 34,139.64 shares	19.79
06/30/03 Dividend on 35,771.56 shares	20.46
07/31/03 Dividend on 35,788.82 shares	17.26
08/29/03 Dividend on 35,804.23 shares	15.41
09/30/03 Dividend on 37,337.95 shares	17.05
10/31/03 Dividend on 37,354.58 shares	16.63
11/28/03 Dividend on 37,369.76 shares	15.18
12/31/03 Dividend on 38,867.58 shares	19.07
02/02/04 Dividend on 38,885.91 shares	18.33
03/01/04 Dividend on 38,902.64 shares	16.73
03/31/04 Dividend on 40,437.50 shares	18.19
04/30/04 Dividend on 40,455.17 shares	17.67
06/01/04 Dividend on 40,473.79 shares	18.62
06/30/04 Dividend on 42,383.47 shares	53.43
08/02/04 Dividend on 42,410.41 shares	26.94
08/31/04 Dividend on 42,443.37 shares	32.96
09/30/04 Dividend on 45,174.72 shares	39.27
11/01/04 Dividend on 45,221.86 shares	47.14
11/30/04 Dividend on 45,273.41 shares	51.55
12/31/04 Dividend on 49,208.40 shares	67.49
01/31/05 Dividend on 49,282.93 shares	74.53
02/28/05 Dividend on 49,355.45 shares	72.52
03/31/05 Dividend on 54,229.32 shares	99.37
05/02/05 Dividend on 54,334.85 shares	105.53
05/31/05 Dividend on 54,452.17 shares	117.32
06/30/05 Dividend on 59,792.64 shares	129.93
08/01/05 Dividend on 59,936.89 shares	144.25
08/31/05 Dividend on 153.91 shares	153.91
09/30/05 Dividend on 66,715.34 shares	168.37
10/31/05 Dividend on 66,904.98 shares	189.64
11/30/05 Dividend on 67,100.44 shares	195.46
01/03/06 Dividend on 74,328.14 shares	235.08
01/31/06 Dividend on 74,571.71 shares	243.57
02/28/06 Dividend on 74,800.73 shares	229.02
03/31/06 Dividend on 77,283.18 shares	276.49
05/01/06 Dividend on 167.20 shares	166.72
05/31/06 Dividend on 10,187.13 shares	20.41
06/30/06 Dividend on 19,687.96 shares	72.69

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description	Amount
JPMorgan (Formerly Chase Vista) Prime Money Market: (Continued From Previous Page)	
07/31/06 Dividend on 19,767.87 shares	\$ 79.91
08/31/06 Dividend on 839,849.05 shares	81.18
09/29/06 Dividend on 9,891.45 shares	587.98
10/31/06 Dividend on 9,932.27 shares	40.82
11/30/06 Dividend on 20,054.82 shares	39.72
12/29/06 Dividend on 20,137.75 shares	82.93
01/31/07 Dividend on 162.27 shares	24.52
02/28/07 Dividend on 162.87 shares	0.60
03/30/07 Dividend on 10,363.42 shares	41.28
04/30/07 Dividend on 10,404.83 shares	41.41
05/31/07 Dividend on 20,084.66 shares	42.90
06/29/07 Dividend on 20,164.92 shares	80.26
07/31/07 Dividend on 20,248.24 shares	83.32
08/31/07 Dividend on 27,526.39 shares	85.17
09/28/07 Dividend on 27,637.40 shares	111.01
10/31/07 Dividend on 27,748.37 shares	110.97
11/30/07 Dividend on 33,786.27 shares	209.20
12/31/07 Dividend on 34,124.50 shares	338.23
01/31/08 Dividend on 12,231.53 shares	107.03
02/29/08 Dividend on 15,951.73 shares	111.20
03/31/08 Dividend on 16,146.86 shares	195.13
04/30/08 Dividend on 16,181.10 shares	34.24
05/30/08 Dividend on 31,902.76 shares	40.67
06/30/08 Dividend on 31,961.95 shares	59.19
07/31/08 Dividend on 32,022.21 shares	60.26
08/29/08 Dividend on 35,230.67 shares	59.93
09/30/08 Dividend on 35,295.17 shares	64.50
10/31/08 Dividend on 35,368.05 shares	72.88
	\$ 9,938.72
Merrill Lynch Ready Assets Trust:	
01/12/93 Dividend on 24,885.37 shares	\$ 22.66
01/29/93 Dividend on 24,922.34 shares	36.97
02/26/93 Dividend on 24,977.62 shares	55.28
03/26/93 Dividend on 25,034.22 shares	56.60
04/30/93 Dividend on 25,101.24 shares	67.02
05/10/93 Dividend on 35,421.53 shares	18.29
05/28/93 Dividend on 35,467.97 shares	46.44
06/25/93 Dividend on 35,540.86 shares	72.89
07/30/93 Dividend on 35,633.34 shares	92.48

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

Description	Amount
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Merrill Lynch Ready Assets Trust: (Continued From Previous Page)	
08/27/93 Dividend on 35,707.75 shares	\$ 74.41
09/03/93 Dividend on 55,726.32 shares	18.57
09/24/93 Dividend on 55,815.09 shares	88.77
10/29/93 Dividend on 55,959.99 shares	144.90
11/26/93 Dividend on 56,075.89 shares	115.90
12/30/93 Dividend on 6,436.09 shares	139.20
12/31/93 Dividend on 6,437.06 shares	0.97
01/03/94 Dividend on 31,438.51 shares	1.45
01/11/94 Dividend on 31,415.55 shares	17.04
01/28/94 Dividend on 31,458.16 shares	42.61
02/25/94 Dividend on 31,524.47 shares	66.31
03/25/94 Dividend on 31,593 shares	68.53
04/29/94 Dividend on 31,684.75 shares	91.75
05/27/94 Dividend on 31,765.72 shares	80.97
06/27/94 Dividend on 31,853.91 shares	88.19
06/29/94 Dividend on 33,269.91 shares	16.00
07/29/94 Dividend on 33,372.30 shares	102.39
08/06/94 Dividend on 33,470.69 shares	98.39
09/30/94 Dividend on 33,602.17 shares	131.48
10/28/94 Dividend on 33,714.29 shares	112.12
11/25/94 Dividend on 33,833.72 shares	119.43
12/30/94 Dividend on 33,994.23 shares	160.51
01/30/95 Dividend on 34,088.91 shares	134.68
02/24/95 Dividend on 34,227.49 shares	138.58
03/31/95 Dividend on 34,409.50 shares	182.01
04/28/95 Dividend on 34,557.38 shares	147.88
05/26/95 Dividend on 34,705.89 shares	148.51
06/30/95 Dividend on 34,890.92 shares	185.03
07/28/95 Dividend on 35,037.89 shares	146.97
08/25/95 Dividend on 35,182.48 shares	144.59
09/29/95 Dividend on 35,362.85 shares	180.37
10/27/95 Dividend on 35,506.92 shares	144.07
11/24/95 Dividend on 35,651.16 shares	144.24
12/29/95 Dividend on 35,831.54 shares	180.38
01/09/96 Dividend on 35,842.84 shares	51.30
01/26/96 Dividend on 35,933.23 shares	90.39
02/23/96 Dividend on 36,071.40 shares	138.17
03/29/96 Dividend on 36,237.51 shares	166.11
04/26/96 Dividend on 36,370.28 shares	132.77
05/31/96 Dividend on 36,537.38 shares	167.10
06/28/96 Dividend on 36,671.83 shares	134.45
07/26/96 Dividend on 36,808.08 shares	136.25
08/30/96 Dividend on 36,980.13 shares	172.05
09/27/96 Dividend on 37,118.63 shares	138.50
10/25/96 Dividend on 37,258.30 shares	139.67
10/29/96 Dividend on 38,528.27 shares	19.97
11/14/96 Dividend on 65,264.25 shares	82.98
11/29/96 Dividend on 65,396.53 shares	132.28
12/31/96 Dividend on 65,681.61 shares	285.08
01/31/97 Dividend on 65,955.99 shares	274.38
02/28/97 Dividend on 66,203.27 shares	247.28
03/27/97 Dividend on 66,442.13 shares	238.86
04/22/97 Dividend on 63.73 shares	225.60
04/24/97 Dividend	0.02
	\$ 7,099.04
Total Schedule A-2, Part 1	\$ 32,210.33
	=====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description	Amount	
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Part 2 - Interest Earned:		

Canadian National Railways Co. Debentures, 14.75% Due 9/1/12:		

03/01/93 Interest on \$20,000	\$ 1,475.00	
09/01/93 Interest on \$20,000	1,475.00	\$ 2,950.00

Chase Manhattan Bank, NOW Account 006-099475:		

09/09/96	\$ 339.16	
10/07/96	288.07	
11/07/96	319.26	
12/06/96	298.97	
01/08/97	348.22	
02/07/97	374.95	
03/07/97	55.08	
04/07/97	61.03	
05/07/97	59.11	
06/06/97	11.84	
07/08/97	0.01	
08/07/97	0.01	
09/08/97	0.01	
10/07/97	0.01	
10/24/97 Interest Adjustment-YTD	-0.01	2,155.72

Chemical Bank, NOW Account 006-099475:		

01/08/93	\$ 86.07	
02/05/93	110.61	
03/05/93	217.94	
04/07/93	115.62	
05/07/93	92.46	
06/07/93	111.29	
07/08/93	111.39	
08/06/93	183.22	
09/08/93	55.72	
10/07/93	42.13	
11/05/93	42.17	
12/07/93	46.58	
01/07/94	22.16	
02/07/94	6.79	
03/07/94	9.67	
04/07/94	10.72	
05/06/94	30.42	
06/07/94	33.73	
07/08/94	26.73	
08/05/94	24.17	
09/08/94	29.38	
10/07/94	25.09	
11/07/94	26.84	
12/07/94	26.00	
01/09/95	26.37	
02/07/95	92.66	
03/07/95	19.82	
04/07/95	21.97	

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description -----	Amount -----	
Chemical Bank, NOW Account 006-099475: (Continued From Previous Page)		
05/05/95	\$ 19.86	
06/07/95	23.43	
07/10/95	23.46	
08/07/95	289.53	
09/08/95	361.49	
10/06/95	316.63	
11/07/95	362.23	
12/07/95	339.96	
01/08/96	342.24	
02/07/96	290.83	
03/07/96	287.68	
04/05/96	287.97	
05/07/96	318.09	
06/07/96	308.48	
07/08/96	308.81	
08/07/96	301.52	
	\$ 5,829.93	

Insured Municipals - Income Trust Series 26:		
07/19/93 Nov. 1986 - May 1993 Income	\$ 16,628.70	
02/15/94	40.20	
05/15/94	564.90	
08/15/94	58.50	
11/15/94	295.20	
03/08/95	145.50	
06/08/95	1,338.60	
	19,071.60	

Insured Municipals - Income Trust Series 9:		
07/19/93 Nov. 1986 - May 1993 Income	\$ 14,036.75	
05/15/94	436.50	
11/15/94	180.50	
03/07/95	176.00	
06/08/95	1,240.50	
	16,070.25	

Internal Revenue Service:		
02/27/96 1992 Fiduciary Income Tax Refund	\$ 22.14	
	22.14	

Municipal Invest Trust Series 1 MBIA Insured:		
01/25/93	\$ 132.00	
02/25/93	121.00	
03/25/93	117.50	
04/26/93	114.00	
05/25/93	111.50	
06/25/93	106.50	
07/26/93	106.00	
08/25/93	217.50	
09/27/93	96.00	
10/25/93	94.50	
11/26/93	92.50	
12/27/93	91.50	

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description	Amount	

Municipal Invest Trust Series 1 MBIA Insured: (Continued From Previous Page)		

01/25/94	\$ 88.00	
02/25/94	88.00	
03/25/94	84.00	
04/25/94	78.00	
05/25/94	75.50	
06/27/94	68.50	
07/25/94	64.00	
08/25/94	161.50	
09/26/94	61.00	
10/25/94	59.50	
11/25/94	56.50	
12/27/94	51.50	
01/25/95	51.00	
02/27/95	50.00	
03/27/95	49.50	
04/25/95	49.50	
05/25/95	48.00	
06/26/95	48.50	
07/25/95	47.50	
08/25/95	40.00	
09/25/95	36.00	
11/01/95	34.50	
11/27/95	33.50	
12/26/95	32.50	
01/25/96	31.50	
02/26/96	30.00	
03/25/96	30.50	
04/25/96	30.00	
05/28/96	29.00	
06/25/96	28.00	
07/25/96	29.50	
08/26/96	94.00	
09/25/96	23.50	
10/25/96	22.50	
11/25/96	21.50	
12/26/96	21.00	
01/27/97	19.50	
02/25/97	18.00	
03/25/97	18.00	
03/25/97 Inventory Value Completely Depleted	329.50	
04/25/97 Interest on 50 Units	16.50	\$ 3,649.50

Municipal Invest Trust Series 50 New York:		

01/25/93	\$ 0.96	
02/25/93	0.99	
03/25/93	0.99	
04/26/93	0.99	
05/25/93	0.96	
06/25/93	1.02	
07/26/93	0.99	
08/25/93	0.99	
09/27/93 Final Liquidation Interest	4.53	12.42

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description	Amount	
Municipal Invest Trust Series 53 New York:		
01/25/93	\$ 39.75	
02/25/93	39.25	
03/25/93	39.00	
04/26/93	39.25	
05/25/93	39.00	
06/25/93	39.00	
07/26/93	38.75	
08/25/93	37.50	
09/27/93	37.75	
10/25/93	38.00	
11/26/93	38.25	
12/27/93	37.75	
01/25/94	38.00	
02/25/94	37.50	
03/25/94	37.50	
04/25/94	37.75	
05/25/94	7.00	
06/27/94 Final Liquidation Interest	132.25	\$ 753.25
Nuveen Series 58, N.Y.:		
11/01/95 Interest Received Upon Redemption	\$ 325.50	325.50
Ontario Province Bonds, 17% Due 11/5/11:		
05/05/93 Interest on \$25,000	\$ 2,125.00	
11/05/93 Interest on \$25,000	2,125.00	
05/05/94 Interest on \$25,000	2,125.00	
11/07/94 Interest on \$25,000	2,125.00	
05/05/95 Interest on \$25,000	2,125.00	
11/06/95 Interest on \$25,000	2,125.00	
05/06/96 Interest on \$25,000	2,125.00	
11/05/96 Interest on \$25,000	2,125.00	17,000.00
Ontario Province Canada Debenture, 8.4% Due 1/15/07:		
01/15/93 Interest on \$10,000	\$ 420.00	
05/04/93 Interest on \$10,000	254.33	674.33
U.S. Treasury Bill, Due 3/1/01:		
03/01/01 Interest on \$736,000	\$ 22,054.65	22,054.65
U.S. Treasury Bill, Due 9/6/01:		
09/06/01 Interest on \$790,000	\$ 17,293.54	17,293.54

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description -----	Amount -----
U.S. Treasury Bill, Due 12/6/01: -----	
12/06/01 Interest on \$796,000	\$ 6,338.15 \$ 6,338.15 -----
U.S. Treasury Bill, Due 9/5/02: -----	
09/05/02 Interest on \$750,000	\$ 2,824.79 2,824.79 -----
U.S. Treasury Bill, Due 12/5/02: -----	
12/05/02 Interest on \$750,000	\$ 2,606.25 2,606.25 -----
U.S. Treasury Bill, Due 3/6/03: -----	
03/06/03 Interest on \$750,000	\$ 2,009.58 2,009.58 -----
U.S. Treasury Bills, Due 1/14/93: -----	
01/14/93 Interest on \$200,000	\$ 3,023.22 3,023.22 -----
U.S. Treasury Bills, Due 2/4/93: -----	
02/04/93 Interest on \$110,000	\$ 1,662.77 1,662.77 -----
U.S. Treasury Bills, Due 6/17/93: -----	
04/13/93 Interest on \$150,000	\$ 185.80 185.80 -----
U.S. Treasury Bills, Due 7/15/93: -----	
07/15/93 Interest on \$200,000	\$ 2,922.11 2,922.11 -----
U.S. Treasury Bills, Due 1/20/94: -----	
01/20/94 Interest on \$300,000	\$ 4,509.33 4,509.33 -----
U.S. Treasury Bills, Due 1/12/95: -----	
01/12/95 Interest on \$300,000	\$ 9,520.00 9,520.00 -----
U.S. Treasury Bills, Due 7/13/95: -----	
07/13/95 Interest on \$300,000	\$ 8,974.58 8,974.58 -----

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

Description -----		Amount -----
U.S. Treasury Bills, Due 8/7/97: -----		
08/07/97 Interest on \$745,000	\$ 16,273.51	\$ 16,273.51

U.S. Treasury Bills, Due 12/11/97: -----		
12/11/97 Interest on \$772,000	\$ 13,519.23	13,519.23

U.S. Treasury Bills, Due 6/11/98: -----		
06/11/98 Interest on \$718,000	\$ 18,476.13	18,476.13

U.S. Treasury Bills, Due 12/3/98: -----		
12/03/98 Interest on \$767,000	\$ 10,082.21	10,082.21

U.S. Treasury Bills, Due 6/3/99: -----		
06/03/99 Interest on \$736,000	\$ 15,487.89	15,487.89

U.S. Treasury Bills, Due 12/2/99: -----		
12/02/99 Interest on \$736,000	\$ 17,227.72	17,227.72

U.S. Treasury Bills, Due 3/2/00: -----		
03/02/00 Interest on \$736,000	\$ 8,502.03	8,502.03

U.S. Treasury Bills, Due 8/31/00: -----		
08/31/00 Interest on \$736,000	\$ 20,192.16	20,192.16

U.S. Treasury Bills, Due 3/7/02: -----		
03/07/02 Interest on \$796,000	\$ 3,199.26	3,199.26

U.S. Treasury Bills, Due 6/6/02: -----		
06/06/02 Interest on \$796,000	\$ 3,164.10	3,164.10

U.S. Treasury Bills, Due 6/5/03: -----		
06/05/03 Interest on \$750,000	\$ 1,895.83	1,895.83

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description -----		Amount -----
U.S. Treasury Bills, Due 9/4/03: -----		
09/04/03 Interest on \$750,000	\$ 1,611.46	\$ 1,611.46
U.S. Treasury Bills, Due 12/4/03: -----		
12/04/03 Interest on \$750,000	\$ 1,516.67	1,516.67
U.S. Treasury Bills, Due 3/4/04: -----		
03/04/04 Interest on \$750,000	\$ 1,478.75	1,478.75
U.S. Treasury Bills, Due 6/3/04: -----		
06/03/04 Interest on \$750,000	\$ 1,516.67	1,516.67
U.S. Treasury Bills, Due 9/2/04: -----		
09/02/04 Interest on \$750,000	\$ 1,856.25	1,856.25
U.S. Treasury Bills, Due 12/2/04: -----		
12/02/04 Interest on \$750,000	\$ 2,692.08	2,692.08
U.S. Treasury Bills, Due 3/3/05: -----		
03/03/05 Interest on \$750,000	\$ 3,867.50	3,867.50
U.S. Treasury Bills, Due 6/2/05: -----		
06/02/05 Interest on \$750,000	\$ 4,774.50	4,774.50
U.S. Treasury Bills, Due 9/1/05: -----		
09/01/05 Interest on \$750,000	\$ 5,210.54	5,210.54
U.S. Treasury Bills, Due 12/1/05: -----		
12/01/05 Interest on \$750,000	\$ 6,456.17	6,456.17
U.S. Treasury Bills, Due 3/2/06: -----		
03/02/06 Interest on \$750,000	\$ 6,992.62	6,992.62

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule A-2
 Statement of All Income Collected

Description		Amount
U.S. Treasury Bills, Due 6/1/06:		
06/01/06 Interest on \$820,000	\$ 8,536.16	\$ 8,536.16
U.S. Treasury Bills, Due 8/31/06:		
08/31/06 Interest on \$820,000	\$ 9,428.14	9,428.14
U.S. Treasury Bills, Due 11/30/06:		
11/30/06 Interest on \$840,000	\$ 9,454.42	9,454.42
U.S. Treasury Bills, Due 3/1/07:		
03/01/07 Interest on \$840,000	\$ 10,082.83	10,082.83
U.S. Treasury Bills, Due 5/31/07:		
05/31/07 Interest on \$840,000	\$ 10,159.27	10,159.27
U.S. Treasury Bills, Due 8/30/07:		
08/30/07 Interest on \$840,000	\$ 9,636.93	9,636.93
U.S. Treasury Bills, Due 11/29/07:		
11/29/07 Interest on \$840,000	\$ 7,192.98	7,192.98
U.S. Treasury Bills, Due 2/28/08:		
02/28/08 Interest on \$840,000	\$ 5,828.70	5,828.70
U.S. Treasury Bills, Due 5/29/08:		
05/29/08 Interest on \$840,000	\$ 3,609.00	3,609.00
U.S. Treasury Bills, Due 8/28/08:		
08/28/08 Interest on \$840,000	\$ 3,680.99	3,680.99

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

Description -----		Amount -----
U.S. Treasury Notes, 7.5% Due 1/31/97: -----		
07/31/95 Interest on \$230,000	\$ 8,625.00	
01/31/96 Interest on \$230,000	8,625.00	
07/31/96 Interest on \$230,000	8,625.00	
01/31/97 Interest on \$230,000	8,625.00	34,500.00

United States Treasury: -----		
07/10/01 2000 Fiduciary Inc. Tax Overpayment	\$ 67.27	67.27

Van Kampen Merritt: -----		
08/17/93 Interest on IMIT	\$ 6,575.65	
11/16/93 Interest on IMIT	1,210.55	
04/15/94 Interest on IMIT	1,832.70	
06/08/95 Interest in IMIT	184.75	9,803.65
	-----	-----
Total Schedule A-2, Part 2		\$ 440,413.03 =====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

Description -----	Amount -----
Part 3 - Miscellaneous Income: -----	
Montreal Trust: -----	
03/29/99	\$ 37.33 \$ 37.33
Total Schedule A-2, Part 3	\$ 37.33 =====
Recapitulation: -----	
Schedule A-2, Part 1	\$ 32,210.33
Schedule A-2, Part 2	440,413.03
Schedule A-2, Part 3	37.33
Total Schedule A-2	\$ 472,660.69 =====

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule B
 Statement of Decreases Due to Sales, Liquidation, Collection,
 Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
-----	-----	-----	-----
Part 1 - Sales:			

05/03/93 \$2,000 face value of			
Consumer Gas SFD, 10.45%, due 5/1/99	\$ 2,000.00	\$ 2,072.50	\$ 72.50
05/02/94 \$8,000 face value of			
Consumer Gas SFD, 10.45%, due 5/1/99	8,104.00	8,290.00	186.00
06/15/94 \$3,000 face value of			
Sears Canada, 11.5%, due 6/15/95	3,000.00	3,000.00	0.00
02/19/96 \$15,000 face value of			
Bell Canada, 11%, due 10/15/04	15,997.00	16,350.00	353.00
02/19/96 \$15,000 face value of			
Imasco Ltd, 10.5%, due 4/28/98	16,064.50	16,181.25	116.75
02/19/96 \$10,000 face value of			
Municipal Finance Authority of British Columbia,			
10%, due 7/30/97	10,430.00	10,690.00	260.00
02/19/96 \$10,000 face value of			
Province of Prince Edward Island, 11.375%, due			
11/26/97	10,820.00	11,140.00	320.00
	-----	-----	-----
Total Schedule B, Part 1	\$ 66,415.50	\$ 67,723.75	\$ 1,308.25
	=====	=====	=====

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule B
 Statement of Decreases Due to Sales, Liquidation, Collection,
 Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease

Part 2 - Redemptions:			

01/04/93 \$20,000 face value of Nova Corp Alta, 11.125%, due 1/4/93	\$ 20,000.00	\$ 20,000.00	\$ 0.00
04/15/93 \$5,000 face value of N B Province, 10.625%, due 4/15/93	5,000.00	5,042.50	42.50
06/15/93 \$1,000 face value of Sears Canada, 11.5%, due 6/15/95	1,000.00	1,000.00	0.00
01/15/96 \$10,000 face value of Ontario Hydro, 10%, due 1/14/96	10,000.00	10,530.00	530.00
	-----	-----	-----
Total Schedule B, Part 2	\$ 36,000.00	\$ 36,572.50	\$ 572.50
	=====	=====	=====

Recapitulation:

Schedule B, Part 1	\$ 66,415.50	\$ 67,723.75	\$ 1,308.25
Schedule B, Part 2	36,000.00	36,572.50	572.50
	-----	-----	-----
Total Schedule B	\$ 102,415.50	\$ 104,296.25	\$ 1,880.75
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ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule B
 Statement of Decreases Due to Sales, Liquidation, Collection,
 Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease

Part 1 - Sales:			

01/12/93 40 shares of Merrill Lynch Ready Assets Trust	\$ 40.00	\$ 40.00	\$ 0.00
04/13/93 \$150,000 face value of U.S. Treasury Bills, Due 6/17/93	148,961.08	148,961.08	0.00
12/30/93 49,779 shares of Merrill Lynch Ready Assets Trust	49,779.00	49,779.00	0.00
01/11/94 40 shares of Merrill Lynch Ready Assets Trust	40.00	40.00	0.00
01/10/95 40 shares of Merrill Lynch Ready Assets Trust	40.00	40.00	0.00
08/08/97 \$25,000 face value of U.S. Treasury Bills, Due 12/11/97	24,543.84	24,561.63	17.79
08/26/98 756,917.79 shares of Chase Vista Cash Management Money Market, Class A	756,917.79	756,917.79	0.00
12/07/98 20 shares of NOVA Corp.	197.49	210.00	12.51
12/08/98 720,512.11 shares of Chase Vista Cash Management Money Market, Class A	720,512.11	720,512.11	0.00
01/11/99 15,000 shares of Chase Vista Cash Management Money Market, Class A	15,000.00	15,000.00	0.00
04/07/99 75 shares of Gulf Canada Resources Limited	284.16	403.13	118.97
12/06/99 727,497.97 shares of Chase Vista Cash Management Money Market, Class A	727,497.97	727,497.97	0.00
03/07/00 715,807.84 shares of Chase Vista Cash Management Money Market, Class A	715,807.84	715,807.84	0.00
04/13/00 20,000 shares of Chase Vista Cash Management Money Market, Class A	20,000.00	20,000.00	0.00
07/28/00 20,000 shares of Chase Vista Cash Management Money Market, Class A	20,000.00	20,000.00	0.00
09/01/00 713,945.35 shares of Chase Vista Cash Management Money Market, Class A	713,945.35	713,945.35	0.00
10/20/00 70,719.18 shares of Chase Vista Cash Management Money Market, Class A	70,719.18	70,719.18	0.00
01/23/01 18,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	18,000.00	18,000.00	0.00
03/08/01 772,706.46 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	772,706.46	772,706.46	0.00
03/08/02 815,835.90 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	815,835.90	815,835.90	0.00
07/12/02 10,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	10,000.00	10,000.00	0.00
08/23/02 5,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	5,000.00	5,000.00	0.00
09/06/02 747,393.75 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	747,393.75	747,393.75	0.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule B
 Statement of Decreases Due to Sales, Liquidation, Collection,
 Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
-----	-----	-----	-----
01/13/03 4,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	\$ 4,000.00	\$ 4,000.00	\$ 0.00
03/06/03 18,104.17 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	18,104.17	18,104.17	0.00
03/28/03 6,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	6,000.00	6,000.00	0.00
06/04/04 748,143.75 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	748,143.75	748,143.75	0.00
08/31/05 59,936.89 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	59,936.89	59,936.89	0.00
03/14/06 6,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	6,000.00	6,000.00	0.00
04/05/06 8,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	8,000.00	8,000.00	0.00
04/20/06 69,283.18 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	69,283.18	69,283.18	0.00
05/23/06 .48 share of JPMorgan (Formerly Chase Vista) Prime Money Market	0.48	0.48	0.00
09/05/06 830,545.58 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	830,545.58	830,545.58	0.00
01/09/07 20,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	20,000.00	20,000.00	0.00
11/30/07 834,171.30 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	834,171.30	834,171.30	0.00
01/24/08 22,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	22,000.00	22,000.00	0.00
02/29/08 836,391 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	836,391.00	836,391.00	0.00
Total Schedule B, Part 1	\$ 9,815,798.27	\$ 9,815,947.54	\$ 149.27

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule B
 Statement of Decreases Due to Sales, Liquidation, Collection,
 Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
-----	-----	-----	-----
Part 2 - Liquidations:			
09/27/93 3 shares of Municipal Invest Trust Series 50 New York	\$ 216.96	\$ 274.16	\$ 57.20
06/27/94 25 shares of Municipal Invest Trust Series 53 New York	1,267.75	1,935.50	667.75
11/01/95 30 shares of Insured Municipals - Income Trust Series 26	0.00	7,116.00	7,116.00
11/01/95 25 shares of Insured Municipals - Income Trust Series 9	0.00	2,762.50	2,762.50
01/09/96 40 shares of Merrill Lynch Ready Assets Trust	40.00	40.00	0.00
04/22/97 66,604 shares of Merrill Lynch Ready Assets Trust	66,604.00	66,604.00	0.00
04/24/97 63.75 shares of Merrill Lynch Ready Assets Trust	63.75	63.75	0.00
Total Schedule B, Part 2	\$ 68,192.46	\$ 78,795.91	\$ 10,603.45

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule B
 Statement of Decreases Due to Sales, Liquidation, Collection,
 Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
-----	-----	-----	-----
Part 3 - Redemptions:			

01/14/93 \$200,000 face value of			
U.S. Treasury Bills, Due 1/14/93	\$ 196,976.78	\$ 196,976.78	\$ 0.00
02/04/93 \$110,000 face value of			
U.S. Treasury Bills, Due 2/4/93	108,337.23	108,337.23	0.00
07/15/93 \$200,000 face value of			
U.S. Treasury Bills, Due 7/15/93	197,077.89	197,077.89	0.00
09/01/93 \$20,000 face value of			
Canadian National Railways Co. Debentures,			
14.75% Due 9/1/12	20,000.00	24,487.80	4,487.80
01/20/94 \$300,000 face value of			
U.S. Treasury Bills, Due 1/20/94	295,490.67	295,490.67	0.00
01/12/95 \$300,000 face value of			
U.S. Treasury Bills, Due 1/12/95	290,480.00	290,480.00	0.00
07/13/95 \$300,000 face value of			
U.S. Treasury Bills, Due 7/13/95	291,025.42	291,025.42	0.00
11/01/95 54 shares of			
Nuveen Series 58, N.Y.	12,677.10	54,000.00	41,322.90
11/15/95 \$25,000 face value of			
Franklin County, 9% Due 12/15/87	25,000.00	25,000.00	0.00
11/05/96 \$25,000 face value of			
Ontario Province Bonds, 17% Due 11/5/11	26,652.50	35,182.00	8,529.50
08/07/97 \$745,000 face value of			
U.S. Treasury Bills, Due 8/7/97	728,726.49	728,726.49	0.00
06/11/98 \$718,000 face value of			
U.S. Treasury Bills, Due 6/11/98	699,523.87	699,523.87	0.00
12/03/98 \$767,000 face value of			
U.S. Treasury Bills, Due 12/3/98	756,917.79	756,917.79	0.00
06/03/99 \$736,000 face value of			
U.S. Treasury Bills, Due 6/3/99	720,512.11	720,512.11	0.00
12/02/99 \$736,000 face value of			
U.S. Treasury Bills, Due 12/2/99	718,772.28	718,772.28	0.00
03/02/00 \$736,000 face value of			
U.S. Treasury Bills, Due 3/2/00	727,497.97	727,497.97	0.00
08/31/00 \$736,000 face value of			
U.S. Treasury Bills, Due 8/31/00	715,807.84	715,807.84	0.00
03/01/01 \$736,000 face value of			
U.S. Treasury Bill, Due 3/1/01	713,945.35	713,945.35	0.00
09/06/01 \$790,000 face value of			
U.S. Treasury Bill, Due 9/6/01	772,706.46	772,706.46	0.00
12/06/01 \$796,000 face value of			
U.S. Treasury Bill, Due 12/6/01	789,661.85	789,661.85	0.00
03/07/02 \$796,000 face value of			
U.S. Treasury Bills, Due 3/7/02	792,800.74	792,800.74	0.00
06/06/02 \$796,000 face value of			
U.S. Treasury Bills, Due 6/6/02	792,835.90	792,835.90	0.00
09/05/02 \$750,000 face value of			
U.S. Treasury Bill, Due 9/5/02	747,175.21	747,175.21	0.00
12/05/02 \$750,000 face value of			
U.S. Treasury Bill, Due 12/5/02	747,393.75	747,393.75	0.00
03/06/03 \$750,000 face value of			
U.S. Treasury Bill, Due 3/6/03	747,990.42	747,990.42	0.00
06/05/03 \$750,000 face value of			
U.S. Treasury Bills, Due 6/5/03	748,104.17	748,104.17	0.00
09/04/03 \$750,000 face value of			
U.S. Treasury Bills, Due 9/4/03	748,388.54	748,388.54	0.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule B
 Statement of Decreases Due to Sales, Liquidation, Collection,
 Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
12/04/03 \$750,000 face value of U.S. Treasury Bills, Due 12/4/03	\$ 748,483.33	\$ 748,483.33	\$ 0.00
03/04/04 \$750,000 face value of U.S. Treasury Bills, Due 3/4/04	748,521.25	748,521.25	0.00
06/03/04 \$750,000 face value of U.S. Treasury Bills, Due 6/3/04	748,483.33	748,483.33	0.00
09/02/04 \$750,000 face value of U.S. Treasury Bills, Due 9/2/04	748,143.75	748,143.75	0.00
12/02/04 \$750,000 face value of U.S. Treasury Bills, Due 12/2/04	747,307.92	747,307.92	0.00
03/03/05 \$750,000 face value of U.S. Treasury Bills, Due 3/3/05	746,132.50	746,132.50	0.00
06/02/05 \$750,000 face value of U.S. Treasury Bills, Due 6/2/05	745,225.50	745,225.50	0.00
09/01/05 \$750,000 face value of U.S. Treasury Bills, Due 9/1/05	744,789.46	744,789.46	0.00
12/01/05 \$750,000 face value of U.S. Treasury Bills, Due 12/1/05	743,543.83	743,543.83	0.00
03/02/06 \$750,000 face value of U.S. Treasury Bills, Due 3/2/06	743,007.38	743,007.38	0.00
06/01/06 \$820,000 face value of U.S. Treasury Bills, Due 6/1/06	811,463.84	811,463.84	0.00
08/31/06 \$820,000 face value of U.S. Treasury Bills, Due 8/31/06	810,571.86	810,571.86	0.00
11/30/06 \$840,000 face value of U.S. Treasury Bills, Due 11/30/06	830,545.58	830,545.58	0.00
03/01/07 \$840,000 face value of U.S. Treasury Bills, Due 3/1/07	829,917.17	829,917.17	0.00
05/31/07 \$840,000 face value of U.S. Treasury Bills, Due 5/31/07	829,840.73	829,840.73	0.00
08/30/07 \$840,000 face value of U.S. Treasury Bills, Due 8/30/07	830,363.07	830,363.07	0.00
11/29/07 \$840,000 face value of U.S. Treasury Bills, Due 11/29/07	832,807.02	832,807.02	0.00
02/28/08 \$840,000 face value of U.S. Treasury Bills, Due 2/28/08	834,171.30	834,171.30	0.00
05/29/08 \$840,000 face value of U.S. Treasury Bills, Due 5/29/08	836,391.00	836,391.00	0.00
08/28/08 \$840,000 face value of U.S. Treasury Bills, Due 8/28/08	836,319.01	836,319.01	0.00
Total Schedule B, Part 3	\$29,878,507.16	\$29,932,847.36	\$ 54,340.20

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule B
Statement of Decreases Due to Sales, Liquidation, Collection,
Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
Recapitulation:			
Schedule B, Part 1	\$ 9,815,798.27	\$ 9,815,947.54	\$ 149.27
Schedule B, Part 2	68,192.46	78,795.91	10,603.45
Schedule B, Part 3	29,878,507.16	29,932,847.36	54,340.20
Total Schedule B	\$39,762,497.89	\$39,827,590.81	\$ 65,092.92

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule C
Statement of Funeral and Administration Expenses

Description -----	Amount -----
Administration Expenses: -----	
Wood Gundy: -----	
03/27/96 Wire Transfer Fee	\$ 15.00 \$ 15.00

Total Schedule C	\$ 15.00
	=====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule C
Statement of Funeral and Administration Expenses

Description -----	Amount -----
Administration Expenses: -----	
A. Uzzo & Company, CPA's: -----	
01/08/93 Accounting Fees	\$ 5,516.85
03/22/93 Accounting Fees	9,996.35
05/04/93 Accounting Fees	4,522.75
09/10/93 Accounting Fees	1,519.70
12/30/93 Accounting Fees	2,555.00
04/06/94 Accounting Fees	3,015.00
08/03/94 Accounting Fees	2,845.00
10/13/94 Accounting Fees	835.00
03/18/96 Accounting Fees	2,977.10
10/28/96 Accounting Fees	2,700.00
04/03/97 Accounting Fees	1,482.50
04/08/98 Accounting Fees	1,932.50
08/17/99 Accounting Fees	1,835.00 \$ 41,732.75

Amsure Associates, Inc.: -----	
07/19/95 Franklin County Industrial Dev. Agency Affidavits of Loss & Indemnity	\$ 1,250.00 1,250.00

COMP-ACCT Fiduciary Software, Inc.: -----	
12/11/98 Accounting Fees	\$ 3,500.00
10/24/00 Accounting Fees	2,500.00
08/15/02 Accounting Fees	2,500.00 14,000.00

Chase Investment Services Corp.: -----	
04/20/06 Margin Interest	\$ 0.10
05/09/06 Margin Interest	0.48 0.58

Chase Manhattan Bank: -----	
01/06/97 Charge for Book Transfer Debit	\$ 20.00
08/07/97 Charge for Overdraft/Uncollected	15.00
02/04/98 Charge for Stop Placed	15.00
06/16/98 Charge for Book Transfer Debit	20.00
01/07/99 Charge for Overdraft/Uncollected	15.00
04/20/01 Bank Charge for Stop Placed	15.00 100.00

Chemical Bank: -----	
03/11/93 Stop Check Charge	\$ 15.00
03/17/93 Overdraft Charge	15.00
01/25/94 Checkbook Charge	17.00
04/11/94 Stop Check Charge	15.00
12/16/94 Overdraft Charge	15.00
08/15/95 Checkbook Charge	64.00 141.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule C
Statement of Funeral and Administration Expenses

Description -----	Amount -----
Counsel Press: -----	
10/13/95 Services Rendered	\$ 530.97 \$ 530.97
David J. Mandelbaum, Executor: -----	
01/11/96 Executor's Commissions	\$ 28,204.88 \$ 28,204.88
David J. Mandelbaum, Trustee: -----	
12/11/98 Trustee's Commissions	5,695.24
08/23/02 Trustee's Commissions	2,551.14
	8,246.38
Goodkind Labaton: -----	
01/11/96 Legal Fees	\$ 21,764.00 21,764.00
Goodkind Labaton Rudoff & Sucharow: -----	
12/30/93 Legal Fees	\$ 15,000.00 15,000.00
Internal Revenue Service: -----	
01/15/93 1992 Form 1041-ES	\$ 1,375.00
04/09/93 1992 Form 1041	7,808.00
04/09/93 1991 Form 1041	47,284.00
04/09/93 1990 Form 1041	31.00
04/09/93 1989 Form 1041	50.00
04/09/93 1993 Form 1041-ES	3,330.00
06/29/93 1993 Form 1041-ES	3,330.00
07/13/93 Late Fee & Interest Due on Fiduciary Income Tax	32.62
04/12/94 1993 Form 1041	15,810.00
04/12/94 1994 Form 1041-ES	5,570.00
06/10/94 1994 Form 1041-ES	5,570.00
09/09/94 1994 Form 1041-ES	5,570.00
01/17/95 1994 Form 1041-ES	5,570.00
02/27/96 Refund of Overpayment of Fiduciary Income Taxes F/Y/E 12/31/91	-8,044.97
02/27/96 Refund of Overpayment of Fiduciary Income Taxes F/Y/E 12/31/92	-235.88
02/27/96 Refund of Overpayment of Fiduciary Income Taxes F/Y/E 12/31/94	-21,780.00
03/30/98 1997 Fiduciary Income Tax	10,223.00
03/30/98 1998 Fiduciary Income Tax	2,690.00
06/03/98 1998 Fiduciary Income Tax	2,690.00
09/04/98 1998 Fiduciary Income Tax	2,690.00
04/20/00 1999 Fiduciary Income Tax (Form 1041)	2,614.00
07/17/00 1999 Fiduciary Income Tax (Form 1041) Previously Underpaid	2,237.87 94,414.64
JPMorganChase: -----	
07/11/02 Charge for Overdraft/Uncollected	\$ 20.00
04/28/06 Charge for Stop Placed	25.00
03/19/07 Charge for Stop Placed	25.00
04/09/08 Stop Payment Fee	32.00 102.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule C
 Statement of Funeral and Administration Expenses

Description -----	Amount -----
John C. Fisher, Esq.:	

12/22/95 Refund of Overpayment of Legal Fees	\$ -1,627.93
03/25/96 Legal Fees	3,373.00
06/27/96 Legal Fees	2,586.00
10/04/96 Legal Fees	2,533.00
01/02/97 Legal Fees	1,317.00
04/03/97 Legal Fees	1,023.00
12/11/97 Legal Fees	2,446.40
04/08/98 Legal Fees	2,640.00
09/30/98 Legal Fees	3,234.00
01/07/99 Legal Fees	6,009.50
04/08/99 Legal Fees	1,251.75
07/08/99 Legal Fees	1,161.75
10/18/99 Legal Fees	532.00
01/14/00 Legal Fees	470.00
03/16/00 Legal Fees	393.00
05/03/00 Legal Fees	8,700.00
07/24/00 Legal Fees	8,971.00
10/24/00 Legal Fees	1,159.50
02/09/01 Legal Fees	481.00
07/23/01 Legal Fees	2,238.00
10/15/01 Legal Fees	653.00
01/11/02 Legal Fees	1,373.00
07/11/02 Legal Fees	9,764.70
10/23/02 Legal Fees	2,051.89
01/16/03 Legal Fees	3,701.80
04/01/03 Legal Fees	12,060.30
07/09/03 Legal Fees	4,504.50
02/09/04 Legal Fees	668.00
04/16/04 Legal Fees	1,223.28
01/13/05 Legal Fees	1,218.00
04/15/05 Legal Fees	1,520.00
04/18/06 Legal Fees	2,204.28
02/01/07 Legal Fees	1,315.00
02/04/08 Legal Fees	3,187.28 \$ 94,337.00

Judith E. Siegel-Baum:	

12/28/95 Guardian ad Litem Fee	\$ 49,950.00 49,950.00

Karin J. Barkhorn, Esq.:	

01/11/96 Referee's Fee	\$ 15,250.00 15,250.00

Merrill Lynch:	

01/11/93 1992 Annual Account Fee	\$ 0.26
01/11/93 1992 Annual Account Fee	39.74
01/11/93 1992 Annual Account Fee	40.00
01/10/94 1993 Annual Account Fee	0.75
01/10/94 1993 Annual Account Fee	39.25
01/10/94 1993 Annual Account Fee	40.00
01/09/95 1994 Annual Account Fee	0.75
01/09/95 1994 Annual Account Fee	39.25
01/09/95 1994 Annual Account Fee	40.00
01/24/96 1995 Annual Account Fee	40.00
01/24/96 1995 Annual Account Fee	40.00
01/09/97 1996 Annual Account Fee	40.00
05/29/97 Charge Off	0.01 360.01

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule C
 Statement of Funeral and Administration Expenses

Description -----	Amount -----
New York City Department of Health: -----	
07/19/95 5 Death Certificates	\$ 75.00 \$ 75.00
New York County Surrogate's Court: -----	
07/19/95 3 Letters Testamentary	\$ 15.00 15.00
New York State Income Tax: -----	
04/09/93 1992 Form IT-205	\$ 3,486.00
04/09/93 1990 Form IT-205	18.00
04/09/93 1989 Form IT-205	29.00
04/09/93 1993 Form IT-205-ES	1,375.00
04/13/93 1991 Form IT-205	18,980.00
06/29/93 1993 Form IT-205-ES	1,375.00
04/12/94 1993 Form IT-205	7,050.00
04/12/94 1994 Form IT-205-ES	2,435.00
06/10/94 1994 Form IT-205-ES	2,435.00
09/09/94 1994 Form IT-205-ES	2,435.00
01/17/95 1994 Form IT-205-ES	2,435.00
06/12/95 Refund of Overpayment of 1994 Form IT-205	-9,490.00
08/02/96 Refund of Overpayment of 1991 Fiduciary Income Tax	-1,893.11
07/07/00 1999 Fiduciary Income Tax Refund of Overpayment	-224.60
04/16/01 NYS Fiduciary Income Tax	65.00 30,510.29
Pat Jackson: -----	
03/03/95 Transcription of Audio Cassettes	\$ 60.00
06/06/95 Transcription of Audio Cassettes	154.00 214.00
Penny Goldenberg: -----	
04/14/00 Tax Preparation	\$ 250.00
04/13/01 Tax Preparation	338.92
03/18/02 Tax Preparation	438.92
03/18/03 2002 Tax Preparation	563.92
04/17/08 2007 Tax Preparation	944.28 2,536.04
Seaboard Surety: -----	
02/15/95 Bond Premium - Series 9	\$ 157.85
02/15/95 Bond Premium - Series 26	78.84
06/22/95 Bond Premium - Nuveen	124.45 361.14
Thomas Cook Currency Services: -----	
09/29/93 Canadian Attorneys' Legal Fees	\$ 3,120.00
10/25/93 Refund of Canadian Attorneys' Legal Fees Overpayment	-24.75 3,095.25
U.S. Trust: -----	
06/22/95 Processing Fee - Nuveen	\$ 20.00 20.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule C
Statement of Funeral and Administration Expenses

Description -----	Amount -----
United States Treasury: -----	
04/21/00 2000 Estimated Fiduciary Income Tax (Form 1041-ES)	\$ 1,956.00
06/15/00 2000 Estimated Fiduciary Income Tax (Form 1041-ES)	1,913.00
09/12/00 2000 Estimated Fiduciary Income Tax (Form 1041-ES)	1,934.00
01/18/01 2000 Estimated Fiduciary Income Tax (Form 1041-ES)	1,934.00
07/10/01 Refund of Overpayment of 2000 Fiduciary Income Tax	-4,415.00
03/21/02 2002 Estimated Fiduciary Income Tax (Form 1041-ES)	3,990.00
03/22/02 2001 Fiduciary Income Tax	12,636.00
06/14/02 2002 Form 1041-ES	3,990.00
07/01/02 2001 Form 1041	3,408.46
09/16/02 2002 Form 1041-ES	1,485.00
01/13/03 2002 Form 1041-ES	1,485.00
04/29/03 2002 Form 1041 Refund of Overpayment	-10,950.00
04/13/05 2004 Form 1041	725.00
04/17/06 2006 Form 1041-ES	1,464.00
04/18/06 2005 Form 1041	5,887.00
06/19/06 2006 Form 1041-ES	1,464.00
09/18/06 2006 Form 1041-ES	1,464.00
01/17/07 2006 Form 1041-ES	1,464.00
04/10/07 2007 Form 1041-ES	2,704.00
04/11/07 2006 Form 1041	4,957.00
06/18/07 2007 Form 1041-ES	2,704.00
09/05/07 2007 Form 1041-ES	2,704.00
01/15/08 2007 Form 1041-ES	2,704.00
04/15/08 2008 Form 1041-ES	2,940.00
04/17/08 2007 Form 1041	942.00
06/13/08 2008 Form 1041-ES	2,940.00
09/11/08 2008 Form 1041-ES	2,940.00 \$ 57,094.46

Williams & Price: -----	
04/13/94 Court Fees to Cover \$5,410.00 Canadian	\$ 4,500.00
03/18/96	1,294.00
11/17/98 Ancillary Letters Probate & Fee	283.20 6,077.20

Wolf Popper Ross Wolf & Jones: -----	
03/01/93 Legal Fees	\$ 45,089.35
05/06/94 Legal Fees	20,000.00
11/30/94 Legal Fees	10,922.15
07/12/95 Legal Disbursements	130.09 76,141.59

Total Schedule C	\$ 556,299.18 =====

ESTATE OF SIMON A. GOLDBERG - COMBINED ACCOUNTS
Schedule D
Statement of All Creditors' Claims

Description -----	Amount -----
1. Claims presented, allowed, paid and credited and appearing in the Summary Statement, together with the date of payment: ----- NONE	
2. Claims presented and allowed but not paid: ----- NONE	
3. Claims presented but rejected, the date and reason for such rejection: ----- NONE	
4. Contingent, and possible claims: ----- NONE	
5. Personal claims requiring approval by the Court, pursuant to SCPA 1805: ----- NONE	

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT

Schedule E

Statement of Distributions Made

Description	Fair Market Value

Transfer to Chase Manhattan Bank (\$195.86 US Dollars):	

Cash Distributions:	

12/02/96	\$ 267.14 \$ 267.14 \$ 267.14

Transfer to Chemical Bank (\$110,031.60 US Dollars):	

Cash Distributions:	

03/27/96	\$ 150,193.29 \$ 150,193.29 150,193.29

Transfer to Chemical Checking Account (\$231,765.28 US Dollars):	

Cash Distributions:	

01/10/95	\$ 327,157.86 \$ 327,157.86 327,157.86

Total Schedule E	\$ 477,618.29
	=====

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule E
Statement of Distributions Made

Description

Fair Market Value

Recapitulation of Cash Distributions:

Transfer to Chase Manhattan Bank (\$195.86 US Dollars)	\$ 267.14
Transfer to Chemical Bank (\$110,031.60 US Dollars)	150,193.29
Transfer to Chemical Checking Account (\$231,765.28 US Dollars)	327,157.86

Total	\$ 477,618.29
	=====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule E
Statement of Distributions Made

Description	Fair Market Value
-----	-----
Goldberg, Evelyn:	

Cash Distributions:	

03/11/93	\$ -40,000.00 \$ -40,000.00 \$ -40,000.00

Total Schedule E	\$ -40,000.00
	=====

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule F
Statement of New Investments, Exchanges and Stock Distributions

Description -----	Purchase Price -----
Part 1 - New Investments: -----	
01/07/93 \$241,000 face value of Canada T-Bill, due 2/11/93	\$ 239,678.60
02/12/93 \$244,000 face value of Canada T-Bill, due 4/15/93	241,904.28
04/20/93 \$254,000 face value of Canada T-Bill, due 6/24/93	252,225.81
06/28/93 \$263,000 face value of Canada T-Bill, due 9/23/93	260,700.85
09/23/93 \$264,000 face value of Federal Bus Stn, due 10/28/93	263,116.92
11/01/93 \$270,000 face value of Canada T-Bill, due 1/6/94	268,350.30
01/11/94 \$273,000 face value of Canada T-Bill, due 2/17/94	272,172.26
02/17/94 \$277,000 face value of Canada T-Bill, due 5/19/94	275,011.69
05/19/94 \$293,000 face value of Canada T-Bill, due 8/18/94	289,285.64
08/19/94 \$300,000 face value of Canada T-Bill, due 10/20/94	297,598.80
10/21/94 \$305,000 face value of Canada T-Bill, due 12/1/94	303,669.59
12/01/94 \$308,000 face value of Canada T-Bill, due 1/5/95	306,720.57

Total Schedule F, Part 1	\$ 3,270,435.31 =====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
Part 1 - New Investments:	
01/12/93 22.66 shares of Merrill Lynch Ready Assets Trust	\$ 22.66
01/14/93 \$200,000 face value of U.S. Treasury Bills, Due 7/15/93	197,077.89
01/29/93 36.97 shares of Merrill Lynch Ready Assets Trust	36.97
02/26/93 55.28 shares of Merrill Lynch Ready Assets Trust	55.28
03/18/93 \$150,000 face value of U.S. Treasury Bills, Due 6/17/93	148,961.08
03/26/93 56.60 shares of Merrill Lynch Ready Assets Trust	56.60
04/30/93 67.02 shares of Merrill Lynch Ready Assets Trust	67.02
05/10/93 18.29 shares of Merrill Lynch Ready Assets Trust	18.29
05/10/93 10,302 shares of Merrill Lynch Ready Assets Trust	10,302.00
05/28/93 46.44 shares of Merrill Lynch Ready Assets Trust	46.44
06/25/93 72.89 shares of Merrill Lynch Ready Assets Trust	72.89
07/26/93 \$300,000 face value of U.S. Treasury Bills, Due 1/20/94	295,490.67
07/30/93 92.48 shares of Merrill Lynch Ready Assets Trust	92.48
08/27/93 74.41 shares of Merrill Lynch Ready Assets Trust	74.41
09/03/93 18.57 shares of Merrill Lynch Ready Assets Trust	18.57
09/03/93 20,000 shares of Merrill Lynch Ready Assets Trust	20,000.00
09/24/93 88.77 shares of Merrill Lynch Ready Assets Trust	88.77
10/29/93 144.90 shares of Merrill Lynch Ready Assets Trust	144.90
11/26/93 115.90 shares of Merrill Lynch Ready Assets Trust	115.90
12/30/93 139.20 shares of Merrill Lynch Ready Assets Trust	139.20
12/31/93 .97 share of Merrill Lynch Ready Assets Trust	0.97
01/03/94 1.45 shares of Merrill Lynch Ready Assets Trust	1.45
01/03/94 25,000 shares of Merrill Lynch Ready Assets Trust	25,000.00
01/11/94 17.04 shares of Merrill Lynch Ready Assets Trust	17.04
01/20/94 \$300,000 face value of U.S. Treasury Bills, Due 1/12/95	290,480.00
01/28/94 42.61 shares of Merrill Lynch Ready Assets Trust	42.61
02/25/94 66.31 shares of Merrill Lynch Ready Assets Trust	66.31
03/25/94 68.53 shares of Merrill Lynch Ready Assets Trust	68.53

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
04/29/94 91.75 shares of Merrill Lynch Ready Assets Trust	\$ 91.75
05/27/94 80.97 shares of Merrill Lynch Ready Assets Trust	80.97
06/27/94 88.19 shares of Merrill Lynch Ready Assets Trust	88.19
06/29/94 16 shares of Merrill Lynch Ready Assets Trust	16.00
06/29/94 1,400 shares of Merrill Lynch Ready Assets Trust	1,400.00
07/29/94 102.39 shares of Merrill Lynch Ready Assets Trust	102.39
08/06/94 98.39 shares of Merrill Lynch Ready Assets Trust	98.39
09/30/94 131.48 shares of Merrill Lynch Ready Assets Trust	131.48
10/28/94 112.12 shares of Merrill Lynch Ready Assets Trust	112.12
11/25/94 119.43 shares of Merrill Lynch Ready Assets Trust	119.43
12/30/94 160.51 shares of Merrill Lynch Ready Assets Trust	160.51
01/13/95 \$300,000 face value of U.S. Treasury Bills, Due 7/13/95	291,025.42
01/30/95 134.68 shares of Merrill Lynch Ready Assets Trust	134.68
01/31/95 \$230,000 face value of U.S. Treasury Notes, 7.5% Due 1/31/97	229,755.60
02/24/95 138.58 shares of Merrill Lynch Ready Assets Trust	138.58
03/31/95 182.01 shares of Merrill Lynch Ready Assets Trust	182.01
04/28/95 147.88 shares of Merrill Lynch Ready Assets Trust	147.88
05/26/95 148.51 shares of Merrill Lynch Ready Assets Trust	148.51
06/30/95 185.03 shares of Merrill Lynch Ready Assets Trust	185.03
07/28/95 146.97 shares of Merrill Lynch Ready Assets Trust	146.97
08/25/95 144.59 shares of Merrill Lynch Ready Assets Trust	144.59
09/29/95 180.37 shares of Merrill Lynch Ready Assets Trust	180.37
10/27/95 144.07 shares of Merrill Lynch Ready Assets Trust	144.07
11/24/95 144.24 shares of Merrill Lynch Ready Assets Trust	144.24
12/29/95 180.38 shares of Merrill Lynch Ready Assets Trust	180.38
01/09/96 51.30 shares of Merrill Lynch Ready Assets Trust	51.30
01/26/96 90.39 shares of Merrill Lynch Ready Assets Trust	90.39
02/23/96 138.17 shares of Merrill Lynch Ready Assets Trust	138.17
03/29/96 166.11 shares of Merrill Lynch Ready Assets Trust	166.11

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
04/26/96 132.77 shares of Merrill Lynch Ready Assets Trust	\$ 132.77
05/31/96 167.10 shares of Merrill Lynch Ready Assets Trust	167.10
06/28/96 134.45 shares of Merrill Lynch Ready Assets Trust	134.45
07/26/96 136.25 shares of Merrill Lynch Ready Assets Trust	136.25
08/30/96 172.05 shares of Merrill Lynch Ready Assets Trust	172.05
09/27/96 138.50 shares of Merrill Lynch Ready Assets Trust	138.50
10/25/96 139.67 shares of Merrill Lynch Ready Assets Trust	139.67
10/29/96 19.97 shares of Merrill Lynch Ready Assets Trust	19.97
10/29/96 1,250 shares of Merrill Lynch Ready Assets Trust	1,250.00
11/14/96 82.98 shares of Merrill Lynch Ready Assets Trust	82.98
11/14/96 26,653 shares of Merrill Lynch Ready Assets Trust	26,653.00
11/29/96 132.28 shares of Merrill Lynch Ready Assets Trust	132.28
12/31/96 285.08 shares of Merrill Lynch Ready Assets Trust	285.08
01/31/97 274.38 shares of Merrill Lynch Ready Assets Trust	274.38
02/06/97 \$615,000 face value of U.S. Treasury Bills, Due 8/7/97	599,578.53
02/28/97 247.28 shares of Merrill Lynch Ready Assets Trust	247.28
03/27/97 238.86 shares of Merrill Lynch Ready Assets Trust	238.86
04/22/97 225.60 shares of Merrill Lynch Ready Assets Trust	225.60
04/24/97 .02 share of Merrill Lynch Ready Assets Trust	0.02
06/13/97 \$130,000 face value of U.S. Treasury Bills, Due 8/7/97	129,147.96
08/07/97 \$797,000 face value of U.S. Treasury Bills, Due 12/11/97	783,024.61
12/11/97 \$718,000 face value of U.S. Treasury Bills, Due 6/11/98	699,523.87
06/12/98 718,000 shares of Chase Vista Cash Management Money Market, Class A	718,000.00
06/16/98 35,000 shares of Chase Vista Cash Management Money Market, Class A	35,000.00
07/01/98 1,655.98 shares of Chase Vista Cash Management Money Market, Class A	1,655.98
08/03/98 3,235.21 shares of Chase Vista Cash Management Money Market, Class A	3,235.21
08/26/98 \$767,000 face value of U.S. Treasury Bills, Due 12/3/98	756,917.79
09/01/98 2,725.15 shares of Chase Vista Cash Management Money Market, Class A	2,725.15
10/01/98 15.29 shares of Chase Vista Cash Management Money Market, Class A	15.29

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
11/14/98 15.39 shares of Chase Vista Cash Management Money Market, Class A	\$ 15.39
12/01/98 14.65 shares of Chase Vista Cash Management Money Market, Class A	14.65
12/03/98 767,000 shares of Chase Vista Cash Management Money Market, Class A	767,000.00
12/07/98 197.49 shares of Chase Vista Cash Management Money Market, Class A	197.49
12/08/98 \$736,000 face value of U.S. Treasury Bills, Due 6/3/99	720,512.11
01/04/99 648.40 shares of Chase Vista Cash Management Money Market, Class A	648.40
02/01/99 162.45 shares of Chase Vista Cash Management Money Market, Class A	162.45
03/01/99 124.41 shares of Chase Vista Cash Management Money Market, Class A	124.41
04/01/99 137.57 shares of Chase Vista Cash Management Money Market, Class A	137.57
04/30/99 66.24 shares of Chase Vista Cash Management Money Market, Class A	66.24
05/03/99 132.50 shares of Chase Vista Cash Management Money Market, Class A	132.50
06/01/99 136.66 shares of Chase Vista Cash Management Money Market, Class A	136.66
06/03/99 17,227.72 shares of Chase Vista Cash Management Money Market, Class A	17,227.72
06/03/99 \$736,000 face value of U.S. Treasury Bills, Due 12/2/99	718,772.28
07/01/99 189.48 shares of Chase Vista Cash Management Money Market, Class A	189.48
08/02/99 211.10 shares of Chase Vista Cash Management Money Market, Class A	211.10
09/01/99 219.03 shares of Chase Vista Cash Management Money Market, Class A	219.03
10/01/99 216.93 shares of Chase Vista Cash Management Money Market, Class A	216.93
11/01/99 231.03 shares of Chase Vista Cash Management Money Market, Class A	231.03
12/01/99 231.12 shares of Chase Vista Cash Management Money Market, Class A	231.12
12/02/99 736,000 shares of Chase Vista Cash Management Money Market, Class A	736,000.00
12/06/99 \$736,000 face value of U.S. Treasury Bills, Due 3/2/00	727,497.97
01/03/00 694.15 shares of Chase Vista Cash Management Money Market, Class A	694.15
02/01/00 288.90 shares of Chase Vista Cash Management Money Market, Class A	288.90
03/01/00 273.66 shares of Chase Vista Cash Management Money Market, Class A	273.66
03/02/00 736,000 shares of Chase Vista Cash Management Money Market, Class A	736,000.00
03/07/00 \$736,000 face value of U.S. Treasury Bills, Due 8/31/00	715,807.84
04/03/00 916.52 shares of Chase Vista Cash Management Money Market, Class A	916.52
05/01/00 323.30 shares of Chase Vista Cash Management Money Market, Class A	323.30

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
06/01/00 350.06 shares of	
Chase Vista Cash Management Money Market, Class A	\$ 350.06
07/03/00 334.22 shares of	
Chase Vista Cash Management Money Market, Class A	334.22
08/01/00 346.15 shares of	
Chase Vista Cash Management Money Market, Class A	346.15
08/31/00 736,000 shares of	
Chase Vista Cash Management Money Market, Class A	736,000.00
08/31/00 248.28 shares of	
Chase Vista Cash Management Money Market, Class A	248.28
09/01/00 \$736,000 face value of	
U.S. Treasury Bill, Due 3/1/01	713,945.35
10/02/00 831.20 shares of	
Chase Vista Cash Management Money Market, Class A	831.20
10/20/00 70,719.18 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	70,719.18
10/31/00 106.60 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	106.60
11/02/00 260.87 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	260.87
11/30/00 357.15 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	357.15
12/29/00 347.94 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	347.94
01/31/01 359.31 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	359.31
02/28/01 221.32 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	221.32
03/01/01 736,000 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	736,000.00
03/08/01 \$790,000 face value of	
U.S. Treasury Bill, Due 9/6/01	772,706.46
04/02/01 832.32 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	832.32
04/30/01 69.36 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	69.36
05/31/01 64.52 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	64.52
07/02/01 57.73 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	57.73
07/31/01 56.38 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	56.38
08/09/01 20,000 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	20,000.00
08/31/01 93.07 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	93.07
09/06/01 338.15 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	338.15
09/06/01 \$796,000 face value of	
U.S. Treasury Bill, Due 12/6/01	789,661.85
10/01/01 97.01 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	97.01
10/31/01 80.85 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	80.85
11/30/01 65.55 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	65.55
12/06/01 3,199.26 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	3,199.26

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
12/06/01 \$796,000 face value of U.S. Treasury Bills, Due 3/7/02	\$ 792,800.74
12/31/01 62.54 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	62.54
01/31/02 57.06 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	57.06
02/28/02 46.73 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	46.73
03/07/02 796,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	796,000.00
03/08/02 \$796,000 face value of U.S. Treasury Bills, Due 6/6/02	792,835.90
04/01/02 121.04 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	121.04
05/08/02 26.84 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	26.84
05/31/02 27.50 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	27.50
06/06/02 48,824.79 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	48,824.79
06/06/02 \$750,000 face value of U.S. Treasury Bill, Due 9/5/02	747,175.21
07/01/02 68.82 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	68.82
07/31/02 75.74 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	75.74
09/03/02 67.56 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	67.56
09/05/02 750,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	750,000.00
09/06/02 \$750,000 face value of U.S. Treasury Bill, Due 12/5/02	747,393.75
09/30/02 141.55 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	141.55
10/31/02 65.37 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	65.37
11/29/02 52.49 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	52.49
12/05/02 2,009.58 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	2,009.58
12/05/02 \$750,000 face value of U.S. Treasury Bill, Due 3/6/03	747,990.42
12/31/02 50.95 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	50.95
01/31/03 45.80 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	45.80
02/28/03 38.48 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	38.48
03/06/03 \$750,000 face value of U.S. Treasury Bills, Due 6/5/03	748,104.17
03/31/03 29.94 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	29.94
04/30/03 20.78 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	20.78
05/30/03 19.79 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	19.79
06/05/03 1,611.46 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	1,611.46

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule F
Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
06/05/03 \$750,000 face value of	
U.S. Treasury Bills, Due 9/4/03	\$ 748,388.54
06/30/03 20.46 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	20.46
07/31/03 17.26 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	17.26
08/29/03 15.41 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	15.41
09/04/03 1,516.67 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	1,516.67
09/04/03 \$750,000 face value of	
U.S. Treasury Bills, Due 12/4/03	748,483.33
09/30/03 17.05 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	17.05
10/31/03 16.63 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	16.63
11/28/03 15.18 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	15.18
12/04/03 1,478.75 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	1,478.75
12/04/03 \$750,000 face value of	
U.S. Treasury Bills, Due 3/4/04	748,521.25
12/31/03 19.07 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	19.07
02/02/04 18.33 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	18.33
03/01/04 16.73 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	16.73
03/04/04 1,516.67 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	1,516.67
03/04/04 \$750,000 face value of	
U.S. Treasury Bills, Due 6/3/04	748,483.33
03/31/04 18.19 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	18.19
04/30/04 17.67 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	17.67
06/01/04 18.62 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	18.62
06/03/04 750,000 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	750,000.00
06/04/04 \$750,000 face value of	
U.S. Treasury Bills, Due 9/2/04	748,143.75
06/30/04 53.43 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	53.43
08/02/04 26.94 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	26.94
08/31/04 32.96 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	32.96
09/02/04 2,692.08 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	2,692.08
09/02/04 \$750,000 face value of	
U.S. Treasury Bills, Due 12/2/04	747,307.92
09/30/04 39.27 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	39.27
11/01/04 47.14 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	47.14
11/30/04 51.55 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	51.55

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
12/02/04 3,867.50 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	\$ 3,867.50
12/02/04 \$750,000 face value of U.S. Treasury Bills, Due 3/3/05	746,132.50
12/31/04 67.49 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	67.49
01/31/05 74.53 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	74.53
02/28/05 72.52 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	72.52
03/03/05 4,774.50 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	4,774.50
03/03/05 \$750,000 face value of U.S. Treasury Bills, Due 6/2/05	745,225.50
03/31/05 99.37 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	99.37
05/02/05 105.53 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	105.53
05/31/05 117.32 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	117.32
06/02/05 5,210.54 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	5,210.54
06/02/05 \$750,000 face value of U.S. Treasury Bills, Due 9/1/05	744,789.46
06/30/05 129.93 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	129.93
08/01/05 144.25 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	144.25
08/31/05 153.91 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	153.91
08/31/05 \$750,000 face value of U.S. Treasury Bills, Due 12/1/05	743,543.83
09/01/05 66,393.06 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	66,393.06
09/30/05 168.37 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	168.37
10/31/05 189.64 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	189.64
11/30/05 195.46 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	195.46
12/01/05 6,992.62 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	6,992.62
12/01/05 \$750,000 face value of U.S. Treasury Bills, Due 3/2/06	743,007.38
01/03/06 235.08 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	235.08
01/31/06 243.57 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	243.57
02/28/06 229.02 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	229.02
03/02/06 8,205.96 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	8,205.96
03/02/06 \$750,000 face value of U.S. Treasury Bills, Due 6/1/06	741,794.04
03/31/06 276.49 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	276.49
04/20/06 \$70,000 face value of U.S. Treasury Bills, Due 6/1/06	69,669.80

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
04/26/06 .48 share of	
JPMorgan (Formerly Chase Vista) Prime Money Market	\$ 0.48
05/01/06 166.72 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	166.72
05/15/06 10,000 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	10,000.00
05/31/06 20.41 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	20.41
06/01/06 9,428.14 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	9,428.14
06/01/06 \$820,000 face value of	
U.S. Treasury Bills, Due 8/31/06	810,571.86
06/30/06 72.69 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	72.69
07/31/06 79.91 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	79.91
08/31/06 81.18 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	81.18
08/31/06 820,000 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	820,000.00
09/05/06 \$840,000 face value of	
U.S. Treasury Bills, Due 11/30/06	830,545.58
09/29/06 587.98 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	587.98
10/31/06 40.82 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	40.82
11/30/06 39.72 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	39.72
11/30/06 10,082.83 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	10,082.83
11/30/06 \$840,000 face value of	
U.S. Treasury Bills, Due 3/1/07	829,917.17
12/29/06 82.93 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	82.93
01/31/07 24.52 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	24.52
02/28/07 .60 share of	
JPMorgan (Formerly Chase Vista) Prime Money Market	0.60
03/01/07 10,159.27 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	10,159.27
03/01/07 \$840,000 face value of	
U.S. Treasury Bills, Due 5/31/07	829,840.73
03/30/07 41.28 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	41.28
04/30/07 41.41 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	41.41
05/31/07 42.90 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	42.90
05/31/07 9,636.93 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	9,636.93
05/31/07 \$840,000 face value of	
U.S. Treasury Bills, Due 8/30/07	830,363.07
06/29/07 80.26 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	80.26
07/31/07 83.32 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	83.32
08/30/07 7,192.98 shares of	
JPMorgan (Formerly Chase Vista) Prime Money Market	7,192.98

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Purchase Price
08/30/07 \$840,000 face value of U.S. Treasury Bills, Due 11/29/07	\$ 832,807.02
08/31/07 85.17 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	85.17
09/28/07 111.01 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	111.01
10/31/07 110.97 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	110.97
11/29/07 840,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	840,000.00
11/30/07 209.20 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	209.20
11/30/07 \$840,000 face value of U.S. Treasury Bills, Due 2/28/08	834,171.30
12/31/07 338.23 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	338.23
01/31/08 107.03 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	107.03
02/28/08 840,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	840,000.00
02/29/08 111.20 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	111.20
02/29/08 \$840,000 face value of U.S. Treasury Bills, Due 5/29/08	836,391.00
03/31/08 195.13 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	195.13
04/30/08 34.24 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	34.24
05/20/08 12,000 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	12,000.00
05/29/08 3,680.99 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	3,680.99
05/29/08 \$840,000 face value of U.S. Treasury Bills, Due 8/28/08	836,319.01
05/30/08 40.67 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	40.67
06/30/08 59.19 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	59.19
07/31/08 60.26 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	60.26
08/28/08 3,148.53 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	3,148.53
08/28/08 \$840,000 face value of U.S. Treasury Bills, Due 11/28/08	836,851.47
08/29/08 59.93 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	59.93
09/30/08 64.50 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	64.50
10/31/08 72.88 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	72.88
Total Schedule F, Part 1	\$41,206,441.10 =====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Shares/Face Value/Units	Inventory Value

Insured Municipals - Income Trust Series 26		

07/19/93		
Cash Distribution on 30 shares of Insured Municipals -		
Income Trust Series 26	0.0000	9,076.50
02/15/94		
Cash Distribution on 30 shares of Insured Municipals -		
Income Trust Series 26	0.0000	3,332.40
08/15/94		
Cash Distribution on 30 shares of Insured Municipals -		
Income Trust Series 26	0.0000	5,218.80
03/08/95		
Cash Distribution on 30 shares of Insured Municipals -		
Income Trust Series 26	0.0000	5,256.30
Insured Municipals - Income Trust Series 9		

07/19/93		
Cash Distribution on 25 shares of Insured Municipals -		
Income Trust Series 9	0.0000	13,966.00
05/15/94		
Cash Distribution on 25 shares of Insured Municipals -		
Income Trust Series 9	0.0000	4,758.50
03/07/95		
Cash Distribution on 25 shares of Insured Municipals -		
Income Trust Series 9	0.0000	3,513.00
Municipal Invest Trust Series 1 MBIA Insured		

02/25/93		
Cash Distribution on 50 shares of Municipal Invest Trust		
Series 1 MBIA Insured	0.0000	748.50
03/25/93		
Cash Distribution on 50 shares of Municipal Invest Trust		
Series 1 MBIA Insured	0.0000	724.00
05/25/93		
Cash Distribution on 50 shares of Municipal Invest Trust		
Series 1 MBIA Insured	0.0000	1,149.00
07/26/93		
Cash Distribution on 50 shares of Municipal Invest Trust		
Series 1 MBIA Insured	0.0000	364.50
08/25/93		
Cash Distribution on 50 shares of Municipal Invest Trust		
Series 1 MBIA Insured	0.0000	275.00
09/27/93		
Cash Distribution on 50 shares of Municipal Invest Trust		
Series 1 MBIA Insured	0.0000	1,174.50
12/27/93		
Cash Distribution on 50 shares of Municipal Invest Trust		
Series 1 MBIA Insured	0.0000	749.50
12/30/93		
Cash Distribution on 50 shares of Municipal Invest Trust		
Series 1 MBIA Insured	0.0000	180.50

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Shares/Face Value/Units	Inventory Value

Municipal Invest Trust Series 1 MBIA Insured (Continued From Previous Page)		

03/25/94		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	1,538.50
05/25/94		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	1,863.50
07/25/94		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	641.00
09/26/94		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	265.50
10/25/94		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	253.50
11/25/94		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	978.00
12/27/94		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	\$ 80.00
12/29/94		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	65.00
04/25/95		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	391.00
07/25/95		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	941.50
08/25/95		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	763.50
11/01/95		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	351.00
12/26/95		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	84.00
12/29/95		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	261.00
06/25/96		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	413.50
07/25/96		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	563.50
10/25/96		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	273.00
12/26/96		
Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	267.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule F
 Statement of New Investments, Exchanges and Stock Distributions

Description	Shares/Face Value/Units	Inventory Value
Municipal Invest Trust Series 1 MBIA Insured (Continued From Previous Page)		
12/31/96 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	179.00
03/25/97 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	19.00
04/22/97		
Municipal Invest Trust Series 50 New York		
09/26/93 Cash Distribution on 3 shares of Municipal Invest Trust Series 50 New York	0.0000	254.50
Municipal Invest Trust Series 53 New York		
05/25/93 Cash Distribution on 25 shares of Municipal Invest Trust Series 53 New York	0.0000	140.25
07/26/93 Cash Distribution on 25 shares of Municipal Invest Trust Series 53 New York	0.0000	187.50
12/27/93 Cash Distribution on 25 shares of Municipal Invest Trust Series 53 New York	0.0000	94.50
05/25/94 Cash Distribution on 25 shares of Municipal Invest Trust Series 53 New York	0.0000	3,822.00
Summary:		
Total Cash Distributions Received		\$ 65,177.75

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule G
Statement of All Personal Property Remaining on Hand

Description	Market Value	Inventory Value
-----	on 11/13/98	on 11/13/98
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NONE

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
 Schedule G
 Statement of All Personal Property Remaining on Hand

Description	Market Value on 11/11/08	Inventory Value on 11/11/08
CASH:		
JPMorganChase, Checking Account #006-058191	\$ 5,019.63	\$ 5,019.63
STOCKS & FUNDS:		
35,368.05 shares of JPMorgan (Formerly Chase Vista) Prime Money Market	35,368.05	35,368.05
DEBT INSTRUMENTS:		
\$840,000 face value of U.S. Treasury Bills, Due 11/28/08	839,932.80	836,851.47
Total Schedule G	\$ 880,320.48	\$ 877,239.15
Summary:		
Securities	\$ 875,300.85	\$ 872,219.52
Other	5,019.63	5,019.63
Total	\$ 880,320.48	\$ 877,239.15

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

Cash Reconciliation as of 11/13/98:

Receipts:

Amount shown by Schedule A	
(Less Accrued Interest)	\$ 31,035.21
Amount shown by Schedule A-1	3,566,071.86
Amount shown by Schedule A-2	48,546.03
Amount shown by Schedule B	102,415.50

Total Receipts	\$ 3,748,068.60

Disbursements:

Amount shown by Schedule C	\$ 15.00
Amount shown by Schedule D	0.00
Amount shown by Schedule E	477,618.29
Amount shown by Schedule F, New Investments	3,270,435.31

Total Disbursements	3,748,068.60

Total Cash on Hand per Schedule G	\$ 0.00
	=====

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

Securities Reconciliation as of 11/13/98:

Receipts:

Amount shown by Schedule A	\$ 371,965.60
Amount shown by Schedule F, New Investments	3,270,435.31

Total Receipts	\$ 3,642,400.91

Disbursements:

Amount shown by Schedule A-1	\$ 3,538,104.66
Amount shown by Schedule B	104,296.25

Total Disbursements	3,642,400.91

Total Securities on Hand per Schedule G	\$ 0.00
	=====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

Cash Reconciliation as of 11/11/08:

Receipts:

Amount shown by Schedule A (Less Accrued Interest)	\$ 425,810.57
Amount shown by Schedule A-1	1,001,613.01
Amount shown by Schedule A-2	472,660.69
Amount shown by Schedule B	39,762,497.89
Amount shown by Schedule F Cash Received from Corporate Distributions	65,177.75

Total Receipts	\$41,727,759.91

Disbursements:

Amount shown by Schedule C	\$ 556,299.18
Amount shown by Schedule D	0.00
Amount shown by Schedule E	-40,000.00
Amount shown by Schedule F, New Investments	41,206,441.10

Total Disbursements	41,722,740.28

Total Cash on Hand per Schedule G	\$ 5,019.63
	=====

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

Securities Reconciliation as of 11/11/08:

Receipts:

Amount shown by Schedule A	\$ 554,601.56
Amount shown by Schedule F, New Investments	41,206,441.10

Total Receipts	\$41,761,042.66

Disbursements:

Amount shown by Schedule A-1	\$ 996,054.58
Amount shown by Schedule B	39,827,590.81
Amount shown by Schedule F Corporate Cash Distributions	65,177.75

Total Disbursements	40,888,823.14

Total Securities on Hand per Schedule G	\$ 872,219.52
	=====

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 12 of 29

Wolf Popper Memo dated January 23, 1993 from John C. Fisher Partner to Emily Madoff outlining ex parte conversations with Judge Roth as to Surrogate Judge Roth's intention to deny Evelyn's motion as she had done with all the past proceedings

WOLF POPPER ROSS WOLF & JONES

MEMORANDUM

TO: Emily Madoff
FROM: John Fisher
DATE: January 28, 1993
RE: F/O GOLDBERG

This is the matter which is on the New York County Surrogate's Court Calendar for Tuesday, February 2, 1993 at 10:00 a.m.

Essentially, we represent David J. Mandelbaum who is the Executor of the Estate. The "opposition" is Evelyn Goldberg who is appearing pro se.

David's nomination as Executor was only confirmed last Spring by the Appellate Division. Mrs. Goldberg, as you can see from the correspondence in the file if you care to review it, is obviously extremely distraught with his appointment. She has filed numerous proceedings in the Surrogate's Court to have David's letters removed and for various other relief. It is one of a string of these proceedings which is returnable on the 2nd.

There is an order requiring David Mandelbaum to account by February 15, 1993. Richard Vaccariello is well on the road to completing the accounting and I expect that date will be met.

[Judge Roth is very familiar with this Estate. I have spoken to her about the instant motion and she had informed me that she intends to deny it from the bench and she has done with all of the proceeding motions.] All that we need do is appear in court on that date. You will probably not have to say anything. Whatever you do, do not get involved in a colloquy with Mrs. Goldberg.

We have filed a brief affidavit in opposition which the Judge also knows about.

JCF:ekj

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 13 of 29

1993 Canadian Ancillary Probate Petition, See P5 Affidavit of Mandelbaum dated April 14, 1993 just prior to fraudulent GAL proceeding below and done without knowledge of Evelyn.

Wolf Popper bills for in 1994 to hide the amended death certificate in 1988 that acknowledges Simon Goldberg as a Canadian Citizen.

Evelyn Goldberg has no knowledge that Death Certificates have been amended falsely using her name.



PERLEY-ROBERTSON, HILL & McDOUGALL LLP/s.r.l.

*Lawyers / Patent & Trade-Mark Agents
Avocats / Agents de brevets et de marques de commerce*

Reply to/Communiquez avec:
Christopher P. Morris
613.566.2802 cmorris@perlaw.ca

October 6, 2016

BY EMAIL

John Fisher
Hamburger Weinschenk & Fisher
36 W 44th Street, Suite 1215
New York, NY 10036

Robert Gordon Price
Williams & Price
372 Bay Street, Suite 402
Toronto, ON M5H 3W1

Dear Mr. Fisher and Mr. Price

**Re: Estate of Simon A. Goldberg
Our Reference:**

We are the Canadian lawyers for Dean Loren. Mr. Loren is the executor of the Estate of Evelyn Goldberg.

We understand that Mr. Fisher is the American attorney for the Estate of Simon Goldberg and Mr. Price was the Canadian lawyer for the Estate of Simon Goldberg (the "Estate"). Attached are copies of ancillary letters probate for the Estate of Simon Goldberg which were signed in Ottawa, Ontario on June 11, 1993, together with the balance of the documents which were filed with the Ontario Court (General Division) for the purposes of obtaining the letters probate. These documents include the Last Will and Testament of Simon Goldberg which makes it clear that Evelyn Goldberg was named as the residual beneficiary of the Estate of Simon Goldberg.

Please be advised that Ms. Goldberg was not notified of the Canadian Probate action concerning the deceased assets in Canada at the time that the Will was probated in Ottawa in 1993.

In particular, Ms. Goldberg was never advised in the Canadian Probate that Mr. Goldberg held assets in Ontario totaling the sum of at least \$393,119.30 as set out in the attached inventory and valuation of the property of the deceased.



PERLEY-ROBERTSON, HILL & McDOUGALL LLP/s.r.l.

October 6, 2016

We understand that legal proceedings are underway in New York State whereby our client is seeking an accounting of the Estate's property in New York State, Canada and anywhere else in the world. We hereby request that the Estate immediately provide to our client the following information:

- a. a complete inventory of any assets held by the deceased anywhere including Canada;
- b. copies of all United States and Canadian tax returns filed by the Estate since 1985;
- c. any and all documents which outline the assets held by the Estate anywhere including Canada.

Our client requires this information so that he can reconcile it with the information that has been provided in the context of the accounting of the Estate assets in New York State.

Please provide us with the information by no later than October 31, 2016, failing which we anticipate instructions to obtain an order compelling David Mandelbaum to pass his accounts in accordance with the *Rules of Civil Procedure* without further notice.

Yours very truly,

Christopher P. Morris
234:dem

cc. Dan Padernacht Esq
Lalit K. Jain Esq

ANCILLARY

Letters Probate

Lettres d'homologation

Form / formule 28

PROVINCE OF ONTARIO
PROVINCE DE L'ONTARIO

83690
COURT FILE NO
N° DU DOSSIER DE LA COUR

ONTARIO COURT (GENERAL DIVISION)
COUR DE L'ONTARIO (DIVISION GÉNÉRALE)
REGIONAL MUNICIPALITY OF OTTAWA-CARLETON

IN THE ESTATE OF
DANS LA SUCCESSION DE

SIMON A. GOLDBERG

,deceased
,décédé(e)

late of
de son vivant de
occupation
profession
who died on
décédé(e) le

City of New York, State of New York, U.S.A.,

May 24th, 1985,

Applicant
Requérant

Address
Adresse

Occupation
Profession

DAVID J. MANDELBALM Of the City of New York, State of New York, U.S.A.,

Since the date of death of the deceased who had no fixed place of abode in Ontario but left property situate in Ontario to be administered pursuant to his last Will and Testament dated the 21st day of March 1985, in which he named David J. Mandelbaum, as the Executor and that the said documents were opened and recorded by the Court in the City of New York, USA on May 18th, 1993.

ANCILLARY

Pursuant to the order of the judge of the Ontario Court (General Division) LETTERS PROBATE of the last
En conformité de l'ordonnance du juge de la Cour de l'Ontario (Division générale), DES LETTRES
will and testament and codicil(s) of the above-named deceased, a true copy of which is hereto annexed,
D'HOMOLOGATION du dernier testament et du(des) codicille(s) du (de la) défunt(e) susnommé(e), dont
are hereby issued under the seal of the court to the above named applicant
une copie conforme est annexée aux présentes, sont délivrées sous le sceau de la cour au requérant

Ottawa, Ontario,

Dated at
Fait
on
le
JUN 11 1993

à

Registrar of the Ontario Court (General Division)
Greffier de la Cour de l'Ontario (Division générale)

In the Ontario Court (General Division) at Ottawa

The Petition of David J. Mandelbaum

in the City of New York, State of New York, United States of America

THAT Simon A. Goldberg

late of City of New York in the State of New York USA, deceased, died on or about
the 24th day of May, 1985, at the City of New York

in the State of New York, United States of America and

that the said deceased had at the time of death no fixed place of abode within the Province of Ontario, but died leaving
property situate within the said Province to be administered.

THAT the said deceased in his lifetime duly made and executed his last will and testament dated the

21st day of March, 1985, and that probate thereof was granted to

your petitioner, the sole executor therein named, by the People of the State of New York

on the 15th day of April, 1992.

THAT the value of the whole property of the said deceased which he in any way died possessed of or entitled to, situate
within the Province of Ontario is the sum of \$393,119.30XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX dollars

full particulars of which are shown in the Inventory and appraisement exhibited herewith and verified upon affidavit.

WHEREFORE your petitioner prays that Ancillary Letters Probate of the said will may be granted to him
by this Honourable Court

Dated 18 MAY, 1993.

by [Signature]
Solicitors

Newsome and Gilbert
Form LF 938 (1990)

Inventory of Real and Personal Estate (In Ontario)

In the Ontario Court (General Division) at Ottawa

In the Estate of SIMON A. GOLDBERG

deceased.

Inventory and valuation of the property of the said deceased.

GENERAL DESCRIPTION OF PROPERTY	Value or Amount
Real Estate	
NIL	
Personal Estate	
Stocks, bonds and securities on deposit with Wood, Gundy at 200-99 Bank Street, Ottawa, Ontario K1P 6B9	\$393,119.30

I, David J. Mandelbaum

of the City of New York

in the State of New York, USA make oath and say:—

That I am the applicant for Ancillary Letters Probate herein and the above is to the best of my knowledge, information and belief, a true inventory and valuation of the whole property of the said deceased situate within the Province of Ontario at the time of his death, so far as I can at present ascertain.

SWORN before me at the City

of New York

In the State

of New York, United States of America

this 14th

day of 14th April 1993

Annette I. Dorsky
A Notary Public in and for the State
of New York.

~~ANNETTE I. DORSKY~~


David J. Mandelbaum
DAVID J. MANDELBAUM

ANNETTE I. DORSKY
NOTARY PUBLIC, State of New York
No. 41-4999285
Qualified in Queens County
Commission Expires July 20, 1994

This affidavit is filed on behalf of the executors

by _____
Solicitor

deceased.

(1) **THAT** the instrument now produced and shown to me and marked as Exhibit "A" to this my affidavit is to the best of my knowledge and belief an exemplification (or certified copy) of the Probate of the last will and testament of Simon A. Goldberg 

(2) I am ~~ONE OF THE EXECUTORS OF~~ the Executor) therein named.

dollars, consisting of three hundred and ninety three thousand,
one hundred and nineteen dollars and thirty cents dollars personality

full particulars of which are shown in the inventories and appraisement exhibited herewith and verified upon affidavit.

(5) **THAT** I will faithfully administer the said property of the said testator by paying his just debts and legacies contained in his will, so far as the same will thereunto extend and the law bind me, and by distributing the residue (if any) of the said estate according to law; And that I will exhibit under oath a true and perfect inventory of all and singular the property of the testator and render a just and full account of my executorship whenever required by law so to do.

SWORN before me at the City
of New York
in the State of New York, in the country
of the United States of America

this 14th day of April 1993

Annexa Sorley
A Notary Public in and for the State
of New York.

XXXXXXXXXXXXXXXXXXXX

DAVID J. MANDELBAUM

ANNETTE I. DORSKY
NOTARY PUBLIC, State of New York
No. 41-4999285
Qualified in Queens County
Commission Expires July 20, 1994

*Crucial to the
estate*

**Notice of Application for Grant of Probate
or Administration and the Certificate
of the Estate Registrar for Ontario**

Form 16

PROVINCE OF ONTARIO

83690
COURT FILE NO.

ONTARIO COURT (GENERAL DIVISION) OF THE REGIONAL MUNICIPALITY OF
OTTAWA-CARLETON

IN THE ESTATE OF SIMON A. GOLDBERG

late of City of New York in the State of New York, U.S.A., who died on

or about the 24th day of May, 1985,

TAKE NOTICE that an application for a grant in respect to the property of the
above named deceased has been made to this court by

Name(s)
and
P.O.
Address(es)

DAVID J. MANDELBAUM in the City of New York, State of New York, U.S.A.,

IT IS HEREBY CERTIFIED THAT search has been made in my office for any will or
testamentary paper executed by the above deceased;

NO SUCH WILL OR TESTAMENTARY PAPER is in said office, nor has any such will or
testamentary paper been deposited with me for safekeeping.

Dated at OTTAWA, ONTARIO

on May 21st, 1993,



Registrar

IT IS HEREBY CERTIFIED that in respect to the property of the above deceased
no notice of application for a grant of probate or administration has been received by
me from any registrar of the Ontario Court (General Division), save the above,

AND no caveat or copy of a caveat has been lodged with or received by me within
the six month period immediately preceding the date hereof.

Dated at TORONTO, ONTARIO

on

Estate Registrar for Ontario

05-27-93-03:05PM

FROM J262939

TO OTTAWA

P002

MAY-27-93 THU 8:21 CAROL O'BRIEN CSM

P.08

Carroll O'Brien

**Notice of Application for Grant of Probate
or Administration and the Certificate
of the Estate Registrar for Ontario**

Form 18

PROVINCE OF ONTARIO

80390
COURT FILE NO.

**ONTARIO COURT (GENERAL DIVISION) OF THE REGIONAL MUNICIPALITY OF
OTAWA-CARLETON**

IN THE ESTATE OF SIMON W. GILBERT

deceased of the City of New York, State of New York, U.S.A., who died on

or about the 24th day of May, 1993.

TAKING NOTICE that an application for a grant in respect to the property of the
above named deceased has been made to this court by

DAVID J. MANDELBAUM in the City of New York, State of New York, U.S.A.,

I, the undersigned, HEREBY CERTIFY THAT search has been made in my office for any will or
testamentary paper executed by the above deceased;

AND SUCH WILL OR TESTAMENTARY PAPER is in said office, nor has any such will or
testamentary paper been deposited with me for safekeeping.

TESTED AT OTTAWA, ONTARIO

May 27, 1993

Carol O'Brien

I, the undersigned, HEREBY CERTIFY that in respect to the property of the above deceased,
no application for a grant of probate or administration has been made to
this court, or to the Ontario Court (General Division), save the above.

I, the undersigned, HEREBY CERTIFY that a caveat has been lodged with or received by me within
the period of time prescribed by the Act, or within the period of time prescribed by the Act,
preceding the date hereof.

Dated at Toronto


MAY 27 1993

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Form 59-A

All of which we have caused by these presents to be exemplified, and the seal of our said Surrogate's Court to be hereunto affixed.

Witness, **HONORABLE RENEE R ROTH**, a Surrogate of the County of New York, at the
City of New York, the 21st day of September, in the year of our Lord
one thousand nine hundred and ninety two



Chief Clerk of the Surrogate's Court

I, **RENEE R. ROTH**, a Surrogate of said county and Presiding Magistrate of the Surrogate's Court, do hereby certify that **Robert M. Reaves**, whose name is subscribed to the preceding exemplification, is the Chief Clerk of said Surrogate's Court of the County of New York, and that full faith and credit are due to his official acts. I further certify that the seal affixed to the exemplification is the seal of our said Surrogate's Court, and that the attestation thereof is in due form and according to the form of attestation used in this State.

Dated, New York, September 21, 1992



Surrogate

State of New York }
County of New York } ss.:

I, **Robert M. Reaves**, Chief Clerk of the Surrogate's Court of the County of New York, do hereby certify that **Honorable RENEE R. ROTH**, whose name is subscribed to the preceding certificate, is the Presiding Magistrate of the Surrogate's Court of the County of New York, duly elected, sworn and qualified, and that the signature of said Magistrate to said certificate is genuine.

In Testimony Whereof, I have hereto set my hand and affixed the seal of the
court, this 21st day of September, 1992



Chief Clerk of the Surrogate's Court

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 14 of 29

NY Post Jack Newfield, Court Patronage Favors an Elite Few published June 1, 1993
causing Surrogate Preminger refusal to sign GAL order.

COURT PATRONAGE

By JACK NEWFIELD
and COLIN MINER

Plums from the patronage tree at state Surrogate's Court often go to a small circle of well-connected lawyers, ex-judges and political power brokers, a Post investigation has found.

And in many cases, judges routinely ignore a rule limiting the amount lawyers can make from the cases to \$5,000 a year. One lawyer made \$115,000 from just two cases in just a month.

A detailed analysis of computerized records revealed a pattern of favoritism, nepotism and cronyism.

In a city of 30,000 lawyers, most patronage awards go to a small circle of former judges, their law secretaries, sons of judges, political power brokers, district leaders and politicians.

Surrogates are elected to 14-year terms and have the power to appoint guardians to protect the estates of widows, orphans and the mentally incompetent.

Without oversight, the system of appointments can be abused — as in the case of Arnold Blegen, Mayor Dinkins' former campaign treasurer.

Blegen was jailed after pleading guilty to stealing nearly \$900,000 from an elderly widow whose estate he had been hired to protect.

In addition to appointing lawyers, the judges approve compensation for the lawyers.

Section 36.1 of the Judicial Code says lawyers cannot re-

FAVORS AN ELITE FEW



MACCHIAROLA



PREMINGER



PISCATELLI



FRIEDMAN

were: Moses Weinstein, Owen McGivern, Howard Goldfluss, Irving Kirschenbaum, Milton Mollen, Arthur Blyn, George Postel, Alexander Chananu, Nathan Sobel, George Balbach, Louis Laurino, Anthony Jordan and Irving Levine.

The list of elected officials who got appointments reads like roll call in Albany.

Among those state legislators receiving appointments were: Bronx Democratic leader George Friedman, John Marchi, John Dearie, Peter Rivera, Sheldon Silver and Robert Gigante.

Other notables include Bella Abzug, both sons of convicted former Queens Democratic leader Matty Troy, Herman Badillo, former schools chancellor and comptroller candidate Frank Macchiarola, and lobbyist Pete Piscatelli.

Also, Washington Heights power broker Ronald Stringer, Manhattan district leader and judge-maker Andrew Kulak, Harold Fisher, Edward Cost-

See PATRONAGE on Page 26

Patronage from court favors an elite circle

PATRONAGE from Page 16

kyan and Paul Gentile.

Some of the well-connected did quite well.

Former Judge Arthur Blyn — a former acting surrogate who ran unsuccessfully, as a reformer, for a permanent position — received three appointments within 30 days that proved to be worth a total of \$115,000. The judges who made the assignments were Ira Gammernan and Eve Preminger.

Preminger also gave Blyn another \$10,000 in assignments.

Former Judge Jack Turret received one appointment that

fied and competent, and if they are from the political parties, all the better."

Other examples of court cronyism and patronage include:

Seth Rubenstein, the son of a former surrogate, has received appointments worth more than \$80,000 from three different surrogates.

He was also cited in a Post expose of court patronage 25 years ago.

Andrew Kulak, a veteran Democratic district leader from Stuyvesant Town, has received assignments worth \$21,000 from four judges, including eight assignments from Manhattan Sur-

W



Schwartz

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DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 15 of 29

1993 GAL Judith Siegel Baum Billing Records from June 8, 1993 to October 16, 1995.

The June 8, 1993 entry of call by Fisher to Judith Siegel Baum to manufacture the GAL with Harvey Corn who was Surrogate Marie Lambert's Surrogate GAL Clerk, is omitted from Wolf Popper billing) submitted on November 2, 1995 after the conspiracy hearing and more than two years after GAL order.

The Siegel Baum June 8, 1993 Billing shows Wolf Poppers direct criminal actions in manufacturing guardian ad litem to silence Evelyn over the January 23, 1993 Emily Madoff Memo from Fisher re: Surrogate Roth ex parte conversations.

Evelyn never receives a copy of Siegel Baum's billing.

11/2/95

SURROGATE'S COURT OF THE STATE OF NEW YORK
COUNTY OF NEW YORK

Accounting of David J. Mandelbaum,
as Executor of the Estate of

Simon A. Goldberg,

Deceased.

File No. 3169/85

AFFIDAVIT OF
SERVICES RENDERED
BY GUARDIAN AD LITEM

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

JUDITH E. SIEGEL-BAUM, being duly sworn, deposes and
says:

1. I am an attorney admitted to practice in the State
of New York and a member of the law firm of Lowenthal, Landau,
Fischer & Bring, P.C. with offices located at 250 Park Avenue, New
York, New York 10177. In the above-captioned proceeding I have
served as guardian ad litem to protect the interests of Mrs. Evelyn
Goldberg, legatee and beneficiary of a trust created under the Last
Will and Testament of Simon A. Goldberg. I am familiar with all
the facts recited herein and submit this affidavit in support of
the legal services I rendered on behalf of my ward.

2. This estate is extremely complicated, but the
complications were procedural, not substantive. As a result,
initially I spent an inordinate amount of time, (more than I would
usually spend) reviewing and analyzing documents and transcripts
and engaging in meetings and telephone conferences with the
proponent's counsel, John C. Fisher, Esq. and counsel that Mrs.

*****MATTER DESCRIPTION*****
ESTATE LITIGATION

*****BILLING INSTRUCTIONS*****
TIME RECORDS MUST BE KEPT IN DETAIL BUT WILL BE
SUBMITTED TO SUROGATES COURT FOR PAYMENT

*****CLIENT INFORMATION*****
SIMON GOLDBERG

--CLIENT NUMBER--	--ORIGINATING--	--BILLING--	--SUPERVISING--
10194	5940 JS	5940 JS	5940 JS
	J SIEGEL-BAUM	J SIEGEL-BAUM	J SIEGEL-BAUM

*****CLIENT ADDRESS*****
SIMON GOLDBERG

*****MATTER ADDRESS*****
SURROGATE RENE R. ROTH
NEW YORK COUNTY SURROGATES COURT

*****MATTER INFORMATION*****
PHONE:
REFERRED BY: OTHER

PHONE:
CONTACT:

STATUS: OP	RATE: 1	TEMPLATE: 1	MIN FEE:
DATE OPENED: 06/08/1993	DEPT: 15	TIME FORMAT: 27	MIN COST:
DATE CLOSED:	LOCATION: 01	COST FORMAT: 2	MIN TOTAL:
LAST RATE: 06/18/1993	PRACTICE: 1501	INTRST CODE: 0	FEE MARKUP:
HOLD FEES:	FEE FREQ: M	INT FREE DAY: 30	COST MARKUP:
HOLD COSTS:	COST FREQ: M	FIXED COSTS: M	FIXED FEES:
TRUST RET ACCT: 1	TRUST RETAIN:	ARRANGEMENT: M	CURRENCY: DD
			MAXIMUM BILLINGS:

ESTATES & TRUST

Last Bill Date:

*****TIME ENTRIES*****

DATE	NAME	DESCRIPTION	HOURS	DOLLARS STAT	INDEX
06/08/93	J SIEGEL-BAUM	TELEPHONE JACK FISHER; OPEN FILE; TELEPHONE JUDGE ROTH'S LAW SECRETARY	1.25		209118
06/10/93	J SIEGEL-BAUM	TELEPHONE MR. JETER; ACCOUNTING	.20		209120
06/14/93	J SIEGEL-BAUM	TELEPHONE JACK FISHER	.10		209210
06/15/93	J SIEGEL-BAUM	TELEPHONE SURROGATE'S COURT	.10		209305
06/16/93	J SIEGEL-BAUM	TELEPHONE HARVEY KORN, JOHN FISHER AND JIM GALLAGHER	.75		209485
06/18/93	J SIEGEL-BAUM	TELEPHONE PETER MEILKE	.40		210036
06/22/93	J SIEGEL-BAUM	TELEPHONE JACK FISHER	.20		210442
06/23/93	J SIEGEL-BAUM	SURROGATE'S COURT-QUALIFY AS GUARDIAN; REVIEW/REVISE ACCOUNTING; REVIEW/REVISE MISCELLANEOUS AND ACCOUNTING FILES	2.75		210486
06/24/93	J SIEGEL-BAUM	REVIEW/REVISE FILES IN JACK FISHER'S OFFICE; FILE NOTICE OF APPEARANCE; REVIEW/REVISE TRANSCRIPTS; LETTER TO MRS. GOLDBERG	6.00		210581
06/25/93	J SIEGEL-BAUM	CONTINUE DIGESTING TRANSCRIPTS; TELEPHONE HARVEY KORN AND ARTHUR FRANK	2.00		210641
06/28/93	J SIEGEL-BAUM	TELEPHONE MR. MEILKE	.25		212234
06/29/93	J SIEGEL-BAUM	DIGEST DEPOSITION	2.00		212237
06/30/93	J SIEGEL-BAUM	COMPLETE REVIEW OF TRANSCRIPTS; LETTER TO MRS.	2.00		212602

7/01/93 J SIEGEL-BAUM	GOLDBERG; TELEPHONE JACK FISHER TELEPHONE MR. GALLAGHER; LETTER TO MRS. GOLDBERG; REVIEW/REVISE CORRESPONDENCE FROM MRS. GOLDBERG	.75	213370
7/02/93 J SIEGEL-BAUM	COMPLETE REVIEW OF ACCOUNTING 706; FIDUCIARY TAX RETURNS AND COURT DECISION; DRAFT OF LETTER TO JACK FISHER AND LETTER TO MRS GOLDBERG; TELEPHONE COURT	3.50	213696
7/06/93 J SIEGEL-BAUM	TELEPHONE ARLENE HARRIS	.10	213805
7/07/93 J SIEGEL-BAUM	REVIEW/REVISE LETTER TO JACK FISHER	.25	213819
7/08/93 J SIEGEL-BAUM	REVIEW/REVISE MEMO FOR REPORT	.25	213928
7/12/93 J SIEGEL-BAUM	LETTER TO MRS. GOLDBERG	.25	214366
7/13/93 J SIEGEL-BAUM	TELEPHONE JACK FISHER	.10	219158
7/14/93 J SIEGEL-BAUM	TELEPHONE JACK FISHER	.20	219275
7/15/93 J SIEGEL-BAUM	TELEPHONE CALLS TO MIELKE	.20	219283
7/19/93 J SIEGEL-BAUM	PARTIAL DRAFT OF GUARDIAN AD LITEM REPORT	.50	219337
7/22/93 J SIEGEL-BAUM	RESEARCH; CORRESP/CLIENT; MEETING WITH MR. MANDELBAUM AND MR. FISHER	2.25	220045
7/26/93 J SIEGEL-BAUM	BEGIN DRAFT OF GUARDIAN AD LITEM REPORT	.50	220752
7/27/93 J SIEGEL-BAUM	TELEPHONE SAM FRIEDMAN AT HALL DICKLER; PREPARE PARTIAL DRAFT OF REPORT ON GUARDIAN APPOINTMENT	1.50	220793
7/28/93 J SIEGEL-BAUM	WORK ON INTERIM REPORT	1.00	220991
7/29/93 J SIEGEL-BAUM	CONTINUE WORKING ON REPORT	1.00	220839
7/30/93 J SIEGEL-BAUM	TELEPHONE ISRAEL SCHIELMAN; DRAFT REPORT	.75	221314
8/02/93 J SIEGEL-BAUM	WORK ON GUARDIAN AD LITEM REPORT; LEGAL RESEARCH	3.00	223939
8/02/93 J SIEGEL-BAUM	LETTER TO MRS. GOLDBERG; REVIEW/REVISE DISCOVERY PETITION	.50	223940
8/03/93 J SIEGEL-BAUM	DRAFT GUARDIAN AD LITEM REPORT; LEGAL RESEARCH ON GUARDIAN APPOINTMENT CONSTITUTIONALITY	4.50	223947
8/04/93 J SIEGEL-BAUM	TELEPHONE ATTORNEY GENERAL; LEGAL RESEARCH ON JUDICIARY LAW	2.50	223958
8/05/93 J SIEGEL-BAUM	DRAFT GUARDIAN AD LITEM REPORT	1.00	223966
8/06/93 J SIEGEL-BAUM	COMPLETE DRAFT OF GUARDIAN AD LITEM REPORT; REVIEW OTHER ATTORNEYS' TIME SHEETS; COLLATED EXHIBITS	7.00	225239
8/06/93 P SOMMER	REVIEW GAL REPORT.	.50	230900
8/06/93 P SOMMER	REVIEW GAL REPORT.	.50	226439
8/09/93 J SIEGEL-BAUM	COMPLETE GUARDIAN AD LITEM REPORT; TELEPHONE ACLU ATTORNEY	2.50	225245
8/10/93 J SIEGEL-BAUM	TELEPHONE JACK FISHER AND ERIC KALAUDNER FROM NYC; PREPARE FOR COURT; REVIEW MRS. GOLDBERG'S PAPERS	1.50	225764
8/11/93 J SIEGEL-BAUM	COURT APPEARANCE; TELEPHONE PETER MIELKE AND JACK FISHER	4.00	225795
8/12/93 J SIEGEL-BAUM	TELEPHONE PUBLIC ADMINISTRATION	.50	225916
8/12/93 J SIEGEL-BAUM	TELEPHONE JULES HASKELL AND STEVEN BARNES	.90	233078
8/14/93 J SIEGEL-BAUM	TELEPHONE STEVE HAND	.25	234024
8/15/93 J SIEGEL-BAUM	TELEPHONE JACK FISHER	.25	234052
10/06/93 J SIEGEL-BAUM	TELEPHONE STEVE HAND; TELEPHONE CALLS TO STEVEN BARNES; TELEPHONE CALLS TO JACK FISHER; LETTER TO BARNES	1.25	244587
10/07/93 J SIEGEL-BAUM	CONFER WITH JULES HASKELL	.25	244590
11/04/93 J SIEGEL-BAUM	PREPARE FOR CONFERENCE	.75	246630

TOTAL HOURS 62.75

11/02/95
10:58:49

Value

Trans Number	Trans Date	Empl Invoic Init Number	Actual Hours	Actual Amount	Billing Amount	Full Narrative	Bill Status
683,512	05/04/94	ER 000000	.10	20.00	20.00	CALLS TO CARIOUS COURTS AND COUNSEL	
682,725	05/05/94	JES 000000	.50	150.00	150.00	TWO TELEPHONE CALLS TO JUDGE LOWES CHAMBERS TELEPHONE CALL TO ROBIN; CONFERENCE WITH EMILY; CONFERENCE WITH STEVE BARNES	
683,513	05/05/94	ER 000000	.90	180.00	180.00	CONFERENCES WITH JESB RE: WHEN TO MAKE MOTION TO DISMISS RETURNABLE; PHONE CALL TO MOTION SUPPORT; NUMBER OF PHONE CALLS TO OTHER RESPONDENTS RE: RETURN DATE OF MOTIONS TO DISMISS	
685,869	05/06/94	JES 000000	.40	110.00	110.00	TWO TELEPHONE CONFERENCES WITH SURROGATE'S COURT	
685,868	05/06/94	JES 000000	1.00	275.00	275.00	COMPLETE PAPERS; TELEPHONE CONFERENCE TO VARIOUS COUNSEL; CONFERENCE WITH EMILY	
685,870	05/10/94	JES 000000	.40	110.00	110.00	TWO TELEPHONE CONFERENCES - SURROGATE'S COURT	
684,105	05/13/94	ER 000000	.10	20.00	20.00	CONFERENCE WITH JES RE: COURT APPEARANCE NEXT WEEK	
685,928	05/16/94	JES 000000	.50	137.50	137.50	REVIEW MRS. GOLDBERG'S MOTION PAPERS	
685,929	05/17/94	JES 000000	1.25	343.75	343.75	TELEPHONE CONFERENCE; REVIEW AND REVISE PAPERS; DICTATED DRAFT OF REPLY	
685,885	05/17/94	ER 000000	3.30	660.00	660.00	CONFERENCE WITH JUDY RE: OPPOSITION; TELEPHONE CALL TO JULES HASKELL; REVIV OF ALL PAPERS; REVISE JUDY'S OPPOSING AFFIDAVIT; CONFERENCE WITH JUDY RE: COURT APPEARANCE	
689,096	05/18/94	ER 000000	2.50	500.00	500.00	COURT APPEARANCE ON PETITION, ETC.	
687,916	05/18/94	JES 000000	.50	137.50	137.50	CONFERENCE WITH EMILY; TELEPHONE CONFERENCE WITH JUDGE ROTH'S CHAMBERS	
687,917	05/20/94	JES 000000	.20	55.00	55.00	REVIEW JUDGE LAURINO'S PAPERS	
689,151	05/24/94	JES 000000	.25	68.75	68.75	CONFERENCE WITH EMILY	
688,039	05/25/94	ER 000000	2.00	400.00	400.00	REVIEW FILE; COURT APPEARANCE - MOTION	
688,069	05/25/94	JES 000000	1.50	450.00	450.00	COURT APPEARANCE - JUDGE LOWE - ORAL ARGUMENT; PREPARE FOR COURT	
699,435	07/11/94	ER 000000	.30	60.00	60.00	TELEPHONE CALLS TO COURT RE: 9/14 DATE	
699,436	07/12/94	ER 000000	.40	80.00	80.00	TELEPHONE CALLS TO CLIENT RE: 9/14 DATE; CONFERENCE WITH JUDY	
699,561	07/12/94	JES 000000	.50	137.50	137.50	CONFERENCE WITH EMILY; TWO TELEPHONE CONFERENCES WITH JACK FISCHER; REVIEW DECISION FROM JUDGE LOWE	
706,865	08/17/94	JES 000000	.25	68.75	68.75	Review notice of appeal; pc Jack Fischer	
706,213	08/19/94	ER 000000	1.20	240.00	240.00	Review latest motion; research on motion to reargue; conf. with JES	
707,879	08/23/94	ER 000000	1.50	300.00	300.00	Draft opposition affidavit & pull service list etc.; oversee serviced papers	
709,581	08/24/94	MS 000000	.40	38.00	38.00	Submit opposition papers	
709,484	08/31/94	ER 000000	.20	40.00	40.00	Review Laurino's opposing papers	
711,171	09/07/94	JES 000000	.20	55.00	55.00	Telephone call to Jack Fischer	
711,172	09/08/94	JES 000000	.25	68.75	68.75	Telephone call to Jack Fischer	
711,173	09/13/94	JES 000000	.20	55.00	55.00	Two telephone calls to Jack Fischer	
717,742	10/03/94	JES 000000	.20	55.00	55.00	Telephone call to Jules Haskell	

Lowenthal, Landau, Fischer & Bring, P.C.
Detailed Listing of Time and Expenses

11/02/95
10:58:51

Lowenthal, Landau, Fischer & Bring, P.C.
Detailed Listing of Time and Expenses

11/02/95
10:58:52

Trans Number	Trans Date	Empl Init Number	Actual Hours	Actual Amount	Billing Amount	Full Narrative	Bill Status
718,974	10/10/94	JES 000000	.25	68.75	68.75	Telephone call to John Fischer	
718,975	10/11/94	JES 000000	.50	137.50	137.50	Dictate affidavit in reply to motion	
718,976	10/14/94	JES 000000	.50	137.50	137.50	Memo to Emily Ruben; revise Surrogate Court pleading	
718,987	10/17/94	ER 000000	.30	60.00	60.00	Review memos; conference with J. E. Siegel-Baum	
722,241	10/27/94	ER 000000	.40	80.00	80.00	Two telephone calls to John Fischer; telephone call to App. Div. re: motion to expand and time to appeal	
723,887	11/01/94	JES 000000	.25	68.75	68.75	Conference with Emily Ruben; review memo	
723,888	11/02/94	JES 000000	.10	27.50	27.50	File papers in Surrogate's court	
723,889	11/03/94	JES 000000	.75	206.25	206.25	Review pleadings; telephone call to Jack Fischer	
724,423	11/08/94	JES 000000	.50	137.50	137.50	Telephone call with Mrs. Goldberg; conference with Emily Ruben; two telephone calls with Jack Fischer	
724,683	11/08/94	ER 000000	.30	60.00	60.00	Telephone call to J. Fischer re: Appearing at App. Div. on Thursday; conference with J.E. Siegel-Baum re: her conversation with Mrs. Goldberg	
724,684	11/09/94	ER 000000	1.00	200.00	200.00	Review file to prepare for Mrs. Goldberg's motion for a stay in App. Div.	
725,695	11/10/94	ER 000000	2.50	500.00	500.00	Review file - App. Div. appearance re: stay; Conference with J. E. Siegel-Baum	
725,782	11/11/94	JES 000000	.25	68.75	68.75	Conference with Emily Ruben; review appellate pleadings	
725,696	11/14/94	ER 000000	.40	80.00	80.00	Telephone call to opposing counsel re: AD caption; revise App. Div. opposing papers	
725,783	11/14/94	JES 000000	1.00	275.00	275.00	Prepare affidavit in response to appellate proceeding	
725,760	11/15/94	JES 000000	1.00	300.00	300.00	Court appearance	
725,722	11/15/94	ER 000000	.50	100.00	100.00	Revise J.E. Siegel-Baum's affidavit; conference with J. E. Siegel-Baum re: court appearance	
726,915	11/21/94	JES 000000	.20	55.00	55.00	Telephone call Mrs. Goldberg; Jack Fischer; conference with Emily Ruben re: App. Div.	
726,799	11/22/94	ER 000000	.50	100.00	100.00	Telephone call to App. Div.; review App. Div. reply paper; conf. w/J.E. Siegel-Baum	
726,904	11/23/94	JES 000000	2.00	600.00	600.00	Court appearance - App. Div.	
726,905	11/28/94	JES 000000	1.00	300.00	300.00	Dictate response to Supreme Court motion	
727,825	11/29/94	JES 000000	1.00	300.00	300.00	Partial draft of response to New York County Supreme Court - order to show cause	
727,826	11/30/94	JES 000000	2.50	750.00	750.00	Telephone call with Steve Barnes; Judge Laurino, Jack Fischer; draft pleading	
730,926	12/01/94	JES 000000	.75	225.00	225.00	Telephone call with Jack Fischer; draft pleadings	
730,927	12/02/94	JES 000000	1.50	450.00	450.00	Review pleadings	
730,928	12/07/94	JES 000000	3.50	1,050.00	1,050.00	Court appearance - Judge Lowe	
730,909	12/08/94	JES 000000	1.25	375.00	375.00	Telephone call with Suzanne, first draft of letter	

Lowenthal, Landau, Fischer & Bring, P.C.
Detailed Listing of Time and Expenses

11/02/95
10:58:53

Trans Number	Trans Date	Empl Invc Init Number	Actual Hours	Actual Amount	Billing Amount	Full Narrative	Bill Status
731,720	12/12/94	JES 000000	.25	68.75	68.75	Telephone call with Jack Fischer; review App. Div. decision	
733,728	12/27/94	ER 000000	.50	100.00	100.00	Review Mrs. Goldberg's letters to Lowe and motion to App. Div.; conference with J.E. Siegel-Baum	
733,964	12/27/94	JES 000000	.25	75.00	75.00	Telephone call to Mildred Drangel	
736,389	01/04/95	JES 000000	.20	60.00	60.00	Telephone call with Jack Fischer	
740,320	01/13/95	JES 000000	.20	60.00	60.00	Telephone call to Jack Fischer	
740,321	01/17/95	JES 000000	.75	225.00	225.00	Telephone call with Jack Fischer; review pleadings	
740,296	01/17/95	JES 000000	.20	60.00	60.00	Telephone call to Jack Fischer	
740,322	01/18/95	JES 000000	.75	225.00	225.00	First draft of reply papers to motion returnable before Judge Lowe on February 8, 1994	
741,771	01/23/95	JES 000000	2.50	750.00	750.00	Pretrial conference on Goldberg; review Supreme Court pleadings for 2/8/95 return date	
741,772	01/26/95	JES 000000	1.50	450.00	450.00	Review pleadings; draft response to Supreme Court motion	
741,773	01/27/95	JES 000000	.75	225.00	225.00	Revise Supreme Court pleadings	
740,970	01/27/95	ER 000000	.50	100.00	100.00	Review and revise affidavit; prepare exhibits	
741,774	01/30/95	JES 000000	1.25	375.00	375.00	Review pleadings from Surrogate's court proceeding; draft response	
741,775	01/31/95	JES 000000	1.00	300.00	300.00	Complete revision of pleadings; review	
745,407	02/01/95	JES 000000	.10	30.00	30.00	File reply in Surrogate's Court	
745,408	02/03/95	JES 000000	1.25	375.00	375.00	Court appearance in Surrogate's court	
746,639	02/13/95	JES 000000	.50	150.00	150.00	Review state of issues for trial; telephone call with Jack Fischer	
748,353	02/16/95	JES 000000	.30	90.00	90.00	Review issues for trial; telephone call with Jack Fischer	
748,854	02/28/95	JES 000000	.25	75.00	75.00	Review Jack's order	
750,643	03/01/95	JES 000000	2.00	600.00	600.00	Telephone call with Karyn Barkhorn; review John's affidavit; review file in preparation of trial	
752,649	03/02/95	ER 000000	.30	60.00	60.00	Review decision in NYLJ; conference with J.E. Siegel-Baum	
750,644	03/06/95	JES 000000	.25	75.00	75.00	Conference call with Karyn Barkhorn and Jack Fischer	
750,645	03/09/95	JES 000000	.25	75.00	75.00	Telephone call with Karyn Barkhorn	
752,340	03/10/95	JES 000000	.25	75.00	75.00	Telephone call with Karen Barkhorn	
752,341	03/13/95	JES 000000	.50	150.00	150.00	Telephone call with Karen Barkhorn; review accountant affidavit	
752,494	03/14/95	JES 000000	1.00	300.00	300.00	Prepare for trial	
752,093	03/14/95	ER 000000	.20	40.00	40.00	Conference with J.E. Siegel-Baum re: hearing	
752,495	03/15/95	JES 000000	2.50	750.00	750.00	Court appearance	
754,327	03/20/95	ER 000000	.60	120.00	120.00	Review documents from Goldberg; conference with J.E. Siegel-Baum	
752,298	03/21/95	JES 000000	.50	150.00	150.00	Prepare for Goldberg hearing; telephone call with Karen Barkhorn and Jack Fischer	

Lowenthal, Landau, Fischer & Bring, P.C.
Detailed Listing of Time and Expenses

Trans Number	Trans Date	Empl Invoic Init Number	Actual Hours	Actual Amount	Billing Amount	Full Narrative	Bill Status
752,299	03/22/95	JES 000000	3.00	900.00	900.00	Hearing in Surrogate's Court	
757,180	04/04/95	JES 000000	.25	75.00	75.00	Review correspondence from Mrs. Goldberg	
760,959	04/25/95	JES 000000	.25	75.00	75.00	Letter to Jack Fischer	
761,219	04/27/95	JES 000000	.10	30.00	30.00	Telephone call with Jack Fisher	
763,123	05/01/95	JES 000000	.25	75.00	75.00	Review Judge Lowe's decision; telephone call with Jack Fisher; fax to Jack Fisher	
765,716	05/10/95	ER 000000	.20	40.00	40.00	Conference with J.E. Siegel-Baum re: Goldberg's latest motion	
770,784	06/05/95	JES 000000	1.50	450.00	450.00	Review referee's report; telephone call with Jack Fisher; review Mrs. Goldberg's notice of motion and supporting affidavit; dictate responsive affidavit	
770,785	06/06/95	JES 000000	.30	90.00	90.00	Revise pleadings; response to Mrs. Goldberg's motion	
773,390	06/14/95	JES 000000	.40	120.00	120.00	Review Mrs. Goldberg's papers	
773,391	06/22/95	JES 000000	.10	30.00	30.00	Telephone call with Jack Fischer	
779,726	07/17/95	JES 000000	.20	60.00	60.00	Review App. Div. decision; telephone call with John Fischer	
781,892	07/28/95	JES 000000	1.00	300.00	300.00	Two telephone calls with Karin Barkhorn; review motion	
781,893	07/31/95	JES 000000	.50	150.00	150.00	Draft reply to Notice of motion	
784,104	08/01/95	JES 000000	.25	75.00	75.00	Complete reply affidavit and send with affidavit of service	
784,105	08/04/95	JES 000000	.40	120.00	120.00	Review Mrs. Goldberg's pleadings	
784,131	08/07/95	JES 000000	.50	150.00	150.00	Telephone call with Jack Fischer and Karin Barkhorn; review Mrs. Goldberg's motion	
784,132	08/09/95	JES 000000	1.00	300.00	300.00	Court appearance - New York County Surrogate's Court	
788,621	08/28/95	JES 000000	.25	75.00	75.00	Prepare affidavit	
789,317	08/31/95	JES 000000	1.00	300.00	300.00	Letter to court; prepare affidavit	
822,137	09/05/95	JES 000000	.25	75.00	75.00	Telephone call with Jack Fischer; brief review of appellate papers	
822,271	09/11/95	JES 000000	.50	150.00	150.00	Telephone call with Jack Fischer; review brief	
822,963	09/13/95	JES 000000	.50	150.00	150.00	Review appellate brief	
822,964	09/15/95	JES 000000	1.00	300.00	300.00	Review prior pleadings; outline of brief for Appellate Division	
822,965	09/18/95	JES 000000	2.00	600.00	600.00	Meeting with Jack Fischer; draft appellate brief	
824,335	09/20/95	JES 000000	1.00	300.00	300.00	Telephone call with Jack Fischer; revise appellate brief	
830,641	10/02/95	JES 000000	.50	150.00	150.00	Phone conversation with Counsel Press and Jack Fischer.	
830,659	10/05/95	ER 000000	.30	60.00	60.00	Telephone call with John Fischer and Counsel Press.	
830,754	10/16/95	JES 000000	.50	150.00	150.00	PC Jack Fischer; review Mrs. G's reply brief	

Total TOW/TOE 04

112.55 31,148.00

31,148.00

Type Of Work 05 Real Estate

11.70
110.85

30,648.00

Lowenthal, Landau, Fischer & Brinq, P.C.
Detailed Listing of Time and Expenses

Trans Number	Trans Date	Empl Invoic Init Number	Actual Hours	Actual Amount	Billing Amount	Full Narrative	Bill Status
681,719	04/28/94	MS 000000	.40	38.00	38.00	TC to motion office and J. Lowell's clerk re petition in Goldberg/Siegel Baum	

Total TOW/TOE 05

38.00

Total TC/EC T

31,186.00

Type Of Expense 11 Telephone/Fax

683,220	05/10/94	000000	.00	26.25	26.25	Telephone
737,100	01/15/95	000000	.00	5.00	5.00	Telephone/Fax
742,280	01/31/95	000000	.00	5.00	5.00	Telephone/Telex
764,258	05/15/95	000000	.00	2.50	2.50	Telephone/Telex
827,902	10/10/95	000000	.00	2.50	2.50	Telephone/Telex

Total TOW/TOE 11

41.25

Type Of Expense 12 Photocopy

669,868	03/10/94	000000	.00	23.50	23.50	Photocopy/Binding
683,221	05/10/94	000000	.00	5.50	5.50	Photocopy
684,894	05/22/94	000000	.00	20.00	20.00	Photocopy
687,766	05/31/94	000000	.00	1.50	1.50	Photocopy
709,905	08/31/94	000000	.00	6.50	6.50	Photocopy
742,281	01/31/95	000000	.00	7.50	7.50	Photocopy
749,242	03/07/95	CR 000000	.00	21.24	21.24	02-17-95

From National Reproduction Inc. 014006

AUDIT * AP-0037,970:0014 Date: 03/07/95

Photocopy

771,394 06/15/95 000000

3.75

3.75

Total TOW/TOE 12

89.49

89.49

Total TC/EC E

130.74

Total This Report

31,736.74

31,736.74

111.45

30816.74

18825.00

449641.74

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 16 of 29

June 8, 1993 Fisher Letter to GAL Clerk Joseph Brown, Rm 301, noticed to New York State Assistant Attorney General Howard Holt.

Evelyn has no knowledge that Joseph Brown is the GAL Clerk and not the Miscellaneous Clerk in charge of motions on the 5th floor.

Fisher Letter written same day that Fisher calls Judith Siegel Baum to manufacture the GAL over Evelyn to stop Evelyn from filing with authorities over the Wolf Popper-Emily Madoff Memo re: ex parte conversations with Judge Roth.

LAW OFFICES
WOLF POPPER ROSS WOLF & JONES
845 THIRD AVENUE
NEW YORK, N. Y. 10022

PLAZA 9-4600

CABLE "WOPOROW" NEWYORK
TELEX NO. 2395151
TELECOPIER NO. (212) 486-2093

June 8, 1993

PLEASE REFER TO OUR
FILE NO. 9325

ESTATE OF: Simon A. Goldberg
File No. 3169/1985

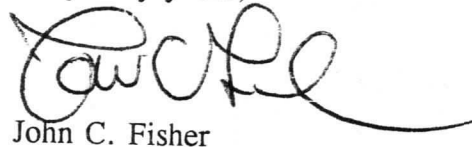
By Hand

Joseph Brown Esq.
Surrogate's Court
New York County, Room 301
31 Chambers Street
New York, NY

Dear Mr. Brown:

We understand that this Estate is once again on Judge Roth's calendar June 18, 1993. I have previous long standing plans to be out of town that day on family business and respectfully request an adjournment to August 11, 1993. Due to the nature of these proceedings I prefer not to send someone else from my office.

Very truly yours,


John C. Fisher

JCF:ekj

cc.

Mrs Evelyn Goldberg
400 East 54th Street
New York, NY 10022

Judith E. Siegel-Baum Esq
Fink Weinberger
420 Lexington Avenue
New York, NY 10170

Joseph Brown Esq.
June 8, 1993
Page 2

Howard Holt Esq.
Assistant Attorney General
120 Broadway
New York NY 10271

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 17 of 29

June 16, 1993 Accounting Department Clerk Order for Guardian Ad Litem over Evelyn Goldberg typed by Clerk James Gallagher.

Accounting Clerk has no authority to issue order.

Evelyn Goldberg has no knowledge of typed order sent to Judith Siegel Baum.

Nor does Evelyn have knowledge of Fisher's June 8, 1993 telephone call that Siegel Baum bills for but files in November 1995, some 2 years later.

Form NDL 2

SURROGATE'S COURT

COUNTY OF NEW YORK

New York,.....June 16, 1993

Judith Siegel Baum, Esq.

Dear Ms. Siegel-Baum:

File # 3169-85

You have been appointed Guardian Ad Litem by Surrogate Preminger

in the Miscellaneous proceeding in the Estate of SIMON A. GOLDBERG
deceased. You are accordingly requested to call at this office to qualify within TEN days.

Very truly yours,
James V. Gallagher

Accounting Dept.

INSTRUCTIONS TO GUARDIANS AD LITEM

1. Guardians Ad Litem are required to file their reports promptly within twenty days from the date of the order of their appointment. Should further time be necessary, written application should be made to the Surrogate for an extension, with the reasons therefor.
2. Guardians Ad Litem in accounting proceedings in their reports are required to advise the Court as to the precise interest of the infants or incompetents whom they represent, whether as next of kin, legatees, beneficiaries of a trust, or otherwise, and as to the pecuniary or proportionate shares of such wards in the fund accounted for.
3. When payments have been made in behalf of such wards, the report should disclose whether such payments are in full for their distributive shares or whether a balance remains which should be provided for in the decree.
4. If any adversity of interest appears to exist between the parties whom the guardian has been appointed to represent, the facts should be reported to the Surrogate at once.
5. Guardians Ad Litem are also expected to examine the proofs of service for the purpose of ascertaining if the infants have been properly served.
6. The Guardian Ad Litem is directed to examine each particular security to enforce compliance with §11-1.6 EPTL which requires the representative of the estate to maintain the funds and securities in his name as executor, administrator, trustee or guardian. All certificates of stock, mortgages, registered bonds or bank deposits must be examined in order to see that this statutory duty has been discharged.
7. The Guardian Ad Litem is required to file a supplemental report showing compliance with the decree. Attention is called to Rule XXIX of the Surrogate's Court Rules.
8. The court does not require a supplemental account unless the account is over one year old.

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 18 of 29

On June 23, 1993, Judith Siegel Baum signed acceptance of GAL appointment over Evelyn filed July 1, 1993.

Judith Siegel Baum will deny that she knew of the GAL prior to June 16, 1993.

Judith Siegel Baum telephone records contradict and admit that she spoke with Wolf Popper John C. Fisher on June 8, 1993.

Judith Siegel Baum opens a file eight days before an unsigned order is issued by Accounting Clerk Gallagher.

NOTICE OF APPOINTMENT
FOR GUARDIANS, GUARDIANS AD LITEM, COURT EVALUATORS,
COUNSEL FOR ALLEGED INCAPACITATED PERSONS, RECEIVERS, PERSONS DESIGNATED TO
PERFORM SERVICE FOR A RECEIVER.
PURSUANT TO PART 36 OF THE RULES OF THE CHIEF JUDGE
22 NYCRR PART 36

(Please print - see instructions on reverse side)

1. Surrogate's Court Court, New York County.

2. Appointee

Name Judith E. Siegel-Baum, Esq.

Firm Name, if any Fink Weinberger p.c.

Address 420 Lexington Avenue

New York, NY 10170

Federal Employment Identification No. 132771107

Soc. Sec. No. 190-36-9556

Phone No. (212) 916-9709

Occupation: ☒ Attorney ☐ Other (Specify) _____

3. Date of Appointment 6 / 16 / 93
MONTH DAY YEAR

4. Court Docket/File/Index Number

3169-85

5. Title of Proceeding
(Limit to 25 spaces)

E S T A T E O F S I M O N A . G O L D B E R G

6. Name of Appointing Justice/Judge Surrogate Eve Preminger

7. Person or Interest Appointee Represents (Limit to 15 spaces)

E V E L Y N G O L D B E R G

8. Nature of appointment (check one):

- ☐ Guardian (d) ☐ Receiver (g)
☒ Guardian ad litem (e) ☐ Service as a _____ for a receiver (z)
☐ Court Evaluator (i)
☐ Counsel for Alleged Incapacitated Person (m)

6/23/93
Date

Judith E Siegel-Baum
Signature of Appointee

CERTIFICATION

I HEREBY CERTIFY THAT A COPY OF THIS NOTICE OF APPOINTMENT WAS FILED WITH THE
OFFICE OF COURT ADMINISTRATION.

6/23/93 7/1/93
Date

Judith E Siegel-Baum
Signature of Appointee

YAL
notified
2/1/93
Jim

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 19 of 29

Surrogate Judge Renee Roth's June 30, 1993 Guardian ad Litem over Evelyn without a hearing after Surrogate Preminger refuses to sign GAL order.

On June 1, 1993, the New York Post runs an article about Surrogate Preminger patronage in Manhattan Surrogate Court.

of New York at the Courthouse in said County
on the 30th day of June, 1993

PRESENT:

Honorable..... Renee R. Roth.....
Surrogate

IN THE MATTER OF THE Estate of

SIMON A. GOLDBERG,

Deceased

Motion to resettle an Order

Order Appointing
Guardian Ad Litem

3169-85

On reading and filing the consent and affidavit of..... Judith Siegel-Baum.....
attached hereto, it is ordered that he be and he is hereby appointed the guardian ad litem of

EVELYN GOLDBERG

~~infant~~.....for the sole purpose of appearing for.....her.....
and taking care ofher.....interests in the above-entitled proceeding.

Surrogate

CONSENT OF GUARDIAN AD LITEM

I hereby consent to serve as guardian ad litem of the above named ~~infant~~ person for the sole purpose of appearing for.....her.....and taking care of.....her.....interests in the above-entitled proceeding.

Dated, New York,

June 23, 1993

Judith E. Siegel-Baum
Guardian Ad Litem

AFFIDAVIT OF GUARDIAN AD LITEM

COUNTY AND STATE OF NEW YORK, ss.:

Judith Siegel-Baum....., being duly sworn, says that he is an attorney and counsellor at law, and that he is the individual who signed the foregoing consent.

Deponent further says that he is fully competent to understand and protect the rights of the above-named ~~infant~~ person; that he has no interest in this proceeding adverse to that of said ~~infant~~ person and that he is not connected in business with any party to this proceeding or with the attorney or counsel of any such party.

Sworn to before me this.....

day of June, 1993

6/16/93
James V. Gallagher
S. office assistant

Judith E. Siegel-Baum
Guardian Ad Litem

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 20 of 29

Surrogate Roth Feb 24, 1995 Interim Order denying motion to vacate and discussion on the conspiracy hearing re: the Guardian ad Litem and Accounting fraud.

Hearing ordered in response to Evelyn's filing an Article 78 proceeding on the fraudulent GAL proceeding and accounting fraud before Judge Lowe in January 1995.

SURROGATE'S COURT : NEW YORK COUNTY

-----X
In the Matter of the Judicial Settlement of
the Accounting Proceedings of
the Estate of

File No.: 3169/85

SIMON A. GOLDBERG,

Deceased.
-----X

R O T H , S .

In this contested accounting, the fiduciary of the estate of Simon A. Goldberg requested the court to appoint a Special Referee to hear and report on various issues raised by or on behalf of the income beneficiary, Evelyn Goldberg (the respondent). The guardian ad litem appointed by the court to represent respondent's interests joined in the request. Respondent opposed the motion to appoint a referee and cross-moved for sanctions and contempt. After oral argument at the call of the calendar, the court granted the motion and denied the cross motion. Subsequently, by decision and order dated December 15, 1994, the court appointed Karin J. Barkhorn, Esq. as Referee in this matter. Mrs. Goldberg has moved to vacate the court's December 15, 1994 order. A review of the history of this estate makes it abundantly clear that Mrs. Goldberg's motion to vacate should be denied.

A brief review illustrates the irrelevant and extensive litigation that has plagued this estate. Mr. Goldberg died on May 24, 1985, survived by his wife Evelyn as his sole distributee. Under his will dated March 25, 1985, Mr. Goldberg left his estate in trust

for her life income benefit with remainder to various relatives and Harvard and McGill Universities. The trustee was given broad power to invade for respondent's benefit.

Respondent filed objections to probate which were eventually dismissed by this court on a motion for summary judgment. Mrs. Goldberg appealed; the Appellate Division, by order entered February 18, 1992, affirmed. Mrs. Goldberg then sought leave to appeal to the Court of Appeals but her application was denied as not timely. Mrs. Goldberg, during the proceedings, was represented by a succession of counsel.

The executor filed his accounting in February 1993. On the return date of citation (April 16, 1993), respondent appeared and stated that she intended to file objections. Instead, acting pro se, respondent filed several largely incomprehensible documents replete with general allegations of fraud and misconduct by the petitioner along with a motion requesting that this court recuse itself. The recusal motion was denied.

In the meantime, respondent had still not filed formal objections to the account. Accordingly, by order dated June 16, 1993, the court, based upon respondent's papers and presentations in the courtroom, appointed a guardian ad litem on her behalf. Subsequently, on August 10, 1993, the guardian filed objections. Unfortunately, respondent had refused to cooperate or even speak with her guardian. Instead, respondent moved to vacate the order appointing the guardian, repeating the allegations she had made in

her earlier papers. This motion was also denied. Nonetheless, respondent has remained adamant in her refusal to cooperate with the guardian ad litem. Respondent did, however, in April 1994, file a document containing mainly vague and conclusory objections to petitioner's account.

Thereafter, respondent brought an Article 78 proceeding in Supreme Court seeking to disqualify both Surrogates of this court and transfer the pending Surrogate's Court proceedings to the Supreme Court. By order entered June 16, 1994, the Supreme Court (Lowe, J.) dismissed the Article 78 proceeding.


Respondent thereafter brought a barrage of motions in this court, the Supreme Court and the Appellate Division. These motions, almost all of which were denied and caused further unnecessary delay and expense, culminated in a ruling by Justice Lowe from the bench on December 7, 1994, requiring respondent to seek court approval before engaging in further motion practice in the Supreme Court.

Because of the pendency of the various motions and proceedings, the executor has been unable to obtain a final decree on his accounting or fund the trust created for respondent's benefit. It is noted that she has refused to accept various checks sent to her by the fiduciary. Furthermore, the executor has been unable to proceed with a discovery proceeding he instituted in August 1993 against respondent to ascertain the whereabouts and ownership of securities valued at \$197,500 that may belong to the estate.

Clearly, these proceedings must be brought to a conclusion.

Based upon all the foregoing, the Special Referee was appointed to hear and report on the issues raised in the objections filed by the guardian ad litem, the issues raised in the discovery proceeding, and the issues raised by respondent including conspiracy and the denial of respondent's constitutional rights. Accordingly, the instant application to vacate the December 15, 1994 order is denied.

This decision constitutes the order of the court.



S U R R O G A T E

Dated: February 24th, 1995

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 21 of 29

Conspiracy Hearing Transcript for January 23, 1995, P26.

Hearings on Fraud and Transcript Admissions, PP 25-26 before Special Master Karin J. Barkhorn with John Fisher and Judith Siegel Baum fixing the GAL issue telling Special Master that Surrogate Roth will decide the GAL fraud.

SURROGATE'S COURT : NEW YORK COUNTY

In the Matter of
The Estate of

Simon Goldberg,

Deceased.

FILE NO.:
3169/85

31 Chambers Street
New York, NY 10007
Monday, January 23, 1995

B E F O R E :

KARIN BARKHORN,
Special Referee

A P P E A R A N C E S :

JOHN C. FISHER, ESQ
Wolf Popper et al
845 Third Avenue
New York, New York 10022
On behalf of Executor

JUDITH E. SIEGEL-BAUM, ESQ.
420 Lexington Avenue
New York, New York 10170
Guardian ad litem for Mrs. Goldberg

MRS. SIMON A. GOLDBERG, Pro Se

-o0o-

PAT JACKSON Court Transcription
(212)877-1852 FAX (212)873-0112

1 a hearing?

2 REFEREE BARKHORN: And I, and I would then
3 similarly give Mrs. Goldberg until March 1st to complete
4 any discovery that she wishes.

5 MS. SIEGEL-BAUM: Are you going to, are you going to
6 do an affidavit for the accountants, too? Don't you
7 think that you need an affidavit for the accountants?

8 MR. FISHER: Yeah, I guess, I guess I do, although
9 their being brought into this picture was really my idea
10 because of the--

11 MS. SIEGEL-BAUM: Yeah, but I still think that it's
12 necessary to have it because then we can avoid the
13 hearing on the issue of accounting fees. So, you'll have
14 your affidavit and an accounting affidavit.

15 REFEREE BARKHORN: Yes. I think that I agree. I
16 think we need an affidavit from the accountants or backup
17 or further explanation. And let's see--

18 MS. SIEGEL-BAUM: Now, I have a question to ask you.
19 I think-- and I'm just predicting. I'm not sure that I'm
20 going to be correct but I just have this sixth sense that
21 what you're going to receive back from Mrs. Goldberg, one
22 of the issues she's going to try to have you try is, is
23 my appointment, which--

24 MR. FISHER: That's a matter of law.

25 REFEREE BARKHORN: But that's already-- hasn't she

1 already questioned that?

2 MS. SIEGEL-BAUM: I think that's a matter of
3 law. Well, what she's done is she questioned it in this
4 court. She tried to get rid of me in Supreme Court in
5 two proceedings. She tried to get rid of me in the
6 Appellate Division. See, she doesn't understand that
7 this isn't a job that I love, either, for that matter.
8 She's not aware of that. But I don't think it's an
9 issue. But I do want to raise it to make sure that she's
10 not going to-- I want to address it here to make sure
11 that if March 1st comes around and she starts to raise
12 that again, that that's not what's before you, that
13 that's not what you've been appointed to hear.

14 REFEREE BARKHORN: No, I agree.

15 MR. FISHER: That's an issue between her and Judge
16 Roth, right.

17 MS. SIEGEL-BAUM: --and Judge Roth. And she's
18 attempted to go into other courts to stop it.

19 MR. FISHER: And they won't hear it.

20 MS. SIEGEL-BAUM: Right. So, you know, but I, I
21 want to make sure. I mean, this is going to be limited
22 to that, to the accounting. And it's going to be limited
23 to the missing assets and to the fiduciary if she chooses
24 to, the removal of the fiduciary, right, if she chooses
25 to do anything about it?

1 REFEREE BARKHORN: Yes. Right.

2 MS. SIEGEL-BAUM: O.K. O.K., then how soon
3 after that can we set a trial date? Because I think you
4 should just set it.

5 MR. FISHER: How soon after March 1st?

6 MS. SIEGEL-BAUM: Yes.

7 MR. FISHER: April 1st?

8 MS. SIEGEL-BAUM: I have news for you. I think we
9 should make it ten days later because everything will be
10 fresh in your mind. Why should you let-- if you have to
11 take the stand, ten days is more than sufficient time
12 because the longer you wait, then you have to review it
13 more and, you know.

14 REFEREE BARKHORN: All right. Let's see. How
15 about-- what do you think is realistic in terms of the
16 number of days we should allot for this?

17 MR. FISHER: Probably a half an hour.

18 REFEREE BARKHORN: Well, how about--

19 MR. FISHER: It depends on whether Mrs. Goldberg
20 shows up and participates. If, if she does, it'll, it'll
21 be a couple of days. If she doesn't show up and
22 participate, there will be no hearing.

23 MS. SIEGEL-BAUM: Well, why don't we schedule--

24 REFEREE BARKHORN: We also need an order framing
25 issues submitted at some point before that, as well.

1 MS. SIEGEL-BAUM: So, we'll plan to go all day. And
2 hopefully, we can wrap it up in two days. If not, then
3 we'll just have to pick an adjourn date then, don't you
4 think, instead of tying all this up?

5 REFEREE BARKHORN: So, we're going to begin on the
6 10th -- the 13th at 10 AM.

7 Any other-- ? No? O.K.

8 We'll-- this conference is at end. And I'm going to
9 turn the tape recorder off.

10 [Whereupon, the above matter was adjourned.]

11 -o0o-

12/94

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REPORTER'S CERTIFICATE

CASE TITLE In the Matter of
Simon Goldberg, Deceased

FILE NUMBER 3169/85

HEARING DATE: 1/23/95

LOCATION: 31 Chambers Street, NYC, NY 10007

I hereby certify that the proceedings and evidence
are fully and accurately transcribed in the attached
transcript in the above case from tapes:

SC287 [01-1037]

BEFORE: Surrogate's Court: New York County

A duplicate original is filed with the Court.

DATE: 2/24/95



Pat Jackson

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12/94

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 22 of 29

June 1, 1995 Special Referee Karin Barkhorn Report on GAL Conspiracy and
Constitutional Rights violations.

Conspiracy Report issued without GAL investigation or Judith Siegel Baum's
November 1995 billing admitting to fraudulent GAL.

File No.: 2169/1985

"The special Referee was appointed to hear and report on the issues raised in the objections filed by the guardian ad litem, the issues raised in the discovery proceeding and the issues raised by Respondent [Mrs. Goldberg], including conspiracy and denial of respondent's constitutional rights."

objections to probate on a motion for summary judgment. Mrs. Goldberg sought leave to appeal to the Court of Appeals but her application was denied as not timely.

The Executor filed his accounting in February, 1993 covering the period from May 24, 1985 to December 31, 1992. During much of the accounting proceeding, Mrs. Goldberg has appeared, pro se. Based upon Mrs. Goldberg's papers and presentation in the courtroom, the Court appointed a guardian ad litem on her behalf by order dated June 16, 1993. Since Mrs. Goldberg had not filed objections, the guardian ad litem filed objections on or about March 4, 1994. (The guardian ad litem's report dated August 9, 1993 had contained objections.) Mrs. Goldberg has not acknowledged the appointment of the guardian ad litem, has refused to cooperate with her in any way and, in fact, moved to vacate the order appointing her, which was denied.

The objections filed by the guardian ad litem may be summarized as follows²:

1. The objection to Schedule A is a request for an explanation for the property which was not located at the time of the filing of the accounting;
2. An objection to Schedule C-1 is made on the grounds that the payment of a fee of \$5,515.85 to A. Uzzo & Company, is inappropriate and should be absorbed by the attorney's fees and the Executor's commissions;
3. An objection is made to the legal fees of Wolf, Popper, Ross, Wolf & Jones and Goodkind, Wechsler, Labaton & Rudoff, to the extent that the Court determines whether the fees are reasonable in comparison to the services rendered, the size of the estate and the qualifications of the attorneys;

² The guardian ad litem also made the following objections which were withdrawn after the Executor agreed to delete these payments from the accounting.

An objection to the payments shown on Schedule C to Wolf, Popper, Ross, Wolf & Jones for Xeroxing (\$217.15), postage (\$8.41) and facsimile charges (\$4.51); and

An objection to Schedule C for reimbursement to the executor, David J. Mandelbaum for postage (\$16.27), Xeroxing (\$54.00) and fares (\$33.75), as inappropriate for an executor who is receiving commissions.

4. An objection is made to Schedule D on the ground that Mrs. Goldberg did not accept a \$40,000 distribution as shown on Schedule D, and an adjustment must be made;

5. An objection is made to Schedule F to the extent that the Executor is required to show proof that the items in question (which were the subject matter of the Executor's order to show cause and petition for reverse discovery, returnable August 11, 1993) are in the estate's possession, a third party's possession or whether the Executor has received replacement certificates; and

6. A corollary objection is made to Schedule I on the grounds that the balance of the commissions of \$25,171, should not be paid until the Executor reports on the status of the missing assets.

Mrs. Goldberg filed "verified objections" to the account on or about April 1, 1994 (approximately one year after the return date of the accounting citation), which may be summarized as follows:

1. The accounting is out of date by over one year;
2. The asset information is seriously in error;
3. "There is no supporting documentation of foreign and/or domestic account information, no receipts, bank statements, time sheets, evidence of services performed, nor how both the estate and beneficiary was benefited";
4. The accounting is not certified and contains errors, defalcations, and/or major misrepresentations of material fact. There are no allowable expenses because there is no evidence of extraordinary need;
5. An objection is made to multiple lawyer charges, including the late payment of taxes and late filing of returns resulting in penalties;
6. The accounting is invalid or in default and Mrs. Goldberg reserves her right to depose "the opposition"; and
7. There is a demand for jury trial.

The Executor, by Order to Show Cause returnable August 11, 1993, commenced a proceeding against Mrs. Goldberg to deliver certain property to the Executor alleged to be in the possession of or under the control of Mrs. Goldberg. The property alleged to be under her control are the following securities:

Puerto Rico Hsg. Fin. 7/25%, 7/2/10	US \$25,000.
Onondaga County, 5/7%, 2001	3,500.
Mun. Assistance Corp., 9.25%, 8/1/85	10,000.
Mun. Assistance Corp., 8.25%, 7/1/86	10,000.
Mun. Assistance Corp., 5/80%, 11/1/86	15,000.
Franklin County, 9.00%, 12/15/87	25,000.
IM-IT SR 26 Ins. N.Y.	30,000.
IM-IT SR 9 Ins. N.Y.	25,000.
Nuveen Series 58 N.Y.	<u>54,000.</u>
	US \$197,000.

On notice to all parties who had appeared, a hearing was held before the Referee on March 22, 1995. (The original transcripts of the conference before the Referee on January 23, 1995, and the hearings on March 15, 1995 and March 23, 1995 are on file with the Court. References to a transcript will be denoted as "Tr.", the particular date and page number.) At the hearing, the Executor testified that he believed that the assets were in a safe deposit box to which Mrs. Goldberg had access. According to the Executor's sworn testimony, he learned about these assets shortly after decedent's death from a list given to him by Mrs. Goldberg [Tr., 3/22/95, p. 22, 23].

The Executor is in the process of replacing the Onondaga County bond. The Municipal Assistance Corp. bonds, which have matured, do not currently exist in the names of Simon Goldberg, Evelyn Goldberg or under Simon Goldberg's social security number. [Tr., 3/22/95, p. 21]. The Franklin County Bond has matured and the Executor is in the process of collecting the funds. The two IM-IT New York Investment Trusts exist at The Bank of New York and the Executor is obtaining lost certificate affidavits to replace them. Similarly, the Nuveen Series still exists at U.S. Trust Company and is in the process of being replaced.

At the conclusion of the hearing on March 22, 1995 (which Mrs. Goldberg did not attend), the following order was served on all parties:

"1. All submissions on any issues raised in the proceedings are to be made to me [Referee] by April 7, 1995;

2. By April 7, 1995, Evelyn A. Goldberg shall submit written proof to me [the Referee] that the following securities were not registered in the name of Simon A. Goldberg, as of the date of his death,

Puerto Rico Hsg. Fin., 7/25%, 7/2/10	US	\$25,000
Mun. Assistance Corp., 9.25%, 8/1/85		10,000
Mun. Assistance Corp., 8.25%, 7/1/86		10,000
Mun. Assistance Corp., 5/80%, 11/1/86		15,000

Alternatively, by April 7, 1995, Evelyn A. Goldberg is directed to turn over the above-listed assets or the proceeds of sale or redemption of such assets plus interest since the sale or redemption date to David Mandelbaum, Executor of the Estate of Simon Goldberg, at my [the Referee's] office."

Mrs. Goldberg has refused to provide any information in response to the notice served upon her and has not delivered the assets and/or proceeds of sale.

CONCLUSIONS OF LAW

The Missing Property

From all the evidence, it can be presumed that Mrs. Goldberg took possession of the Municipal Assistance Corp. bonds or the redemption proceeds at the maturity dates. Mrs. Goldberg has refused to cooperate with the efforts of the Executor, Referee and guardian ad litem in locating this property. It would be a waste of estate assets to take any further steps to locate this asset. In view of Mrs. Goldberg's substantial interest under the Will and to avoid the expense and delay of litigation, the Municipal Assistance Corp. bonds (with a face value of \$35,000), should be treated as distributions on account of Mrs. Goldberg's income interest under the Will of Simon A. Goldberg on the respective maturity dates.

Until satisfactory evidence is provided to the court that the Executor has replaced or collected the Onondaga County bond, the Franklin County bond, the IM-ITS and the Nuveen Series, no commissions should be paid to the Executor and the Executor should not be discharged. Until satisfactory evidence is provided to the court that the Executor has replaced or collected the Puerto Rican Housing bond, or documentation provided that such securities are wrongfully in Mrs. Goldberg's possession and that the value should be treated as a distribution to her, no commissions should be paid to the Executor and the Executor should not be discharged.

Accounting Fees

The payment of accounting fees to A. Uzzo & Company, CPA's totaling \$26,282.29 presents a difficult issue. Under New York law, accounting fees are generally considered together with attorney's fees Matter of Schoonheim, 158 A.D. 2d 183 (1st Dep't 1990). In the case at hand, the Executor is an accountant and testified that he has served as executor approximately five times prior to his appointment herein [Tr., 3/22/95, p. 61]. In each of those cases, he had prepared the judicial accounting and did not charge accounting fees because he was receiving an Executor's commission. The Executor's attorney recommended that the Executor retain an accountant:

"my recommendation was made knowing that the estate's finances were complex beyond the ordinary, the tax returns had not been filed for many years due to the activities of the respondent (Mrs. Goldberg) and that the accounting would most certainly be objected to by the respondent (Mrs. Goldberg)." (Affidavit of John C. Fisher, sworn to March 8, 1995, p. 1.)

Because of the controversy over the probate of the Will, the accountant commenced work 7-1/2 years after the date of death and had to reconstruct much of the information. The assets of the estate, mostly liquid securities, are not particularly complicated. The only issue, and not a complex one, is the United States/Canadian dollar conversion. The Executor readily admitted that the assets being administered were not complex. [Tr., 3/22/95, p. 65].

There appears to be little reason for the retention of an outside accounting firm other than the fact that the Executor and the attorneys were deluged with litigation and needed the assistance of an accounting firm in order to complete their responsibilities in a timely fashion. There are no unusual circumstances creating the necessity for assistance in preparing the fiduciary income tax returns (see Schoonheim, supra; Matter of Badenhausen, 38 Misc. 2d 698 (Surr. Ct. (Richmond) 1963). The Executor testified that he had prepared "hundreds" of fiduciary income tax returns. [Tr., 3/22/95, "preparation of the Federal estate tax return and fiduciary returns 'are the function of the attorney p. 61]. A close review of the affidavit submitted in support of the accounting fees indicates that only a small portion of the fee was attributable to the preparation of the fiduciary income tax returns. The overwhelming majority of the services performed were for the preparation of the accounting and for record-keeping. Accordingly, in view of the particular circumstances of this case, one-half of the accounting fees should be paid by the estate and the balance should be absorbed by the Executor's present counsel.

Attorney's Fees

The services rendered by the attorneys and the time charges incurred, necessitated by the litigation spawned by Mrs. Goldberg, exceed what would be considered a customary attorney's fee for the routine administration of an estate of this size, consisting of the type of assets involved. Much of the time spent by Goodkind, Labaton, Rudoff & Sucharow (formerly Goodkind, Wechsler, Labaton & Rudoff, hereinafter referred to as "Goodkind Labaton") was devoted to attempts to serve process in connection with the probate proceeding on Mrs. Goldberg who actively avoided service. Mr. Fisher of Wolf, Popper, Ross, Wolf & Jones ("Wolf Popper"), in support of his firms' fee application, noted that he had made, at a minimum, nineteen separate court appearances.

The motions made by Mrs. Goldberg, almost all of which were denied, caused unnecessary delay and expense. Mrs. Goldberg has refused to cooperate with the guardian ad litem and the Referee. She has discharged several attorneys and has appeared pro se through much of the proceeding. Moreover, as is demonstrated by the findings herein, although there is

some merit to some of Mrs. Goldberg's objections, the adjustments could have been achieved without the volume of litigation.

The Executor is entitled to be represented by counsel and to pay the fees from the estate. (See EPTL 11-1.1(b)(22)). The services of the two law firms must be evaluated against the well developed body of law governing attorneys' fees:

Long tradition and just about a universal one in American practice is for the fixation of lawyers' fees to be determined on the following factors: time and labor required, the difficulty of the questions involved, and the skill required to handle the problems presented; the lawyer's experience, ability and reputation; the amount involved and benefit resulting to the client from the services; the customary fee charged by the Bar for similar services; the contingency or certainty of compensation; and the responsibility involved." Matter of Freeman, 34 N.Y.2d 1 (1974).

See also Matter of Potts, 123 Misc. 346 (Surr. Ct. Columbia Co. 1924), aff'd 213 A.D. 59, 62 (4th Dept. 1925), aff'd 241 N.Y. 593 (1925). Where there is more than one attorney, the fees awarded should not exceed the fee of one attorney Matter of Mattis, 55 Misc. 2d 511 (Surr. Ct. (N.Y. Co. 1967)).

Goodkind, Labaton.

The fee request by Goodkind, Labaton covers legal services over a time period of approximately two years. The firm represented the Executor in attempting to probate the will and prepared the federal estate tax return. The services are detailed in an affidavit to which are attached contemporaneous time sheets. The firm is also seeking reimbursement for disbursements for photocopying charges, telephone toll calls, messenger services and transportation charges. It is inappropriate to charge the estate for disbursements which are in the nature of office overhead. The Courts have enunciated this rule very clearly (see Estate of Annie Laurie Aitken, N.Y.L.J. 3/18/1994, p. 22, col. 3 (Surr. Ct. N.Y. Co.), Matter of Zalaznick, 84 Misc. 2d 715, 375 N.Y. 2d 512 (Surr. Ct., Bronx County, 1975). Accordingly, all of the disbursements with the exception of the Court filing fee in the amount of \$35. are disallowed. The fees requested, reduced by the amount previously awarded, pursuant to court order, are allowed.

Wolf, Popper

The fee request by Wolf, Popper covered a period of seven years for services rendered, generally in connection with the probate, accounting, and discovery proceedings. Wolf Popper also represented the Executor in the Article 78 proceedings and the various motions in the Appellate Division brought by Mrs. Goldberg. The firm demonstrated a concern to minimize the fees in the face of extensive litigation. Time is often the least important factor in evaluating time charges. However, when there is litigation involved, particularly which has been engendered by one of the beneficiaries, the court must consider the time spent very closely and give importance to it.

However, it is inappropriate for the attorneys to receive legal fees for services that are executorial in nature. See, for example, Matter of Jones, 168 A.D.2d 448, (2nd Dep't 1990); Matter of Seidl, NYLJ, 10/31/90, p. 26 col. 6 (Surr. Ct. West. Co.). A careful review of the timesheets submitted to support Wolf, Popper's fee request includes services described as "went to get his signature," "received and deposited checks," "arranged for purchase of T-bill," "walked over to David Mandelbaum to get his signature," "travel to offices of Executor re: signing tax returns," "deposit Merrill Lynch dividends," etc. Moreover, Mr. Fisher was given the opportunity to differentiate between executorial and legal services and never submitted a breakdown between the two. See Matter of Schoonheim, 158 A.D.2d 183 (1st Dep't 1990). Under the circumstances, the fee awarded to Wolf, Popper, Ross, Wolf & Jones should be reduced by the time charges that were clearly executorial in nature which amount to conservatively \$6,000. In addition, there were time charges rendered for preparing the petition for payment on account of commissions which should also reduce the fee (\$142.50). Thus, the fees requested by Wolf Popper reduced by \$6,142.50 and one-half of the accounting fees, are allowed.

It is unfair to the remainder beneficiaries that the unnecessarily large attorneys fees should be paid from principal. See Matter of Walsh, NYLJ 10/4/91, p. 27 col. 4 (Surr. Ct. (West Co.)). The admittedly higher than customary legal fees resulted from Mrs. Goldberg's incessant litigation and some portion should be charged to Mrs. Goldberg's income interest. Accordingly, the

attorneys fees and the accounting fees charged to the Estate should be allocated two-thirds to principal and one-third to income.

Other Objections

With respect to the other objections made by Mrs. Goldberg which have not been addressed, the following observations are made. While it is true that the accounting is out of date by over one year, it is the practice of the Surrogate's Court that prior to a final decree, the Executor must update the accounting within one year of the date of settlement of the decree. Mrs. Goldberg will have the opportunity to review the supplemental accounting at that time. There has been no proof that the asset information is in error other than with respect to the assets discussed above. It is not customary to file supporting documentation with the accounting. Mrs. Goldberg has had numerous opportunities to review the books and records of the estate. Accountings are not generally certified. There is no requirement that in order for expenses to be paid, there be evidence of "extraordinary need." Mrs. Goldberg has been offered the opportunity to examine the Executor and has not done so. Accordingly, Mrs. Goldberg's objections with respect to the accounting, other than those determined herein, are dismissed.

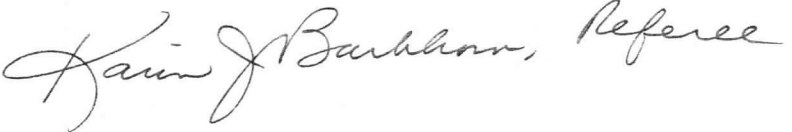
Mrs. Goldberg has repeatedly made a demand for a jury trial. Judge Roth ruled from the bench that she is not entitled to a jury trial. No evidence as to conspiracy has been offered. Therefore, the objections with respect to conspiracy and denial of Mrs. Goldberg's constitutional rights are dismissed.

Mrs. Goldberg has refused to accept the income distributions which the Executor has attempted to make. The Executor should make a distribution of net income to Mrs. Goldberg. If

Mrs. Goldberg refuses to accept the income distribution within 30 days of delivery to her, it should be deposited with the Surrogate's Court, New York County pursuant to SCPA Section 2220.

June 1, 1995

Respectfully submitted,

A handwritten signature in cursive script that reads "Karin J. Barkhorn, Referee". The signature is written in dark ink and is positioned above the printed name.

Karin J. Barkhorn, Referee

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 23 of 29

Wolf Popper's "Final" Accounting of the Estate of Simon Goldberg from January 1, 1993 to October 31, 1995 M, filed October 31, 1995 on behalf of Simon's Estate evidencing massive Canadian and US Treasuries and commercial paper trades.

1993 Canadian Ancillary Probate misdated for 1994 with other gross misrepresentations that took place in 1993 at the time of the fraudulent GAL discussed in Exhibits 15-22.

US and Canada Accountings are now broken down into two components, just as Bernard Madoff describes in his April 26, 2017 deposition:

Schedule A-1, Increases from Sale or Distribution of Assets

Schedule A-2 Income Collected with amounts collected which treasuries and other commercial paper complete listings but no brokerage tickets.

Schedule C - 1994 Canadian Probate and Death Certificates that would have had to be obtained as early as September 1985 to April 13, 1993 for the April 1993 Canada Ancillary Probate to be filed with a Death Certificate for Simon A. Goldberg as a Canadian Citizen. See also Exhibit 9 for fraudulent Death Certificates amended in 1988 just following the Exhibit 8 August 1988 Depositions of Simon Goldberg's Executor David J. Mandelbaum. The amended death certificate would have had to be filed in 1993 for the Canada Ancillary Probate for a Canadian Citizen.

Schedule E - reflects a debit of \$40,000 that was never paid but asserted in the first accounting. This \$40,000 will constantly appear until 2011 when Loren tells Fisher to stop billing for any distributions paid to Evelyn Goldberg.

The Canada Accounting assets of \$327,000 was not carried over to the US Accounting.

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FINAL ACCOUNT OF THE
ESTATE OF SIMON A. GOLDBERG
JANUARY 1, 1993 - OCTOBER 31, 1995

Software Developed by
COMP-ACCT FIDUCIARY SOFTWARE, INC.
18-19 SADDLE RIVER ROAD
FAIR LAWN, NJ 07410
(201) 797-0080
FAX (201) 794-9474

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Summary

CHARGES:

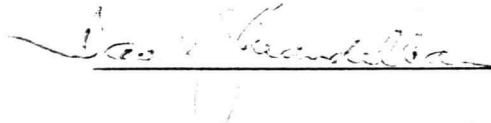
Amount shown by Schedule A (Principal Received).....	\$ 637,806.26	
Amount shown by Schedule A-1 (Realized Increases on Principal).....	2,466.00	
Amount shown by Schedule A-2 (Income Collected).....	340,388.42	
Total Charges.....	\$	980,660.68

CREDITS:

Amount shown by Schedule B (Realized Decreases on Principal).....	\$ 5,212.75	
Amount shown by Schedule C (Funeral and Administration Expenses).....	266,283.22	
Amount shown by Schedule D (Creditors' Claims Actually Paid).....	0.00	
Amount shown by Schedule E (Distributions to Beneficiaries, etc.)....	-40,000.00	
Total Credits.....		231,495.97
Balance on hand shown by Schedule F.....	\$	749,164.71

The foregoing balance of \$749,164.71 consists of \$357,430.69 in cash and \$391,734.02 in other property on hand as of the 31st day of October, 1995. It is subject to deduction of estimated principal commissions amounting to \$0 shown in Schedule I and to the proper charge to principal of expenses of this accounting.

The attached schedules are part of this account.



Executor/Administrator

ESTATE OF SIMON A. GOLDBERG - JOINT ACCOUNTS
Summary

CHARGES:

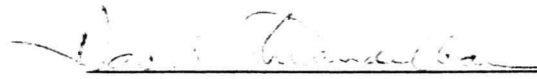
U.S. Dollar Account.....	\$ 980,660.68	
Canadian Dollar Account.....	339,638.16*	
Total Charges.....		\$ 1,320,298.84

CREDITS:

U.S. Dollar Account.....	\$ 231,495.97	
Canadian Dollar Account.....	236,752.90*	
Total Credits.....		468,248.87
Balance on hand shown by Schedule F (U.S.)....	\$ 749,164.71	
Balance on hand shown by Schedule F (Canadian)	102,885.26*	\$ 852,049.97

The foregoing balance of \$852,049.97 consists of \$367,029.91 in cash and \$485,020.06 in other property on hand as of the 31st day of October, 1995. It is subject to deduction of estimated principal commissions amounting to \$28,204.88 shown in Schedule I and to the proper charge to principal of expenses of this accounting.

The attached schedules are part of this account.



Executor/Administrator

~~ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT~~
Schedule A
Statement of Principal Received

The following assets were on hand on 01/01/93 (unless dated otherwise).

<u>Description</u>	<u>Inventory Value</u>
<u>CASH:</u>	
Chemical Bank, Checking Account #006-058191 \$	24,216.47
Chemical Bank, NOW Account #006-099475	56,172.24
December 1992 Transfers From Merrill Lynch Representing Municipal Income Trust Dividends Deposited into Chemical Checking in January 1993	2,887.73
Merrill Lynch, Brokerage Account #852-17G39	0.26
Merrill Lynch, Brokerage Account #852-55023	541.13
	<u>\$ 83,817.83</u>

STOCKS & FUNDS:

no. of
shares

30	Insured Municipals - Income Trust Series 26	\$ 30,000.00
25	Insured Municipals - Income Trust Series 9	25,000.00
24,902.71	Merrill Lynch Ready Assets Trust	24,902.71
50	Municipal Invest Trust Series 1 MBIA Insured	15,557.50
3	Municipal Invest Trust Series 50 New York	528.66
25	Municipal Invest Trust Series 53 New York	6,179.75

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A
Statement of Principal Received

<u>Description</u>	<u>Inventory Value</u>
54 Nuveen Series 58, N.Y.	\$ 54,000.00 \$ 156,168.62

DEBT INSTRUMENTS:

face value

\$ 20,000	Canadian National Railways Co. Debentures, 14.75% Due 9/1/12	\$ 24,487.80	
25,000	Franklin County, 9% Due 12/15/87	25,000.00	
25,000	Ontario Province Bonds, 17% Due 11/5/11	35,182.00	
10,000	Ontario Province Canada Debenture, 8.4% Due 1/15/07	7,836.00	
200,000	U.S. Treasury Bills, Due 1/14/93	196,976.78	
110,000	U.S. Treasury Bills, Due 2/4/93	108,337.23	397,819.81
Total Schedule A			\$ 637,806.26

Summary:

Securities	\$ 553,988.43
Other	83,817.83
Total	\$ 637,806.26

It has been determined that the following two bonds have never been part of the estate.

1. Puerto Rico Hsg. Fin. 7.25%, due 7/2/10
2. Onondaga County, 5.7%, due 2001

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-1
Statement of Increases on Sales, Liquidation or Distribution

Description	Proceeds	Inventory Value	Increase
<u>Redemptions:</u>			
05/04/93 \$10,000 face value of Ontario Province Canada Debenture, 8.4% Due 1/15/07	\$ 10,302.00	\$ 7,836.00	\$ 2,466.00
Total Schedule A-1	<u>\$ 10,302.00</u>	<u>\$ 7,836.00</u>	<u>\$ 2,466.00</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>	<u>Amount</u>
--------------------	---------------

Part 1 - Dividends Earned:

Merrill Lynch Ready Assets Trust:

01/12/93 Dividend on 24,902.7100 shares	\$ 22.66✓
01/29/93 Dividend on 24,885.3700 shares	36.97✓
02/26/93 Dividend on 24,922.3400 shares	55.28✓
03/26/93 Dividend on 24,977.6200 shares	56.60✓
04/30/93 Dividend on 25,034.2200 shares	67.02✓
05/10/93 Dividend on 25,101.2400 shares	18.29✓
05/28/93 Dividend on 35,421.5300 shares	46.44✓
06/25/93 Dividend on 35,467.9700 shares	72.89✓
07/30/93 Dividend on 35,540.8600 shares	92.48✓
08/27/93 Dividend on 35,633.3400 shares	74.41✓
09/03/93 Dividend on 35,707.7500 shares	18.57✓
09/24/93 Dividend on 55,726.3200 shares	88.77✓
10/29/93 Dividend on 55,815.0900 shares	144.90✓
11/26/93 Dividend on 55,959.9900 shares	115.90✓
12/30/93 Dividend on 56,075.8900 shares	139.20✓
12/31/93 Dividend on 6,436.0900 shares	0.97✓
01/03/94 Dividend on 6,437.0600 shares	1.45✓
01/11/94 Dividend on 31,438.5100 shares	17.04✓
01/28/94 Dividend on 31,415.5500 shares	42.61✓
02/25/94 Dividend on 31,458.1600 shares	66.31✓
03/25/94 Dividend on 31,524.4700 shares	68.53✓
04/29/94 Dividend on 31,593 shares	91.75✓
05/27/94 Dividend on 31,684.7500 shares	80.97✓
06/27/94 Dividend on 31,765.7200 shares	88.19✓
06/29/94 Dividend on 31,853.9100 shares	16.00✓
07/29/94 Dividend on 33,269.9100 shares	102.39✓
08/06/94 Dividend on 33,372.3000 shares	98.39✓
09/30/94 Dividend on 33,470.6900 shares	131.48✓
10/28/94 Dividend on 33,602.1700 shares	112.12✓
11/25/94 Dividend on 33,714.2900 shares	119.43✓
12/30/94 Dividend on 33,833.7200 shares	160.51✓
01/30/95 Dividend on 33,954.2300 shares	134.68✓
02/24/95 Dividend on 34,088.9100 shares	138.58✓
03/31/95 Dividend on 34,227.4900 shares	182.01✓
04/28/95 Dividend on 34,409.5000 shares	147.88✓
05/26/95 Dividend on 34,557.3800 shares	148.51✓
06/30/95 Dividend on 34,705.8900 shares	185.03✓
07/28/95 Dividend on 34,890.9200 shares	146.97✓
08/25/95 Dividend on 35,037.8900 shares	144.59✓

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

Description

Amount

Merrill Lynch Ready Assets Trust: (Continued From Previous Page)

09/29/95 Dividend on 35,182.4800 shares	\$	180.37 ✓	
10/27/95 Dividend on 35,362.8500 shares		144.07 ✓	\$ 3,801.21
			<hr/>
Total Schedule A-2, Part 1			\$ 3,801.21
			<hr/> <hr/>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>	<u>Amount</u>
<u>Part 2 - Interest Earned:</u>	
<u>Canadian National Railways Co. Debentures, 14.75% Due 9/1/12:</u>	
03/01/93 Interest on \$20,000	\$ 1,475.00
09/01/93 Interest on \$20,000	1,475.00 \$ 2,950.00
<u>Chemical Bank, NOW Account #006-099475:</u>	
01/08/93	\$ 86.07 ✓
02/05/93	110.61 ✓
03/05/93	217.94 ✓
04/07/93	115.62 ✓
05/07/93	92.46 ✓
06/07/93	111.29 ✓
07/08/93	111.39 ✓
08/06/93	183.22 ✓
09/08/93	55.72 ✓
10/07/93	42.13 ✓
11/05/93	42.17 ✓
12/07/93	46.58 ✓
01/07/94	22.16 ✓
02/07/94	6.79 ✓
03/07/94	9.67 ✓
04/07/94	10.72 ✓
05/06/94	30.42 ✓
06/07/94	33.73 ✓
07/08/94	26.73 ✓
08/05/94	24.17 ✓
09/08/94	29.38 ✓
10/07/94	25.09 ✓
11/07/94	26.84 ✓
12/07/94	26.00 ✓
01/09/95	26.37 ✓
02/07/95	92.66 ✓
03/07/95	19.82 ✓
04/07/95	21.97 ✓
05/05/95	19.86 ✓
06/07/95	23.43 ✓
07/10/95	23.46 ✓

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>	<u>Amount</u>
Chemical Bank, NOW Account #006-099475: (Continued From Previous Page)	
08/07/95	\$ 289.53 ✓
09/08/95	361.49 ✓
10/06/95	316.63 ✓ \$ 2,682.12

Insured Municipals - Income Trust Series 26:

07/19/93 Nov. 1986 - May 1993 Income	\$ 16,628.70 ✓	
02/15/94	40.20 ✓	
05/15/94	564.90 ✓	
08/15/94	58.50 ✓	
11/15/94	295.20 ✓	
03/08/95	145.50 ✓	
06/08/95	1,338.60 ✓	19,071.60

Insured Municipals - Income Trust Series 9:

07/19/93 Nov. 1986 - May 1993 Income	\$ 14,036.75 ✓	
05/15/94	436.50 ✓	
11/15/94	180.50 ✓	
03/07/95	176.00 ✓	
06/08/95	1,240.50 ✓	16,070.25

Municipal Invest Trust Series 1 MBIA Insured:

01/25/93	\$ 132.00 ✓
02/25/93	121.00 ✓
03/25/93	117.50 ✓
04/26/93	114.00 ✓
05/25/93	111.50 ✓
06/25/93	106.50 ✓
07/26/93	106.00 ✓
08/25/93	217.50 ✓
09/27/93	96.00 ✓

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>	<u>Amount</u>
Municipal Invest Trust Series 1 MBIA Insured: (Continued From Previous Page)	
10/25/93	\$ 94.50 ✓
11/26/93	92.50 ✓
12/27/93	91.50 ✓
01/25/94	88.00 ✓
02/25/94	88.00 ✓
03/25/94	84.00 ✓
04/25/94	78.00 ✓
05/25/94	75.50 ✓
06/27/94	68.50 ✓
07/25/94	64.00 ✓
08/25/94	161.50 ✓
09/26/94	61.00 ✓
10/25/94	59.50 ✓
11/25/94	56.50 ✓
12/27/94	51.50 ✓
01/25/95	51.00 ✓
02/27/95	50.00 ✓
03/27/95	49.50 ✓
04/25/95	49.50 ✓
05/25/95	48.00 ✓
06/26/95	48.50 ✓
07/25/95	47.50 ✓
08/25/95	40.00 ✓
09/25/95	36.00 ✓
	\$ 2,756.50

Municipal Invest Trust Series 50 New York:

01/25/93	\$ 0.96 ✓	
02/25/93	0.99 ✓	
03/25/93	0.99 ✓	
04/26/93	0.99 ✓	
05/25/93	0.96 ✓	
06/25/93	1.02 ✓	
07/26/93	0.99 ✓	
08/25/93	0.99 ✓	
09/27/93 Final Liquidation Interest	4.53 ✓	12.42

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>		<u>Amount</u>	
<u>Municipal Invest Trust Series 53 New York:</u>			
01/25/93	\$	39.75✓	
02/25/93		39.25✓	
03/25/93		39.00✓	
04/26/93		39.25✓	
05/25/93		39.00✓	
06/25/93		39.00✓	
07/26/93		38.75✓	
08/25/93		37.50✓	
09/27/93		37.75✓	
10/25/93		38.00✓	
11/26/93		38.25✓	
12/27/93		37.75✓	
01/25/94		38.00✓	
02/25/94		37.50✓	
03/25/94		37.50✓	
04/25/94		37.75✓	
05/25/94		7.00✓	
06/27/94 Final Liquidation Interest		132.25✓	\$ 753.25
<u>Ontario Province Bonds, 17% Due 11/5/11:</u>			
05/05/93 Interest on \$25,000	\$	2,125.00✓	
11/05/93 Interest on \$25,000		2,125.00✓	
05/05/94 Interest on \$25,000		2,125.00✓	
11/07/94 Interest on \$25,000		2,125.00✓	
05/05/95 Interest on \$25,000		2,125.00✓	10,625.00
<u>Ontario Province Canada Debenture, 8.4% Due 1/15/07:</u>			
01/15/93 Interest on \$10,000	\$	420.00✓	
05/04/93 Interest on \$10,000		254.33✓	674.33

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>		<u>Amount</u>
<u>U.S. Treasury Bills, Due 1/14/93:</u>		
01/14/93 Interest on \$200,000	\$ 3,023.22 ✓	\$ 3,023.22
<u>U.S. Treasury Bills, Due 2/4/93:</u>		
02/04/93 Interest on \$110,000	\$ 1,662.77 ✓	\$ 1,662.77
<u>U.S. Treasury Bills, Due 6/17/93:</u>		
04/13/93 Interest on \$150,000	\$ 185.80 ✓	\$ 185.80
<u>U.S. Treasury Bills, Due 7/15/93:</u>		
07/15/93 Interest on \$200,000	\$ 2,922.11 ✓	\$ 2,922.11
<u>U.S. Treasury Bills, Due 1/20/94:</u>		
01/20/94 Interest on \$300,000	\$ 4,509.33 ✓	\$ 4,509.33

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>		<u>Amount</u>
<u>U.S. Treasury Bills, Due 1/12/95:</u>		
01/12/95 Interest on \$300,000	\$ 9,520.00 ✓	\$ 9,520.00
<u>U.S. Treasury Bills, Due 7/13/95:</u>		
07/13/95 Interest on \$300,000	\$ 8,974.58 ✓	8,974.58
<u>U.S. Treasury Notes, 7.5% Due 1/31/97:</u>		
07/31/95 Interest on \$230,000	\$ 8,625.00	8,625.00
<u>Van Kampen Merritt:</u>		
08/17/93 Interest on IMIT	\$ 6,575.65 ✓	
11/16/93 Interest on IMIT	1,210.55 ✓	
04/15/94 Interest on IMIT	1,832.70 ✓	
06/08/95 Interest in IMIT	184.75 ✓	9,803.65
Total Schedule A-2, Part 2		<u>\$ 104,821.93</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>		<u>Amount</u>
<u>Part 3 - Miscellaneous Income:</u>		
 <u>Transfer From Wood Gundy Canadian Dollar Account:</u>		
01/10/95	\$ 231,765.28	\$ 231,765.28
Total Schedule A-2, Part 3		<u>\$ 231,765.28</u>
 <u>Recapitulation:</u>		
Schedule A-2, Part 1		\$ 3,801.21
Schedule A-2, Part 2		104,821.93
Schedule A-2, Part 3		<u>231,765.28</u>
Total Schedule A-2		<u>\$ 340,388.42</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule B
Statement of Decreases Due to Sales, Liquidation, Collection,
Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
<u>Part 1 - Sales:</u>			
01/12/93 40 shares of Merrill Lynch Ready Assets Trust	\$ 40.00	\$ 40.00	\$ 0.00
04/13/93 \$150,000 face value of U.S. Treasury Bills, Due 6/17/93	148,961.08	148,961.08	0.00
12/30/93 49,779 shares of Merrill Lynch Ready Assets Trust	49,779.00	49,779.00	0.00
01/11/94 40 shares of Merrill Lynch Ready Assets Trust	40.00	40.00	0.00
01/10/95 40 shares of Merrill Lynch Ready Assets Trust	40.00	40.00	0.00
Total Schedule B, Part 1	<u>\$ 198,860.08</u>	<u>\$ 198,860.08</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule B
Statement of Decreases Due to Sales, Liquidation, Collection,
Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
<u>Part 2 - Liquidations:</u>			
09/27/93 3 shares of Municipal Invest Trust Series 50 New York	\$ 216.96	\$ 274.16	\$ 57.20
06/27/94 25 shares of Municipal Invest Trust Series 53 New York	1,267.75	1,935.50	667.75
Total Schedule B, Part 2	\$ 1,484.71	\$ 2,209.66	\$ 724.95

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule B
Statement of Decreases Due to Sales, Liquidation, Collection,
Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
<u>Part 3 - Redemptions:</u>			
01/14/93 \$200,000 face value of U.S. Treasury Bills, Due 1/14/93	\$ 196,976.78	\$ 196,976.78	\$ 0.00
02/04/93 \$110,000 face value of U.S. Treasury Bills, Due 2/4/93	108,337.23	108,337.23	0.00
07/15/93 \$200,000 face value of U.S. Treasury Bills, Due 7/15/93	197,077.89	197,077.89	0.00
09/01/93 \$20,000 face value of Canadian National Railways Co. Debentures, 14.75% Due 9/1/12	20,000.00	24,487.80	4,487.80
01/20/94 \$300,000 face value of U.S. Treasury Bills, Due 1/20/94	295,490.67	295,490.67	0.00
01/12/95 \$300,000 face value of U.S. Treasury Bills, Due 1/12/95	290,480.00	290,480.00	0.00
07/13/95 \$300,000 face value of U.S. Treasury Bills, Due 7/13/95	291,025.42	291,025.42	0.00
Total Schedule B, Part 3	<u>\$ 1,399,387.99</u>	<u>\$ 1,403,875.79</u>	<u>\$ 4,487.80</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule B
Statement of Decreases Due to Sales, Liquidation, Collection,
Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
Recapitulation:			
Schedule B, Part 1	\$ 198,860.08	\$ 198,860.08	\$ 0.00
Schedule B, Part 2	1,484.71	2,209.66	724.95
Schedule B, Part 3	1,399,387.99	1,403,875.79	4,487.80
Total Schedule B	<u>\$ 1,599,732.78</u>	<u>\$ 1,604,945.53</u>	<u>\$ 5,212.75</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule C
Statement of Funeral and Administration Expenses

<u>Description</u>		<u>Amount</u>
<u>Administration Expenses:</u>		
<u>A. Uzzo & Company, CPA's:</u>		
01/08/93 Accounting Fees	\$ 5,516.85	
03/22/93 Accounting Fees	9,996.35	
05/04/93 Accounting Fees	4,522.75	
09/10/93 Accounting Fees	1,519.70	
12/30/93 Accounting Fees	2,555.00	
04/06/94 Accounting Fees	3,015.00	
08/03/94 Accounting Fees	2,845.00	
10/13/94 Accounting Fees	835.00	\$ 30,805.65
<u>Amsure Associates, Inc.:</u>		
07/19/95 Franklin County Industrial Dev. Agency Affidavits of Loss & Indemnity	\$ 1,250.00	1,250.00
<u>Chemical Bank:</u>		
03/11/93 Stop Check Charge	\$ 15.00	
03/17/93 Overdraft Charge	15.00	
01/25/94 Checkbook Charge	17.00	
04/11/94 Stop Check Charge	15.00	
12/16/94 Overdraft Charge	15.00	
08/15/95 Checkbook Charge	64.00	141.00
<u>Counsel Press:</u>		
10/13/95 Services Rendered	\$ 530.97	530.97
<u>Goodkind Labaton Rudoff & Sucharow:</u>		
12/30/93 Legal Fees	\$ 15,000.00	15,000.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule C
Statement of Funeral and Administration Expenses

<u>Description</u>		<u>Amount</u>
<u>Internal Revenue Service:</u>		
01/15/93 1992 Form 1041-ES	\$ 1,375.00	
04/09/93 1992 Form 1041	7,808.00	
04/09/93 1991 Form 1041	47,284.00	
04/09/93 1990 Form 1041	31.00	
04/09/93 1989 Form 1041	50.00	
04/09/93 1993 Form 1041-ES	3,330.00	
06/29/93 1993 Form 1041-ES	3,330.00	
07/13/93 Late Fee & Interest Due on Fiduciary Income Tax	32.62	
04/12/94 1993 Form 1041	15,810.00	
04/12/94 1994 Form 1041-ES	5,570.00	
06/10/94 1994 Form 1041-ES	5,570.00	
09/09/94 1994 Form 1041-ES	5,570.00	
01/17/95 1994 Form 1041-ES	5,570.00	\$ 101,330.62
<u>Merrill Lynch:</u>		
01/11/93 1992 Annual Account Fee	\$ 0.26	
01/11/93 1992 Annual Account Fee	39.74	
01/11/93 1992 Annual Account Fee	40.00	
01/10/94 1993 Annual Account Fee	0.75	
01/10/94 1993 Annual Account Fee	39.25	
01/10/94 1993 Annual Account Fee	40.00	
01/09/95 1994 Annual Account Fee	0.75	
01/09/95 1994 Annual Account Fee	39.25	
01/09/95 1994 Annual Account Fee	40.00	240.00
<u>New York City Department of Health:</u>		
07/19/95 5 Death Certificates	\$ 75.00	75.00
<u>New York County Surrogate's Court:</u>		
07/19/95 3 Letters Testamentary	\$ 15.00	15.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule C
Statement of Funeral and Administration Expenses

<u>Description</u>		<u>Amount</u>
<u>New York State Income Tax:</u>		
04/09/93 1992 Form IT-205	\$ 3,486.00	
04/09/93 1990 Form IT-205	18.00	
04/09/93 1989 Form IT-205	29.00	
04/09/93 1993 Form IT-205-ES	1,375.00	
04/13/93 1991 Form IT-205	18,980.00	
06/29/93 1993 Form IT-205-ES	1,375.00	
04/12/94 1993 Form IT-205	7,050.00	
04/12/94 1994 Form IT-205-ES	2,435.00	
06/10/94 1994 Form IT-205-ES	2,435.00	
09/09/94 1994 Form IT-205-ES	2,435.00	
01/17/95 1994 Form IT-205-ES	2,435.00	
06/12/95 Refund of Overpayment of 1994 Form IT-205	-9,490.00	\$ 32,563.00
<u>Pat Jackson:</u>		
03/03/95 Transcription of Audio Cassettes	\$ 60.00	
06/06/95 Transcription of Audio Cassettes	154.00	214.00
<u>Seaboard Surety:</u>		
02/15/95 Bond Premium - Series 9	\$ 157.85	
02/15/95 Bond Premium - Series 26	78.84	
06/22/95 Bond Premium - Nuveen	124.45	361.14
<u>Thomas Cook Currency Services:</u>		
09/29/93 Canadian Attorneys' Legal Fees	\$ 3,120.00	
10/25/93 Refund of Canadian Attorneys' Legal Fees Overpayment	-24.75	3,095.25
<u>U.S. Trust:</u>		
06/22/95 Processing Fee - Nuveen	\$ 20.00	20.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule C
Statement of Funeral and Administration Expenses

<u>Description</u>		<u>Amount</u>
<u>Williams & Price:</u>		
04/13/94 Court Fees to Cover \$5,410.00 Canadian	\$ 4,500.00	\$ 4,500.00
<u>Wolf Popper Ross Wolf & Jones:</u>		
03/01/93 Legal Fees	\$ 45,089.35	
05/06/94 Legal Fees	20,000.00	
11/30/94 Legal Fees	10,922.15	
07/12/95 Legal Disbursements	130.09	76,141.59
Total Schedule C		<u>\$ 266,283.22</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule C-1
Statement of Unpaid Administration Expenses

Description

Amount

Goodkind Wechsler Labaton & Rudolf
- balance of attorney's fees

\$22,397.51

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule D
Statement of All Creditors' Claims

<u>Description</u>	<u>Amount</u>
1. Claims presented, allowed, paid and credited and appearing in the Summary Statement, together with the date of payment:	
<u>NONE</u>	
2. Claims presented and allowed but not paid:	
<u>NONE</u>	
3. Claims presented but rejected, the date and reason for such rejection:	
<u>NONE</u>	
4. Contingent and possible claims:	
<u>NONE</u>	
5. Personal claims requiring approval by the Court, pursuant to SCPA 1805:	
<u>NONE</u>	

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule E
Statement of Distributions Made

Description

Fair Market Value

Goldberg, Evelyn:

Cash Distributions:

03/11/93	\$ -40,000.00	\$ -40,000.00	\$ -40,000.00
Total Schedule E			<u>\$ -40,000.00</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule F
Statement of All Personal Property Remaining on Hand

Description	Market Value on 10/31/95	Inventory Value on 10/31/95
<u>CASH:</u>		
Chemical Bank, Checking Account #006-058191	\$ 26,258.24	\$ 26,258.24
Chemical Bank, NOW Account #006-099475	330,363.57	330,363.57
Merrill Lynch, Brokerage Account #852-17G39	387.75	387.75
Merrill Lynch, Brokerage Account #852-55023	421.13	421.13
		<u>\$ 357,430.69</u>
<u>STOCKS & FUNDS:</u>		
30 shares of Insured Municipals - Income Trust Series 26	7,116.00	\$ 7,116.00
25 shares of Insured Municipals - Income Trust Series 9	2,762.50	2,762.50
35,506.9200 shares of Merrill Lynch Ready Assets Trust	35,506.92	35,506.92
50 shares of Municipal Invest Trust Series 1 MBIA Insured	5,535.00	2,411.00
54 shares of Nuveen Series 58, N.Y.	54,000.00	54,000.00
		<u>101,796.42</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule F
Statement of All Personal Property Remaining on Hand

Description	Market Value on 10/31/95	Inventory Value on 10/31/95
<u>DEBT INSTRUMENTS:</u>		
\$25,000 face value of Franklin County, 9% Due 12/15/87	\$ 25,000.00	\$ 25,000.00
\$25,000 face value of Ontario Province Bonds, 17% Due 11/5/11	29,314.00	35,182.00
\$230,000 face value of U.S. Treasury Notes, 7.5% Due 1/31/97	229,755.60	229,755.60
Total Schedule F	<u>\$ 746,420.71</u>	<u>\$ 749,164.71</u>
<u>Summary:</u>		
Securities	\$ 388,990.02	\$ 391,734.02
Other	357,430.69	357,430.69
Total	<u>\$ 746,420.71</u>	<u>\$ 749,164.71</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule G
Statement of Interested Parties

Interested Party

Approximate Value

The records of this court have been searched for powers of attorney and assignments and encumbrances made and executed by any of the persons interested in or entitled to share in the estate, and none have been found. The accounting party has no knowledge of the execution of any power of attorney or assignment not so filed and recorded.

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule H
Statement of Estate Taxes Paid and Allocation Thereof

No Allocation Necessary

ESTATE OF SIMON A. GOLDBERG
Schedule I
Statement of Computation of Commissions

<u>Computation in U.S. Dollars</u>	<u>Amount</u>
<u>For Receiving:</u>	
Previous Accounting 5/24/85 - 12/31/92	\$ 1,065,611.89
U.S. Dollar Account	
Schedule A-1 (Realized Increases)	2,466.00
Schedule A-2 (Income Collected)	340,388.42
Less Transfer from Canadian Account	(231,765.28)
Canadian Dollar Account	
Schedule A-1 (Realized Increases)	18,211.08*
Schedule A-2 (Income Collected)	30,057.32*
Base For Receiving Commissions	<u><u>\$ 1,224,969.43</u></u>

Computation:

5% on	\$ 100,000.00	\$ 5,000.00
4% on	200,000.00	8,000.00
3% on	700,000.00	21,000.00
2.5% on	224,969.43	5,624.24
	<u><u>\$ 1,224,969.43</u></u>	<u><u>\$ 39,624.24</u></u>

Receiving Commission: One Half of \$39,624.24 \$ 19,812.12

* Converted to U.S. Dollars at a rate of U.S. \$1 = Canadian \$1.383125

ESTATE OF SIMON A. GOLDBERG
Schedule I
Statement of Computation of Commissions

<u>Computation in U.S. Dollars</u>	<u>Amount</u>
For Paying:	
Previous Accounting 5/24/85 - 12/31/92	
Schedule C (Funeral and Administration Expenses)	\$ 32,811.29
Schedule E (Distributions)	40,000.00
U.S. Dollar Account	
Schedule C (Funeral and Administration Expenses)	266,283.22
Schedule D (Creditors' Claims)	0.00
Schedule E (Distributions)	-40,000.00
Schedule F (Personal Property on Hand)	746,420.71
Canadian Dollar Account	
Schedule F (Personal Property on Hand)	103,420.10*
Base For Paying Commissions	<u>\$ 1,148,935.32</u>

Computation:

5% on	\$ 100,000.00	\$ 5,000.00
4% on	200,000.00	8,000.00
3% on	700,000.00	21,000.00
2.5% on	148,935.32	3,723.38
	<u>\$ 1,148,935.32</u>	<u>\$ 37,723.38</u>

Paying Commission: One Half of \$37,723.38	\$ 18,861.69
One Full Commission	\$ 38,673.81
Less Commissions on Account	(10,468.93)
Balance of Commissions Due	<u>\$ 28,204.88</u>

* Converted to U.S. Dollars at a rate of U.S. \$1 = Canadian \$1.383125

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Inventory Value</u>
<u>New Investments:</u>	
01/12/93 22.6600 shares of Merrill Lynch Ready Assets Trust	\$ 22.66
01/14/93 \$200,000 face value of U.S. Treasury Bills, Due 7/15/93	197,077.89
01/29/93 36.9700 shares of Merrill Lynch Ready Assets Trust	36.97
02/26/93 55.2800 shares of Merrill Lynch Ready Assets Trust	55.28
03/18/93 \$150,000 face value of U.S. Treasury Bills, Due 6/17/93	148,961.08
03/26/93 56.6000 shares of Merrill Lynch Ready Assets Trust	56.60
04/30/93 67.0200 shares of Merrill Lynch Ready Assets Trust	67.02
05/10/93 18.2900 shares of Merrill Lynch Ready Assets Trust	18.29
05/10/93 10,302 shares of Merrill Lynch Ready Assets Trust	10,302.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Inventory Value</u>
05/28/93 46.4400 shares of Merrill Lynch Ready Assets Trust	\$ 46.44
06/25/93 72.8900 shares of Merrill Lynch Ready Assets Trust	72.89
07/26/93 \$300,000 face value of U.S. Treasury Bills, Due 1/20/94	295,490.67
07/30/93 92.4800 shares of Merrill Lynch Ready Assets Trust	92.48
08/27/93 74.4100 shares of Merrill Lynch Ready Assets Trust	74.41
09/03/93 18.5700 shares of Merrill Lynch Ready Assets Trust	18.57
09/03/93 20,000 shares of Merrill Lynch Ready Assets Trust	20,000.00
09/24/93 88.7700 shares of Merrill Lynch Ready Assets Trust	88.77
10/29/93 144.9000 shares of Merrill Lynch Ready Assets Trust	144.90
11/26/93 115.9000 shares of Merrill Lynch Ready Assets Trust	115.90

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Inventory Value</u>
12/30/93 139.2000 shares of Merrill Lynch Ready Assets Trust	\$ 139.20
12/31/93 .9700 share of Merrill Lynch Ready Assets Trust	0.97
01/03/94 1.4500 shares of Merrill Lynch Ready Assets Trust	1.45
01/03/94 25,000 shares of Merrill Lynch Ready Assets Trust	25,000.00
01/11/94 17.0400 shares of Merrill Lynch Ready Assets Trust	17.04
01/20/94 \$300,000 face value of U.S. Treasury Bills, Due 1/12/95	290,480.00
01/28/94 42.6100 shares of Merrill Lynch Ready Assets Trust	42.61
02/25/94 66.3100 shares of Merrill Lynch Ready Assets Trust	66.31
03/25/94 68.5300 shares of Merrill Lynch Ready Assets Trust	68.53
04/29/94 91.7500 shares of Merrill Lynch Ready Assets Trust	91.75

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Inventory Value</u>
05/27/94 80.9700 shares of Merrill Lynch Ready Assets Trust	\$ 80.97
06/27/94 88.1900 shares of Merrill Lynch Ready Assets Trust	88.19
06/29/94 16 shares of Merrill Lynch Ready Assets Trust	16.00
06/29/94 1,400 shares of Merrill Lynch Ready Assets Trust	1,400.00
07/29/94 102.3900 shares of Merrill Lynch Ready Assets Trust	102.39
08/06/94 98.3900 shares of Merrill Lynch Ready Assets Trust	98.39
09/30/94 131.4800 shares of Merrill Lynch Ready Assets Trust	131.48
10/28/94 112.1200 shares of Merrill Lynch Ready Assets Trust	112.12
11/25/94 119.4300 shares of Merrill Lynch Ready Assets Trust	119.43
12/30/94 160.5100 shares of Merrill Lynch Ready Assets Trust	160.51

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Inventory Value</u>
01/13/95 \$300,000 face value of U.S. Treasury Bills, Due 7/13/95	\$ 291,025.42
01/30/95 134.6800 shares of Merrill Lynch Ready Assets Trust	134.68
01/31/95 \$230,000 face value of U.S. Treasury Notes, 7.5% Due 1/31/97	229,755.60
02/24/95 138.5800 shares of Merrill Lynch Ready Assets Trust	138.58
03/31/95 182.0100 shares of Merrill Lynch Ready Assets Trust	182.01
04/28/95 147.8800 shares of Merrill Lynch Ready Assets Trust	147.88
05/26/95 148.5100 shares of Merrill Lynch Ready Assets Trust	148.51
06/30/95 185.0300 shares of Merrill Lynch Ready Assets Trust	185.03
07/28/95 146.9700 shares of Merrill Lynch Ready Assets Trust	146.97
08/25/95 144.5900 shares of Merrill Lynch Ready Assets Trust	144.59

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Inventory Value</u>
09/29/95 180.3700 shares of Merrill Lynch Ready Assets Trust	\$ 180.37
10/27/95 144.0700 shares of Merrill Lynch Ready Assets Trust	144.07
Total Schedule J, New Investments	<u>\$ 1,513,293.87</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
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Adjustments to Inventory Value:

Canadian National Railways Co. Debentures, 14.75% Due 9/1/12

On Hand	\$ 20,000.00	\$ 24,487.80
09/01/93 Redemption	-20,000.00	-24,487.80
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

Franklin County, 9% Due 12/15/87

On Hand	\$ 25,000.00	\$ 25,000.00
Total on hand at close	<u>\$ 25,000.00</u>	<u>\$ 25,000.00</u>

Insured Municipals - Income Trust Series 26

On Hand	30.0000	\$ 30,000.00
07/19/93 Cash Distribution on 30 shares of Insured Municipals - Income Trust Series 26	0.0000	-9,076.50
02/15/94 Cash Distribution on 30 shares of Insured Municipals - Income Trust Series 26	0.0000	-3,332.40

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
Insured Municipals - Income Trust Series 26 (Continued From Previous Page)		
08/15/94 Cash Distribution on 30 shares of Insured Municipals - Income Trust Series 26	0.0000	-5,218.80
03/08/95 Cash Distribution on 30 shares of Insured Municipals - Income Trust Series 26	0.0000	-5,256.30
Total on hand at close	<u>30.0000</u>	<u>\$ 7,116.00</u>

Insured Municipals - Income Trust Series 9

On Hand	25.0000	\$ 25,000.00
07/19/93 Cash Distribution on 25 shares of Insured Municipals - Income Trust Series 9	0.0000	-13,966.00
05/15/94 Cash Distribution on 25 shares of Insured Municipals - Income Trust Series 9	0.0000	-4,758.50
03/07/95 Cash Distribution on 25 shares of Insured Municipals - Income Trust Series 9	0.0000	-3,513.00
Total on hand at close	<u>25.0000</u>	<u>\$ 2,762.50</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Merrill Lynch Ready Assets Trust</u>		
On Hand	24,902.7100	\$ 24,902.71
01/12/93 Purchase	22.6600	22.66
01/12/93 Sale	-40.0000	-40.00
01/29/93 Purchase	36.9700	36.97
02/26/93 Purchase	55.2800	55.28
03/26/93 Purchase	56.6000	56.60
04/30/93 Purchase	67.0200	67.02
05/10/93 Purchase	18.2900	18.29
05/10/93 Purchase	10,302.0000	10,302.00
05/28/93 Purchase	46.4400	46.44
06/25/93 Purchase	72.8900	72.89
07/30/93 Purchase	92.4800	92.48
08/27/93 Purchase	74.4100	74.41
09/03/93 Purchase	18.5700	18.57

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Merrill Lynch Ready Assets Trust (Continued From Previous Page)</u>		
09/03/93 Purchase	20,000.0000	20,000.00
09/24/93 Purchase	88.7700	88.77
10/29/93 Purchase	144.9000	144.90
11/26/93 Purchase	115.9000	115.90
12/30/93 Purchase	139.2000	139.20
12/30/93 Sale	-49,779.0000	-49,779.00
12/31/93 Purchase	0.9700	0.97
01/03/94 Purchase	1.4500	1.45
01/03/94 Purchase	25,000.0000	25,000.00
01/11/94 Purchase	17.0400	17.04
01/11/94 Sale	-40.0000	-40.00
01/28/94 Purchase	42.6100	42.61
02/25/94 Purchase	66.3100	66.31
03/25/94 Purchase	68.5300	68.53
04/29/94 Purchase	91.7500	91.75

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Merrill Lynch Ready Assets Trust (Continued From Previous Page)</u>		
05/27/94 Purchase	80.9700	80.97
06/27/94 Purchase	88.1900	88.19
06/29/94 Purchase	16.0000	16.00
06/29/94 Purchase	1,400.0000	1,400.00
07/29/94 Purchase	102.3900	102.39
08/06/94 Purchase	98.3900	98.39
09/30/94 Purchase	131.4800	131.48
10/28/94 Purchase	112.1200	112.12
11/25/94 Purchase	119.4300	119.43
12/30/94 Purchase	160.5100	160.51
01/10/95 Sale	-40.0000	-40.00
01/30/95 Purchase	134.6800	134.68
02/24/95 Purchase	138.5800	138.58
03/31/95 Purchase	182.0100	182.01
04/28/95 Purchase	147.8800	147.88

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Merrill Lynch Ready Assets Trust (Continued From Previous Page)</u>		
05/26/95 Purchase	148.5100	148.51
06/30/95 Purchase	185.0300	185.03
07/28/95 Purchase	146.9700	146.97
08/25/95 Purchase	144.5900	144.59
09/29/95 Purchase	180.3700	180.37
10/27/95 Purchase	144.0700	144.07
Total on hand at close	<u>35,506.9200</u>	<u>\$ 35,506.92</u>

Municipal Invest Trust Series 1 MBIA Insured

On Hand	50.0000	\$ 15,557.50
02/25/93 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-748.50
03/25/93 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-724.00
05/25/93 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-1,149.00

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Municipal Invest Trust Series 1 MBIA Insured (Continued From Previous Page)</u>		
07/26/93 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-364.50
08/25/93 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-275.00
09/27/93 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-1,174.50
12/27/93 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-749.50
12/30/93 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-180.50
03/25/94 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-1,538.50
05/25/94 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-1,863.50
07/25/94 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-641.00
09/26/94 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-265.50

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Municipal Invest Trust Series 1 MBIA Insured (Continued From Previous Page)</u>		
10/25/94 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-253.50
11/25/94 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-978.00
12/27/94 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-80.00
12/29/94 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-65.00
04/25/95 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-391.00
07/25/95 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-941.50
08/25/95 Cash Distribution on 50 shares of Municipal Invest Trust Series 1 MBIA Insured	0.0000	-763.50
Total on hand at close	<u>50.0000</u>	<u>\$ 2,411.00</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Municipal Invest Trust Series 50 New York</u>		
On Hand	3.0000	\$ 528.66
09/26/93 Cash Distribution on 3 shares of Municipal Invest Trust Series 50 New York	0.0000	-254.50
09/27/93 Liquidation	-3.0000	-274.16
Total on hand at close	<u>0.0000</u>	<u>\$ 0.00</u>

<u>Municipal Invest Trust Series 53 New York</u>		
On Hand	25.0000	\$ 6,179.75
05/25/93 Cash Distribution on 25 shares of Municipal Invest Trust Series 53 New York	0.0000	-140.25
07/26/93 Cash Distribution on 25 shares of Municipal Invest Trust Series 53 New York	0.0000	-187.50
12/27/93 Cash Distribution on 25 shares of Municipal Invest Trust Series 53 New York	0.0000	-94.50
05/25/94 Cash Distribution on 25 shares of Municipal Invest Trust Series 53 New York	0.0000	-3,822.00
06/27/94 Liquidation	-25.0000	-1,935.50
Total on hand at close	<u>0.0000</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
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Nuveen Series 58, N.Y.

On Hand	54.0000	\$ 54,000.00
Total on hand at close	<u>54.0000</u>	<u>\$ 54,000.00</u>

Ontario Province Bonds, 17% Due 11/5/11

On Hand	\$ 25,000.00	\$ 35,182.00
Total on hand at close	<u>\$ 25,000.00</u>	<u>\$ 35,182.00</u>

Ontario Province Canada Debenture, 8.4% Due 1/15/07

On Hand	\$ 10,000.00	\$ 7,836.00
05/04/93 Redemption	<u>-10,000.00</u>	<u>-7,836.00</u>
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>U.S. Treasury Bills, Due 1/14/93</u>		
On Hand	\$ 200,000.00	\$ 196,976.78
01/14/93 Redemption	-200,000.00	-196,976.78
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

<u>U.S. Treasury Bills, Due 2/4/93</u>		
On Hand	\$ 110,000.00	\$ 108,337.23
02/04/93 Redemption	-110,000.00	-108,337.23
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

<u>U.S. Treasury Bills, Due 6/17/93</u>		
03/18/93 Purchase	\$ 150,000.00	\$ 148,961.08
04/13/93 Sale	-150,000.00	-148,961.08
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>U.S. Treasury Bills, Due 7/15/93</u>		
01/14/93 Purchase	\$ 200,000.00	\$ 197,077.89
07/15/93 Redemption	-200,000.00	-197,077.89
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

<u>U.S. Treasury Bills, Due 1/20/94</u>		
07/26/93 Purchase	\$ 300,000.00	\$ 295,490.67
01/20/94 Redemption	-300,000.00	-295,490.67
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

<u>U.S. Treasury Bills, Due 1/12/95</u>		
01/20/94 Purchase	\$ 300,000.00	\$ 290,480.00
01/12/95 Redemption	-300,000.00	-290,480.00
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>U.S. Treasury Bills, Due 7/13/95</u>		
01/13/95 Purchase	\$ 300,000.00	\$ 291,025.42
07/13/95 Redemption	-300,000.00	-291,025.42
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

<u>U.S. Treasury Notes, 7.5% Due 1/31/97</u>		
01/31/95 Purchase	\$ 230,000.00	\$ 229,755.60
Total on hand at close	<u>\$ 230,000.00</u>	<u>\$ 229,755.60</u>

Summary:

Total Cash Received (includes only Cash Distributions)	\$ 62,766.75
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ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

Cash Reconciliation as of 10/31/95:

Receipts:

Amount shown by Schedule A (Less Accrued Interest)	\$ 83,817.83
Amount shown by Schedule A-1	10,302.00
Amount shown by Schedule A-2	340,388.42
Amount shown by Schedule B	1,599,732.78
Amount shown by Schedule J Cash Received from Corporate Distributions	<u>62,766.75</u>
Total Receipts	\$ 2,097,007.78

Disbursements:

Amount shown by Schedule C	\$ 266,283.22
Amount shown by Schedule D	0.00
Amount shown by Schedule E	-40,000.00
Amount shown by Schedule J, New Investments	<u>1,513,293.87</u>
Total Disbursements	<u>1,739,577.09</u>
Total Cash on Hand per Schedule F	<u><u>\$ 357,430.69</u></u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

Securities Reconciliation as of 10/31/95:

Receipts:

Amount shown by Schedule A	\$	553,988.43
Amount shown by Schedule J, New Investments		<u>1,513,293.87</u>
Total Receipts		\$ 2,067,282.30

Disbursements:

Amount shown by Schedule A-1	\$	7,836.00
Amount shown by Schedule B		1,604,945.53
Amount shown by Schedule J Corporate Cash Distributions		<u>62,766.75</u>
Total Disbursements		<u>1,675,548.28</u>
Total Securities on Hand per Schedule F	\$	<u><u>391,734.02</u></u>

ESTATE OF SIMON A. GOLDBERG - U.S. DOLLAR ACCOUNT

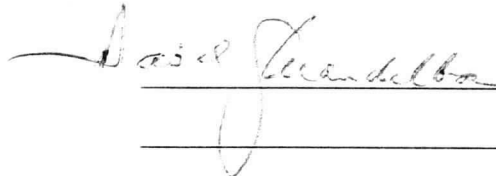
Affidavit of Accounting Party

STATE OF NEW YORK

COUNTY OF

}
} ss.:
}

being duly sworn, says: That the foregoing account contains according to the best of my knowledge and belief a true statement of all my receipts and disbursements on account of the estate and of all moneys or other property belonging to the estate which have come into my hands or been received by any other person by my order or authority for my use and that I do not know of any error or omission in the account to the prejudice of any creditor of, or person interested in the estate.



Sworn to before me this 20th day of
December 1915.



Notary Public

JANET KYLE-OLIN
Notary Public, State of New York
No. 01KY5016963
Qualified in Kings County
Commission Expires Aug. 23, 1997

FINAL ACCOUNT OF THE
ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
JANUARY 1, 1993 - OCTOBER 31, 1995

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Summary

CHARGES:

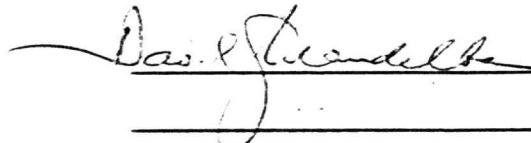
Amount shown by Schedule A (Principal Received).....	\$ 403,000.81	211,247.77
Amount shown by Schedule A-1 (Realized Increases on Principal).....	25,188.20	13,211.00
Amount shown by Schedule A-2 (Income Collected).....	41,573.03	30,057.32
Total Charges.....	\$ 469,762.04	324,625.15

CREDITS:

Amount shown by Schedule B (Realized Decreases on Principal).....	\$ 301.00	217.62
Amount shown by Schedule C (Funeral and Administration Expenses).....	0.00	
Amount shown by Schedule D (Creditors' Claims Actually Paid).....	0.00	
Amount shown by Schedule E (Distributions to Beneficiaries, etc.)....	327,157.86	222,157.86
Total Credits.....	327,458.86	
Balance on hand shown by Schedule F.....	\$ 142,303.18	

The foregoing balance of \$142,303.18 consists of \$13,276.93 in cash and \$129,026.25 in other property on hand as of the 31st day of October, 1995. It is subject to deduction of estimated principal commissions amounting to \$0 shown in Schedule I and to the proper charge to principal of expenses of this accounting.

The attached schedules are part of this account.



Executor/Administrator

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A
Statement of Principal Received

The following assets were on hand on 01/01/93 (unless dated otherwise).

<u>Description</u>	<u>Inventory Value</u>
<u>CASH:</u>	
Wood Gundy, Brokerage Account	\$ 31,035.21 \$ 31,035.21
<u>STOCKS & FUNDS:</u>	
<u>no. of shares</u>	
425 Bank of Nova Scotia, common	\$ 10,040.63
100 CDN Pac Ltd, common	1,600.00
480 Nova Corp of Alberta, common	4,140.00 15,780.63
<u>DEBT INSTRUMENTS:</u>	
<u>face value</u>	
\$ 10,000 B C Munic Fin, 10%, due 7/30/97	\$ 10,690.00
15,000 Bell Canada, 11%, due 10/15/04	16,350.00
188,000 Canada T-Bill, due 1/7/93	187,753.72
10,000 Consumer Gas SFD, 10.45%, due 5/1/99	10,362.50
15,000 Imasco Ltd, 10.5%, due 4/28/98	16,181.25
20,000 Loblaw Cos, 10%, due 3/31/06	21,025.00

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A
Statement of Principal Received

<u>Description</u>	<u>Inventory Value</u>
\$ 20,000 Maritime Tel & Tel, 10.65%, due 12/15/99	\$ 21,950.00
5,000 N B Province, 10.625%, due 4/15/93	5,042.50
20,000 Nova Corp Alta, 11.125%, due 1/4/93	20,000.00
10,000 Ontario Hydro, 10%, due 1/14/96	10,530.00
20,000 Ontario Hydro, 10.25%, due 4/4/09	21,160.00
10,000 P E I Prov of, 11.375%, due 11/26/97	11,140.00
4,000 Sears Canada, 11.5%, due 6/15/95	4,000.00
	<u>\$ 356,184.97</u>
Total Schedule A	<u><u>\$ 403,000.81</u></u>

Summary:

Securities	\$ 371,965.60
Other	31,035.21
Total	<u><u>\$ 403,000.81</u></u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT

Schedule A-1
Statement of Increases on Sales, Liquidation or Distribution

Description	Proceeds	Inventory Value	Increase
<u>Part 1 - Sales:</u>			
01/10/95 425 shares of Bank of Nova Scotia, common, (Sold for \$7,836.78 US Dollars)	\$ 11,061.61	\$ 10,040.63	\$ 1,020.98
01/10/95 100 shares of CDN Pac Ltd, common, (Sold for \$1,430.25 US Dollars)	2,018.80	1,600.00	418.80
01/10/95 480 shares of Nova Corp of Alberta, common, (Sold for \$4,305.67 US Dollars)	6,077.45	4,140.00	1,937.45
Total Schedule A-1, Part 1	<u>\$ 19,157.86</u>	<u>\$ 15,780.63</u>	<u>\$ 3,377.23</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-1
Statement of Increases on Sales, Liquidation or Distribution

Description	Proceeds	Inventory Value	Increase
<u>Part 2 - Redemptions:</u>			
01/07/93 \$188,000 face value of Canada T-Bill, due 1/7/93	\$ 188,000.00	\$ 187,753.72	\$ 246.28
02/11/93 \$241,000 face value of Canada T-Bill, due 2/11/93	241,000.00	239,678.60	1,321.40
04/15/93 \$244,000 face value of Canada T-Bill, due 4/15/93	244,000.00	241,904.28	2,095.72
06/24/93 \$254,000 face value of Canada T-Bill, due 6/24/93	254,000.00	252,225.81	1,774.19
09/23/93 \$263,000 face value of Canada T-Bill, due 9/23/93	263,000.00	260,700.85	2,299.15
10/28/93 \$264,000 face value of Federal Bus Stn, due 10/28/93	264,000.00	263,116.92	883.08
01/06/94 \$270,000 face value of Canada T-Bill, due 1/6/94	270,000.00	268,350.30	1,649.70
02/17/94 \$273,000 face value of Canada T-Bill, due 2/17/94	273,000.00	272,172.26	827.74
05/19/94 \$277,000 face value of Canada T-Bill, due 5/19/94	277,000.00	275,011.69	1,988.31

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-1
Statement of Increases on Sales, Liquidation or Distribution

<u>Description</u>	<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
08/18/94 \$293,000 face value of Canada T-Bill, due 8/18/94	\$ 293,000.00	\$ 289,285.64	\$ 3,714.36
10/17/94 \$300,000 face value of Canada T-Bill, due 10/20/94	300,000.00	297,598.80	2,401.20
12/01/94 \$305,000 face value of Canada T-Bill, due 12/1/94	305,000.00	303,669.59	1,330.41
01/05/95 \$308,000 face value of Canada T-Bill, due 1/5/95	308,000.00	306,720.57	1,279.43
Total Schedule A-1, Part 2	<u>\$ 3,480,000.00</u>	<u>\$ 3,458,189.03</u>	<u>\$ 21,810.97</u>

Recapitulation:

Schedule A-1, Part 1	\$ 19,157.86	\$ 15,780.63	\$ 3,377.23
Schedule A-1, Part 2	3,480,000.00	3,458,189.03	21,810.97
Total Schedule A-1	<u>\$ 3,499,157.86</u>	<u>\$ 3,473,969.66</u>	<u>\$ 25,188.20</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT

Schedule A-2
Statement of All Income Collected

<u>Description</u>		<u>Amount</u>	
<u>Part 1 - Dividends Earned:</u>			
<u>Bank of Nova Scotia, common:</u>			
01/27/93 Dividend on 425 shares	\$	101.15	
04/28/93 Dividend on 425 shares		101.15	
07/28/93 Dividend on 425 shares		101.15	
10/28/93 Dividend on 425 shares		101.15	
01/27/94 Dividend on 425 shares		104.77	
04/27/94 Dividend on 425 shares		104.77	
07/27/94 Dividend on 425 shares		123.25	
10/27/94 Dividend on 425 shares		123.25	
01/27/95 Dividend		131.75	\$ 992.39
<u>CDN Pac Ltd, common:</u>			
01/28/93 Dividend on 100 shares	\$	6.80	
04/28/93 Dividend on 100 shares		6.80	
07/28/93 Dividend on 100 shares		6.80	
10/28/93 Dividend on 100 shares		6.80	
01/28/94 Dividend on 100 shares		6.80	
04/28/94 Dividend on 100 shares		6.80	
07/28/94 Dividend on 100 shares		8.00	
10/28/94 Dividend on 100 shares		8.00	
01/29/95 Dividend		8.00	64.80
<u>Consumer Gas SFD, 10.45%, due 5/1/99:</u>			
11/01/93 Dividend	\$	418.00	418.00

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>		<u>Amount</u>
<u>Maritime Tel & Tel, 10.65%, due 12/15/99:</u>		
06/15/93 Dividend	\$ 1,065.00	\$ 1,065.00
<u>Nova Corp of Alberta, common:</u>		
02/15/93 Dividend on 480 shares	\$ 24.48	
05/17/93 Dividend on 480 shares	24.48	
08/16/93 Dividend on 480 shares	24.48	
11/15/93 Dividend on 480 shares	24.48	
02/15/94 Dividend on 480 shares	24.48	
02/15/94 Dividend on 480 shares	24.48	
08/15/94 Dividend on 480 shares	28.80	
11/15/94 Dividend on 480 shares	28.80	
11/15/94 Withheld Taxes	-4.32	200.16
Total Schedule A-2, Part 1		<u>\$ 2,740.35</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>		<u>Amount</u>
<u>Part 2 - Interest Earned:</u>		
<u>B C Munic Fin, 10%, due 7/30/97:</u>		
02/01/93 Interest on \$10,000	\$	500.00
07/30/93 Interest on \$10,000		500.00
01/28/94 Interest on \$10,000		500.00
08/02/94 Interest on \$10,000		500.00
01/30/95 Interest on \$10,000		500.00
07/31/95 Interest on \$10,000		500.00
		<u>3,000.00</u>
<u>Bell Canada, 11%, due 10/15/04:</u>		
04/15/93 Interest on \$15,000	\$	825.00
10/15/93 Interest on \$15,000		825.00
04/15/94 Interest on \$15,000		825.00
10/17/94 Interest on \$15,000		825.00
04/17/95 Interest on \$15,000		825.00
10/16/95 Interest on \$15,000		825.00
		<u>4,950.00</u>
<u>Consumer Gas SFD, 10.45%, due 5/1/99:</u>		
05/03/93 Interest on \$2,000	\$	522.50
05/02/94 Interest on \$8,000		418.00
05/02/94 Interest on \$8,000		2.29
		<u>942.79</u>
<u>Imasco Ltd, 10.5%, due 4/28/98:</u>		
04/28/93 Interest on \$15,000	\$	787.50
10/28/93 Interest on \$15,000		787.50
04/28/94 Interest on \$15,000		787.50
10/28/94 Interest on \$15,000		787.50

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>		<u>Amount</u>
<u>Imasco Ltd, 10.5%, due 4/28/98: (Continued From Previous Page)</u>		
04/28/95 Interest on \$15,000	\$ 787.50	
10/30/95 Interest on \$15,000	787.50	\$ 4,725.00
<u>Loblaw Cos, 10%, due 3/31/06:</u>		
03/31/93 Interest on \$20,000	\$ 1,000.00	
09/30/93 Interest on \$20,000	1,000.00	
03/31/94 Interest on \$20,000	1,000.00	
09/30/94 Interest on \$20,000	1,000.00	
03/31/95 Interest on \$20,000	1,000.00	
10/02/95 Interest on \$20,000	1,000.00	6,000.00
<u>Maritime Tel & Tel, 10.65%, due 12/15/99:</u>		
12/15/93 Interest on \$20,000	\$ 1,065.00	
06/15/94 Interest on \$20,000	1,065.00	
12/15/94 Interest on \$20,000	1,065.00	
06/15/95 Interest on \$20,000	1,065.00	4,260.00
<u>N B Province, 10.625%, due 4/15/93:</u>		
04/15/93 Interest on \$5,000	\$ 265.62	265.62
<u>Nova Corp Alta, 11.125%, due 1/4/93:</u>		
01/04/93 Interest on \$20,000	\$ 945.63	945.63

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>		<u>Amount</u>
<u>Ontario Hydro, 10%, due 1/14/96:</u>		
01/15/93 Interest on \$10,000	\$	500.00
07/16/93 Interest on \$10,000		500.00
01/14/94 Interest on \$10,000		500.00
07/14/94 Interest on \$10,000		500.00
01/16/95 Interest on \$10,000		500.00
07/14/95 Interest on \$10,000		500.00
		<u>3,000.00</u>
<u>Ontario Hydro, 10.25%, due 4/4/09:</u>		
04/05/93 Interest on \$20,000	\$	1,025.00
10/04/93 Interest on \$20,000		1,025.00
04/04/94 Interest on \$20,000		1,025.00
10/04/94 Interest on \$20,000		1,025.00
04/04/95 Interest on \$20,000		1,025.00
10/04/95 Interest on \$20,000		1,025.00
		<u>6,150.00</u>
<u>P E I Prov of, 11.375%, due 11/26/97:</u>		
05/26/93 Interest on \$10,000	\$	568.75
11/26/93 Interest on \$10,000		568.75
05/26/94 Interest on \$10,000		568.75
11/28/94 Interest on \$10,000		568.75
05/26/95 Interest on \$10,000		568.75
		<u>2,843.75</u>
<u>Sears Canada, 11.5%, due 6/15/95:</u>		
06/15/93 Interest on \$1,000	\$	195.50
12/17/93 Interest on \$3,000		172.50
06/15/94 Interest on \$3,000		172.50
		<u>540.50</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>	<u>Amount</u>
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Wood Gundy, Brokerage Account:

01/12/93	\$ 1.73
01/18/93	36.86
01/18/93	17.25
01/18/93	0.11
01/18/93	0.07
01/18/93	3.98
01/18/93	3.79
01/18/93	0.15
01/18/93	10.84
01/18/93	3.43
01/18/93	3.26
02/12/93	1.48
02/16/93	10.20
02/16/93	0.15
02/16/93	1.78
02/16/93	24.00
03/12/93	1.41
04/16/93	0.20
04/16/93	1.90
04/16/93	20.60
05/17/93	3.14
05/17/93	82.42
05/17/93	10.20
07/16/93	0.08
07/16/93	0.15
07/16/93	2.58
07/16/93	85.07
08/16/93	10.20
11/16/93	81.46
11/16/93	0.28
11/16/93	0.37
11/16/93	1.72
12/06/93	10.20
01/17/94	0.25
01/17/94	2.25
01/17/94	95.40
02/15/94	10.20
05/16/94	14.05
05/16/94	10.20
06/30/94	2.26
06/30/94	3.71
07/18/94	7.11

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>	<u>Amount</u>
<u>Wood Gundy, Brokerage Account: (Continued From Previous Page)</u>	
07/18/94	\$ 1.64
08/15/94	10.20
08/16/94	1.69
08/16/94	8.04
08/16/94	7.10
09/16/94	31.42
09/16/94	0.26
11/05/94	10.20
11/05/94	29.58
01/16/95	239.21
01/16/95	0.84
02/16/95	0.60
02/16/95	11.78
02/28/95	10.20
03/10/95	1.99
03/10/95	2.66
03/10/95	3.26
03/10/95	3.12
03/10/95	3.91
04/10/95	2.04
04/17/95	19.66
05/12/95	2.11
05/15/95	13.60
05/16/95	21.85
05/16/95	7.80
06/12/95	1.99
06/16/95	20.43
06/16/95	9.11
06/16/95	2.06
07/12/95	1.92
07/17/95	23.19
07/17/95	5.23
07/17/95	4.07
08/15/95	1.79
08/15/95	13.60
08/15/95	33.49
09/12/95	1.73
09/18/95	14.48
09/18/95	18.87
10/12/95	1.73
10/16/95	34.45
	\$ 1,209.39
Total Schedule A-2, Part 2	<u>\$ 38,832.68</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule A-2
Statement of All Income Collected

<u>Description</u>	<u>Amount</u>
 <u>Recapitulation:</u>	
Schedule A-2, Part 1	\$ 2,740.35
Schedule A-2, Part 2	38,832.68
Total Schedule A-2	<u>\$ 41,573.03</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT

Schedule B
Statement of Decreases Due to Sales, Liquidation, Collection,
Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
<u>Part 1 - Sales:</u>			
05/03/93 \$2,000 face value of Consumer Gas SFD, 10.45%, due 5/1/99	\$ 2,000.00	\$ 2,072.50	\$ 72.50
05/02/94 \$8,000 face value of Consumer Gas SFD, 10.45%, due 5/1/99	8,104.00	8,290.00	186.00
06/15/94 \$3,000 face value of Sears Canada, 11.5%, due 6/15/95	3,000.00	3,000.00	0.00
Total Schedule B, Part 1	<u>\$ 13,104.00</u>	<u>\$ 13,362.50</u>	<u>\$ 258.50</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
 Schedule B
 Statement of Decreases Due to Sales, Liquidation, Collection,
 Distribution or Uncollectibility

Description	Proceeds	Inventory Value	Decrease
<u>Part 2 - Redemptions:</u>			
01/04/93 \$20,000 face value of Nova Corp Alta, 11.125%, due 1/4/93	\$ 20,000.00	\$ 20,000.00	\$ 0.00
04/15/93 \$5,000 face value of N B Province, 10.625%, due 4/15/93	5,000.00	5,042.50	42.50
06/15/93 \$1,000 face value of Sears Canada, 11.5%, due 6/15/95	1,000.00	1,000.00	0.00
Total Schedule B, Part 2	<u>\$ 26,000.00</u>	<u>\$ 26,042.50</u>	<u>\$ 42.50</u>

Recapitulation:

Schedule B, Part 1	\$ 13,104.00	\$ 13,362.50	\$ 258.50
Schedule B, Part 2	26,000.00	26,042.50	42.50
Total Schedule B	<u>\$ 39,104.00</u>	<u>\$ 39,405.00</u>	<u>\$ 301.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT

Schedule C
Statement of Funeral and Administration Expenses

NONE

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule D
Statement of All Creditors' Claims

<u>Description</u>	<u>Amount</u>
1. Claims presented, allowed, paid and credited and appearing in the Summary Statement, together with the date of payment: <hr/>	
NONE	
2. Claims presented and allowed but not paid: <hr/>	
NONE	
3. Claims presented but rejected, the date and reason for such rejection: <hr/>	
NONE	
4. Contingent and possible claims: <hr/>	
NONE	
5. Personal claims requiring approval by the Court, pursuant to SCPA 1805: <hr/>	
NONE	

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT

Schedule E
Statement of Distributions Made

<u>Description</u>	<u>Fair Market Value</u>
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Transfer to Chemical Checking Account (\$231,765.28 US Dollars):

Cash Distributions:

01/10/95	\$ 327,157.86	\$ 327,157.86	\$ 327,157.86
Total Schedule E			<u>\$ 327,157.86</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule F
Statement of All Personal Property Remaining on Hand

Description	Market Value on 10/31/95	Inventory Value on 10/31/95	
<u>CASH:</u>			
Wood Gundy, Brokerage Account \$	13,276.93	\$ 13,276.93	\$ 13,276.93
<u>DEBT INSTRUMENTS:</u>			
\$10,000 face value of B C Munic Fin, 10%, due 7/30/97	10,545.00	\$ 10,690.00	
\$15,000 face value of Bell Canada, 11%, due 10/15/04	16,162.50	16,350.00	
\$15,000 face value of Imasco Ltd, 10.5%, due 4/28/98	16,087.50	16,181.25	
\$20,000 face value of Loblaw Cos, 10%, due 3/31/06	21,850.00	21,025.00	
\$20,000 face value of Maritime Tel & Tel, 10.65%, due 12/15/99	22,175.00	21,950.00	
\$10,000 face value of Ontario Hydro, 10%, due 1/14/96	10,066.00	10,530.00	
\$20,000 face value of Ontario Hydro, 10.25%, due 4/4/09	22,005.00	21,160.00	
\$10,000 face value of P E I Prov of, 11.375%, due 11/26/97	10,875.00	11,140.00	129,026.25
Total Schedule F	\$ 143,042.93	\$ 142,303.18	

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule F
Statement of All Personal Property Remaining on Hand

<u>Description</u>	<u>Market Value</u> <u>on 10/31/95</u>	<u>Inventory Value</u> <u>on 10/31/95</u>
<u>Summary:</u>		
Securities	\$ 129,766.00	\$ 129,026.25
Other	13,276.93	13,276.93
Total	<u>\$ 143,042.93</u>	<u>\$ 142,303.18</u>

ESTATE OF SIMON A. GOLDBERG
Schedule I
Statement of Computation of Commissions

<u>Computation in U.S. Dollars</u>	<u>Amount</u>
<u>For Receiving:</u>	
Previous Accounting 5/24/85 - 12/31/92	\$ 1,065,611.89
U.S. Dollar Account	
Schedule	
Schedule A-1 (Realized Increases)	2,466.00
Schedule A-2 (Income Collected)	340,388.42
Less Transfer from Canadian Account	(231,765.28)
Canadian Dollar Account	
Schedule A-1 (Realized Increases)	18,211.08*
Schedule A-2 (Income Collected)	30,057.32*
Base For Receiving Commissions	<u><u>\$ 1,224,969.43</u></u>

Computation:

5% on	\$ 100,000.00	\$ 5,000.00
4% on	200,000.00	8,000.00
3% on	700,000.00	21,000.00
2.5% on	224,969.43	5,624.24
	<u><u>\$ 1,224,969.43</u></u>	<u><u>\$ 39,624.24</u></u>

Receiving Commission: One Half of \$39,624.24 \$ 19,812.12

* Converted to U.S. Dollars at a rate of U.S. \$1 = Canadian \$1.383125

ESTATE OF SIMON A. GOLDBERG
Schedule I
Statement of Computation of Commissions

<u>Computation in U.S. Dollars</u>	<u>Amount</u>
<u>For Paying:</u>	
Previous Accounting 5/24/85 - 12/31/92	
Schedule C (Funeral and Administration Expenses)	\$ 32,811.29
Schedule E (Distributions)	40,000.00
U.S. Dollar Account	
Schedule C (Funeral and Administration Expenses)	266,283.22
Schedule D (Creditors' Claims)	0.00
Schedule E (Distributions)	-40,000.00
Schedule F (Personal Property on Hand)	746,420.71
Canadian Dollar Account	
Schedule F (Personal Property on Hand)	103,420.10*
Base For Paying Commissions	<u>\$ 1,148,935.32</u>

Computation:

5% on	\$ 100,000.00	\$ 5,000.00
4% on	200,000.00	8,000.00
3% on	700,000.00	21,000.00
2.5% on	148,935.32	3,723.38
	<u>\$ 1,148,935.32</u>	<u>\$ 37,723.38</u>

Paying Commission: One Half of \$37,723.38	\$ 18,861.69
One Full Commission	\$ 38,673.81
Less Commissions on Account	(10,468.93)
Balance of Commissions Due	<u>\$ 28,204.88</u>

* Converted to U.S. Dollars at a rate of U.S. \$1 = Canadian \$1.383125

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Inventory Value</u>
<u>New Investments:</u>	
01/07/93 \$241,000 face value of Canada T-Bill, due 2/11/93	\$ 239,678.60
02/12/93 \$244,000 face value of Canada T-Bill, due 4/15/93	241,904.28
04/20/93 \$254,000 face value of Canada T-Bill, due 6/24/93	252,225.81
06/28/93 \$263,000 face value of Canada T-Bill, due 9/23/93	260,700.85
09/23/93 \$264,000 face value of Federal Bus Stn, due 10/28/93	263,116.92
11/01/93 \$270,000 face value of Canada T-Bill, due 1/6/94	268,350.30
01/11/94 \$273,000 face value of Canada T-Bill, due 2/17/94	272,172.26
02/17/94 \$277,000 face value of Canada T-Bill, due 5/19/94	275,011.69
05/19/94 \$293,000 face value of Canada T-Bill, due 8/18/94	289,285.64

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Inventory Value</u>
08/19/94 \$300,000 face value of Canada T-Bill, due 10/20/94	\$ 297,598.80
10/21/94 \$305,000 face value of Canada T-Bill, due 12/1/94	303,669.59
12/01/94 \$308,000 face value of Canada T-Bill, due 1/5/95	306,720.57
Total Schedule J, New Investments	<u>\$ 3,270,435.31</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
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Adjustments to Inventory Value:

B C Munic Fin, 10%, due 7/30/97

On Hand	\$ 10,000.00	\$ 10,690.00
Total on hand at close	<u>\$ 10,000.00</u>	<u>\$ 10,690.00</u>

Bank of Nova Scotia, common

On Hand	425.0000	\$ 10,040.63
01/10/95 Sale	-425.0000	-10,040.63
Total on hand at close	<u>0.0000</u>	<u>\$ 0.00</u>

Bell Canada, 11%, due 10/15/04

On Hand	\$ 15,000.00	\$ 16,350.00
Total on hand at close	<u>\$ 15,000.00</u>	<u>\$ 16,350.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>CDN Pac Ltd, common</u>		
On Hand	100.0000	\$ 1,600.00
01/10/95 Sale	-100.0000	-1,600.00
Total on hand at close	<u>0.0000</u>	<u>\$ 0.00</u>
<u>Canada T-Bill, due 1/7/93</u>		
On Hand	\$ 188,000.00	\$ 187,753.72
01/07/93 Redemption	-188,000.00	-187,753.72
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<u>Canada T-Bill, due 2/11/93</u>		
01/07/93 Purchase	\$ 241,000.00	\$ 239,678.60
02/11/93 Redemption	-241,000.00	-239,678.60
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Canada T-Bill, due 4/15/93</u>		
02/12/93 Purchase	\$ 244,000.00	\$ 241,904.28
04/15/93 Redemption	-244,000.00	-241,904.28
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<u>Canada T-Bill, due 6/24/93</u>		
04/20/93 Purchase	\$ 254,000.00	\$ 252,225.81
06/24/93 Redemption	-254,000.00	-252,225.81
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<u>Canada T-Bill, due 9/23/93</u>		
06/28/93 Purchase	\$ 263,000.00	\$ 260,700.85
09/23/93 Redemption	-263,000.00	-260,700.85
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Canada T-Bill, due 1/6/94</u>		
11/01/93 Purchase	\$ 270,000.00	\$ 268,350.30
01/06/94 Redemption	-270,000.00	-268,350.30
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<u>Canada T-Bill, due 2/17/94</u>		
01/11/94 Purchase	\$ 273,000.00	\$ 272,172.26
02/17/94 Redemption	-273,000.00	-272,172.26
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<u>Canada T-Bill, due 5/19/94</u>		
02/17/94 Purchase	\$ 277,000.00	\$ 275,011.69
05/19/94 Redemption	-277,000.00	-275,011.69
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Canada T-Bill, due 8/18/94</u>		
05/19/94 Purchase	\$ 293,000.00	\$ 289,285.64
08/18/94 Redemption	-293,000.00	-289,285.64
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<u>Canada T-Bill, due 10/20/94</u>		
08/19/94 Purchase	\$ 300,000.00	\$ 297,598.80
10/17/94 Redemption	-300,000.00	-297,598.80
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<u>Canada T-Bill, due 12/1/94</u>		
10/21/94 Purchase	\$ 305,000.00	\$ 303,669.59
12/01/94 Redemption	-305,000.00	-303,669.59
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
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Canada T-Bill, due 1/5/95

12/01/94 Purchase	\$ 308,000.00	\$ 306,720.57
01/05/95 Redemption	-308,000.00	-306,720.57
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

Consumer Gas SFD, 10.45%, due 5/1/99

On Hand	\$ 10,000.00	\$ 10,362.50
05/03/93 Sale	-2,000.00	-2,072.50
05/02/94 Sale	-8,000.00	-8,290.00
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

Federal Bus Stn, due 10/28/93

09/23/93 Purchase	\$ 264,000.00	\$ 263,116.92
10/28/93 Redemption	-264,000.00	-263,116.92
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
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Imasco Ltd, 10.5%, due 4/28/98

On Hand	\$ 15,000.00	\$ 16,181.25
Total on hand at close	<u>\$ 15,000.00</u>	<u>\$ 16,181.25</u>

Loblaw Cos, 10%, due 3/31/06

On Hand	\$ 20,000.00	\$ 21,025.00
Total on hand at close	<u>\$ 20,000.00</u>	<u>\$ 21,025.00</u>

Maritime Tel & Tel, 10.65%, due 12/15/99

On Hand	\$ 20,000.00	\$ 21,950.00
Total on hand at close	<u>\$ 20,000.00</u>	<u>\$ 21,950.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>N B Province, 10.625%, due 4/15/93</u>		
On Hand	\$ 5,000.00	\$ 5,042.50
04/15/93 Redemption	-5,000.00	-5,042.50
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<u>Nova Corp Alta, 11.125%, due 1/4/93</u>		
On Hand	\$ 20,000.00	\$ 20,000.00
01/04/93 Redemption	-20,000.00	-20,000.00
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>
<u>Nova Corp of Alberta, common</u>		
On Hand	480.0000	\$ 4,140.00
01/10/95 Sale	-480.0000	-4,140.00
Total on hand at close	<u>0.0000</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
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Ontario Hydro, 10%, due 1/14/96

On Hand	\$ 10,000.00	\$ 10,530.00
Total on hand at close	<u>\$ 10,000.00</u>	<u>\$ 10,530.00</u>

Ontario Hydro, 10.25%, due 4/4/09

On Hand	\$ 20,000.00	\$ 21,160.00
Total on hand at close	<u>\$ 20,000.00</u>	<u>\$ 21,160.00</u>

P E I Prov of, 11.375%, due 11/26/97

On Hand	\$ 10,000.00	\$ 11,140.00
Total on hand at close	<u>\$ 10,000.00</u>	<u>\$ 11,140.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

<u>Description</u>	<u>Shares, Face Value, etc.</u>	<u>Inventory Value</u>
<u>Sears Canada, 11.5%, due 6/15/95</u>		
On Hand	\$ 4,000.00	\$ 4,000.00
06/15/93 Redemption	-1,000.00	-1,000.00
06/15/94 Sale	-3,000.00	-3,000.00
Total on hand at close	<u>\$ 0.00</u>	<u>\$ 0.00</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

Cash Reconciliation as of 10/31/95:

Receipts:

Amount shown by Schedule A (Less Accrued Interest)	\$ 31,035.21	
Amount shown by Schedule A-1	3,499,157.86	
Amount shown by Schedule A-2	41,573.03	
Amount shown by Schedule B	<u>39,104.00</u>	
Total Receipts		\$ 3,610,870.10

Disbursements:

Amount shown by Schedule C	\$ 0.00	
Amount shown by Schedule D	0.00	
Amount shown by Schedule E	327,157.86	
Amount shown by Schedule J, New Investments	<u>3,270,435.31</u>	
Total Disbursements		<u>3,597,593.17</u>
Total Cash on Hand per Schedule F		<u>\$ 13,276.93</u>

ESTATE OF SIMON A. GOLDBERG - CANADIAN DOLLAR ACCOUNT
Schedule J
Statement of Other Pertinent Facts and of Cash Reconciliation

Securities Reconciliation as of 10/31/95:

Receipts:

Amount shown by Schedule A	\$ 371,965.60	
Amount shown by Schedule J, New Investments	<u>3,270,435.31</u>	
Total Receipts		\$ 3,642,400.91

Disbursements:

Amount shown by Schedule A-1	\$ 3,473,969.66	
Amount shown by Schedule B	<u>39,405.00</u>	
Total Disbursements		<u>3,513,374.66</u>
Total Securities on Hand per Schedule F		<u><u>\$ 129,026.25</u></u>

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 24 of 29

Surrogate Judge Roth's December 28, 1995 Accounting Decree.

Decree fails to catch that the Accounting from January 1, 1993 to October 31, 1995 does not include \$327,000 Canadian Treasuries that were failed by Wolf Popper to be carried over to the US Asset column from the Canadian Asset Column.

In September 2015, Loren notifies Vatican Attorney Kathleen McCormack of the \$327,000 failed carryover from Canadian Treasuries suspected to be from Madoff 703 Accounting.

At a Surrogate's Court of the
County of *New York*, held at the
courthouse in said county, on
the *28th* day of *December*, 1995.

PRESENT:

Honorable Renee R. Roth,

Surrogate

SURROGATES COURT : COUNTY OF NEW YORK

-----X
In the Matter of the Judicial
Settlement of the Account of
proceedings of

David J. Mandelbaum, as Executor of
the Estate of Simon A. Goldberg,
Deceased.
-----X

DECREE ON ACCOUNTING

File No. 3169/85

SURROGATE'S COURT
NEW YORK COUNTY
95 DEC 29 AM 10:55
FILED

David J. Mandelbaum, as Executor of the Estate of Simon
A. Goldberg, deceased, who at the time of his death was a
resident of 400 E. 54th Street, New York, NY 10022 heretofore
filed a petition verified the 13th day of February 1993, in which
application was made to the Surrogate of the County of New York
for a judicial settlement of his account as supplemented, and a
citation having been thereupon issued, pursuant to statute,
directed to all persons interested in the estate of said
deceased, citing them to show cause before the said Surrogate at
her office in the County of New York on the 16th day of April,
1993 at 9:30 o'clock in the forenoon of that day, why the account

GOLDBERG.DEC

of said executor should not be judicially settled, and the said citation having been returned with proof of the due service thereof on Evelyn Goldberg, Diana Brooks, Jay M. Galden, Jay Brooks, David Yablon, McGill University, Harvard University, Maimonides Hospital Foundation and the Attorney General of the State of New York, and a supplemental citation having thereupon issued as a result of the death of Harry M. Goldberg, a remainderman, pursuant to statute, upon Rose Goldberg as Executrix of the Estate of Harry M. Goldberg and Brian Goldberg, citing them to show cause before the said Surrogate at her office in the County of New York on the 25th day of May, 1993, why the account of said Executor should not be judicially settled and the said supplemental citation having been returned with proof of service thereof on Rose Goldberg and Brian Goldberg; and the said Executor having appeared on the return of said supplemental citation by his attorney John C. Fisher, Esq. and the Attorney General of the State of New York having appeared and Evelyn Goldberg having appeared pro se; and the Surrogate having designated Judith E. Siegel-Baum as Guardian ad Litem on June 16, 1993; and the Guardian ad Litem having qualified on June 23, 1993 and filed a Notice of Appearance on June 24, 1993, a preliminary Guardian ad Litem reported dated August 9, 1993 and verified objections to the Account dated March 4, 1994; and a motion having

been made by the executor for the appointment of a Special Referee returnable on the 15th day of November, 1994 and the court having appointed Karin J. Barkhorn, Esq. as Special Referee with respect to the issues in this contested accounting by an Order entered on December 15, 1994 and hearings before the Special Referee held on January 23, 1995, March 15, 1995 and March 22, 1995 and the Special Referee having filed her report on June 1, 1995 and the executor having moved to modify in full and confirm in part the Referee's Report returnable on August 12, 1995 and the court having rendered a decision in writing ~~confirming~~ ^{confirming} the referee's report in its entirety dated October 26, 1995; and the said Surrogate having examined the said Account as supplemented now finds the state and condition of the said Account to be as stated and set forth in the following summary statement thereof to wit.

Joint U.S. and Canadian

A summary statement of the Account as supplemented of David J. Mandelbaum as Executor of the Estate of Simon A. Goldberg, deceased, made by the Surrogate as judicially settled. The said Executor is chargeable as follows:

Amount shown by Schedule "A"	\$537,806.26	\$929,176.03*
(Principal received)		
Amount shown by Schedule "A-1"	2,455.00	20,677.08*
(Realized increases on principal)		
Amount shown by Schedule "A-2"	340,388.42	370,445.74*
(Income collected)		
		\$1,320,298.85
		\$980,660.68

The said Executor is credited as follows:

Amount shown by Schedule "B"	\$5,430.37*
(Realized decreases on principal)	\$5,212.75
Amount shown by Schedule "C"	266,283.22
(Funeral and administration expenses)	
Amount shown by Schedule "D"	
(Creditors' claims actually paid)	0
Amount shown by Schedule "E"	196,535.28*
(Distributions of principal)	[40,000.00]
Total principal credits	468,248.87
	231,495.97
Principal balance on hand shown by	\$852,049.98
Schedule "F"	\$749,164.71
	\$367,029.92*

The foregoing balance consists of ~~\$357,430.69~~ in cash and
~~\$485,020.06~~*
~~\$391,374.02~~ in other property.

And it appearing that said Executor has fully accounted for all the monies and property of the estate of the deceased which have come into his hands as Executor, and the summary statement having been made as above to be recorded as part of this decree, it is hereby

ORDERED, ADJUDGED AND DECREED that the account as supplemented be and the same is judicially settled, and allowed as filed, and it is further

ORDERED ADJUDGED AND DECREED that out of the balance remaining in the hands of the Executor he pay the sum of \$22,397.53 to Goodkind and Wechsler Labaton and Rudoff as per Schedule C1 of the accounting, as supplemented, and it is further

* Canadian amounts converted to U.S. Dollars at rate set forth in Schedule I of Canadian Dollar Account

GOLDBERG.DEC

- 4 -

ORDERED ADJUDGED AND DECREED that the said Executor retain the sum of \$28,204.88 as and for the balance of his commissions, and it is further

ORDERED ADJUDGED AND DECREED that the said Executor pay to Karin J. Barkhorn, Esq. the sum of \$ 15,250 as and for her fee as Special Referee, to be apportioned 1/2 to income and 1/2 to principal, and it is further

ORDERED ADJUDGED AND DECREED that the said Executor pay to Judith E. Siegel-Baum the sum of \$ 49,950 as and for her fee for services as Guardian ad Litem to be apportioned 1/3 to income and 2/3 to principal and it is further

ORDERED ADJUDGED AND DECREED that all of the assets of the estate having been accounted except for \$35,000 in Municipal Assistance Corporation Bonds deemed received by Evelyn Goldberg, the said \$35,000 shall be treated as an income distribution on account of Mrs. Goldberg's income interest in the estate, and it is further

ORDERED ADJUDGED AND DECREED that the legal fees of Wolf Popper Ross Wolf & Jones are allowed in the total sum of \$96,059.00 reduced by 1/2 of the accounting fee or \$15,402.82 and further reduced by the fees adjudged to be executorial or the sum of \$6,142.50 for a total reduction of \$21,543.32 resulting in a total fee of \$74,515.68 of which \$76,141.59 has been paid to date

GOLDBERG.DEC

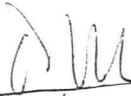
- 5 -

and \$1,625.91 refunded to the estate by John C. Fisher, Esq., and it is further

ORDERED ADJUDGED AND DECREED that the objections to the accounting heretofore filed by Judith E. Siegel-Baum and Evelyn Goldberg are hereby dismissed, with prejudice, and it is further

ORDERED ADJUDGED AND DECREED that the said Executor do distribute the balance remaining in his hands to David J. Mandelbaum as Trustee under the Last Will and Testament of Simon A. Goldberg, deceased, and it is further

ORDERED, ADJUDGED AND DECREED that upon making all of the aforementioned payments the said Executor be discharged and released of and from any and all liability, responsibility or accountability with respect to the acts and transactions of such Executor, whether embraced in or reasonably to be inferred from said account of proceedings hereby judicially approved, settled and allowed by this decree.



Sungate

GOLDBERG.DEC

- 6 -

SURROGATES COURT : COUNTY OF NEW YORK

In the Matter of the Judicial
Settlement of the Account of
proceedings of

AFFIDAVIT OF SERVICE

David J. Mandelbaum, as Executor of
the Estate of Simon A. Goldberg,
Deceased.

File No. 3169/85

STATE OF NEW YORK)
 : ss.:
COUNTY OF NEW YORK)

Elizabeth K. Ives, being duly sworn, deposes and says:

On the 10th day of January, 1996, I served a copy of the within signed Decree with Notice of Entry upon:

Mrs. Evelyn Goldberg
400 East 54th Street
New York, NY 10022

Karin J. Barkhorn, Esq.
1095 Park Avenue
New York, NY 10128

Howard Holt, Esq.
Office of the Attorney General
of the State of New York
120 Broadway
New York, NY 10271

Judith Siegel-Baum, Esq.
Lowenthal, Landau, Fischer & Bring
250 Park Avenue
New York, NY 10177

by causing it to be mailed securely enclosed is a postpaid wrapper and addressed as indicated herein.

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 25 of 29

NY Post Editor Jack Newfield, September 1, 1996, re GAL Judith Siegel Baum, Jules
Haskel involved in the Wolf Popper Madoff Fraud and others in the Manhattan
Surrogate Probate Court Patronage Policy.

DISH OUT

SEPTEMBER 1, 1996

SURROGATE COURT

MANHATTAN



RENEE ROTH, 56, Manhattan surrogate judge: She ran and won surrogate's job without the backing of Manhattan Democratic leaders in 1982, but now has full party support.



KAREN BURSTEIN, 53, former Family Court judge and state senator: Has the endorsement of only one Democratic leader in Manhattan, but is counting on name recognition earned during her 1994 run for state attorney general.

LILA GOI
Civil Court
Supported
Assembly
Genovesi,
challenger
Norman for
the party
chairman's
post.



WHO GOT

Surrogate courts hand out millions of dollars in guardianships and estate cases. Manhattan

MANHATTAN

Judge Renee Roth's court has referred 390 cases worth a total of \$2 million to lawyers since Aug. 1, 1994.

Who got the most:

- Jules Haskel, \$147,891 for the estate of Sol Goldman
- Seth Rubenstein, \$103,350 (Includes \$88,000 for the estate of tobacco heiress Doris Duke)
- Judith Siegelbaum, \$83,110
- Corinne Marcus, \$69,775
- Joseph Kartigater, \$65,000
- John M. Czygier Jr., \$64,400 (Doris Duke's estate)

Others:

- Bella Abzug, \$20,000
- Geraldine Ferraro, \$37,500
- Milton Mollen (former judge who led police corruption hearings), \$9,200
- Matthew J. Troy III (son of former Queens Democratic boss), \$7,755
- Peter F. Vallone Jr. (son of City Council speaker), \$1,800

PATRONAGE at NY County
Sur Court

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 26 of 29

Simon Estate June 27, 2011 Bounced check and Replacement check from
Fisher/Wolf Popper.

HAMBURGER, WEINSCHENK AND FISHER

ATTORNEYS AT LAW
THE BAR BUILDING
36 WEST 44TH STREET
NEW YORK, N.Y. 10036

ADOLF HAMBURGER (1962)
FRITZ WEINSCHENK
JOHN C. FISHER

TEL (212) 719-5930/1/2
FAX (212) 840-0825

COUNSEL:

LAWRENCE MOLNAR (ALSO MEMBER OF THE CZECH BAR)

July 5, 2011

Estate of: Simon Goldberg (Evelyn Goldberg)

Leonard Pack
17 State Street, Suite 1565
New York, NY 10004

Dear Mr. Pack:

Enclosed please find the estate's check for \$326,470.75. This represents the amount due the Estate of Evelyn Goldberg pursuant to the previously entered decree on accounting.

In reworking the figures due to Evelyn for the date of the decree to the date of her death I find that I do need another accounting for that. This accounting is being prepared.

Very truly yours,



John C. Fisher

JCF:ec

1181

ESTATE OF SIMON A. GOLDBERG
David J. Mandelbaum, Executor

DATE June 27, 2011

1-12
210 0134

PAY
TO THE
ORDER OF

estate of Evelyn Goldberg

\$ 326,470.75

Three Hundred Twenty-Six Thousand Four Hundred Seventy and 75/100*****

DOLLARS  Security features
Detailed on back.



FOR estate distribution

David J. Mandelbaum

NP

⑈001181⑈ ⑈021000128⑈006 058191⑈

HAMBURGER, WEINSCHENK AND FISHER

ATTORNEYS AT LAW
THE BAR BUILDING
36 WEST 44TH STREET
NEW YORK, N.Y. 10036

ADOLF HAMBURGER (1962)
FRITZ WEINSCHENK
JOHN C. FISHER

TEL (212) 719-5930/1/2
FAX (212) 840-0825

COUNSEL:

LAWRENCE MOLNAR (ALSO MEMBER OF THE CZECH BAR)

August 10, 2011

File No. 9325

ESTATE OF: **Simon A. GOLDBERG**

Debra Pitman
17 State Street, 15th Floor
New York, NY 10004

Re: Estate of Evelyn Goldberg

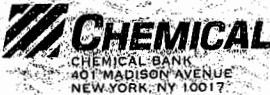

Dear Ms. Pitman:

Please accept our apologies with the previous check. Enclosed please find the replacement check including an additional \$34 for the bank charge on your account.

Very truly yours,

Janet Kyle-Olin
Legal Assistant

Enclosure

ESTATE OF SIMON A. GOLDBERG		1183
David J. Mandelbaum r executor		1-12 210 0134
DATE August 5, 2011		
PAY TO THE ORDER OF	Estate of Evelyn Goldberg	\$326,504.75
Three Hundred Twenty-Six Thousand Five Hundred Four and 75/100***		DOLLARS
 CHEMICAL BANK 401 MADISON AVENUE NEW YORK, NY 10017		
FOR d/s		MP
⑈001183⑈ ⑆021000128⑆006 058191⑈		

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 27 of 29

Simon Goldberg Estate Docket from Manhattan Surrogate Court dated August 26, 2010 with twenty-four entries.

Neither the GAL orders nor Motions and actions to expose GAL are on the docket.

Motions for Accounting fraud and removal of Executor David J. Mandelbaum also not on the docket.

26-Aug-10 6:10
Min Book For Index Number

Page 1

#	DEPT	DATE	LAST E	MINUTE	BOOK	QUA	FEE	PAID	INDEX #
3	MIS	02-09-1990	O S C			1	.00		1985-3169
5	MIS	04-04-1990	MISC ORDER			1	.00		1985-3169
7	MIS	04-30-1990	O S C			1	.00		1985-3169
13	PRO	04-08-1991	Decree Admitting Will To Probate			1	.00		1985-3169
9	MIS	04-29-1991	Notice Of Appeal & Pre-argument Stmn			1	.00		1985-3169
11	MIS	07-10-1991	O S C			1	.00		1985-3169
15	MIS	02-21-1992	Remittitur			1	.00		1985-3169
17	ACC	11-04-1992	Petition Compel Account			1	.00		1985-3169
21	MIS	12-08-1992	MISC ORDER			1	.00		1985-3169
23	MIS	12-14-1992	Inventory Of Assets Filed			1	.00		1985-3169
25	MIS	12-17-1992	Order For Advance Payment Of Commiss			1	.00		1985-3169
33	MIS	12-24-1992	Bond Filed - Advance Payment Of Comm			1	.00		1985-3169
1	MIS	01-13-1993	MISC ORDER			1	.00		1985-3169
4	MIS	02-02-1993	MISC ORDER - copied			1	.00		1985-3169
6	ACC	02-18-1993	Petition & Account			1	.00		1985-3169
8	MIS	02-23-1993	Order - Serve Citation By Mail			2	.00		1985-3169
12	MIS	04-13-1993	Order - Serve Citation By Mail			1	.00		1985-3169
16	MIS	07-29-1993	O S C			1	.00		1985-3169
18	MIS	12-08-1993	MISC ORDER - copied			1	.00		1985-3169
22	MIS	12-15-1994	MISC ORDER - served apt			1	.00		1985-3169
26	MIS	02-27-1995	MISC ORDER - copied			1	.00		1985-3169
32	ACC	12-29-1995	Decree On Accounting			1	.00		1985-3169
34	MIS	02-09-1996	Notice Of Appeal & Pre-argument Stmn			1	.00		1985-3169
35	MIS	11-03-2008	Power Of Atty			1	.00		1985-3169

Granted EG change of Attorney

First 3 yr executors instead of trustees
who filed

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 28 of 29

July 7, 2012 fax from Evelyn Goldberg Estate Executor Loren to Trustee Picard re
Emily Madoff and Wolf Popper.

7/20/12

To: Mr. Picard

From: Dean Lorens, Executor
ESTATE of Evelyn Goldberg

Re: Emily MADOFF + Surrogate Roth
Estate of Simon Goldberg.

Mr. Picard:

Would like to speak to you
re: representation and

Emily Madoff / Wolf Popper Memo

Thanks you


Executor

DEAN LOREN'S AFFIDAVIT DATED APRIL 23, 2018
IN SUPPORT OF MOTION FOR SIPC CLAIMS AGAINST BERNARD MADOFF
FOR THE BENEFIT OF POPE FRANCIS BEFORE JUDGE STUART BERNSTEIN AND
US HOUSE REPRESENTATIVES GOODLATTE, GOWDY, NUNES & HENSARLING

EXHIBIT 29 of 29

Simon Goldberg Estate Probate Docket May 11 2017 with additional 190 documents placed on the record sua sponte by Surrogate Judge Rita Mella after being contacted by the IRS and Loren files Fraudulent Death Certificate claim.

5/11/2017

File # 1985-3169 Document and Info Sheet

Judge: Nora S. Anderson

Simon A Goldberg,

Domicile: County of New York Value: Does not Apply

Attorneys

Wolf Popper Ross, Attorney For Petitioner

Parties

David J Mandelbaum, Executor

Kim Sulik
Archivist 5th fl
believed to be the
Dean (she was father
then or woman who
like her)

File#	Document	Date Filed	Scanned	Quantity Impounded	
1985-3169	Probate Petition-	07-02-1985	Y	1	N
1985-3169	Death Certificate-	07-02-1985	Y	1	N
1985-3169	Notice Of Probate-	07-02-1985	Y	1	N
1985-3169	Waiver And Consent-	07-02-1985	Y	2	N
1985-3169	Affidavit Of Comparison With Copy Of Will-	07-02-1985	Y	1	N
1985-3169	Affidavit-In Opposition To Prelim Ltrs	07-23-1985	Y	1	N
1985-3169	Deposition Of Attesting Witness-	07-23-1985	Y	2	N
1985-3169	Affidavit-Evelyn Goldeberg	09-13-1985	Y	1	N
1985-3169	Affidavit-Evelyn Goldberg	09-23-1985	Y	1	N
1985-3169	Consent To Change Attorney-	10-07-1985	Y	1	N
1985-3169	Citation-	11-19-1985	Y	1	N
1985-3169	Affidavit-Evelyn Goldberg	11-25-1985	Y	1	N
1985-3169	Affidavit-James R. Walsh	12-17-1985	Y	1	N
1985-3169	Affidavit-Dana L. Mark	12-18-1985	Y	1	N
1985-3169	Supplemental Citation-	12-18-1985	Y	1	N
1985-3169	Affidavit-Stephen Michael	01-17-1986	Y	1	N
1985-3169	Affidavit-Jeffrey A. Galant	01-17-1986	Y	1	N
1985-3169	Affidavit-Lynne S. Brown	01-17-1986	Y	1	N
1985-3169	Order For Substituted Service-	01-28-1986	Y	1	N
1985-3169	Citation-Second Supplemental	01-29-1986	Y	1	N
1985-3169	Affidavit Of Service-	02-19-1986	Y	1	N
1985-3169	Notice Of Appearance-	03-18-1986	Y	1	N
1985-3169	Transcript-Maria Matera	04-10-1986	Y	1	N
1985-3169	Transcript-Michael L. Carlin	04-10-1986	Y	1	N
1985-3169	Transcript-Agnes Omelia	04-10-1986	Y	1	N
1985-3169	Stipulation-	04-24-1986	Y	1	N
1985-3169	Stipulation-	05-13-1986	Y	1	N
1985-3169	Stipulation-	06-06-1986	Y	1	N
1985-3169	Petition For Preliminary Letters-	06-25-1986	Y	1	N
1985-3169	Notice Of Objections Filed-	06-30-1986	Y	1	N
1985-3169	Affidavit Of Service-	06-30-1986	Y	1	N
1985-3169	Petition-For Order For Notice Of Obj Files	06-30-1986	Y	1	N
1985-3169	Order For Notice Of Objections Filed-	07-03-1986	Y	1	N
1985-3169	Notice Of Settlement-	07-03-1986	Y	1	N
1985-3169	Order For Preliminary Letters Testamentary-	07-03-1986	Y	1	N
1985-3169	Bond-	07-09-1986	Y	1	N
1985-3169	Objections To Probate-	07-13-1986	Y	1	N
1985-3169	Affidavit-P. Michael Puleo	07-22-1986	Y	1	N
1985-3169	Affidavit In Reply To Opposition To Issuance Of Prelinary Letters Testament-	07-25-1986	Y	1	N
1985-3169	Transcript-	08-01-1986	Y	1	N
1985-3169	Stipulation-	03-27-1987	Y	1	N
1985-3169	Demand For Bill Of Particulars-	06-15-1987	Y	1	N
1985-3169	Consent To Change Attorneys-	06-16-1987	Y	1	N
1985-3169	Notice Of Motion-	06-19-1987	Y	1	N
1985-3169	Consent To Change Attorney-	07-21-1987	Y	1	N
1985-3169	Affidavit In Opposition To Cross Motion-	08-11-1987	Y	1	N
1985-3169	Cross Notice Of Motion-	08-18-1987	Y	1	N
1985-3169	Notice Of Change Of Address-	09-24-1987	Y	1	N

1985-3169	Affidavit For Adjournment And Postponement-	06-23-1988	Y	1	N
1985-3169	Notice Of Motion-	06-28-1988	Y	1	N
1985-3169	Transcript/Deposition Of Petitioner-David Mandelbaum	08-09-1988	Y	1	N
1985-3169	Transcript/Deposition Of Petitioner(Continuation))-David Mandelbaum	08-16-1988	Y	1	N
1985-3169	Order Framing Issues-	09-19-1988	Y	1	N
1985-3169	Memorandum Of Law In Support Of Motion To Remove Preliminary Executor-	09-23-1988	Y	1	N
1985-3169	Note Of Issue-	09-26-1988	Y	1	N
1985-3169	Petitioner's Memorandum Of Law In Opposition To Motion-	09-29-1988	Y	1	N
1985-3169	Demand For Jury-	10-04-1988	Y	1	N
1985-3169	Reply Affidavit-	10-11-1988	Y	1	N
1985-3169	Replay Affidavit In Support Of Motion To Remove Preliminary Executor-	10-20-1988	Y	1	N
1985-3169	Reply Memorandum Of Law In Support Of Motion To Remove Preliminary Executor-	10-20-1988	Y	1	N
1985-3169	Notice Of Motion To Remove Preliminary Executor-	10-21-1988	Y	1	N
✓ 1985-3169	Notice Of Appearance- <i>Herring Helt</i>	11-01-1988	Y	1	N
1985-3169	Affidavit-John C. Fisher	06-21-1989	Y	1	N
1985-3169	Affidavit Of Service-	02-09-1990	Y	1	N
1985-3169	O S C-Withdraw As Counsel	02-09-1990	Y	1	N
1985-3169	Affidavit-John C. Fisher	02-14-1990	Y	1	N
1985-3169	Affidavit-Evelyn Goldberg	02-15-1990	Y	1	N
1985-3169	Petitioner's Memorandum Of Law-	03-20-1990	Y	1	N
1985-3169	Osc-For Summary Judgment	03-20-1990	Y	1	N
1985-3169	Decision-	03-30-1990	Y	1	N
1985-3169	Osc-Suppl For Summ Judgment	04-02-1990	Y	1	N
1985-3169	Affidavit-	04-02-1990	Y	1	N
1985-3169	Misc Order-Motion To Withdraw	04-04-1990	Y	1	N
1985-3169	Affidavit Of Attempted Service-	04-23-1990	Y	1	N
1985-3169	O S C-2nd Suppl For Summary Judgment	04-30-1990	Y	1	N
1985-3169	Affidavit Of Service-	04-30-1990	Y	1	N
1985-3169	Cross-Motion-	05-25-1990	Y	1	N
1985-3169	Decision-	02-13-1991	Y	1	N
1985-3169	Decision-	03-29-1991	Y	1	N
1985-3169	Letters Testamentary-	04-05-1991	N	1	N
1985-3169	Decree Admitting Will To Probate-	04-08-1991	Y	1	N
1985-3169	Notice Of Appeal & Pre-Argument Stmtnt- <i>12/11/15 pul</i>	04-29-1991 <i>decree Y Priority Decree</i>	Y	1	N <i>03/29/91</i>
1985-3169	Affidavit In Opposition-	07-09-1991	Y	1	N
1985-3169	O S C-For Reargument	07-10-1991	Y	1	N
1985-3169	Decision-	08-02-1991	Y	1	N
1985-3169	Remittitur-	02-21-1992	Y	1	N
1985-3169	Supreme Court Decision And Order Affirming Decree-	03-03-1992	Y	1	N
1985-3169	Citation-	11-04-1992	Y	1	N
1985-3169	Petition Compel Account-	11-04-1992	Y	1	N
1985-3169	Supplemental Citation-	11-09-1992	Y	1	N
1985-3169	Petition/Advance Payment-With Exhibits	12-03-1992	Y	1	N
1985-3169	Decision-	12-08-1992	Y	1	N
1985-3169	Inventory Of Assets Filed-	12-14-1992	Y	1	N
1985-3169	Supplemental Affidavit-	12-14-1992	Y	1	N
1985-3169	Decision-	12-15-1992	Y	1	N
1985-3169	Motion To Produce Records-	12-17-1992	Y	1	N
1985-3169	Order For Advance Payment Of Commissions-	12-17-1992	Y	1	N
1985-3169	Citation-	12-18-1992	Y	1	N
1985-3169	Motion For Stay And Preliminary Injunction-	12-20-1992	Y	1	N
1985-3169	Bond Filed - Advance Payment Of Commissions-	12-24-1992	Y	1	N
1985-3169	Motion To Reargue-	12-28-1992	Y	1	N
1985-3169	Motion To Remove Executor-	12-28-1992	Y	1	N
1985-3169	Misc Order-	01-13-1993	Y	1	N

1985-3169	Correspondance-	01-25-1993	Y	1	N
1985-3169	Reply Affidavit-	01-26-1993	Y	1	N
1985-3169	Notice Of Motion-	01-27-1993	Y	1	N
1985-3169	Affidavit-	01-29-1993	Y	1	N
1985-3169	Memorandum Of Law-	01-29-1993	Y	1	N
1985-3169	Decision-	02-02-1993	Y	1	N
1985-3169	Account Of Executors-	02-13-1993	Y	1	N
1985-3169	Petition & Account-	02-18-1993	Y	1	N
1985-3169	Affidavit-	02-18-1993	Y	1	N
1985-3169	Affidavit Of Service-	02-22-1993	Y	1	N
1985-3169	Order - Serve Citation By Mail-	02-23-1993	Y	2	N
1985-3169	Citation-	02-24-1993	Y	1	N
1985-3169	Affidavit-	03-25-1993	Y	1	N
1985-3169	Reply Affidavit-	03-26-1993	Y	1	N
1985-3169	Notice Of Motion-	03-30-1993	Y	1	N
1985-3169	Affidavit-	03-31-1993	Y	1	N
1985-3169	Affidavit-	03-31-1993	Y	1	N
1985-3169	Order - Serve Citation By Mail-	04-13-1993	Y	1	N
1985-3169	Affidavit Of Service-	04-28-1993	Y	1	N
1985-3169	Reply Affidavit-	05-04-1993	Y	1	N
1985-3169	Affidavit-	05-18-1993	Y	1	N
1985-3169	Affidavit-	05-23-1993	Y	1	N
✓ 1985-3169	Notice Of Appearance- <i>Harold Holt.</i>	06-02-1993	Y	1	N
1985-3169	Correspondence-	06-09-1993	Y	1	N
1985-3169	Notice Of Motion-	06-10-1993	Y	1	N
1985-3169	Correspondence-	06-15-1993	Y	1	N
1985-3169	Certification Of Compliance-	06-23-1993	Y	1	N
1985-3169	Gal Order-	07-01-1993	Y	1	N
1985-3169	Notice Of Appointment-	07-01-1993	Y	1	N
1985-3169	Affidavit/Exhibits-	07-26-1993	Y	1	N
1985-3169	Osc/ Petition-	07-29-1993	Y	1	N
1985-3169	Affidavit Of Service-	07-30-1993	Y	1	N
1985-3169	Notice Of Change Of Address-	08-03-1993	Y	1	N
1985-3169	Notice Of Cross Motion-	08-09-1993	Y	1	N
1985-3169	Preliminary Guardian As Litem Report-	08-09-1993	Y	1	N
1985-3169	Affidavit-	08-10-1993	Y	1	N
1985-3169	Affidavit In Response-	08-10-1993	Y	1	N
✓ 1985-3169	Notice Of Appearance- <i>2 pp.</i>	09-14-1993	Y	1	N
1985-3169	Notice Of Settlement-	11-08-1993	Y	1	N
1985-3169	Affidavit Of Service-	11-09-1993	Y	1	N
1985-3169	Misc Order-	12-08-1993	Y	1	N
1985-3169	Correspondence-	12-21-1993	Y	1	N
1985-3169	Correspondence-	02-09-1994	Y	1	N
1985-3169	Gal's Verified Objections To Account-	03-04-1994	Y	1	N
1985-3169	Correspondence-	03-07-1994	Y	1	N
1985-3169	Correspondence-	03-07-1994	Y	1	N
1985-3169	Notice Of Cross Motion-	10-31-1994	Y	1	N
1985-3169	Affidavit In Response-	11-15-1994	Y	1	N
1985-3169	Notice Of Motion-	11-15-1994	Y	1	N
1985-3169	Decision-	12-15-1994	Y	1	N
1985-3169	Notice Of Entry-	01-09-1995	Y	1	N
1985-3169	Memorandum Of Law-	01-17-1995	Y	1	N
1985-3169	Affidavit Of Service-	01-20-1995	Y	1	N
1985-3169	Notice Of Motion-	01-24-1995	Y	1	N
1985-3169	Reply Affidavit-	01-31-1995	Y	1	N
1985-3169	Reply Affidavit-	02-01-1995	Y	1	N
1985-3169	Notice Of Settlement-	02-22-1995	Y	1	N
1985-3169	Transcripts-	02-27-1995	Y	1	N
1985-3169	Decision-	02-27-1995	Y	1	N

1985-3169	Transcripts-	04-25-1995	Y	1	N
1985-3169	Transcripts-	04-25-1995	Y	1	N
1985-3169	Affirmation Of Service-	05-03-1995	Y	1	N
1985-3169	Correspondance-Karen Barkhorn	06-01-1995	Y	1	N
1985-3169	Report Of Karin Barkhorn-	06-01-1995	Y	1	N
1985-3169	Affidavit Of Service-	06-02-1995	Y	1	N
1985-3169	Correspondance-Barkhorn	06-08-1995	Y	1	N
1985-3169	Affidavit In Response-	06-08-1995	Y	1	N
1985-3169	Correspondance-Goldberg	06-10-1995	Y	1	N
1985-3169	Notice Of Motion-	07-27-1995	Y	1	N
1985-3169	Correspondance-Fisher	08-03-1995	Y	1	N
1985-3169	Correspondance-Barkhorn	08-03-1995	Y	1	N
1985-3169	Correspondance-Fisher	08-09-1995	Y	1	N
1985-3169	Amended Notice Of Motion-	08-09-1995	Y	1	N
1985-3169	Affidavit In Reply-	08-09-1995	Y	1	N
1985-3169	Correspondance-Barkhorn	08-11-1995	Y	1	N
1985-3169	Affidavit-	09-05-1995	Y	1	N
1985-3169	Decision-	10-26-1995	Y	1	N
1985-3169	Final Account- Undated-	10-31-1995	Y	1	N
1985-3169	Affidavit-	11-17-1995	Y	1	N
1985-3169	Affidavit Of Services Of Karin Barkhorn-	11-20-1995	Y	1	N
1985-3169	Supplemental Affidavit Of Services-	12-21-1995	Y	1	N
1985-3169	Affidavit Of Service-	12-21-1995	Y	2	N
1985-3169	Decree On Accounting-	12-29-1995	Y	1	N
1985-3169	Notice Of Entry-	01-12-1996	Y	1	N
✓ 1985-3169	Notice Of Appeal & Pre-Argument Stmtnt- <i>Eviden</i>	02-09-1996	Y	1	N
1985-3169	Objections To "Statement In Lieu..."-	02-21-1996	Y	1	N
✓ 1985-3169	Notice Of Appeal- <i>6pp 1-5 2ndly cited 7th not provided</i>	07-16-1996	Y	1	N
1985-3169	Power Of Atty- <i>Dean Loren for Evelyn Goldberg</i>	11-03-2008	Y	1	N
✓ 1985-3169/A	Compel Fiduciary To Account Petition-	02-18-2015	Y	1	N
✓ 1985-3169/A	Order-Petition Entertained Citation To Issue	02-19-2015	N	1	N
✓ 1985-3169/A	Citation-R/D 03-27-15 <i>on David Mandelbaum</i>	02-27-2015	Y	1	N
✓ 1985-3169/A	Citation - Supplemental-C/D 4-17-15	03-27-2015	Y	1	N
✓ 1985-3169/A	Authorized Notice Of Appearance-David J Mandelbaum Esq	04-10-2015	Printed	1	N
1985-3169/A	Stipulation-Adj To 5-29-15	04-17-2015	Y	1	N
✓ 1985-3169/A	Notice Of Motion-C/D 10-13-15 <i>270pp by John Fisher</i>	09-30-2015	first type Yntel	1	N
✓ 1985-3169/A	Motion-Motion To Restore And Other Related Relief <i>Ypp from</i>	09-30-2015	Y	1	N
✓ 1985-3169/A	Affidavit In Support Of Motion By Dean Loren- <i>1-44</i>	10-05-2015	printed Y p 1,3	1	N * reserve
✓ 1985-3169/A	Supplemental Affidavit In Support- <i>pp 9 by Dean</i>	10-09-2015	Y	1	N 15pp
✓ 1985-3169/A	Motion-Motion To Restore Compulsory Accounting And Other Related Relief <i>9pp by Dean</i>	11-09-2015	Y	1	N (* I did ask Mella to review)
1985-3169/A	Notice Of Motion-C/D 12-15-15 <i>5pp by Dean DEAN</i>	11-09-2015	Y	1	N
1985-3169/A	Reply Affirmation- <i>17pp by Fisher pmdy 1-2 FISHER</i>	11-13-2015	Y	1	N
1985-3169/A	Affidavit In Support- <i>13pp by Dean Dean Loren DEAN</i>	12-10-2015	Y	1	N
1985-3169/A	Motion-Motion To Grant Leave And Other Related Relief <i>DA 01-15-2016 40pp Y by Dean</i>	01-15-2016	Y	1	N 1-2,4
1985-3169/A	Notice Of Motion-Return Date 2-2-16 <i>Dean Loren</i>	01-15-2016	Y	1	N
1985-3169/A	Reply Affirmation- <i>Fisher 19pp 1-3,4-5</i>	01-22-2016	Y	1	N
1985-3169/A	Order And Written Decision-Decision <i>1pp</i>	01-28-2016	Y	1	N
1985-3169/A	Order And Written Decision-Decision <i>1pp</i>	02-18-2016	Y	1	N
1985-3169/A	Order And Written Decision-Decision <i>2pp</i>	07-07-2016	Y	1	N
1985-3169/A	Clerk To Notify-Regular Mail <i>both Loren</i>	07-11-2016	Y	1	N
1985-3169/A	Affirmation Of Unavailability-Dean Loren	12-08-2016	Y	1	N
1985-3169/A	Correspondence- <i>IRS Dean + Supp.</i>	12-17-2016	Y	1	N
✓ 1985-3169/A	Order And Written Decision-Decision	04-13-2017	Y	1	N
1985-3169/A	Clerk To Notify-Regular Mail <i>13pp</i>	04-18-2017	Y	1	N
1985-3169/A	Notice Of Entry-Re Decision 4-13-17	05-11-2017	Y	1	N